Filing ID #10047017

Financial Disclosure Report

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Filer Information

Name: Hon. C. A. Dutch Ruppersberger
Status: Member
State/District: MD02

Filing Information

Filing Type: Annual Report
Filing Year: 2021
Filing Date: 05/16/2022

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Bank of America [BA]		$50,001 - $100,000	Interest	$1 - $200	☐
Compass 2 ⇒ Delaware Life [FN] Description: General Account (No specific holdings) RMD = required minimum distribution	SP	$15,001 - $50,000	Capital Gains	$1,001 - $2,500	☐
Compass 2 Variable Annuity ⇒ Delaware Life [IH] Description: General Account (No specific holdings) RMD = required minimum distribution		$50,001 - $100,000	Capital Gains	$2,501 - $5,000	☐
Exchange Traded Funds ⇒ ISHARES Trust 1-3 YR. (SHY) TDAM [EF]		$100,001 - $250,000	Tax-Deferred		☑
Exchange Traded Funds ⇒ IShares Trust 3-7 Yr Treasury Bd (IEI)TDAM [EF]		$50,001 - $100,000	Tax-Deferred		☑
Exchange Traded Funds ⇒ ISHARES US Prfrd (PFF) TDAM [EF]		$50,001 - $100,000	Tax-Deferred		☐
Exchange Traded Funds ⇒ Market Vectors (GDX) TDAM [EF]		$50,001 - $100,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Exchange Traded Funds ⇒ SPDR Portfolio LgCap (SPLG) TDAM [EF]		$100,001 - $250,000	Tax-Deferred		☑
Exchange Traded Funds ⇒ SPDR Trust S&P 500 (SPYD) TDAM [EF]		$100,001 - $250,000	Tax-Deferred		☑
Exchange Traded Funds ⇒ SPDR Trust Sm EFT (SPSM) TDAM [EF]		$50,001 - $100,000	Tax-Deferred		☑
M&T Bank [BA]		$15,001 - $50,000	Interest	$1 - $200	☐
MD. 529 College Investment Plan [5P] Location: MD Description: Purchased for grandchildren	SP	$15,001 - $50,000	Tax-Deferred		☑
MD. 529 College Investment Plan [5P] Location: MD Description: Purchased for grandchildren		$15,001 - $50,000	Tax-Deferred		☑
Nationwide Annuity ⇒ Nationwide Fixed Account [FN] Description: General Account (no specific holdings) RMD = required minimum distribution		$250,001 - $500,000	Capital Gains	$15,001 - $50,000	☐
Rupp&Assoc.,Inc [OL] Location: Timonium, MD, US Description: Legal collections	SP	$100,001 - $250,000	Dividends	$50,001 - $100,000	☐
Sandy Shores Equity Group, LLC (Land) [RP] Location: Baltimore, MD, US Description: Partial sale of land	SP	$100,001 - $250,000	Capital Gains	$50,001 - $100,000	☑
Stocks (IRA) ⇒ Nuveen Quality Preferred Income Fund Shares of Beneficial Interest (JTP) [ST]		$15,001 - $50,000	Tax-Deferred		☐
Stocks (IRA) ⇒ Sprott Physical Silver Trust ETV (PSLV) [ST]		$15,001 - $50,000	Tax-Deferred		☑
Summit Community Bank [BA]		$50,001 - $100,000	Interest	$1 - $200	☐
TDAM FDIC Insured IRA [BA]		$100,001 -	Capital Gains	$15,001 -	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
		$250,000		$50,000	
DESCRIPTION: General Account (no specific holdings) RMD=required minimum distribution					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Exchange Traded Funds ⇒ ISHARES Trust 1-3 YR. (SHY) [EF]		09/28/2021	P	$15,001 - $50,000	
Exchange Traded Funds ⇒ ISHARES Trust 1-3 YR. (SHY) [EF]		10/25/2021	P	$15,001 - $50,000	
Exchange Traded Funds ⇒ IShares Trust 3-7 Yr Treasury Bd (IEI) [EF]		05/13/2021	P	$50,001 - $100,000	
Exchange Traded Funds ⇒ IShares Trust 3-7 Yr Treasury Bd (IEI) [EF]		10/25/2021	P	$15,001 - $50,000	
Exchange Traded Funds ⇒ IShares Trust 3-7 Yr Treasury Bd (IEI) [EF]		09/28/2021	P	$15,001 - $50,000	
Exchange Traded Funds ⇒ Market Vectors (GDX) [EF]		10/25/2021	P	$1,001 - $15,000	
Exchange Traded Funds ⇒ SPDR Portfolio LgCap (SPLG) [EF]		09/17/2021	S (partial)	$15,001 - $50,000	☐
Exchange Traded Funds ⇒ SPDR Portfolio LgCap (SPLG) [EF]		09/28/2021	S (partial)	$15,001 - $50,000	☐
Exchange Traded Funds ⇒ SPDR Portfolio LgCap (SPLG) [EF]		10/25/2021	P	$15,001 - $50,000	
Exchange Traded Funds ⇒ SPDR Portfolio S&P 600 SmCap (SPSM) [EF]		01/29/2021	S (partial)	$15,001 - $50,000	☐
Exchange Traded Funds ⇒ SPDR Portfolio S&P 600 SmCap (SPSM) [EF]		05/13/2021	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Exchange Traded Funds ⇒ SPDR Portfolio S&P 600 SmCap (SPSM) [EF]		10/25/2021	P	$15,001 - $50,000	
Exchange Traded Funds ⇒ SPDR Portfolio S&P 600 SmCap (SPSM) [EF]		03/4/2021	S (partial)	$15,001 - $50,000	☐
Exchange Traded Funds ⇒ SPDR Trust S&P 500 (SPYD) [EF]		05/11/2021	S (partial)	$15,001 - $50,000	☐
Exchange Traded Funds ⇒ SPDR Trust S&P 500 (SPYD) [EF]		09/17/2021	S (partial)	$15,001 - $50,000	☐
Exchange Traded Funds ⇒ SPDR Trust S&P 500 (SPYD) [EF]		09/28/2021	S (partial)	$1,001 - $15,000	☐
Exchange Traded Funds ⇒ SPDR Trust S&P 500 (SPYD) [EF]		10/25/2021	P	$15,001 - $50,000	
MD 529 College Investment Plan [5P] LOCATION: MD	SP	Monthly	P	$1,001 - $15,000	
MD 529 College Investment Plan [5P] LOCATION: MD		Monthly	P	$1,001 - $15,000	
Sandy Shores Equity Group, LLC ⇒ Sandy Shores Equity Group, LLC (Land) [RP] LOCATION: Baltimore, MD, US	JT	10/29/2021	S (partial)	$50,001 - $100,000	☐
Stocks (IRA) ⇒ Sprott Physical Silver Trust ETV (PSLV) [ST]		04/1/2021	P	$15,001 - $50,000	
Stocks (IRA) ⇒ Sprott Physical Silver Trust ETV (PSLV) [ST]		05/11/2021	P	$15,001 - $50,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
Baltimore County Maryland	Retirement pension	$93,073.00
Maryland State Teachers	Spouse retirement pension	N/A

