Filing ID #10047543

# Financial Disclosure Report

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## Filer Information

**Name:** Hon. Kim Dr Schrier
**Status:** Member
**State/District:** WA08

## Filing Information

**Filing Type:** Annual Report
**Filing Year:** 2021
**Filing Date:** 05/16/2022

## Schedule A: Assets and "Unearned" Income

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| David's IRA ⇒ iShares Trust 3 7 Year Treasury Bond (IEI) [EF] | SP | $100,001 - $250,000 | Tax-Deferred | | ☐ |
| David's IRA ⇒ Money Market [BA] | SP | $1,001 - $15,000 | None | | ☐ |
| David's IRA ⇒ Tesla, Inc. (TSLA) [ST] | SP | $500,001 - $1,000,000 | Tax-Deferred | | ☐ |
| David's IRA ⇒ Vanguard Real Estate ETF (VNQ) [EF] | SP | $250,001 - $500,000 | Tax-Deferred | | ☑ |
| David's IRA ⇒ Vanguard Scottsdale FDS Intermediate (VCIT) [EF] | SP | $100,001 - $250,000 | Tax-Deferred | | ☑ |
| David's IRA ⇒ Vanguard Total BND MRKT ETF (BND) [EF] | SP | $50,001 - $100,000 | Tax-Deferred | | ☑ |
| Gowing Family Trust Primary Account ⇒ Apple Inc. (AAPL) [ST] | JT | $500,001 - $1,000,000 | None | | ☐ |

Description: Note: This holding was transferred from the Secondary account to Primary account in December, 2021, in an effort to consolidate these two accounts into one. Note: Dividends were issued for this holding while it was in the Secondary account, and therefore they are reported under the Secondary account. Note: This stock has since been sold, as noted in a 2022 Periodic Transaction Report.

