



FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Ed Case
Status: Member
State/District: HI01

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2021
Filing Date: 05/8/2022

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|-------------------------|----------------|--------------------|--------------------------|
| Alliant Credit Union [BA] | | \$100,001 - \$250,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Amazon.com, Inc. (AMZN) [ST] | | \$250,001 - \$500,000 | None | | <input type="checkbox"/> |
| American Funds New Perspective RS [MF] | | \$50,001 - \$100,000 | Dividends | Not Applicable | <input type="checkbox"/> |
| Apple Inc. (AAPL) [ST] | | \$500,001 - \$1,000,000 | Dividends | Not Applicable | <input type="checkbox"/> |
| Employees' Retirement System of the State of Hawai'i [DB] | | Undetermined | Pension | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Fidelity Balanced (FBALX) [MF] | | \$50,001 - \$100,000 | Dividends | Not Applicable | <input type="checkbox"/> |
| Fidelity Government Cash Reserves [MF] | | \$50,001 - \$100,000 | Dividends | Not Applicable | <input type="checkbox"/> |
| FPA Crescent [MF] | | \$15,001 - \$50,000 | Dividends | Not Applicable | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|--------------|-----------------------|-----------------------|----------------|--------------------------|
| Harbor Capital Appreciation Fund 1 [MF] | | \$100,001 - \$250,000 | Dividends | Not Applicable | <input type="checkbox"/> |
| Hawaii State Federal Credit Union [BA] | | \$1,001 - \$15,000 | Dividends | None | <input type="checkbox"/> |
| Johnson & Johnson (JNJ) [ST] | | \$50,001 - \$100,000 | Dividends | Not Applicable | <input type="checkbox"/> |
| JP Morgan Mid Cap Value L [MF] | | \$50,001 - \$100,000 | Dividends | Not Applicable | <input type="checkbox"/> |
| Marin Software Incorporated (MRIN) [ST] | | \$1 - \$1,000 | Dividends | Not Applicable | <input type="checkbox"/> |
| Microsoft Corporation (MSFT) [ST] | | \$100,001 - \$250,000 | Dividends | Not Applicable | <input type="checkbox"/> |
| NT S&P 500 Index NL T3 [MF] | | \$100,001 - \$250,000 | Dividends | Not Applicable | <input type="checkbox"/> |
| PGIM Global Real Estate Z [MF] | | \$50,001 - \$100,000 | Dividends | Not Applicable | <input type="checkbox"/> |
| Procter & Gamble Company (PG) [ST] | | \$1,001 - \$15,000 | Dividends | Not Applicable | <input type="checkbox"/> |
| T. Rowe Price Retirement 2015 [MF] | | \$15,001 - \$50,000 | Dividends | Not Applicable | <input type="checkbox"/> |
| T. Rowe Price Retirement 2025 [MF] | | \$50,001 - \$100,000 | Dividends | Not Applicable | <input type="checkbox"/> |
| T. Rowe Price Retirement 2035 [MF] | | \$50,001 - \$100,000 | Dividends | Not Applicable | <input type="checkbox"/> |
| T. Rowe Price Retirement 2040 [MF] | | \$50,001 - \$100,000 | Dividends | Not Applicable | <input type="checkbox"/> |
| UAL Stock Fund [MF] | | \$1 - \$1,000 | Dividends | Not Applicable | <input type="checkbox"/> |
| US Equity Index [MF] | | \$250,001 - \$500,000 | Dividends | Not Applicable | <input type="checkbox"/> |
| Vanguard 500 Index Admiral [MF] | | \$100,001 - \$250,000 | Dividends | Not Applicable | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|--------------------------|--------------------|--------------------------|
| Vanguard Extended Duration Treasury Index [EF] | | \$50,001 - \$100,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Vanguard Federal Money Market Fund [MF] | | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Vanguard FTSE Developed Mkts [EF] | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Vanguard Health Care Admiral CL [MF] | | \$50,001 - \$100,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Vanguard High Dividend Yield [EF] | | \$100,001 - \$250,000 | Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| Vanguard Intl Divid Apprec [EF] | | \$15,001 - \$50,000 | Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| Vanguard Long Term Treasury [EF] | | \$100,001 - \$250,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| Vanguard Target 2015 [MF] | | \$100,001 - \$250,000 | Dividends | Not Applicable | <input type="checkbox"/> |
| Vanguard Target 2020 [MF] | | \$250,001 - \$500,000 | Dividends | Not Applicable | <input type="checkbox"/> |
| Vanguard Total Bond Market [EF] | | \$50,001 - \$100,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--------------------------------|-------|-----------|----------|----------------------|--------------------------|
| Altria Group, Inc. (MO) [ST] | | 05/3/2021 | S | \$50,001 - \$100,000 | <input type="checkbox"/> |
| Fidelity Balanced (FBALX) [OT] | | 07/6/2021 | P | \$50,001 - \$100,000 | |
| LOCATION: US | | | | | |
| DESCRIPTION: Purchase | | | | | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|-----------|----------|----------------------|--------------------------|
| Fidelity Government Cash Reserves [OT] LOCATION: US DESCRIPTION: Purchase | | 05/3/2021 | P | \$15,001 - \$50,000 | |
| Fidelity Government Cash Reserves (FDRXX) [OT] LOCATION: US DESCRIPTION: Sale | | 07/2/2021 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Fidelity Government Cash Reserves (FDRXX) [OT] LOCATION: US DESCRIPTION: Sale | | 07/6/2021 | S | \$50,001 - \$100,000 | <input type="checkbox"/> |
| General Electric Company (GE) [ST] | | 05/3/2021 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Kinder Morgan, Inc. (KMI) [ST] | | 05/3/2021 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Marin Software Incorporated (MRIN) [ST] | | 07/2/2021 | P | \$1,001 - \$15,000 | |
| Microsoft Corporation (MSFT) [ST] | | 05/3/2021 | P | \$50,001 - \$100,000 | |

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Ed Case , 05/8/2022