Filing ID #10046507

Financial Disclosure Report

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Filer Information

Name: Hon. Hakeem S. Jeffries
Status: Member
State/District: NY08

Filing Information

Filing Type: Annual Report
Filing Year: 2021
Filing Date: 05/15/2022

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Acorn Securities, LLC ⇒ Vanguard 500 Index Fund EFT (VOO) [EF] Description: Appreciated over $1,000 in 2021	DC	$1,001 - $15,000	None		☐
Bank of America Accounts [BA]	JT	$1,001 - $15,000	Interest	$1 - $200	☐
HSBC Accounts [BA]		$50,001 - $100,000	Interest	$201 - $1,000	☐
HSBC Brokerage Account ⇒ Lord Abbett Floating Rate Fund Class C (LARCX) [MF]		$15,001 - $50,000	Dividends	$1,001 - $2,500	☑
Island Federal Credit Union Account [BA]	SP	$50,001 - $100,000	Interest	$1 - $200	☐
Lutheran Medical Center 401K ⇒ VALIC Fixed Interest Option [PE]	SP	$1,001 - $15,000	Tax-Deferred		☑
Lutheran Medical Center 401K ⇒ Vanguard Equity Income Adm (VEIRX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☐
Lutheran Medical Center 401K ⇒ Vanguard Inst Tg Rtm 35 Inst (VITFX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Lutheran Medical Center 401K ⇒ Vanguard Total Bond Market Index Ins Plus Shares (VBMPX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		✓
Lutheran Medical Center 401K ⇒ Vanguard Ttl Bd Mkt Idx Ins (VBTIX) [MF]	SP	None	Tax-Deferred		✓
Merrill Edge Guided Investing Traditional IRA ⇒ iShares Core S&P Small-Cap ETF (IJR) [ST] DESCRIPTION: This asset was sold on July 14, 2021, as reported on Schedule B.		None	Tax-Deferred		✓
Merrill Edge Guided Investing Traditional IRA ⇒ ISHARES Core S&P U.S. Growth ETF (IUSG) [EF]		$1,001 - $15,000	Tax-Deferred		
Merrill Edge Guided Investing Traditional IRA ⇒ ISHARES Core S&P U.S. Value ETF (IUSV) [EF]		$1,001 - $15,000	Tax-Deferred		
Merrill Edge Guided Investing Traditional IRA ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF]		$1,001 - $15,000	Tax-Deferred		
Merrill Edge Guided Investing Traditional IRA ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [ST]		$1,001 - $15,000	Tax-Deferred		
Merrill Edge Guided Investing Traditional IRA ⇒ Vanguard Russell 2000 (VTWO) [EF]		$1,001 - $15,000	Tax-Deferred		✓
Merrill Edge Investment Account-Non Retirement ⇒ ProShares S&P MidCap 400 Dividend Aristocrats ETF (REGL) [EF]	JT	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	✓
Merrill Edge Investment Account-Non Retirement ⇒ SPDR US DVDND ARISTOCRAT ETF (SDY) [EF]	JT	$50,001 - $100,000	Dividends	$1,001 - $2,500	
Merrill Edge Investment Account-Non Retirement ⇒ Vanguard REIT ETF (VNQ) [EF]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	
Merrill Edge Investment Account-Non Retirement - CMA ⇒ Schwab US Dividend EQTY (SCHD) [EF]		$15,001 - $50,000	Dividends	$201 - $1,000	✓
Merrill Edge Investment Account-Non Retirement - CMA ⇒ Vanguard FTSE Developed ETF (VEA) [EF]		$1,001 - $15,000	Dividends	$1 - $200	✓
New York Health Care Pension Fund [DB]	SP	Undetermined	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
New York State Pension [PE]		$15,001 - $50,000	None		☐
Northwestern Mutual Whole Life Insurance Policy [WU]		$50,001 - $100,000	None		☐
Northwestern Mutual Whole Life Insurance Policy [WU]	SP	$15,001 - $50,000	None		☐
NY 529 Direct Plan (DC 1) ⇒ Aggressive Age-Based Option: Conservative Portfolio [MF]	DC	$1,001 - $15,000	Tax-Deferred		☑
NY 529 Direct Plan (DC 1) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	$1,001 - $15,000	Tax-Deferred		☑
NY 529 Direct Plan (DC 1) ⇒ Moderate Growth Portfolio [MF]	DC	$1,001 - $15,000	Tax-Deferred		☑
NY 529 Direct Plan (DC 2) ⇒ Aggressive Age-Based Option: Conservative Growth Portfolio [MF]	DC	$50,001 - $100,000	Tax-Deferred		☑
NY 529 Direct Plan (DC 2) ⇒ Conservative Age-Based Option: Conservative Income Portfolio [MF]	DC	$15,001 - $50,000	Tax-Deferred		☑
NY 529 Direct Plan (DC 2) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	$15,001 - $50,000	Tax-Deferred		☑
Principal Trust Company 401K ⇒ BlackRock Lifepath Index Fund 2035 K Fund [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
Transamerica Custodial Traditional IRA Money Market Account [BA]	SP	None	None		☑