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| Gowing Family Trust Primary Account ⇒ Dimensional Fund Advisors US Social Core EQTY 2 (DFUEX) [MF] | JT | $500,001 - $1,000,000 | Dividends | $15,001 - $50,000 | ☑ |
| Gowing Family Trust Primary Account ⇒ iShares Core US Aggregate Bond ETF (AGG) [EF] | JT | $250,001 - $500,000 | None | | ☑ |
| Gowing Family Trust Primary Account ⇒ iShares ESG Aware MSCI EAFE ETF (ESGD) [EF] | JT | $500,001 - $1,000,000 | Dividends | $1,001 - $2,500 | ☑ |
| Gowing Family Trust Primary Account ⇒ iShares ESG Aware USD Corporate Bond ETF (SUSC) [EF] | JT | $100,001 - $250,000 | None | | ☑ |
| Gowing Family Trust Primary Account ⇒ IShares Trust ESG Aware MSCI USA SML CAP (ESML) [EF] | JT | $1,000,001 - $5,000,000 | Dividends | $2,501 - $5,000 | ☑ |
| Gowing Family Trust Primary Account ⇒ Tesla, Inc. (TSLA) [ST] | JT | $250,001 - $500,000 | None | | ☐ |
| DESCRIPTION: Note: this holding was part of the consolidation of our Secondary account to our Primary account, as noted elsewhere in this annual report. (Prior to December, 2021, it was held in our Secondary account.) Note: this stock was sold in 2022, as noted in a 2022 Periodic Transaction Report. | | | | | |
| Gowing Family Trust Primary Account ⇒ Vangard ESG INTL STK ETF (VSGX) [EF] | JT | $500,001 - $1,000,000 | Dividends | $15,001 - $50,000 | ☑ |
| Gowing Family Trust Primary Account ⇒ Vanguard ESG US Stock (ESGV) [EF] | JT | $1,000,001 - $5,000,000 | Dividends | $15,001 - $50,000 | ☑ |
| Gowing Family Trust Primary Account ⇒ Vanguard Intermediate-Term Treasury ETF (VGIT) [EF] | JT | $50,001 - $100,000 | None | | ☑ |
| Gowing Family Trust Primary Account ⇒ Vanguard Real Estate ETF (VNQ) [EF] | JT | $250,001 - $500,000 | Dividends | $5,001 - $15,000 | ☑ |
| Gowing Family Trust Primary Account ⇒ Vanguard Total BND MRKT ETF (BND) [EF] | JT | $100,001 - $250,000 | Dividends | $5,001 - $15,000 | ☐ |
| Gowing Family Trust Secondary Account ⇒ Apple Inc. (AAPL) [ST] | JT | None | Capital Gains, Dividends | $5,001 - $15,000 | ☑ |
| DESCRIPTION: Note: Stock was purchased 7/27/21 in this account. Note: This holding was transferred from the Secondary account to Primary account in December, 2021. Note: David mistakenly exceeded the amount of cash in the account when he purchased this holding, which caused the brokerage system to purchase that excess amount on margin. In November, 2021, David sold the amount of AAPL purchased on margin to | | | | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| correct what he did not intend to purchase. Note: This stock has since been sold (in 2022). | | | | | |
| Inherited IRA ⇒ Apple Inc. (AAPL) [ST] | JT | $250,001 - $500,000 | Dividends | $201 - $1,000 | ✓ |
| Kim’s 401(a) with VMMC ⇒ Fidelity Government Cash Reserves (FDRXX) [BA] | | $1,001 - $15,000 | Tax-Deferred | | |
| Kim’s 401(a) with VMMC ⇒ iShares Core US Aggregate Bond ETF (AGG) [EF] | | $1 - $1,000 | Tax-Deferred | | |
| Kim’s 401(a) with VMMC ⇒ IShares Trust ESG Aware MSCI USA SML CAP (ESML) [EF] | | $100,001 - $250,000 | Tax-Deferred | | |
| Kim’s 401(a) with VMMC ⇒ Vanguard ESG INTL STK ETF (VSGX) [EF] | | $250,001 - $500,000 | Tax-Deferred | | |
| Kim’s 401(a) with VMMC ⇒ Vanguard ESG US Stock (ESGV) [EF] | | $50,001 - $100,000 | Tax-Deferred | | |
| Kim’s 401(a) with VMMC ⇒ Vanguard Scottsdale FDS Intermediate (VCIT) [EF] | | $1 - $1,000 | Tax-Deferred | | |
| Kim's 403b - Pre-2009 ⇒ Fidelity International Index (FSPSX) [MF] | | $100,001 - $250,000 | Tax-Deferred | | |
| Kim's 403b - Pre-2009 ⇒ Fidelity US Bond Index (FXNAX) [MF] | | $250,001 - $500,000 | Tax-Deferred | | |
| Kim's 403b with VMMC ⇒ Fidelity Government Cash Reserves (FDRXX) [BA] | | $1,001 - $15,000 | Tax-Deferred | | |
| Kim's 403b with VMMC ⇒ Vanguard Developed Markets Index Admiral (VTMGX) [MF] | | $100,001 - $250,000 | Tax-Deferred | | |
| Kim's 403b with VMMC ⇒ VANGUARD INTERMED TRM INVST GR ADMIRAL (VFIDX) [MF] | | $15,001 - $50,000 | Tax-Deferred | | |
| Kim's 403b with VMMC ⇒ VANGUARD MID-CAP VALUE INDEX ADMIRAL (VMMAX) [MF] | | $250,001 - $500,000 | Tax-Deferred | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| Kim's Roth IRA - 2014 ⇒<br>IShares Trust ESG Aware MSCI USA SML CAP (ESML) [EF] | | $50,001 - $100,000 | Dividends | $201 - $1,000 | ☐ |
| Kim's Roth IRA - 2014 ⇒<br>Money Market [BA] | | $1,001 - $15,000 | Tax-Deferred | | ☐ |
| Kim's Roth IRA - 2014 ⇒<br>Vanguard ESG INTL STK ETF (VSGX) [EF] | | $50,001 - $100,000 | Tax-Deferred | | ☐ |
| Samuel Gowing Trust ⇒<br>Dimensional Fund Advisors US Social Core EQTY (DSCLX) [EF] | | $50,001 - $100,000 | Dividends | $1,001 - $2,500 | ☑ |
| Samuel Gowing Trust ⇒<br>FDIC Insured Deposit Account [BA] | | $1,001 - $15,000 | None | | ☐ |
| Samuel Gowing Trust ⇒<br>iShares Core US Aggregate Bond ETF (AGG) [EF] | | $15,001 - $50,000 | Dividends | $201 - $1,000 | ☐ |
| Samuel Gowing Trust ⇒<br>iShares ESG Aware USD Corporate Bond ETF (SUSC) [EF] | | $1,001 - $15,000 | Dividends | $1 - $200 | ☑ |
| Samuel Gowing Trust ⇒<br>iShares Trust ESG Aware MSCI USA Small Cap (ESML) [EF] | | $15,001 - $50,000 | Dividends | $201 - $1,000 | ☐ |
| Samuel Gowing Trust ⇒<br>SPDR Portfolio Aggregate Bond ETF (SPAB) [EF] | | $1,001 - $15,000 | Dividends | $1 - $200 | ☐ |
| Samuel Gowing Trust ⇒<br>Vanguard ESG INTL STK ETF (VSGX) [EF] | | $15,001 - $50,000 | Dividends | $201 - $1,000 | ☐ |
| Samuel Gowing Trust ⇒<br>Vanguard ESG US Stock (ESGV) [EF] | | $100,001 - $250,000 | Capital Gains, Dividends | $5,001 - $15,000 | ☑ |
| Samuel Gowing Trust ⇒<br>Vanguard Intermediate-Term Treasury ETF (VGIT) [EF] | | $1,001 - $15,000 | Dividends | $1 - $200 | ☑ |
| Samuel Gowing Trust ⇒<br>Vanguard Real Estate ETF (VNQ) [EF] | | $1,001 - $15,000 | Dividends | $201 - $1,000 | ☐ |
| Stanford Health Care Retirement Savings Plan ⇒<br>Vanguard Institutional Target Retirement 2030 (FFEGX) | JT | $1,001 - $15,000 | Tax-Deferred | | ☐ |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| [IH] | | | | | |
| Wells Fargo joint checking account 2018 [BA]<br>DESCRIPTION: Joint checking account with my spouse. | JT | $100,001 - $250,000 | None | | ☐ |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

