



FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Suzan K. DelBene
Status: Member
State/District: WA01

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2021
Filing Date: 05/15/2022

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|---------------------------|----------------|---------------------|-------------------------------------|
| Alkeon Growth Partners LP [HE] | JT | \$500,001 - \$1,000,000 | None | | <input type="checkbox"/> |
| Arizona Indl Dev Auth Rev BDS Lincoln 5.00% Due 5/1/26 [GS] | JT | \$500,001 - \$1,000,000 | Interest | \$15,001 - \$50,000 | <input type="checkbox"/> |
| BalFour Place Investors, LLC [OT] | JT | \$1,000,001 - \$5,000,000 | None | | <input checked="" type="checkbox"/> |
| DESCRIPTION: Co-investment in multi-family housing building located in Seattle, Washington. | | | | | |
| Berkeley Heights TWP N J BD NTS 2.00% Due 07/08/22 [GS] | JT | \$500,001 - \$1,000,000 | None | | <input checked="" type="checkbox"/> |
| BGH 24, LLC ⇒ Promissory Note to daughter and son-in-law's house remodel [OT] | JT | \$250,001 - \$500,000 | Interest | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| DESCRIPTION: Loan to daughter and son-in-law for house remodel | | | | | |
| BGH 24, LLC ⇒ Promissory note to daughter and son-in-law's house [OT] | JT | \$100,001 - \$250,000 | Interest | \$201 - \$1,000 | <input type="checkbox"/> |
| DESCRIPTION: Promissory note to daughter and son-in-law's house | | | | | |
| BGH 24, LLC ⇒ | JT | \$500,001 - | Interest | \$5,001 - \$15,000 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|----------------------------|----------------|-------------------------|-------------------------------------|
| Promissory note to son's house [OT] DESCRIPTION: Promissory note to son's house | | \$1,000,000 | | | <input type="checkbox"/> |
| Colorado Health Fac 5% Hosp HLTH Due 08/01/49 [GS] | JT | \$500,001 - \$1,000,000 | Interest | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Columbia Pacific Income Fund II [OT] DESCRIPTION: Commercial real estate lending fund | JT | \$5,000,001 - \$25,000,000 | Interest | \$100,001 - \$1,000,000 | <input checked="" type="checkbox"/> |
| Columbia Pacific Income Fund II [OT] DESCRIPTION: Commercial real estate lending fund | | \$500,001 - \$1,000,000 | Interest | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Connecticut ST Go BDS 2018 5.00% Due 06/15/2030 [GS] | JT | \$500,001 - \$1,000,000 | Interest | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Crosslink Capital IX [HE] | JT | \$250,001 - \$500,000 | None | | <input type="checkbox"/> |
| CT ST HSG Fa HSG 2.75%26 2.75 % Due May 15, 2026 [GS] | JT | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 | <input type="checkbox"/> |
| District Columbia Go BDS Ser. 2017D 5.00 % Due Jun 1, 2027 [GS] | JT | \$500,001 - \$1,000,000 | Interest | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| EJF Specialty Finance Solutions Fund [OT] DESCRIPTION: European focused specialty finance fund | JT | \$15,001 - \$50,000 | Capital Gains | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Energy Northwest Wash Elec 5.00% Due 7/1/2021 [GS] | JT | None | Interest | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Equilibrium WWOFF II [HE] | JT | None | Capital Gains | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Fid Contra Pool CL3 [MF] | | \$50,001 - \$100,000 | None | | <input type="checkbox"/> |
| Fid GR Co Pool CL3 [MF] | SP | \$1,000,001 - \$5,000,000 | None | | <input type="checkbox"/> |
| Fidelity Gov't Sweep Money Fund [BA] | | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Fidelity Gov't Sweep Money Fund [BA] | JT | \$50,001 - | Dividends | \$1 - \$200 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|----------------------------|----------------|---------------------|-------------------------------------|
| | | \$100,000 | | | <input type="checkbox"/> |
| Fidelity Gov't Sweep Money Fund [BA] | JT | \$5,000,001 - \$25,000,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Fidelity Gov't Sweep Money Fund [BA] | SP | \$50,001 - \$100,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Fidelity Gov't Sweep Money Fund [BA] | | \$50,001 - \$100,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Fidelity Treasury Money Fund [BA] | JT | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Florida HSG Fin Corp Homeowner MTG Rev 2.15% Due 07/01/2029 [GS] | JT | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Florida ST Constr Ref BDS Ser.2016 A 5.00 % Due Jul 1, 2021 [GS] | JT | None | Interest | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Global Partnerships SIF 5.0 (5.0% 3/25/23) [HE] | JT | \$100,001 - \$250,000 | None | | <input type="checkbox"/> |