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
HSBC Brokerage Account ⇒ LARCX [OT] LOCATION: US DESCRIPTION: Lord Abbett Floating Rate Fund Class C		03/30/2021	P	$1,001 - $15,000	
Lutheran Medical Center 401K ⇒ Vanguard Total Bond Market Index Ins. Plus Shares (VBMPX) [MF]	SP	11/4/2021	P	$1,001 - $15,000	
Lutheran Medical Center 401K ⇒ Vanguard Ttl Bd Mkt Idx Ins (VBTIX) [MF]	SP	11/4/2021	S	$1,001 - $15,000	☐
Merrill Edge Guided Investing Traditional IRA ⇒ iSHARES Core S&P Small-Cap ETF (IJR) [EF]		07/14/2021	S	$1,001 - $15,000	☐
Merrill Edge Guided Investing Traditional IRA ⇒ Vanguard Russell 2000 (VTWO) [EF]		07/14/2021	P	$1,001 - $15,000	
Merrill Edge Investment Account-Non Retirement ⇒ REGL [OT] LOCATION: US DESCRIPTION: ProShares S&P MidCap 400 Dividend Aristocrats ETF (REGL)	JT	03/15/2021	S (partial)	$1,001 - $15,000	☑
Merrill Edge Investment Account-Non Retirement - CMA ⇒ SCHD [OT] LOCATION: US DESCRIPTION: Schwab US Dividend Equity (SCHD)		04/12/2021	P	$15,001 - $50,000	
Merrill Edge Investment Account-Non Retirement - CMA ⇒ VEA [OT] LOCATION: US DESCRIPTION: Vanguard FTSE Developed Markets ETF (VEA).		04/19/2021	P	$1,001 - $15,000	
Millennium Trust Company Traditional IRA [OT] LOCATION: US	SP	05/4/2021	S	$1,001 - $15,000	☐
NY 529 Direct Plan (DC 1) ⇒ Aggressive Age-Based Option: Conservative Portfolio [MF]	DC	02/25/2021	S (partial)	$1,001 - $15,000	☐
NY 529 Direct Plan (DC 1) ⇒ Aggressive Age-Based Option: Conservative Portfolio [MF]	DC	03/25/2021	P	$15,001 - $50,000	
NY 529 Direct Plan (DC 1) ⇒	DC	04/12/2021	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Aggressive Age-Based Option: Conservative Portfolio [MF]					
NY 529 Direct Plan (DC 1) ⇒ Aggressive Age-Based Option: Conservative Portfolio [MF]	DC	04/12/2021	S (partial)	$15,001 - $50,000	☐
NY 529 Direct Plan (DC 1) ⇒ Aggressive Age-Based Option: Conservative Portfolio [MF]	DC	09/27/2021	S (partial)	$15,001 - $50,000	☐
NY 529 Direct Plan (DC 1) ⇒ Conservative Age-Based Option: Interest Accumulation Portfolio [MF]	DC	02/25/2021	S (partial)	$1,001 - $15,000	☐
NY 529 Direct Plan (DC 1) ⇒ Conservative Age-Based Option: Interest Accumulation Portfolio [MF]	DC	04/12/2021	S	$15,001 - $50,000	☐
NY 529 Direct Plan (DC 1) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	02/25/2021	S (partial)	$1,001 - $15,000	☐
NY 529 Direct Plan (DC 1) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	03/25/2021	P	$1,001 - $15,000	
NY 529 Direct Plan (DC 1) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	04/12/2021	P	$1,001 - $15,000	
NY 529 Direct Plan (DC 1) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	04/12/2021	S (partial)	$1,001 - $15,000	☐
NY 529 Direct Plan (DC 1) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	09/27/2021	S (partial)	$1,001 - $15,000	☐