## Schedule B: Transactions

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| David's IRA ⇒<br>GOFXX - Government Obligations Fund [MF] | SP | 01/11/2021 | S (partial) | $100,001 - $250,000 | ☐ |
| David's IRA ⇒<br>GOFXX - Government Obligations Fund [MF] | SP | 02/11/2021 | S | $100,001 - $250,000 | ☐ |
| David's IRA ⇒<br>Vanguard Intermediate-Term Corporate Bond ETF (VCIT) [EF] | SP | 01/11/2021 | P | $50,001 - $100,000 | |
| David's IRA ⇒<br>Vanguard Intermediate-Term Corporate Bond ETF (VCIT) [EF] | SP | 02/11/2021 | P | $50,001 - $100,000 | |
| David's IRA ⇒<br>Vanguard Real Estate ETF (VNQ) [EF] | SP | 01/11/2021 | P | $50,001 - $100,000 | |
| David's IRA ⇒<br>Vanguard Real Estate ETF (VNQ) [EF] | SP | 02/11/2021 | P | $15,001 - $50,000 | |
| David's IRA ⇒<br>Vanguard Total Bond Market ETF (BND) [EF] | SP | 01/11/2021 | P | $1,001 - $15,000 | |
| David's IRA ⇒<br>Vanguard Total Bond Market ETF (BND) [EF] | SP | 02/11/2021 | P | $15,001 - $50,000 | |
| David's IRA ⇒<br>Vanguard Total Bond Market ETF (BND) [EF] | SP | 04/13/2021 | P | $1,001 - $15,000 | |
| David's IRA ⇒<br>Vanguard Total Bond Market ETF (BND) [EF] | SP | 07/12/2021 | P | $1,001 - $15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| David's IRA ⇒<br>Vanguard Total Bond Market ETF (BND) [EF] | SP | 10/4/2021 | P | $1,001 - $15,000 | |
| Gowing Family Trust Primary Account ⇒<br>DFUEX - Dimensional Fund Advisors US Social Core [MF] | JT | 01/11/2021 | P | $100,001 - $250,000 | |
| Gowing Family Trust Primary Account ⇒<br>DFUEX - Dimensional Fund Advisors US Social Core [MF] | JT | 02/11/2021 | P | $50,001 - $100,000 | |
| Gowing Family Trust Primary Account ⇒<br>DFUEX - Dimensional Fund Advisors US Social Core [MF] | JT | 03/30/2021 | P | $1,001 - $15,000 | |
| Gowing Family Trust Primary Account ⇒<br>DFUEX - Dimensional Fund Advisors US Social Core [MF] | JT | 06/29/2021 | P | $1,001 - $15,000 | |
| Gowing Family Trust Primary Account ⇒<br>DFUEX - Dimensional Fund Advisors US Social Core [MF] | JT | 09/29/2021 | P | $1,001 - $15,000 | |
| Gowing Family Trust Primary Account ⇒<br>DFUEX - Dimensional Fund Advisors US Social Core [MF] | JT | 12/13/2021 | P | $1,001 - $15,000 | |
| Gowing Family Trust Primary Account ⇒<br>DFUEX - Dimensional Fund Advisors US Social Core [MF] | JT | 12/13/2021 | P | $15,001 - $50,000 | |
| Gowing Family Trust Primary Account ⇒<br>GOFXX - Government Obligations Fund [GS] | JT | 01/11/2021 | S (partial) | $500,001 - $1,000,000 | ☐ |
| Description: This holding is a money market mutual fund, which has a fixed price of $1 per share, therefore cannot have gains or losses. | | | | | |
| Gowing Family Trust Primary Account ⇒<br>GOFXX - Government Obligations Fund [GS] | JT | 02/11/2021 | S | $500,001 - $1,000,000 | ☐ |
| Description: This holding is a money market mutual fund, which has a fixed price of $1 per share, therefore cannot have gains or losses. | | | | | |
| Gowing Family Trust Primary Account ⇒<br>iShares Core U.S. Aggregate Bond ETF (AGG) [EF] | JT | 12/29/2021 | P | $250,001 - $500,000 | |
| Gowing Family Trust Primary Account ⇒<br>iShares ESG Aware MSCI EAFE ETF (ESGD) [EF] | JT | 12/28/2021 | P | $500,001 - $1,000,000 | |