| Global Partnerships SIF 6.0 (2.75% September 2025) [HE] | JT | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Goodwater Capital IV, LP [HE] | JT | \$100,001 - \$250,000 | None | | <input type="checkbox"/> |
| Goodwater Infinity II, LP [HE] | JT | \$250,001 - \$500,000 | None | | <input type="checkbox"/> |
| GRRTS LTD ⇒ Condominium - Issaquah Wa (for Mother's use) [RP] LOCATION: Issaquah, WA, US | JT | \$250,001 - \$500,000 | None | | <input type="checkbox"/> |
| GRRTS LTD ⇒ Medina Residence [RP] LOCATION: Medina, WA, US DESCRIPTION: Personal Residence in Medina Washington | JT | \$5,000,001 - \$25,000,000 | None | | <input type="checkbox"/> |
| GRRTS LTD ⇒ Partial ownership of daughter and son-in-law's house [RP] LOCATION: Seattle, WA, US | JT | \$500,001 - \$1,000,000 | None | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|---------------------------|-------------------------|-------------------------|-------------------------------------|
| DESCRIPTION: Partial ownership of daughter and son-in-law's house | | | | | |
| GRRTS LTD ⇒ Washington D.C. Residence [RP] | JT | \$1,000,001 - \$5,000,000 | None | | <input type="checkbox"/> |
| LOCATION: Washington, DC, US DESCRIPTION: Personal Residence in Washington D.C. | | | | | |
| Gryphon Mezzanine Partners [HE] | JT | \$100,001 - \$250,000 | Capital Gains, Interest | \$100,001 - \$1,000,000 | <input checked="" type="checkbox"/> |
| Gryphon Mezzanine Partners II [HE] | JT | \$250,001 - \$500,000 | Capital Gains, Interest | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| Gryphon Partners Fund V [HE] | JT | \$1,000,001 - \$5,000,000 | Capital Gains | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| Gryphon Partners Fund VI [HE] | JT | \$250,001 - \$500,000 | Capital Gains, Interest | \$100,001 - \$1,000,000 | <input checked="" type="checkbox"/> |
| Gryphon Partners IV [HE] | JT | \$250,001 - \$500,000 | Capital Gains, Interest | \$100,001 - \$1,000,000 | <input checked="" type="checkbox"/> |
| Harbor International [MF] | JT | \$500,001 - \$1,000,000 | Dividends | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Huntington Capital Fund III [HE] | JT | \$100,001 - \$250,000 | Capital Gains, Interest | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| ID St Bldg Auth 5.00% Due 9/01/23 [GS] | JT | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Illinois HSG Dev Auth Rev BDS Ser. 1.7% Due 04/01/31 [GS] | JT | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Institutional Venture Partners XVII, LP [HE] | JT | \$250,001 - \$500,000 | None | | <input type="checkbox"/> |
| Kentucky Economic Dev Fin Auth Rev Rev 2.70 % Due May 1, 2039 [GS] | JT | None | Interest | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Kimpact Evergreen Real Estate Fund [HE] | JT | \$500,001 - \$1,000,000 | Interest | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Los Angeles CNTY Calif Tax and Rev 4.00% Due 06/30/22 [GS] | JT | \$500,001 - \$1,000,000 | None | | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|----------------------------|--------------------------|-------------------------|-------------------------------------|
| Los Angeles CNTY Calif Tax And Rev 4.00% Due 6/30/2021 [GS] | JT | None | Capital Gains | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Madrona Investment Partners V, LP [HE] | SP | \$100,001 - \$250,000 | None | | <input type="checkbox"/> |
| Manning & Napier [MF] | SP | \$100,001 - \$250,000 | Dividends | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Maricopa CNTY Ariz Uni Sch Dist No 69 5.00% Due 07/01/27 [GS] | JT | \$250,001 - \$500,000 | Interest | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Maryland ST Dept TR 5.00% Due 10/01/26 [GS] | JT | \$500,001 - \$1,000,000 | Interest | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Maryland ST Go ST Local Facs BDS 5.00% Due 03/15/27 [GS] | JT | \$500,001 - \$1,000,000 | Interest | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Matthews Asia Dividend [MF] | JT | \$1,000,001 - \$5,000,000 | Dividends | \$100,001 - \$1,000,000 | <input type="checkbox"/> |