NY 529 Direct Plan (DC 1) ⇒ Moderate Growth Portfolio [MF]	DC	04/12/2021	P	$1,001 - $15,000	
NY 529 Direct Plan (DC 1) ⇒ Moderate Growth Portfolio [MF]	DC	09/27/2021	S (partial)	$1,001 - $15,000	☐
NY 529 Direct Plan (DC 2) ⇒ Aggressive Age-Based Option: Conservative Growth Portfolio [MF]	DC	04/6/2021	P	$15,001 - $50,000	
NY 529 Direct Plan (DC 2) ⇒ Aggressive Age-Based Option: Disciplined Growth Portfolio [MF]	DC	04/6/2021	S	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
NY 529 Direct Plan (DC 2) ⇒ Conservative Age-Based Option: Balanced Income Portfolio [MF]	DC	04/6/2021	S	$15,001 - $50,000	☐
NY 529 Direct Plan (DC 2) ⇒ Conservative Age-Based Option: Conservative Income Portfolio [MF]	DC	04/6/2021	P	$15,001 - $50,000	
NY 529 Direct Plan (DC 2) ⇒ Moderate Age-Based Option: Conservative Portfolio [MF]	DC	04/6/2021	S	$15,001 - $50,000	☐
NY 529 Direct Plan (DC 2) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	04/6/2021	P	$15,001 - $50,000	
Principal Trust Company 401K ⇒ BlackRock Lifepath Index 2035 K Fund [OT] Location: US	SP	05/17/2021	P	$1,001 - $15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
Worksite Medical Center PC	Spouse Salary	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	HSBC	March 2021	Primary Residence Mortgage	$250,001 - $500,000
	Truist Comments: Previously reported as BB&T	January 2017	Mortgage on Washington, DC Residence	$15,001 - $50,000
	Capitol Hill Tower Housing Corporation	January 2017	Share of Coop Mortgage on Washington, DC Residence	$100,001 - $250,000
	HSBC	August 2016	Home Equity Line of Credit	$15,001 - $50,000
SP	Bank of America Visa	December 2021	Credit Card	$15,001 - $50,000
	NewRez LLC	July 2015	Primary Residence Mortgage	$250,001 -

	$500,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

Date	Parties To	Terms of Agreement
January 2007	New York State Common Retirement Fund/ Hakeem S. Jeffries	Continued participation in a New York State pension plan.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Acorn Securities, LLC (Owner: DC)
  Location: US
- HSBC Brokerage Account
  Location: US
- Lutheran Medical Center 401K (Owner: SP)
- Merrill Edge Guided Investing Traditional IRA
- Merrill Edge Investment Account-Non Retirement (Owner: JT)
  Location: US
- Merrill Edge Investment Account-Non Retirement - CMA
  Location: US
- NY 529 Direct Plan (DC 1) (Owner: DC)
  Location: NY
- NY 529 Direct Plan (DC 2) (Owner: DC)
  Location: NY
- Principal Trust Company 401K (Owner: SP)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

Comments

NY 529 Direct Plan (DC1) & (DC2) reported in the aggregate in the prior year.

Certification and Signature

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Hakeem S. Jeffries , 05/15/2022