



Filing ID #10046836

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Seth Moulton  
**Status:** Member  
**State/District:** MA06

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 05/16/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
"Called to Serve: Learning to Lead in War and Peace," St. Martin's Press [OT] DESCRIPTION: The value of this asset is unascertainable. This is a book contract.		Undetermined	Royalties	None	<input type="checkbox"/>
Daughter's 529 ⇒ MA Portfolio 2036 (Fidelity Index) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
ETRADE Investment Account ⇒ Activision Blizzard, Inc (ATVI) [ST]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
ETRADE Investment Account ⇒ Activision Blizzard, Inc (ATVI) [ST] DESCRIPTION: Unvested stock	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Artisan INTL Value Fund INSTL (APHKX) [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Brown Advisory Emerging Markets Select Instl (BAFQX) [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Brown Advisory Global Leaders Inst. (BAFLX) [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fidelity Investments Transfer of Death Account ⇒ Brown Advisory Small Cap Fund Value Inst. (BAUUX) [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Brown Advisory Small Cap Growth Instl (BAFSX) [MF]	JT	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Brown Advisory Sustainable Growth Inst. (BAFWX) [MF]	JT	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Brown Advisory Tax Exempt Sustain BD INV (BITEEX) [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Invesco Oppenheimer Developing Markets Fund Class A (ODMAX) [MF]	JT	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ John Hancock Disciplined Value Mid Cap Class A (JVMAX) [MF]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Oakmark International Fund Investor Class (OAKIX) [MF]	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Oakmark Select Fund Investor Class (OAKLX) [MF]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Parnassus Core Equity Fund - Institutional Shares (PRILX) [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ PRIMECAP Odyssey Growth Fund (POGRX) [MF]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Vanguard Dividend Growth Investor CL (VDIGX) [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Vanguard FTSE Developed Market ETF (VEA) [EQ]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fidelity Investments Transfer of Death Account ⇒ Vanguard Index FDS MID CAP (VO) [EQ]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Wasatch Small Cap Growth Fund Institutional Class (WIAEX) [MF]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Artisan INTL Value Fund INSTL (APHKX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Brown Advisory Emerging Markets Select Instl (BAFQX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Brown Advisory Global Leaders Inst. (BAFLX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Brown Advisory Small Cap Fund Value Inst. (BAUUX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Brown Advisory Small Cap Growth Instl (BAFSX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Brown Advisory Sustainable Growth Inst. (BAFWX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Brown Advisory Total Return Class Inst. (BAFTX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ DBX ETF TR/X-TRACKERS MSCI EAF (DBEF) [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Fidelity Government Money Market Fund Cash Reserves (FDRXX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ JP Morgan Exchange Traded FD BETBUILD (BBJP) [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Parnassus Core Equity Fund - Institutional Shares (PRILX)	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
[MF]					