| Michigan ST HSG Dev Auth Single Family 1.25% Due 6/1/2027 [GS] | JT | \$500,001 - \$1,000,000 | Interest | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Microsoft Corporation (MSFT) [ST] | JT | None | Capital Gains, Dividends | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Microsoft Corporation (MSFT) [ST] | SP | None | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| ML Bank Deposit Program [BA] | JT | \$5,000,001 - \$25,000,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Montauk TriGuard Fund VIII [HE] | JT | \$250,001 - \$500,000 | Interest | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| Montgomery CN MD Go 5% Due 10/01/25 [GS] | JT | None | None | | <input checked="" type="checkbox"/> |
| New Jersey ST HSG & MTG Fin Agy 0.75% Due 5/1/2023 [GS] | JT | \$250,001 - \$500,000 | Interest | \$2,501 - \$5,000 | <input type="checkbox"/> |
| New York NY Go BDS Ser. C 5.00% Due 08/01/2031 [GS] | JT | \$250,001 - \$500,000 | None | | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|---------------------------|----------------|-------------------------|-------------------------------------|
| New York NY Go BDS Ser. Fiscal 2008J 5.00% Due 08/01/26 [GS] | JT | \$500,001 - \$1,000,000 | Interest | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| New York ST Dorm Auth Sales Tax Rev 5.00% Due 03/15/2025 [GS] | JT | \$250,001 - \$500,000 | Interest | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| New York ST Dorm Auth ST Pers Income 5.00% Due 03/15/27 [GS] | JT | \$500,001 - \$1,000,000 | Interest | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Pacific Co B1 Bond - Garden Grove [HE] | JT | \$100,001 - \$250,000 | Interest | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Pacific Co B1 Bond - Gilroy/Glen Loma [HE] | JT | \$500,001 - \$1,000,000 | Interest | \$50,001 - \$100,000 | <input type="checkbox"/> |
| Pacific Co B1 Bond - Santa Ana First Point I [HE] | JT | \$250,001 - \$500,000 | Interest | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Pacific Co B1 Bond - Santa Ana First Point II [HE] | JT | \$100,001 - \$250,000 | Interest | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Pacific Co B1 Bond - Santa Ana ME [HE] | JT | \$250,001 - \$500,000 | Interest | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Pacific West Real Estate Income LLC [OT] | JT | \$500,001 - \$1,000,000 | Interest | \$100,001 - \$1,000,000 | <input checked="" type="checkbox"/> |
| DESCRIPTION: Opportunistic real-estate strategy with income focus | | | | | |
| Parametric Emerging Markets Fund [MF] | JT | None | Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Pasco CNTY FL SB CO 0.00% Due 08/01/2032 [GS] | JT | None | Interest | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Philadelphia PA SCH Dist Go BDS 5.00% Due 09/01/2027 [GS] | JT | \$250,001 - \$500,000 | Interest | \$15,001 - \$50,000 | <input type="checkbox"/> |
| PIMCO Total Return [EF] | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| PIMCO Unconstrained Bond-Ins [MF] | SP | \$1,000,001 - \$5,000,000 | Interest | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Reach III, LP [HE] | JT | \$100,001 - \$250,000 | None | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-------------------------|-------------------------|-------------------------|-------------------------------------|
| Redwood Kairos Real Estate Fund IV [HE] | JT | \$250,001 - \$500,000 | Capital Gains, Interest | \$100,001 - \$1,000,000 | <input checked="" type="checkbox"/> |
| DESCRIPTION: Real estate fund | | | | | |
| Redwood Kairos Real Estate Fund VI [HE] | JT | \$500,001 - \$1,000,000 | Capital Gains, Interest | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| Redwood Kairos Real Estate Fund VIII [HE] | JT | \$500,001 - \$1,000,000 | Interest | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Round Rock Tex Indpt Sch Dist 5.00% Due 8/1/2025 [GS] | JT | \$500,001 - \$1,000,000 | Interest | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Sales Tax Securitization Corp 5.00% Due 01/01/27 [GS] | JT | \$250,001 - \$500,000 | Interest | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Sales Tax Securitization Corp 5.00% Due 01/01/31 [GS] | JT | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Seattle WA MN LT PW 0.00% PWR Util Due 05/01/2045 [GS] | JT | \$500,001 - \$1,000,000 | Interest | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Somerset CNTY NJ Bans Ser 2020 4.00% Due 09/09/2021 [GS] | JT | None | Interest | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| SP 5819 Glisan LLC [HE] | JT | None | Capital Gains, Interest | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| SP Augusta [HE] | JT | \$250,001 - \$500,000 | Interest | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| SP FountainGlen Venture, LLC [HE] | JT | \$1 - \$1,000 | Capital Gains, Interest | \$100,001 - \$1,000,000 | <input checked="" type="checkbox"/> |
