



FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Elizabeth Mathis
Status: Congressional Candidate
State/District: IA02

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2021
Filing Date: 11/24/2021
Period Covered: 01/01/2020– 10/31/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Aflac Pension Plan [DB]		Undetermined	None		
Aflac Pension Plan [DB]	SP	Undetermined	None		
Amperage, LLC [OL]	SP	\$250,001 - \$500,000	Partnership Income	\$100,001 - \$1,000,000	\$15,001 - \$50,000
LOCATION: Cedar Rapids, IA, US					
DESCRIPTION: Marketing & Fundraising					
COMMENTS: Mr. Mathis is a 1/3 owner of Amperage, LLC. The value reported represents his interest in the company.					
Amperage, LLC 401(k) Plan ⇒ DFA Five-Year Global Fixed Income Portfolio Institutional Class (DFGBX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Amperage, LLC 401(k) Plan ⇒ DFA Inflation-Protected Securities Portfolio Institutional Class (DIPSX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Amperage, LLC 401(k) Plan ⇒ DFA Short-Term Government Portfolio Institutional	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Class (DFFGX) [MF]					
Amperage, LLC 401(k) Plan ⇒ DFA U.S. Large Cap Value Portfolio Institutional Class (DFLVX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Amperage, LLC 401(k) Plan ⇒ DFA U.S. Large Company Portfolio (DFUSX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
CUNA Mutual Group Life Insurance [WU]	SP	\$1 - \$1,000	None		
Four Oaks Retirement Plan ⇒ Principal LifeTime Hybrid 2020 CIT [MF]		\$100,001 - \$250,000	Tax-Deferred		
Iowa Public Employees' Retirement System Defined Benefit Plan [DB]		\$15,001 - \$50,000	None		
ME & V Investments LLC ⇒ Commercial Real Estate [RP]	SP	\$500,001 - \$1,000,000	Partnership Income	\$15,001 - \$50,000	\$5,001 - \$15,000
LOCATION: Cedar Falls, IA, US					
COMMENTS: Mr. Mathis is a 1/3 owner of ME&V Investments LLC. The value reported represents his interest in the property.					
Northwestern Mutual Life Insurance [WU]	SP	\$1,001 - \$15,000	None		
Northwestern Mutual Life Insurance [WU]		\$15,001 - \$50,000	None		
Principal Rollover IRA ⇒ American Century Emerging Markets Fund I Class (AMKIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ American Century Small Cap Value Fund I Class (ACVIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ Bank Deposit [BA]	SP	\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ Bank Deposit [BA]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ BlackRock Emerging Markets Fund, Inc. Institutional Shares (MADCX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Principal Rollover IRA ⇒ Fidelity Advisor® Growth Opportunities Fund Class Z (FZAHX) [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Principal Rollover IRA ⇒ Fidelity Advisor® International Capital Appreciation Fund Class Z (FIDZX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ Fidelity Advisor® Real Estate Income Fund Class Z (FIKMX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ Fidelity Advisor® Strategic Income Fund Class Z (FIWDX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ Fidelity Advisor® Total Bond Fund Class Z (FBKWX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ First Trust Capital Strength ETF (FTCS) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Principal Rollover IRA ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ JPMorgan Equity Income Fund Class I (HLIEX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ JPMorgan Mid Cap Growth Fund Class I (HLGEX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ MFS Mid Cap Value Fund Class I (MCVIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ MFS Value Fund Class I (MEIIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Principal Rollover IRA ⇒ PGIM Total Return Bond Fund -Class Z (PDBZX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ PIMCO Emerging Markets Bond Fund Institutional Class (PEBIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ PIMCO Income Fund Institutional Class (PIMIX) [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Principal Rollover IRA ⇒ PIMCO StocksPLUS® International Fund (U.S. Dollar-Hedged) Institutional Class (PISIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ Principal Blue Chip Fund Institutional Class (PBCKX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ Principal Core Fixed Income Fund Institutional Class (PIOIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ Principal Core Plus Bond Fund Institutional Class [MF]		\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ Principal Diversified International Fund Institutional Class (PIIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ Principal Equity Income Fund Institutional Class (PEIIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ Principal Finisterre Emerging Markets Total Return Bond Fund Class Institutional (PFUMX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ Principal Global Multi-Strategy Fund Institutional Class Shares (PSMIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ Principal Government & High Quality Bond Fund Institutional Class (PMRIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Principal Rollover IRA ⇒ Principal Inflation Protection Fund Institutional Class (PIPIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ Principal International Emerging Markets Fund Institutional Class (PIEIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ Principal International Fund I Institutional Class (PINIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ Principal LargeCap Value Fund III Institutional Class (PLVIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ Principal MidCap Fund Institutional Class (PCBIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ Principal MidCap Value Fund I Institutional Class (PVMIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ Principal Overseas Fund Institutional Class (PINZX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ Principal Short-Term Income Fund Institutional Class (PSHIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ Principal SmallCap Fund Institutional Class (PSLIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ Principal SmallCap Value Fund II Institutional Class (PPVIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ Transamerica International Equity I (TSWIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ Transamerica Short-Term Bond Fund Class I (TSTIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Principal Rollover IRA ⇒ Vanguard Intermediate-Term Bond Index Fund Admiral Shares (VBILX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ Victory RS Small Cap Growth Fund Class Y (RSYEX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ Victory Trivalent International Small-Cap Fund Class I (MISIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Variable Life Insurance ⇒ BNY Melon Opportunistic Small Cap [MF]		\$1,001 - \$15,000	None		
Principal Variable Life Insurance ⇒ Fidelity VIP Equity Income [MF]		\$15,001 - \$50,000	None		
Principal Variable Life Insurance ⇒ Invesco Core Equity [MF]		\$15,001 - \$50,000	None		
Principal Variable Life Insurance ⇒ Principal Capital Appreciation [MF]		\$15,001 - \$50,000	None		
Principal Variable Life Insurance ⇒ Principal Core Plus Bond [MF]		\$15,001 - \$50,000	None		
Principal Variable Life Insurance ⇒ Principal Diversified International [MF]		\$50,001 - \$100,000	None		
Principal Variable Life Insurance ⇒ Principal Equity Income [MF]		\$15,001 - \$50,000	None		
Principal Variable Life Insurance ⇒ Principal Large Cap Growth I [MF]		\$15,001 - \$50,000	None		
Principal Variable Life Insurance ⇒ Principal Mid Cap [MF]		\$15,001 - \$50,000	None		