| Gowing Family Trust Primary Account ⇒<br>iShares ESG Aware MSCI USA Small-Cap ETF (ESML) [EF] | JT | 01/11/2021 | P | $50,001 - $100,000 | |
| Gowing Family Trust Primary Account ⇒ | JT | 02/11/2021 | P | $15,001 - $50,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| iShares ESG Aware MSCI USA Small-Cap ETF (ESML) [EF] | | | | | |
| Gowing Family Trust Primary Account ⇒ iShares ESG Aware MSCI USA Small-Cap ETF (ESML) [EF] | JT | 02/11/2021 | P | $15,001 - $50,000 | |
| Gowing Family Trust Primary Account ⇒ iShares ESG Aware MSCI USA Small-Cap ETF (ESML) [EF] | JT | 12/29/2021 | P | $500,001 - $1,000,000 | |
| Gowing Family Trust Primary Account ⇒ iShares ESG Aware USD Corporate Bond ETF (SUSC) [EF] | JT | 12/28/2020 | P | $50,001 - $100,000 | |
| Gowing Family Trust Primary Account ⇒ iShares ESG Aware USD Corporate Bond ETF (SUSC) [EF] | JT | 12/28/2021 | P | $50,001 - $100,000 | |
| Gowing Family Trust Primary Account ⇒ Vanguard ESG International Stock ETF (VSGX) [EF] | JT | 01/11/2021 | P | $250,001 - $500,000 | |
| Gowing Family Trust Primary Account ⇒ Vanguard ESG International Stock ETF (VSGX) [EF] | JT | 02/11/2021 | P | $100,001 - $250,000 | |
| Gowing Family Trust Primary Account ⇒ Vanguard ESG International Stock ETF (VSGX) [EF] | JT | 12/28/2021 | S (partial) | $100,001 - $250,000 | ☐ |
| Gowing Family Trust Primary Account ⇒ Vanguard ESG U.S. Stock ETF (ESGV) [EF] | JT | 01/11/2021 | P | $100,001 - $250,000 | |
| Gowing Family Trust Primary Account ⇒ Vanguard ESG U.S. Stock ETF (ESGV) [EF] | JT | 02/11/2021 | P | $250,001 - $500,000 | |
| Gowing Family Trust Primary Account ⇒ Vanguard ESG U.S. Stock ETF (ESGV) [EF] | JT | 02/11/2021 | P | $15,001 - $50,000 | |
| Gowing Family Trust Primary Account ⇒ Vanguard ESG U.S. Stock ETF (ESGV) [EF] | JT | 03/29/2021 | P | $1,001 - $15,000 | |
| Gowing Family Trust Primary Account ⇒ Vanguard Intermediate-Term Treasury ETF (VGIT) [EF] | JT | 12/28/2021 | P | $50,001 - $100,000 | |
| Gowing Family Trust Primary Account ⇒ Vanguard Real Estate ETF (VNQ) [EF] | JT | 12/28/2021 | P | $100,001 - $250,000 | |
| Gowing Family Trust Primary Account ⇒ Vanguard Total Bond Market ETF (BND) [EF] | JT | 01/11/2021 | P | $100,001 - $250,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| Gowing Family Trust Primary Account ⇒ Vanguard Total Bond Market ETF (BND) [EF] | JT | 02/11/2021 | P | $50,001 - $100,000 | |
| Gowing Family Trust Primary Account ⇒ Vanguard Total Bond Market ETF (BND) [EF] | JT | 10/4/2021 | P | $1,001 - $15,000 | |
| Gowing Family Trust Primary Account ⇒ Vanguard Total Bond Market ETF (BND) [EF] | JT | 12/28/2021 | S (partial) | $250,001 - $500,000 | ☐ |
| Gowing Family Trust Secondary Account ⇒ Apple Inc. (AAPL) [ST] | JT | 07/27/2021 | P | $500,001 - $1,000,000 | |
| Gowing Family Trust Secondary Account ⇒ Apple Inc. (AAPL) [ST] | JT | 11/15/2021 | S (partial) | $250,001 - $500,000 | ☑ |
| Gowing Family Trust Secondary Account ⇒ GOFXX - Government Obligations Fund [MF] | JT | 04/15/2021 | S | $1,000,001 - $5,000,000 | ☐ |
| Inherited IRA ⇒ Abbott Laboratories (ABT) [ST] | JT | 10/28/2021 | S | $1,001 - $15,000 | ☐ |
| Inherited IRA ⇒ Alphabet Inc. - Class A (GOOGL) [ST] | JT | 10/28/2021 | S | $15,001 - $50,000 | ☐ |