| SP Multi-Family Fund II [HE] | JT | \$1 - \$1,000 | Capital Gains, Interest | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| SP Multi-Family Fund VI [HE] | JT | \$250,001 - \$500,000 | None | | <input type="checkbox"/> |
| SP Nashville [HE] | JT | \$100,001 - \$250,000 | Interest | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| SP TriRock [HE] | JT | \$250,001 - | Capital Gains, | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|---------------------------|-------------------------|---------------------------|-------------------------------------|
| | | \$500,000 | Interest | | <input type="checkbox"/> |
| SPDR S&P 500 (SPY) [ST] | SP | \$100,001 - \$250,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| SPDR S&P 500 (SPY) [ST] | | \$100,001 - \$250,000 | Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| SPDR S&P 500 (SPY) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Structure Capital [HE] | JT | \$500,001 - \$1,000,000 | None | | <input checked="" type="checkbox"/> |
| TCG Private Select Partners [HE] | JT | \$1,000,001 - \$5,000,000 | Capital Gains, Interest | \$1,000,001 - \$5,000,000 | <input checked="" type="checkbox"/> |
| TCG Private Select Partners II [HE] | JT | \$1,000,001 - \$5,000,000 | Capital Gains, Interest | \$100,001 - \$1,000,000 | <input checked="" type="checkbox"/> |
| TCG Private Select Partners III [HE] | JT | \$1,000,001 - \$5,000,000 | Capital Gains | \$100,001 - \$1,000,000 | <input checked="" type="checkbox"/> |
| Tennessee HSG Dev Agy Residential Fin 2.80% Due Jan 1, 2026 [GS] | JT | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Texas ST Tax Rev Antic NTS 4.00% Due 08/26/21 [GS] | JT | \$500,001 - \$1,000,000 | None | | <input checked="" type="checkbox"/> |
| TriLinc Global Impact Fund [MF] | JT | \$1,000,001 - \$5,000,000 | Capital Gains, Interest | \$100,001 - \$1,000,000 | <input checked="" type="checkbox"/> |
| University Minn GO Ref BDS Regents Ser. 5.00% Due 10/01/25 [GS] | JT | \$250,001 - \$500,000 | Capital Gains, Interest | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Vanguard 1-5 Year US Bond Index [MF] | SP | \$1,000,001 - \$5,000,000 | Dividends | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Vanguard FTSE All-World Ex-US Index Fund Institutional [MF] | SP | \$1,000,001 - \$5,000,000 | Dividends | \$100,001 - \$1,000,000 | <input type="checkbox"/> |
| Vanguard FTSE Emerging Markets ETF (VWO) [ST] | JT | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Vanguard IS S&P 500 Index Tracker [EF] | SP | \$1,000,001 - | None | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|---------------------------|----------------|---------------------|-------------------------------------|
| | | \$5,000,000 | | | <input type="checkbox"/> |
| Vanguard IS S&P 500 Index Tracker [EF] | | \$100,001 - \$250,000 | None | | <input type="checkbox"/> |
| Vanguard Mid-Cap Index Fund Admiral Shares [MF] | SP | \$1,000,001 - \$5,000,000 | Dividends | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Vanguard S&P 500 Index [MF] | SP | \$250,001 - \$500,000 | Dividends | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Vanguard Small-Cap Index Fund Admiral Shares [MF] | SP | \$1,000,001 - \$5,000,000 | Dividends | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Vision Ridge Sustainable Asset Fund III [HE] | JT | \$100,001 - \$250,000 | None | | <input type="checkbox"/> |
| Wolcott CT 1.25%22 Go Utx Due 08/23/22 [GS] | JT | \$500,001 - \$1,000,000 | None | | <input checked="" type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------|-------------------------|---------------------|
| Balfour Place Investors, LLC [HE] | JT | 06/17/2021 | P | \$15,001 - \$50,000 | |
| Berkeley Heights TWP N J BD NTS 2.00% Due 07/08/22 [GS] | JT | 06/25/2021 | P | \$500,001 - \$1,000,000 | |
| BGH 24, LLC ⇒ Promissory note to daughter and son-in-law for house remodel [OT] | JT | 04/27/2021 | P | \$250,001 - \$500,000 | |
| LOCATION: Seattle, WA, US DESCRIPTION: Loan to daughter and son-in-law for house remodel | | | | | |
| Colorado Health Fac 5.00% Hosp HLTH Due 08/01/49 [GS] | JT | 11/15/2021 | P | \$250,001 - \$500,000 | |
| Connecticut ST Go BDS 2018 5.00% Due 06/15/2030 [GS] | JT | 01/13/2021 | P | \$500,001 - \$1,000,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|-------------|-----------------------|-------------------------------------|