Liz: Fidelity Investments IRA#1 ⇒ Schwab Fundamental EM L/C (FNDE) [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Vanguard Bond Index Fund Long Term ETF (BLV) [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Vanguard Bond Index Total Bond Market ETF (BND) [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Vanguard Dividend Growth Investor CL (VDIGX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Vanguard FTSE Developed Market ETF (VEA) [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Vanguard Index FDS MID CAP (VO) [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Vanguard Sector Index FDS Health Care (VHT) [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Vanguard Short Term Bond Index Admiral (VBIRX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Vanguard World FDS Consumer Staples ETF (VDC) [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#2 ⇒ Brown Advisory Emerging Markets Select Instl (BAFQX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Fidelity Investments IRA#2 ⇒ Brown Advisory Global Leaders Inst. (BAFLX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Fidelity Investments IRA#2 ⇒ Brown Advisory Small Cap Fund Value Inst. (BAUUX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Fidelity Investments IRA#2 ⇒ Brown Advisory Small Cap Growth Instl (BAFSX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Fidelity Investments IRA#2 ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Brown Advisory Sustainable Growth Inst. (BAFWX) [MF]					
Liz: Fidelity Investments IRA#2 ⇒ Brown Advisory Total Return Class Inst. (BAFTX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Fidelity Investments IRA#2 ⇒ Fidelity Government Money Market Fund Cash Reserves (FDRXX) [MF]					
Liz: Fidelity Investments IRA#2 ⇒ Vanguard Dividend Growth Investor CL (VDIGX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Fidelity Investments Roth IRA ⇒ Brown Advisory Global Leaders Inst. (BAFLX) [MF]					
Liz: Fidelity Investments Roth IRA ⇒ Brown Advisory Small Cap Growth Instl (BAFSX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Fidelity Investments Roth IRA ⇒ Brown Advisory Sustainable Growth Inst. (BAFWX) [MF]					
Marblehead Bank account [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Niece's 529 Account ⇒ American Funds College 2033 Fund Class 529-A [MF]					
Oura Health OY Series C Preferred Shares [PS]  DESCRIPTION: Health technology company. Oulu, Finland.	JT	\$100,001 - \$250,000	None		<input type="checkbox"/>
Residential property located in Salem, MA. [RP]  LOCATION: Salem, MA, US					
Seth: Fidelity Investments Rollover IRA ⇒ American Funds 2050 Target Date Retirement Fund Class A (AALTX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ Artisan INTL Value Fund INSTL (APHKX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ Brown Advisory Emerging Markets Select Instl (BAFQX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Seth: Fidelity Investments Roth IRA ⇒ Brown Advisory Global Leaders Inst. (BAFLX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ Brown Advisory Small Cap Fund Value Inst. (BAUX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ Brown Advisory Small Cap Growth Instl (BAFSX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ Brown Advisory Sustainable Growth Inst. (BAFWX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ Brown Advisory Total Return Class Inst. (BAFTX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ DBX ETF TR/X-TRACKERS MSCI EAF (DBEF) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ Fidelity Government Money Market Fund (SPAXX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ iShares Core S&P 500 ETF (IVV) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ JP Morgan Exchange Traded FD BETBUILD (BBJP) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ Parnassus Core Equity Fund - Institutional Shares (PRILX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ Schwab Fundamental EM L/C (FNDE) [EQ]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ Vanguard Dividend Growth Investor CL (VDIGX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ Vanguard FTSE Developed Market ETF (VEA) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ Vanguard Index FDS MID CAP (VO) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Seth: Fidelity Investments Roth IRA ⇒ Vanguard Sector Index FDS Health Care (VHT) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ Vanguard World FDS Consumer Staples ETF (VDC) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ Wasatch Small Cap Growth Fund Investor Class (WAAEX) [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Thea's 529 ⇒ NH Portfolio 2030 (Fidelity Funds) [MF]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
