



FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Ruben Gallego
Status: Member
State/District: AZ07

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2021
Filing Date: 08/10/2022

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|---------------------|----------------|-------------------|-------------------------------------|
| 401k Riester Public Relations ⇒ American Funds AMCAP Fund R6 (RAFGX) [MF] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| 401k Riester Public Relations ⇒ American Funds New Perspective R6 Fund (RNPGX) [MF] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| 401k Riester Public Relations ⇒ Vanguard Mid-Cap Index Fund Admiral Shares (VIMAX) [MF] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Aspiration Checking Account [BA] | | \$1 - \$1,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Aspiration Fund Adviser LLC [PS] DESCRIPTION: Investment in company | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Aspiration Redwood Fund [MF] | | \$1,001 - \$15,000 | Capital Gains | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Chase Bank Checking Account [BA] | SP | \$1 - \$1,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| City of Phoenix 401A ⇒ Vanguard Institutional Index Fund Institutional Plus | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|-------------------------|----------------|-------------------|-------------------------------------|
| Shares [MF] | | | | | |
| IRA Club [IH] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| National Association of Realtors 401K ⇒ T Rowe Price Retirement 2055 Fund (TRRNX) [PE] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| National Association of Realtors Pension Plan [DB] | SP | Undetermined | None | | <input type="checkbox"/> |
| PAC Common Founders Stock Series A [PS] | | None | None | | <input type="checkbox"/> |
| DESCRIPTION: Business went defunct in 2021 and no longer exists. | | | | | |
| Rental of Real Property [RP] | JT | \$500,001 - \$1,000,000 | Rent | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| LOCATION: Phoenix, AZ, US | | | | | |
| DESCRIPTION: Member rented his primary residence for two weeks for \$1842.00. | | | | | |
| Strategies 360 401K ⇒ Vanguard Target Retirement 2045 Fund (VTIVX) [MF] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| DESCRIPTION: Entirety of Strategies 360 401k was rolled-over into IRA Club IRA | | | | | |
| UBS Simple IRA ⇒ JP Morgan Smart Retirement Fund 2055 (JFFCX) [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| United Services Auto Association Checking Account [BA] | | \$1 - \$1,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------|---------------------|--------------------------|
| 401k Riester Public Relations ⇒ American Funds New Perspective R6 Fund (RNPGX) [MF] | | 02/17/2021 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| 401k Riester Public Relations ⇒ Vanguard Mid-Cap Index Fund Admiral Shares (VIMAX) [MF] | | 02/17/2021 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Nationwide 401K Plan City of Phoenix ⇒ American Funds New Perspective R6 Fund (RNPGX) [MF] | | 02/17/2021 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|--------------------|--------------------------|
| Strategies 360 401K ⇒ Vanguard Target Retirement 2045 Fund (VTIVX) [MF] | | 02/17/2021 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|----------------------------------|---------------|--------|
| National Association of Realtors | Spouse Salary | N/A |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|--|---------------------------|----------------|--------------------------|-------------------------|
| | USAA | June 2021 | Personal Loan | \$15,001 - \$50,000 |
| | American Express | June 2021 | Revolving Credit Account | \$15,001 - \$50,000 |
| | Citicard | June 2021 | Revolving Credit Account | \$10,000 - \$15,000 |
| JT | United Wholesale Mortgage | September 2021 | Home Mortgage | \$500,001 - \$1,000,000 |
| COMMENTS: Personal Residence was refinanced in 2021 with new lender United Wholesale Mortgage. | | | | |

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

| Date | Parties To | Terms of Agreement |
|--------------|---|---|
| January 2008 | Myself and City of Phoenix | Pension that will provide benefit upon retirement |
| March 2019 | Spouse and National Association of Realtors | Pension that will provide benefit upon retirement. |
| August 2019 | Myself and Aspirations Fund Adviser LLC | Invested personal funds in return for non-publicly traded shares. |

SCHEDULE G: GIFTS

| Source | Description | Value |
|---|-------------------|-------------|
| Spouse's Mother and Step-Father (Fullerton, CA, US) | Cash Wedding Gift | \$10,000.00 |
| Spouse's Father (Wildwood, FL, US) | Cash Wedding Gift | \$5,000.00 |

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Source | Trip Details | | | | Inclusions | | |
|----------------------------------|--------------|------------|---|------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| | Start Date | End Date | Itinerary | Days at Own Exp. | Lodging? | Food? | Family? |
| US-Qatar Business Council | 03/29/2021 | 04/3/2021 | Washington DC (IAD) - Doha, Qatar - Washington DC (IAD) | 0 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| National Association of Realtors | 07/9/2021 | 07/11/2021 | Washington DC - Greenbriar WV - Washington DC | 0 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- 401k Riestler Public Relations
DESCRIPTION: 401K from my work at Riestler Public Relations
- City of Phoenix 401A
DESCRIPTION: 401A account from my employment at the City of Phoenix.
- National Association of Realtors 401K (Owner: SP)
- Nationwide 401K Plan City of Phoenix
DESCRIPTION: 401K carried from employment at city
- Strategies 360 401K
DESCRIPTION: 401k from my work at Strategies 360.
- UBS Simple IRA (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Ruben Gallego , 08/10/2022