| Crosslink Capital IX [HE] | JT | 04/22/2021 | P | \$100,001 - \$250,000 | |
| Crosslink Capital IX [HE] | JT | 11/29/2021 | P | \$100,001 - \$250,000 | |
| District Columbia Go BDS 5.00% Due 06/01/2027 [GS] | JT | 01/6/2021 | P | \$50,001 - \$100,000 | |
| District Columbia Go BDS 5.00% Due 06/01/2027 [GS] | JT | 10/27/2021 | P | \$50,001 - \$100,000 | |
| District Columbia GO Bds 5.00% Due 06/01/2027 [GS] | JT | 04/12/2021 | P | \$1,001 - \$15,000 | |
| District Columbia Go BDS Ser. 2017 D 5.00% Due 6/1/2027 [GS] | JT | 12/23/2021 | P | \$1,001 - \$15,000 | |
| District Columbia Go BDS Ser. 2017D 5.00 % Due 6/1/2027 [GS] | JT | 02/16/2021 | P | \$15,001 - \$50,000 | |
| EJF Specialty Finance Solutions Fund [HE] | JT | 07/22/2021 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Energy Northwest Wash Elec 5.00% Due 07/01/2021 [GS] | JT | 07/1/2021 | S | \$250,001 - \$500,000 | <input type="checkbox"/> |
| DESCRIPTION: Redemption | | | | | |
| Equilibrium WWOFF II [HE] | JT | 06/29/2021 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Equilibrium WWOFF II [HE] | JT | 09/10/2021 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Equilibrium WWOFF II [HE] | JT | 12/7/2021 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Florida HSG Fin Corp Homeowner MTG 2.15% Due 07/01/29 [GS] | JT | 10/1/2021 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| DESCRIPTION: Redemption | | | | | |
| Florida HSG Fin Corp Homeowner MTG Rev 2.15% Due 07/01/2029 [GS] | JT | 01/4/2021 | S (partial) | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Florida HSG Fin Corp Homeowner MTG Rev 2.15% Due | JT | 04/1/2021 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|-----------------------|-------------------------------------|
| 7/1/2029 [GS] | | | (partial) | | — |
| Florida HSG Fin Corp Homeowner MTS Rev 2.15% Due 07/01/2029 [GS] DESCRIPTION: Redemption | JT | 07/1/2021 | S (partial) | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Florida ST Constr Ref DBS Ser.2016 A 5.00% Due 07/01/2021 [GS] DESCRIPTION: Redemption | JT | 07/1/2021 | S | \$250,001 - \$500,000 | <input type="checkbox"/> |
| Goodwater Capital IV, LP [HE] | JT | 07/12/2021 | P | \$15,001 - \$50,000 | |
| Goodwater Capital IV, LP [HE] | JT | 09/2/2021 | P | \$15,001 - \$50,000 | |
| Goodwater Capital IV, LP [HE] | JT | 11/2/2021 | P | \$15,001 - \$50,000 | |
| Goodwater Capital IV, LP [HE] | JT | 12/22/2021 | P | \$15,001 - \$50,000 | |
| Goodwater Capital IV, LP [HE] | JT | 04/29/2021 | P | \$1,001 - \$15,000 | |
| Goodwater Infinity II, LP [HE] | JT | 09/2/2021 | P | \$50,001 - \$100,000 | |
| Goodwater Infinity II, LP [HE] | JT | 10/4/2021 | P | \$15,001 - \$50,000 | |
| Goodwater Infinity II, LP [HE] | JT | 12/23/2021 | P | \$100,001 - \$250,000 | |
| Goodwater Infinity II, LP [HE] | JT | 07/27/2021 | P | \$15,001 - \$50,000 | |
| Gryphon Mezzanine Partners [HE] | JT | 10/12/2021 | P | \$1,001 - \$15,000 | |
| Gryphon Mezzanine Partners [HE] | JT | 06/29/2021 | S (partial) | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| Gryphon Mezzanine Partners [HE] | JT | 06/29/2021 | P | \$15,001 - \$50,000 | |
| Gryphon Mezzanine Partners [HE] | JT | 05/7/2021 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Gryphon Mezzanine Partners [HE] | JT | 10/12/2021 | S (partial) | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|------------------------------------|-------|------------|-------------|-----------------------|-------------------------------------|
| Gryphon Mezzanine Partners II [HE] | JT | 12/17/2021 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Gryphon Mezzanine Partners II [HE] | JT | 10/1/2021 | P | \$15,001 - \$50,000 | |
| Gryphon Mezzanine Partners II [HE] | JT | 06/29/2021 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Gryphon Mezzanine Partners II [HE] | JT | 06/29/2021 | P | \$1,001 - \$15,000 | |
| Gryphon Partners Fund V [HE] | JT | 12/17/2021 | S (partial) | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| Gryphon Partners Fund V [HE] | JT | 12/17/2021 | P | \$15,001 - \$50,000 | |
| Gryphon Partners IV [HE] | JT | 09/30/2021 | P | \$15,001 - \$50,000 | |
| Gryphon Partners IV [HE] | JT | 09/30/2021 | S (partial) | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| Gryphon Partners IV [HE] | JT | 05/7/2021 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Gryphon Partners IV [HE] | JT | 09/15/2021 | S (partial) | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| Gryphon Partners IV [HE] | JT | 06/29/2021 | S (partial) | \$100,001 - \$250,000 | <input checked="" type="checkbox"/> |