USAA accounts [BA]	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity Investments Transfer of Death Account ⇒ Artisan INTL Value Fund INSTL (APHKX) [MF]	JT	02/8/2021	P	\$1,001 - \$15,000	
Fidelity Investments Transfer of Death Account ⇒ Brown Advisory Emerging Markets Select Instl (BAFQX) [MF]	JT	02/8/2021	P	\$1,001 - \$15,000	
Fidelity Investments Transfer of Death Account ⇒ Brown Advisory Emerging Markets Select Instl (BAFQX) [MF]	JT	10/28/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Brown Advisory Global Leaders Inst. (BAFLX) [MF]	JT	02/8/2021	P	\$1,001 - \$15,000	
Fidelity Investments Transfer of Death Account ⇒ Brown Advisory Small Cap Fund Value Inst. (BAUUX) [MF]	JT	02/8/2021	P	\$1,001 - \$15,000	
Fidelity Investments Transfer of Death Account ⇒ Brown Advisory Small Cap Growth Instl (BAFSX) [MF]	JT	12/14/2021	P	\$1,001 - \$15,000	
Fidelity Investments Transfer of Death Account ⇒ Brown Advisory Sustainable Growth Inst. (BAFWX) [MF]	JT	02/8/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity Investments Transfer of Death Account ⇒ Brown Advisory Sustainable Growth Inst. (BAFWX) [MF]	JT	02/8/2021	P	\$1,001 - \$15,000	
Fidelity Investments Transfer of Death Account ⇒ Brown Advisory Tax Exempt Sustain BD INV (BITEX) [MF]	JT	03/9/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Brown Advisory Tax Exempt Sustain BD INV (BITEX) [MF]	JT	05/18/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Brown Advisory Tax Exempt Sustain BD INV (BITEX) [MF]	JT	06/3/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Brown Advisory Tax Exempt Sustain BD INV (BITEX) [MF]	JT	10/28/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Fidelity Government Money Market Fund (SPAXX) [MF]	JT	01/4/2021	P	\$1,001 - \$15,000	
Fidelity Investments Transfer of Death Account ⇒ Fidelity Government Money Market Fund (SPAXX) [MF]	JT	01/21/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Fidelity Government Money Market Fund (SPAXX) [MF]	JT	02/8/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Fidelity Government Money Market Fund (SPAXX) [MF]	JT	03/9/2021	P	\$15,001 - \$50,000	
Fidelity Investments Transfer of Death Account ⇒ Fidelity Government Money Market Fund (SPAXX) [MF]	JT	03/9/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Fidelity Government Money Market Fund (SPAXX) [MF]	JT	05/18/2021	P	\$15,001 - \$50,000	
Fidelity Investments Transfer of Death Account ⇒ Fidelity Government Money Market Fund (SPAXX) [MF]	JT	05/18/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Fidelity Government Money Market Fund (SPAXX) [MF]	JT	06/2/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Fidelity Government Money Market Fund (SPAXX) [MF]	JT	06/3/2021	P	\$1,001 - \$15,000	
Fidelity Investments Transfer of Death Account ⇒	JT	10/28/2021	P	\$15,001 - \$50,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity Government Money Market Fund (SPAXX) [MF]					
Fidelity Investments Transfer of Death Account ⇒ Fidelity Government Money Market Fund (SPAXX) [MF]	JT	11/9/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Fidelity Money Market Premium Class Fund (FZDXX) [MF]	JT	02/8/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Fidelity Money Market Premium Class Fund (FZDXX) [MF]	JT	03/9/2021	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Fidelity Money Market Premium Class Fund (FZDXX) [MF]	JT	05/17/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Global X FDS MLP & Energy Infrastructure ETF (MLPX) [EF]	JT	02/8/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Invesco Oppenheimer Developing Markets Fund Class A (ODMAX) [MF]	JT	02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ iShares Short Term National Municipal Bond ETF (SUB) [EF]	JT	01/4/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ iShares TR MSCI UK ETF (EWU) [EF]	JT	02/8/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ John Hancock Disciplined Value Mid Cap Class A (JVMAX) [MF]	JT	02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Oakmark International Fund Investors Class (OAKIX) [MF]	JT	02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Oakmark Select Fund Investor Class (OAKLX) [MF]	JT	02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Parnassus Core Equity Fund - Institutional Shares (PRILX) [MF]	JT	02/8/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity Investments Transfer of Death Account ⇒ Parnassus Core Equity Fund - Institutional Shares (PRILX) [MF]	JT	11/18/2021	P	\$1,001 - \$15,000	
