



FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Morgan McGarvey
Status: Congressional Candidate
State/District: KY03

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2021
Filing Date: 01/26/2022
Period Covered: 01/01/2020– 12/31/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Chris IRA ⇒ AT&T Inc. (T) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ CareTrust REIT, Inc. (CTRE) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris IRA ⇒ Cash [BA]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ Caterpillar, Inc. (CAT) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ Collectar Biosciences, Inc. (CLRB) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris IRA ⇒ DocuSign, Inc. (DOCU) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ Duke Energy Corporation (DUK) [ST]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Chris IRA ⇒ Humanigen, Inc. (HGEN) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris IRA ⇒ Intel Corporation (INTC) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris IRA ⇒ Invesco QQQ [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ Invesco XSVM [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ Invesco XSVM [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ iShares AGZ [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ iShares IAU [EF]		\$15,001 - \$50,000	Tax-Deferred		
Chris IRA ⇒ iShares IQLT [EF]		\$15,001 - \$50,000	Tax-Deferred		
Chris IRA ⇒ iShares IQLT [EF]		\$15,001 - \$50,000	Tax-Deferred		
Chris IRA ⇒ iShares IWL [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ iShares IWL [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ iShares IWY [EF]		\$15,001 - \$50,000	Tax-Deferred		
Chris IRA ⇒ iShares IWY [EF]		\$15,001 - \$50,000	Tax-Deferred		
Chris IRA ⇒ JP Morgan JPST [EF]		\$15,001 - \$50,000	Tax-Deferred		
Chris IRA ⇒ Materials Select XLB [EF]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Chris IRA ⇒ Microsoft Corporation (MSFT) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ NVIDIA Corporation (NVDA) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ Real Estate Select XLRE [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ Royal Dutch Shell PLC Royal Dutch Shell American Depository Shares (RDS.A) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris IRA ⇒ Sabra Health Care REIT, Inc. (SBRA) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ Schwab SCHD [EF]		\$15,001 - \$50,000	Tax-Deferred		
Chris IRA ⇒ Schwab SCHP [EF]		\$15,001 - \$50,000	Tax-Deferred		
Chris IRA ⇒ Schwab SCHX [EF]		\$15,001 - \$50,000	Tax-Deferred		
Chris IRA ⇒ SPDR DIA [EF]		\$15,001 - \$50,000	Tax-Deferred		
Chris IRA ⇒ SPDR SPY [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ Vanguard VAW [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ Vanguard VB [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ Vanguard VB [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ Vanguard VCSH [EF]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Chris IRA ⇒ Vanguard VCSH [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ Vanguard VGSH [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ Vanguard VO [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ Vanguard VO [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ Vanguard VOO [EF]		\$15,001 - \$50,000	Tax-Deferred		
Chris IRA ⇒ Vanguard VOO [EF]		\$15,001 - \$50,000	Tax-Deferred		
Chris IRA ⇒ Vanguard VOOG [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ Vanguard VOOG [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ Vanguard VPU [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ Vanguard VPU [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ Vanguard VYM [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris IRA ⇒ Vanguard VYM [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris Roth ⇒ AT&T Inc. (T) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris Roth ⇒ CareTrust REIT, Inc. (CTRE) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris Roth ⇒ Caterpillar, Inc. (CAT) [ST]		\$1 - \$1,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Chris Roth ⇒ Cellecstar Biosciences, Inc. (CLRB) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris Roth ⇒ DocuSign, Inc. (DOCU) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris Roth ⇒ Duke Energy Corporation (DUK) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris Roth ⇒ Humanigen, Inc. (HGEN) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris Roth ⇒ Intel Corporation (INTC) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris Roth ⇒ Invesco QQQ [EF]		\$1 - \$1,000	Tax-Deferred		
Chris Roth ⇒ iShares IAU [EF]		\$1 - \$1,000	Tax-Deferred		