| Gryphon Partners VI [HE] | JT | 03/30/2021 | S (partial) | \$100,001 - \$250,000 | <input checked="" type="checkbox"/> |
| Gryphon Partners VI [HE] | JT | 12/20/2021 | S (partial) | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| Gryphon Partners VI [HE] | JT | 11/25/2021 | P | \$15,001 - \$50,000 | |
| Gryphon Partners VI [HE] | JT | 06/22/2021 | P | \$100,001 - \$250,000 | |
| Gryphon Partners VI [HE] | JT | 09/24/2021 | P | \$100,001 - \$250,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|-------------|-------------------------|-------------------------------------|
| Huntington Capital Fund III [HE] | JT | 06/21/2021 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Huntington Capital Fund III [HE] | JT | 12/7/2021 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| ID ST BLDG Auth 5.00% Due 09/01/2023 [GS] | JT | 09/1/2021 | S (partial) | \$50,001 - \$100,000 | <input type="checkbox"/> |
| Illinois HSG Dev Auth BDS 1.70% Due 04/01/2031 [GS] | JT | 01/4/2021 | S (partial) | \$50,001 - \$100,000 | <input type="checkbox"/> |
| Illinois HSG Dev Auth Rev BDS Ser. 1.70% Due 4/1/2031 [GS] | JT | 11/12/2021 | P | \$1,001 - \$15,000 | |
| Institutional Venture Partners XVII, LP [HE] | JT | 10/4/2021 | P | \$50,001 - \$100,000 | |
| Institutional Venture Partners XVII, LP [HE] | JT | 07/2/2021 | P | \$50,001 - \$100,000 | |
| Institutional Venture Partners XVII, LP [HE] | JT | 04/6/2021 | P | \$100,001 - \$250,000 | |
| Kentucky Economic Dev Fin Auth Rev Rev 2.70% Due 5/1/2039 [GS] | JT | 11/10/2021 | S | \$250,001 - \$500,000 | <input checked="" type="checkbox"/> |
| Los Angeles CNTY Calif Tax and Rev 4.00% Due 06/30/21 [GS] | JT | 03/31/2021 | S (partial) | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Los Angeles CNTY Calif Tax and Rev 4.00% Due 06/30/21 [GS] | JT | 06/30/2021 | S | \$500,001 - \$1,000,000 | <input type="checkbox"/> |
| DESCRIPTION: Redemption | | | | | |
| Los Angeles CNTY Calif Tax and Rev 4.00% Due 06/30/21 [GS] | JT | 06/1/2021 | S (partial) | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| Los Angeles CNTY Calif Tax and Rev 4.00% Due 06/30/22 [GS] | JT | 06/11/2021 | P | \$500,001 - \$1,000,000 | |
| Maryland ST Dept TR 5.00% Comb Tax Due 10/01/26 [GS] | JT | 11/29/2021 | P | \$15,001 - \$50,000 | |
| Maryland ST Dept TR 5.00% Due 10/01/26 [GS] | JT | 01/6/2021 | P | \$15,001 - \$50,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|----------------------------|-------------------------------------|
| Maryland ST Dept TR 5.00% Due 10/01/26 [GS] | JT | 05/28/2021 | P | \$15,001 - \$50,000 | |
| Maryland ST Go Local Facs 5.00% Due 03/15/2027 [GS] | JT | 03/10/2021 | P | \$1,001 - \$15,000 | |
| Maryland ST Go Local Facs 5.00% Due 03/15/2027 [GS] | JT | 10/27/2021 | P | \$15,001 - \$50,000 | |
| Microsoft Corporation (MSFT) [ST] | SP | 03/4/2021 | S (partial) | \$500,001 - \$1,000,000 | <input checked="" type="checkbox"/> |
| Microsoft Corporation (MSFT) [ST] | JT | 03/31/2021 | P | \$1,001 - \$15,000 | |
| Microsoft Corporation (MSFT) [ST] | SP | 07/2/2021 | P | \$1,001 - \$15,000 | |
| Microsoft Corporation (MSFT) [ST] | SP | 08/31/2021 | P | \$5,000,001 - \$25,000,000 | |
| Microsoft Corporation (MSFT) [ST] | SP | 08/30/2021 | P | \$250,001 - \$500,000 | |
| Microsoft Corporation (MSFT) [ST] | SP | 09/3/2021 | S | \$5,000,001 - \$25,000,000 | <input checked="" type="checkbox"/> |
| Microsoft Corporation (MSFT) [ST] | SP | 10/18/2021 | S (partial) | \$5,000,001 - \$25,000,000 | <input checked="" type="checkbox"/> |
| MNTGRY CN MD 5.00% Go Due 10/01/2025 [GS] | JT | 01/13/2021 | S | \$500,001 - \$1,000,000 | <input checked="" type="checkbox"/> |
| Montauk TriGuard Fund VIII [HE] | JT | 03/30/2021 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Montauk TriGuard Fund VIII [HE] | JT | 07/30/2021 | P | \$50,001 - \$100,000 | |
| Montauk TriGuard Fund VIII [HE] | JT | 06/29/2021 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Montauk TriGuard Fund VIII [HE] | JT | 09/29/2021 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Montauk TriGuard Fund VIII [HE] | JT | 12/30/2021 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|-----------------------|-------------------------------------|
| New York NY Go BDS 2008 5.00% Due 08/01/2026 [GS] | JT | 03/25/2021 | P | \$15,001 - \$50,000 | |
| New York NY GO BDS Fiscal 2008 5.00% Due 08/01/2026 [GS] | JT | 01/14/2021 | P | \$1,001 - \$15,000 | |