Fidelity Investments Transfer of Death Account ⇒ PRIMECAP Odyssey Growth Fund (POGRX) [MF]	JT	02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Schwab Fundamental EM L/C (FNDE) [EF]	JT	02/8/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Vanguard Dividend Growth Investor CL (VDIGX) [MF]	JT	02/8/2021	P	\$1,001 - \$15,000	
Fidelity Investments Transfer of Death Account ⇒ Vanguard FTSE Developed Market ETF (VEA) [EF]	JT	02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Vanguard Index FDS MID CAP (VO) [EF]	JT	02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Vanguard Short Term Tax Exempt Admiral (VWSUX) [MF]	JT	03/9/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Wasatch Small Cap Growth Fund Institutional Class (WIAEX) [MF]	JT	03/9/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Fidelity Investments Transfer of Death Account ⇒ Wells Fargo Short Term Municipal Bond Fund Class A (WSMAX) [MF]	JT	03/9/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Artisan INTL Value Fund INSTL (APHKX) [MF]	SP	02/8/2021	P	\$1,001 - \$15,000	
Liz: Fidelity Investments IRA#1 ⇒ Brown Advisory Emerging Markets Select Instl (BAFQX) [MF]	SP	02/8/2021	P	\$1,001 - \$15,000	
Liz: Fidelity Investments IRA#1 ⇒ Brown Advisory Global Leaders Inst. (BAFLX) [MF]	SP	02/8/2021	P	\$1,001 - \$15,000	
Liz: Fidelity Investments IRA#1 ⇒ Brown Advisory Small Cap Fund Value Inst. (BAUUX) [MF]	SP	02/8/2021	P	\$1,001 - \$15,000	
Liz: Fidelity Investments IRA#1 ⇒ Brown Advisory Small Cap Growth Instl (BAFSX) [MF]	SP	12/14/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Liz: Fidelity Investments IRA#1 ⇒ Brown Advisory Sustainable Growth Inst. (BAFWX) [MF]	SP	02/8/2021	P	\$1,001 - \$15,000	
Liz: Fidelity Investments IRA#1 ⇒ DBX ETF TR/X-TRACKERS MSCI EAF (DBEF) [EF]	SP	02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Fidelity Government Money Market Fund Cash Reserves (FDRXX) [MF]	SP	02/8/2021	P	\$1,001 - \$15,000	
Liz: Fidelity Investments IRA#1 ⇒ Global X FDS MLP & Energy Infrastructure ETF (MLPX) [EF]	SP	02/8/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	02/8/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ iShares TR MSCI UK ETF (EWU) [EF]	SP	02/8/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ JP Morgan Exchange Traded FD BETBUILD (BBJP) [EF]	SP	02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Parnassus Core Equity Fund - Institutional Shares (PRILX) [MF]	SP	02/8/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Parnassus Core Equity Fund - Institutional Shares (PRILX) [MF]	SP	11/18/2021	P	\$1,001 - \$15,000	
Liz: Fidelity Investments IRA#1 ⇒ Schwab Fundamental EM L/C (FNDE) [EF]	SP	02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Vanguard Bond Index Fund Long Term ETF (BLV) [EF]	SP	02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Vanguard Bond Index Short Term ETF (BSV) [EF]	SP	02/8/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Vanguard Bond Index Total Bond Market ETF (BND) [EF]	SP	02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Liz: Fidelity Investments IRA#1 ⇒ Vanguard Dividend Growth Investor CL (VDIGX) [MF]	SP	02/8/2021	P	\$1,001 - \$15,000	
Liz: Fidelity Investments IRA#1 ⇒ Vanguard FTSE Developed Market ETF (VEA) [EF]	SP	02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Vanguard Index FDS MID CAP (VO) [EF]	SP	02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Vanguard Sector Index FDS Health Care (VHT) [EF]	SP	02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Liz: Fidelity Investments IRA#1 ⇒ Vanguard Short Term Bond Index Admiral (VBIRX) [MF]	SP	02/8/2021	P	\$1,001 - \$15,000	
Liz: Fidelity Investments IRA#1 ⇒ Vanguard World FDS Consumer Staples ETF (VDC) [EF]	SP	02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Liz: Variable Life Insurace ⇒ MainStay Growth Allocation Fund [VI]  DESCRIPTION: Surrender of variable universal life insurance policy	SP	06/1/2021	S	\$100,001 - \$250,000	<input type="checkbox"/>
Oura Health OY Series C Preferred Shares [PS]  LOCATION: US DESCRIPTION: Purchased 2,007 shares	JT	03/8/2021	P	\$100,001 - \$250,000	