| Inherited IRA ⇒ Apple Inc. (AAPL) [ST] | JT | 10/28/2021 | P | $250,001 - $500,000 | |
| Inherited IRA ⇒ Becton, Dickinson and Company (BDX) [ST] | JT | 10/28/2021 | S | $1,001 - $15,000 | ☐ |
| Inherited IRA ⇒ BND - Vanguard Total Bond Market Index Fund ETF [EF] | JT | 10/25/2021 | P | $1,001 - $15,000 | |
| Inherited IRA ⇒ BND - Vanguard Total Bond Market Index Fund ETF [EF] | JT | 10/28/2021 | S | $1,001 - $15,000 | ☐ |
| Inherited IRA ⇒ Bristol-Myers Squibb Company (BMY) [ST] | JT | 10/28/2021 | S | $1,001 - $15,000 | ☐ |
| Inherited IRA ⇒ Brookfield Renewable Partners L.P. (BEP) [ST] | JT | 10/28/2021 | S | $1,001 - $15,000 | ☐ |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| Inherited IRA ⇒<br>Cisco Systems, Inc. (CSCO) [ST] | JT | 10/28/2021 | S | $15,001 - $50,000 | |
| Inherited IRA ⇒<br>Cleveland-Cliffs Inc. (CLF) [ST] | JT | 10/28/2021 | S | $15,001 - $50,000 | |
| Inherited IRA ⇒<br>Costco Wholesale Corporation (COST) [ST] | JT | 10/28/2021 | S | $15,001 - $50,000 | |
| Inherited IRA ⇒<br>CVS Health Corporation (CVS) [ST] | JT | 10/28/2021 | S | $15,001 - $50,000 | |
| Inherited IRA ⇒<br>Donaldson Company, Inc. (DCI) [ST] | JT | 10/28/2021 | S | $1,001 - $15,000 | |
| Inherited IRA ⇒<br>East West Bancorp, Inc. (EWBC) [ST] | JT | 10/28/2021 | S | $15,001 - $50,000 | |
| Inherited IRA ⇒<br>Eaton Corporation, PLC Ordinary Shares (ETN) [ST] | JT | 10/28/2021 | S | $15,001 - $50,000 | |
| Inherited IRA ⇒<br>Honeywell International Inc. (HON) [ST] | JT | 10/28/2021 | S | $1,001 - $15,000 | |
| Inherited IRA ⇒<br>International Business Machines Corporation (IBM) [ST] | JT | 10/28/2021 | S | $1,001 - $15,000 | |
| Inherited IRA ⇒<br>Jacobs Engineering Group Inc. (J) [ST] | JT | 10/28/2021 | S | $15,001 - $50,000 | |
| Inherited IRA ⇒<br>JP Morgan Chase & Co. (JPM) [ST] | JT | 10/28/2021 | S | $15,001 - $50,000 | |
| Inherited IRA ⇒<br>Lowe's Companies, Inc. (LOW) [ST] | JT | 10/28/2021 | S | $15,001 - $50,000 | |
| Inherited IRA ⇒<br>Lumen Technologies, Inc. (LUMN) [ST] | JT | 10/28/2021 | S | $1,001 - $15,000 | |
| Inherited IRA ⇒<br>Mesabi Trust (MSB) [ST] | JT | 10/28/2021 | S | $1,001 - $15,000 | |
| Inherited IRA ⇒ | JT | 10/28/2021 | S | $1,001 - $15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| PayPal Holdings, Inc. (PYPL) [ST] | | | | | |
| Inherited IRA ⇒<br>SWVXX - Schwabb Value Advantage Money Fund [MF] | JT | 10/28/2021 | S | $1,001 - $15,000 | ☐ |
| Inherited IRA ⇒<br>Vmware, Inc. Common stock, Class A (VMW) [ST] | JT | 10/28/2021 | S | $1,001 - $15,000 | ☐ |
| Samuel Gowing Trust ⇒<br>DSCLX - Dimensional Fund Advisors US Social Core [MF]<br>Description: Three separate transactions on the same day, each of which less than $1,000. Together, they exceed $1,000, so I am reporting it as a lumped sum. | | 12/13/2021 | P | $1,001 - $15,000 | |
| Samuel Gowing Trust ⇒<br>iShares ESG Aware USD Corporate Bond ETF (SUSC) [EF] | | 06/11/2021 | P | $1,001 - $15,000 | |
| Samuel Gowing Trust ⇒<br>Vanguard ESG U.S. Stock ETF (ESGV) [EF] | | 06/11/2021 | S (partial) | $1,001 - $15,000 | ☑ |
| Samuel Gowing Trust ⇒<br>Vanguard Intermediate-Term Treasury ETF (VGIT) [EF] | | 06/11/2021 | P | $1,001 - $15,000 | |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