Chris Roth ⇒ iShares IQLT [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris Roth ⇒ iShares IWL [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris Roth ⇒ iShares IWY [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris Roth ⇒ JP Morgan JPST [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris Roth ⇒ Materials Select XLB [EF]		\$1 - \$1,000	Tax-Deferred		
Chris Roth ⇒ Microsoft Corporation (MSFT) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris Roth ⇒ NVIDIA Corporation (NVDA) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris Roth ⇒ Real Estate Select XLRE [EF]		\$1 - \$1,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Chris Roth ⇒ Royal Dutch Shell PLC Royal Dutch Shell American Depository Shares (RDS.A) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris Roth ⇒ Sabra Health Care REIT, Inc. (SBRA) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris Roth ⇒ Schwab SCHD [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris Roth ⇒ Schwab SCHP [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris Roth ⇒ Schwab SCHX [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris Roth ⇒ SPDR DIA [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris Roth ⇒ SPDR SPY [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris Roth ⇒ Vanguard VAW [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris Roth ⇒ Vanguard VB [EF]		\$1 - \$1,000	Tax-Deferred		
Chris Roth ⇒ Vanguard VCSH [EF]		\$1 - \$1,000	Tax-Deferred		
Chris Roth ⇒ Vanguard VGSH [EF]		\$1 - \$1,000	Tax-Deferred		
Chris Roth ⇒ Vanguard VO [EF]		\$1 - \$1,000	Tax-Deferred		
Chris Roth ⇒ Vanguard VOO [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris Roth ⇒ Vanguard VOOG [EF]		\$1 - \$1,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Chris Roth ⇒ Vanguard VPU [EF]		\$1 - \$1,000	Tax-Deferred		
Chris Roth ⇒ Vanguard VYM [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris SEP ⇒ AT&T Inc. (T) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris SEP ⇒ Caterpillar, Inc. (CAT) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris SEP ⇒ Cellecstar Biosciences, Inc. (CLR) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris SEP ⇒ DocuSign, Inc. (DOCU) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris SEP ⇒ Duke Energy Corporation (DUK) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris SEP ⇒ Humanigen, Inc. (HGEN) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris SEP ⇒ Intel Corporation (INTC) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris SEP ⇒ Invesco QQQ [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris SEP ⇒ Invesco XSVM [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris SEP ⇒ iShares IAU [EF]		\$1 - \$1,000	Tax-Deferred		
Chris SEP ⇒ iShares IQLT [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris SEP ⇒ iShares IWL [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris SEP ⇒ iShares IWY [EF]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Chris SEP ⇒ JP Morgan JPST [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris SEP ⇒ Materials Select XLB [EF]		\$1 - \$1,000	Tax-Deferred		
Chris SEP ⇒ Microsoft Corporation (MSFT) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris SEP ⇒ NVIDIA Corporation (NVDA) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris SEP ⇒ Real Estate Select XLRE [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris SEP ⇒ Royal Dutch Shell PLC Royal Dutch Shell American Depository Shares (RDS.A) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris SEP ⇒ Sabra Health Care REIT, Inc. (SBRA) [ST]		\$1 - \$1,000	Tax-Deferred		
Chris SEP ⇒ Schwab SCHP [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris SEP ⇒ Schwab SCHX [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris SEP ⇒ SPDR DIA [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris SEP ⇒ Vanguard VAW [EF]		\$1 - \$1,000	Tax-Deferred		
Chris SEP ⇒ Vanguard VB [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris SEP ⇒ Vanguard VCSH [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris SEP ⇒ Vanguard VGSH [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris SEP ⇒		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Vanguard VO [EF]					
Chris SEP ⇒ Vanguard VOO [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris SEP ⇒ Vanguard VOOG [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris SEP ⇒ Vanguard VPU [EF]		\$1,001 - \$15,000	Tax-Deferred		
Chris SEP ⇒ Vanguard VYM [EF]		\$1,001 - \$15,000	Tax-Deferred		
DC1 ⇒ NY 529 [5P] LOCATION: NY		\$15,001 - \$50,000	Tax-Deferred		
DC2 ⇒ NY 529 Plan [5P] LOCATION: NY		\$15,001 - \$50,000	Tax-Deferred		