Source	Type	Amount
Delaware Life	Spouse required minimum distribution from IRA	N/A
Delaware Life	Required minimum distribution from IRA	$3,074.00
TDAM FDIC Insured IRA	Required minimum distribution from IRA	$45,608.00
Nationwide Fixed Account	Required minimum distribution from IRA	$16,222.00

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Provident Funding	July, 2012	Mortgage on Primary Residence	$15,001 - $50,000

Schedule E: Positions

Position	Name of Organization
Board Member (Uncompensated)	U. of Md. Medical Systems Shock Trauma
Chairman of Board (Uncompensated)	United States Naval Academy

Schedule F: Agreements

Date	Parties To	Terms of Agreement
August 1975	Baltimore Co. Employees Retirement System	Baltimore Co. Pension & Deferred Comp. Plan
August 1988	Md. State Retirement Agency	Md. State Retirement & Pension System

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Compass 2 (Owner: SP)
- Compass 2 Variable Annuity

- Exchange Traded Funds
- Nationwide Annuity
- Sandy Shores Equity Group, LLC (50% Interest)
  Location: US
- Stocks (IRA)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
( ) Yes (•) No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
( ) Yes (•) No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
( ) Yes (•) No

Certification and Signature

[x] I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. C. A. Dutch Ruppersberger , 05/16/2022