| New York NY Go BDS Fiscal 2008 5.00% Due 08/01/26 [GS] | JT | 06/10/2021 | P | \$15,001 - \$50,000 | |
| New York NY Go BDS Ser. C 5.00% Due 08/01/2031 [GS] | JT | 10/18/2021 | P | \$250,001 - \$500,000 | |
| New York ST Dorm Auth Sales Tax Rev 5% Due 3/15/25 [GS] | JT | 12/13/2021 | P | \$1,001 - \$15,000 | |
| New York ST Dorm Auth Sales Tax Rev 5% Due 3/15/25 [GS] | JT | 12/1/2021 | P | \$15,001 - \$50,000 | |
| New York ST Dorm Auth Sales Tax Rev 5.00% Due 03/15/2025 [GS] | JT | 09/24/2021 | P | \$1,001 - \$15,000 | |
| New York ST Dorm Auth Sales Tax Rev 5.00% Due 03/15/25 [GS] | JT | 11/30/2021 | P | \$1,001 - \$15,000 | |
| New York ST Dorm Auth ST Pers Income 5% Due 3/15/2027 [GS] | JT | 02/12/2021 | P | \$50,001 - \$100,000 | |
| New York ST Dorm Auth ST Pers Income 5% Due 3/15/2027 [GS] | JT | 02/10/2021 | P | \$15,001 - \$50,000 | |
| New York ST Dorm Auth ST Pers Income 5% Due 3/15/2027 [GS] | JT | 05/20/2021 | P | \$15,001 - \$50,000 | |
| New York ST Dorm Auth ST Pers Income 5% Due 3/15/27 [GS] | JT | 12/10/2021 | P | \$1,001 - \$15,000 | |
| Pacific West Real Estate Income LLC [HE] | JT | 02/17/2021 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Pacific West Real Estate Income LLC [HE] | JT | 08/13/2021 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Pacific West Real Estate Income LLC [HE] | JT | 11/12/2021 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|---------------------------|-------------------------------------|
| Pacific West Real Estate Income LLC [HE] | JT | 12/22/2021 | S (partial) | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| Pacific West Real Estate Income LLC [HE] | JT | 05/13/2021 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Palantir Technologies Inc. Class A (PLTR) [ST] | JT | 06/18/2021 | E | \$100,001 - \$250,000 | |
| DESCRIPTION: Transfer of shares from passive fund investment. Since being received all shares have been donated to charity. | | | | | |
| Parametric Emerging Markets Fund [EF] | JT | 01/21/2021 | S | \$1,000,001 - \$5,000,000 | <input checked="" type="checkbox"/> |
| Pasco CNTY FL SB CO 0.00% SCH Cops Due 08/01/2032 [GS] | JT | 07/21/2021 | S | \$500,001 - \$1,000,000 | <input type="checkbox"/> |
| Reach III, LP [HE] | JT | 09/16/2021 | P | \$15,001 - \$50,000 | |
| Reach III, LP [HE] | JT | 05/19/2021 | P | \$50,001 - \$100,000 | |
| Reach III, LP [HE] | JT | 01/21/2021 | P | \$15,001 - \$50,000 | |
| Reach III, LP [HE] | JT | 07/14/2021 | P | \$15,001 - \$50,000 | |
| Reach III, LP [HE] | JT | 11/30/2021 | P | \$50,001 - \$100,000 | |
| Redwood Kairos Real Estate Fund IV [HE] | JT | 02/17/2021 | S (partial) | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| Redwood Kairos Real Estate Fund IV [HE] | JT | 01/29/2021 | S (partial) | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| Redwood Kairos Real Estate Fund IV [HE] | JT | 05/28/2021 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Redwood Kairos Real Estate Fund IV [HE] | JT | 12/30/2021 | S (partial) | \$100,001 - \$250,000 | <input checked="" type="checkbox"/> |
| Redwood Kairos Real Estate Fund IV [HE] | JT | 02/12/2021 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|-------------------------|-------------------------------------|
| Redwood Kairos Real Estate Fund IV [HE] | JT | 04/6/2021 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Redwood Kairos Real Estate Fund VI [HE] | JT | 08/17/2021 | S (partial) | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| Redwood Kairos Real Estate Fund VI [HE] | JT | 12/30/2021 | S (partial) | \$500,001 - \$1,000,000 | <input checked="" type="checkbox"/> |
| Redwood Kairos Real Estate Fund VIII [HE] | JT | 01/21/2021 | P | \$500,001 - \$1,000,000 | |
| Round Rock Tex Indpt SCH Dist 5.00% Due 08/01/25 [GS] | JT | 11/23/2021 | P | \$1,001 - \$15,000 | |
| Round Rock Tex Indpt SCH Dist Unltd Tax 5.00 % Due 8/1/2025 [GS] | JT | 02/16/2021 | P | \$15,001 - \$50,000 | |
| Round Rock Tex Indpt SCH Dist Unltd Tax 5.00% Due 08/01/2025 [GS] | JT | 01/12/2021 | P | \$1,001 - \$15,000 | |
| Round Rock Tex Indpt SCH Dist Unltd Tax 5.00% Due 8/1/2025 [GS] | JT | 05/13/2021 | P | \$15,001 - \$50,000 | |
| Seattle WA MN LT PW 0.00% PWR Util Due 05/01/2045 [GS] | JT | 07/21/2021 | P | \$500,001 - \$1,000,000 | |
| Somerset CNTY N J Bans Ser. 2020 4.00% Due 09/09/2021 [GS] | JT | 08/16/2021 | S (partial) | \$50,001 - \$100,000 | <input type="checkbox"/> |
| Somerset CNTY N J Bans Ser. 2020 4.00% Due 09/09/21 [GS] | JT | 08/12/2021 | S (partial) | \$100,001 - \$250,000 | <input type="checkbox"/> |