Joint Brokerage ⇒ iShares IWY [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joint Brokerage ⇒ iShares MTUM [EQ]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joint Brokerage ⇒ Microsoft Corporation (MSFT) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joint Brokerage ⇒ Schwab SCHD [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joint Brokerage ⇒ Schwab SCHM [EQ]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joint Brokerage ⇒ Schwab SCHK [EQ]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joint Brokerage ⇒ Schwab SCHX [EQ]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joint Brokerage ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Vanguard BNDX [EF]					
Joint Brokerage ⇒ Vanguard VBR [EQ]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joint Brokerage ⇒ Vanguard VGSH [EQ]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joint Brokerage ⇒ Vanguard VGT [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joint Brokerage ⇒ Vanguard VIG [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joint Brokerage ⇒ Vanguard VTI [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Morgan Roth ⇒ AT&T Inc. (T) [ST]		\$1 - \$1,000	Tax-Deferred		
Morgan Roth ⇒ CareTrust REIT, Inc. (CTRE) [ST]		\$1 - \$1,000	Tax-Deferred		
Morgan Roth ⇒ Caterpillar, Inc. (CAT) [ST]		\$1 - \$1,000	Tax-Deferred		
Morgan Roth ⇒ Cellecstar Biosciences, Inc. (CLRB) [ST]		\$1 - \$1,000	Tax-Deferred		
Morgan Roth ⇒ DocuSign, Inc. (DOCU) [ST]		\$1 - \$1,000	Tax-Deferred		
Morgan Roth ⇒ Duke Energy Corporation (DUK) [ST]		\$1 - \$1,000	Tax-Deferred		
Morgan Roth ⇒ Humanigen, Inc. (HGEN) [ST]		\$1 - \$1,000	Tax-Deferred		
Morgan Roth ⇒ Intel Corporation (INTC) [ST]		\$1 - \$1,000	Tax-Deferred		
Morgan Roth ⇒ Invesco QQQ [EF]		\$1 - \$1,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Morgan Roth ⇒ Invesco XSVM [EF]		\$1 - \$1,000	Tax-Deferred		
Morgan Roth ⇒ iShares IAU [EF]		\$1 - \$1,000	Tax-Deferred		
Morgan Roth ⇒ iShares IQLT [EF]		\$1,001 - \$15,000	Tax-Deferred		
Morgan Roth ⇒ iShares IWL [EF]		\$1 - \$1,000	Tax-Deferred		
Morgan Roth ⇒ iShares IWY [EF]		\$1,001 - \$15,000	Tax-Deferred		
Morgan Roth ⇒ Materials Select XLB [EF]		\$1 - \$1,000	Tax-Deferred		
Morgan Roth ⇒ Microsoft Corporation (MSFT) [ST]		\$1 - \$1,000	Tax-Deferred		
Morgan Roth ⇒ NVIDIA Corporation (NVDA) [ST]		\$1 - \$1,000	Tax-Deferred		
Morgan Roth ⇒ Real Estate Select XLRE [EF]		\$1 - \$1,000	Tax-Deferred		
Morgan Roth ⇒ Royal Dutch Shell PLC Royal Dutch Shell American Depository Shares (RDS.A) [ST]		\$1 - \$1,000	Tax-Deferred		
Morgan Roth ⇒ Sabra Health Care REIT, Inc. (SBRA) [ST]		\$1 - \$1,000	Tax-Deferred		
Morgan Roth ⇒ Schwab SCHD [EF]		\$1,001 - \$15,000	Tax-Deferred		
Morgan Roth ⇒ Schwab SCHP [EF]		\$1,001 - \$15,000	Tax-Deferred		
Morgan Roth ⇒ Schwab SCHX [EF]		\$1,001 - \$15,000	Tax-Deferred		
Morgan Roth ⇒ SPDR DIA [EF]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Morgan Roth ⇒ Vanguard VAW [EF]		\$1 - \$1,000	Tax-Deferred		
Morgan Roth ⇒ Vanguard VB [EF]		\$1,001 - \$15,000	Tax-Deferred		
Morgan Roth ⇒ Vanguard VGSH [EF]		\$1 - \$1,000	Tax-Deferred		
Morgan Roth ⇒ Vanguard VO [EF]		\$1,001 - \$15,000	Tax-Deferred		
Morgan Roth ⇒ Vanguard VOO [EF]		\$1,001 - \$15,000	Tax-Deferred		
Morgan Roth ⇒ Vanguard VOOG [EF]		\$1 - \$1,000	Tax-Deferred		
Morgan Roth ⇒ Vanguard VPU [EF]		\$1 - \$1,000	Tax-Deferred		
Morgan Roth ⇒ Vanguard VYM [EF]		\$1,001 - \$15,000	Tax-Deferred		
Republic Bank Checking [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	\$1 - \$200
Results By Design LLC [OL]	SP	\$50,001 - \$100,000	None		
LOCATION: Louisville, KY, US DESCRIPTION: Consulting firm					
Vanguard MMF [MF]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Vanguard VT [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1 - \$200
Yum China Holdings, Inc. (YUMC) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Yum! Brands, Inc. (YUM) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Morgan & Pottinger	Salary	\$78,500.00	\$73,790.00
Kentucky General Assembly	Legislator salary	\$48,707.00	\$35,485.00
Kindred Healthcare	Spouse salary	N/A	N/A
Heaven Hill	Spouse salary	N/A	N/A

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	New Directions Housing Corporation
Board Member	St. John Gardens Inc
COMMENTS: Nonprofit directly controlled by New Directions Housing Corporation.	
Board Member	New Vision Residential Services Inc
COMMENTS: Nonprofit directly controlled by New Directions Housing Corporation.	

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- o Chris IRA
- o Chris Roth
- o Chris SEP
- o DC1
LOCATION: NY
- o DC2
LOCATION: NY

- o Joint Brokerage
LOCATION: US
- o Morgan Roth

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Morgan McGarvey , 01/26/2022