Seth: Fidelity Investments Roth IRA ⇒ AQR Long-Short Equity Fund Class N (QLENX) [MF]		08/9/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ Artisan INTL Value Fund INSTL (APHKX) [MF]		02/8/2021	P	\$1,001 - \$15,000	
Seth: Fidelity Investments Roth IRA ⇒ Brown Advisory Emerging Markets Select Instl (BAFQX) [MF]		02/8/2021	P	\$1,001 - \$15,000	
Seth: Fidelity Investments Roth IRA ⇒ Brown Advisory Small Cap Fund Value Inst. (BAUUX) [MF]		02/8/2021	P	\$1,001 - \$15,000	
Seth: Fidelity Investments Roth IRA ⇒ Brown Advisory Small Cap Growth Instl (BAFSX) [MF]		02/8/2021	P	\$1,001 - \$15,000	
Seth: Fidelity Investments Roth IRA ⇒		02/8/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Brown Advisory Sustainable Growth Inst. (BAFWX) [MF]					
Seth: Fidelity Investments Roth IRA ⇒ Brown Advisory Total Return Class Inst. (BAFTX) [MF]		02/8/2021	P	\$1,001 - \$15,000	
Seth: Fidelity Investments Roth IRA ⇒ DBX ETF TR/X-TRACKERS MSCI EAF (DBEF) [EF]		02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ Fidelity Government Money Market Fund (SPAXX) [MF]		02/8/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ Global X FDS MLP & Energy Infrastructure ETF (MLPX) [EF]		02/8/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ iShares Core S&P 500 ETF (IVV) [EF]		02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ iShares TR MSCI UK ETF (EWU) [EF]		02/8/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ JP Morgan Exchange Traded FD BETBUILD (BBJP) [EF]		02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ Schwab Fundamental EM L/C (FNDE) [EF]		02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ Vanguard Dividend Growth Investor CL (VDIGX) [MF]		02/8/2021	P	\$1,001 - \$15,000	
Seth: Fidelity Investments Roth IRA ⇒ Vanguard FTSE Developed Market ETF (VEA) [EF]		02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ Vanguard Index FDS MID CAP (VO) [EF]		02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ Vanguard Sector Index FDS Health Care (VHT) [EF]		02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ Vanguard World FDS Consumer Staples ETF (VDC) [EF]		02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Seth: Fidelity Investments Roth IRA ⇒ Wasatch Small Cap Growth Fund Investor Class (WAAEX)		02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
[MF]					
Spencer Stuart SSMC N.V. Class B Restricted stock [PS]	SP	02/26/2021	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
LOCATION: US					
DESCRIPTION: Return of 2,056 shares of stock to former employer upon change of employment.					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Activision	Spouse salary	N/A

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Marblehead Bank	April 2020	Personal residence mortgage	\$1,000,001 - \$5,000,000
JT	Chase Bank	December 2021	Credit card	\$15,001 - \$50,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	Salem YMCA

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Schmidt Futures	07/15/2021	07/18/2021	Boston, Massachusetts - Bozeman, Montana - Boston, Massachusetts	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none"><li>◦ Daughter's 529 (Owner: SP) LOCATION: MA</li><li>◦ ETRADE Investment Account (Owner: SP) LOCATION: US</li><li>◦ Fidelity Investments Transfer of Death Account (Owner: JT) LOCATION: US DESCRIPTION: Assets previously disclosed under asset class name "Liz: Transfer on Death Account"</li><li>◦ Liz: Fidelity Investments IRA#1 (Owner: SP) DESCRIPTION: Assets previously disclosed under the asset class name "Liz: Eagle Fund IRA"</li><li>◦ Liz: Fidelity Investments IRA#2 (Owner: SP) DESCRIPTION: Assets previously disclosed under asset class name "Liz: Eagle Fund IRA"</li><li>◦ Liz: Fidelity Investments Roth IRA (Owner: SP) DESCRIPTION: Assets previously disclosed under asset class name "Liz: American Funds Roth IRA"</li><li>◦ Liz: Variable Life Insurance (Owner: SP)</li><li>◦ Niece's 529 Account LOCATION: MA</li><li>◦ Seth: Fidelity Investments Rollover IRA DESCRIPTION: Assets previously disclosed under asset class name "Seth: American Funds IRA"</li><li>◦ Seth: Fidelity Investments Roth IRA DESCRIPTION: Assets previously disclosed under asset class name "Seth: Eagle Strategies Roth IRA"</li><li>◦ Thea's 529 (Owner: SP) LOCATION: NY</li></ul>
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Seth Moulton , 05/16/2022