| Somerset CNTY NJ Bans 4.00% Due 09/09/2021 [GS] | JT | 01/12/2021 | P | \$1,001 - \$15,000 | |
| Somerset CNTY NJ Bans Ser 2020 4.00% Due 09/09/2021 [GS] | JT | 09/9/2021 | S | \$250,001 - \$500,000 | <input type="checkbox"/> |
| SP 5819 Glisan LLC [HE] | JT | 01/15/2021 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| SP Augusta [HE] | JT | 01/15/2021 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|-----------------------------------|-------|------------|-------------|-----------------------|-------------------------------------|
| SP Augusta [HE] | JT | 04/15/2021 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| SP Augusta [HE] | JT | 07/15/2021 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| SP Augusta [HE] | JT | 10/15/2021 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| SP FountainGlen Venture, LLC [HE] | JT | 04/23/2021 | S (partial) | \$250,001 - \$500,000 | <input checked="" type="checkbox"/> |
| SP Multi-Family Fund II [HE] | JT | 04/19/2021 | S (partial) | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| SP Multi-Family Fund II [HE] | JT | 07/15/2021 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| SP Multi-Family Fund VI [HE] | JT | 09/29/2021 | P | \$250,001 - \$500,000 | |
| SP Multi-Family Fund VI [HE] | JT | 07/28/2021 | P | \$50,001 - \$100,000 | |
| SP Nashville [HE] | JT | 07/15/2021 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| SP TriRock [HE] | JT | 07/15/2021 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| SP TriRock [HE] | JT | 01/8/2021 | P | \$250,001 - \$500,000 | |
| Structure Capital [HE] | JT | 10/13/2021 | P | \$50,001 - \$100,000 | |
| Structure Capital [HE] | JT | 06/22/2021 | P | \$100,001 - \$250,000 | |
| TCG Private Select Partners [HE] | JT | 12/22/2021 | S (partial) | \$100,001 - \$250,000 | <input checked="" type="checkbox"/> |
| TCG Private Select Partners [HE] | JT | 07/30/2021 | S (partial) | \$250,001 - \$500,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|-------------|-----------------------|-------------------------------------|
| TCG Private Select Partners [HE] | JT | 10/15/2021 | S (partial) | \$100,001 - \$250,000 | <input checked="" type="checkbox"/> |
| TCG Private Select Partners [HE] | JT | 09/22/2021 | S (partial) | \$100,001 - \$250,000 | <input checked="" type="checkbox"/> |
| TCG Private Select Partners [HE] | JT | 01/14/2021 | S (partial) | \$100,001 - \$250,000 | <input checked="" type="checkbox"/> |
| TCG Private Select Partners [HE] | JT | 05/25/2021 | S (partial) | \$100,001 - \$250,000 | <input checked="" type="checkbox"/> |
| TCG Private Select Partners II [HE] | JT | 07/30/2021 | S (partial) | \$100,001 - \$250,000 | <input checked="" type="checkbox"/> |
| TCG Private Select Partners II [HE] | JT | 11/23/2021 | S (partial) | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| TCG Private Select Partners II [HE] | JT | 07/30/2021 | P | \$50,001 - \$100,000 | |
| TCG Private Select Partners III [HE] | JT | 06/21/2021 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| TCG Private Select Partners III [HE] | JT | 06/18/2021 | S (partial) | \$100,001 - \$250,000 | <input checked="" type="checkbox"/> |
| TCG Private Select Partners III [HE] | JT | 05/26/2021 | P | \$250,001 - \$500,000 | |
| TCG Private Select Partners III [HE] | JT | 12/1/2021 | P | \$250,001 - \$500,000 | |
| TCG Private Select Partners III [HE] | JT | 07/7/2021 | P | \$250,001 - \$500,000 | |
| TCG Private Select Partners III [HE] | JT | 10/6/2021 | P | \$250,001 - \$500,000 | |
| TCG Private Select Partners III [HE] | JT | 03/15/2021 | P | \$250,001 - \$500,000 | |
| Texas ST Tax Rev Antic NTS Ser. 2020 4.00% Due | JT | 08/12/2021 | S | \$500,001 - | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|-------------------------|---------------------|
| 08/26/2021 [GS] | | | | \$1,000,000 | |
| Vision Ridge Sustainable Asset Fund III [HE] | JT | 12/13/2021 | P | \$100,001 - \$250,000 | |
| Wolcott CT 1.25%22 Go Utx Due 08/23/22 [GS] | JT | 08/11/2021 | P | \$500,001 - \$1,000,000 | |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|-----------|---|--------|
| Microsoft | Spouse Salary, bonus and vested options | N/A |

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- o BGH 24, LLC (Owner: JT)
LOCATION: Seattle, WA, US
- o GRRTS LTD (Owner: JT)
DESCRIPTION: Formerly reported as DBR Real Property

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Suzan K. DelBene , 05/15/2022