## Schedule C: Earned Income

None disclosed.

## Schedule D: Liabilities

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|---|---|---|---|---|
| JT | TD Ameritrade Institutional | December 2021 | Brokerage firm purchased securities, partially on margin. Note: paid off in March, 2022. | $500,001 - $1,000,000 |

## Schedule E: Positions

None disclosed.

## Schedule F: Agreements

| Date | Parties To | Terms of Agreement |
|---|---|---|
| October 2017 | Kim Schrier and Virginia Mason Medical Center | Ongoing leave of absence. |

## Schedule G: Gifts

None disclosed.

## Schedule H: Travel Payments and Reimbursements

| Trip Details | | | | | Inclusions | | |
|---|---|---|---|---|---|---|---|
| **Source** | **Start Date** | **End Date** | **Itinerary** | **Days at Own Exp.** | **Lodging?** | **Food?** | **Family?** |
| The Aspen Institute, Inc. | 09/9/2021 | 09/12/2021 | Seattle - San Diego - Seattle | 1 | ☑ | ☑ | ☐ |

## Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

## Schedule A and B Asset Class Details

- David's IRA (Owner: SP)
- Gowing Family Trust Primary Account (Owner: JT)
  Description: Kimberly Schrier Gowing and David Noble Gowing Trustees
- Gowing Family Trust Secondary Account (Owner: JT)
- Inherited IRA (Owner: JT)
  Description: Inherited in October, 2021.
- Kim’s 401(a) with VMMC
- Kim's 403b - Pre-2009
- Kim's 403b with VMMC
- Kim's Roth IRA - 2014
- Samuel Gowing Trust
  Description: Trust for son's education
- Stanford Health Care Retirement Savings Plan (Owner: JT)
  Location: US

## Exclusions of Spouse, Dependent, or Trust Information

**IPO**: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

## Comments

Note 1: In December, 2021, we consolidated our "Secondary" account into our "Primary" account. Schedule A therefore shows no holdings in the "Secondary" account. You will notice that Schedule B shows transactions which occurred in the Secondary account prior to the consolidation in December. Note 2: The new "Inherited IRA" asset was established in October, 2021.

## Certification and Signature

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Kim Dr Schrier , 05/16/2022