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Ohnward Bank & Trust	Board Fees	\$2,800.00	\$5,600.00
Amperage	Spouse Salary	N/A	N/A
Four Oaks Family and Children's Services	Salary	\$16,988.79	\$40,066.82
State of Iowa	Salary	\$21,153.66	\$29,257.81

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	NXT Bank - Waterloo	March 2018	Business Loans for Amperage, LLC	\$100,001 - \$250,000
	COMMENTS: The amount reported represents Mr. Mathis's share of the liability.			
SP	NXT Bank - Waterloo	July 2021 (refinance)	Business Loans & Mortgage for ME & V Investments, LLC	\$100,001 - \$250,000
	COMMENTS: The amount reported represents Mr. Mathis's share of the liability.			
SP	U.S. Small Business Administration	April 2020 & February 2021	Paycheck Protection Program loans for Amperage, LLC	\$250,001 - \$500,000
	COMMENTS: Amperage LLC received two PPP loans. One in April 2020 and one in February, 2021. Both loans have since been fully forgiven in April 2021, and July 2021, respectively. The amount reported represents Mr. Mathis's share of the liability.			

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	Ohnward Bank & Trust
Board Member	Wartburg College Board of Regents
State Senator	Iowa Senate
Chief Community Officer	Four Oaks Family and Children's Services

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- Amperage, LLC 401(k) Plan (Owner: SP)
- Four Oaks Retirement Plan
- ME & V Investments LLC (Owner: SP)
LOCATION: US
- Principal Rollover IRA (Owner: SP)
- Principal Rollover IRA
- Principal Variable Life Insurance

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

COMMENTS

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Elizabeth Mathis , 11/24/2021