



FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Ann Roe
Status: Congressional Candidate
State/District: WI01

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2021
Filing Date: 08/26/2021
Period Covered: 01/01/2020– 07/31/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
CUSTOM COLLEGE SOLUTIONS, LLC, 100% Interest [OL] LOCATION: JANESVILLE, WI, US DESCRIPTION: PROPRIETOR OF COLLEGE PLANNING BUSINESS		\$1,001 - \$15,000	EARNED INCOME	\$201 - \$1,000	\$2,501 - \$5,000
JOHNSON BANK ACCOUNTS [BA]	JT	\$100,001 - \$250,000	Interest	\$1 - \$200	\$1 - \$200
MERCY HEALTH SYSTEM CORPORATION 403(B) RETIREMENT PLAN ⇒ VANGUARD SMALL CAP GROWTH INDEX FUND ADMIRAL SHARES [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		
MERCY HEALTH SYSTEM CORPORATION 403(B) RETIREMENT PLAN ⇒ VANGUARD TOTAL BOND MARKET INDEX FUND INSTITUTIONAL SHARES [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		
MERCY HEALTH SYSTEM CORPORATION 403(B) RETIREMENT PLAN ⇒ VANGUARD TOTAL STOCK MARKET INDEX FUND INSTITUTIONAL SHARES [MF]	SP	\$1,000,001 - \$5,000,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MERCY HEALTH SYSTEM CORPORATION 457(B) RETIREMENT PLAN ⇒ VANGUARD SMALL CAP GROWTH INDEX FUND ADMIRAL SHARES [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
MERCY HEALTH SYSTEM CORPORATION 457(B) RETIREMENT PLAN ⇒ VANGUARD TOTAL STOCK MARKET INDEX FUND INSTITUTIONAL SHARES [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		
MERCY HEALTH SYSTEM CORPORATION FROZEN PENSION [PE]	SP	\$250,001 - \$500,000	Tax-Deferred		
NORTHERN TRUST CORPORATION BROKERAGE ACCOUNT ⇒ BLACKROCK HIGH YIELD BOND PORTFOLIO FUND [MF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
NORTHERN TRUST CORPORATION BROKERAGE ACCOUNT ⇒ DFA EMERGING MARKETS CORE EQUITY PORTFOLIO FUND [MF]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
NORTHERN TRUST CORPORATION BROKERAGE ACCOUNT ⇒ DFA REAL ESTATE SECURITIES PORTFOLIO FUND [MF]	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
DESCRIPTION: FUND SOLD ON 5/21/2020					
NORTHERN TRUST CORPORATION BROKERAGE ACCOUNT ⇒ FLEXSHARES MORNINGSTAR GLOBAL UPSTREAM NATURAL RESOURCES INDEX FUND [MF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
NORTHERN TRUST CORPORATION BROKERAGE ACCOUNT ⇒ INVESCO DEVELOPING MARKETS FUND CLASS R6 [MF]	JT	\$1,001 - \$15,000	None		
NORTHERN TRUST CORPORATION BROKERAGE ACCOUNT ⇒ ISHARES MSCI EAFE ETF [EF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
NORTHERN TRUST CORPORATION BROKERAGE ACCOUNT ⇒ ISHARES RUSSELL 2000 GROWTH ETF [EF]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
NORTHERN TRUST CORPORATION BROKERAGE ACCOUNT ⇒ NORTHERN INTERNATIONAL EQUITY INDEX FUND [MF]	JT	\$50,001 - \$100,000	Dividends	None	\$1,001 - \$2,500
NORTHERN TRUST CORPORATION BROKERAGE ACCOUNT ⇒ NORTHERN LARGE CAP CORE FUND [MF] DESCRIPTION: DEPENDENT CHILD #2	DC	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
NORTHERN TRUST CORPORATION BROKERAGE ACCOUNT ⇒ NORTHERN LARGE CAP CORE FUND [MF] DESCRIPTION: DEPENDENT CHILD #1	DC	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
NORTHERN TRUST CORPORATION BROKERAGE ACCOUNT ⇒ NORTHERN LARGE CAP VALUE FUND [MF] DESCRIPTION: DEPENDENT CHILD #1	DC	\$1,001 - \$15,000	Dividends	None	\$1 - \$200
NORTHERN TRUST CORPORATION BROKERAGE ACCOUNT ⇒ NORTHERN LARGE CAP VALUE FUND [MF] DESCRIPTION: DEPENDENT CHILD #2	DC	\$1,001 - \$15,000	Dividends	None	\$1 - \$200
NORTHERN TRUST CORPORATION BROKERAGE ACCOUNT ⇒ NORTHERN MID CAP INDEX FUND [MF]	JT	\$15,001 - \$50,000	Dividends	None	\$1,001 - \$2,500
NORTHERN TRUST CORPORATION BROKERAGE ACCOUNT ⇒ NORTHERN MULTI-MANAGER GLOBAL LISTED INFRASTRUCTURE FUND [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
NORTHERN TRUST CORPORATION BROKERAGE ACCOUNT ⇒ NORTHERN STOCK INDEX FUND [MF]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$5,001 - \$15,000
NORTHERN TRUST CORPORATION BROKERAGE ACCOUNT ⇒ PRINCIPAL CASH [BA]	JT	\$15,001 - \$50,000	None		
NORTHERN TRUST CORPORATION TRADITIONAL IRA ⇒ DFA INTERNATIONAL SMALL COMPANY PORTFOLIO FUND [MF]		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
NORTHERN TRUST CORPORATION TRADITIONAL IRA ⇒ FLEXSHARES MORNINGSTAR GLOBAL UPSTREAM NATURAL RESOURCES INDEX FUND [MF]		\$15,001 - \$50,000	Tax-Deferred		
NORTHERN TRUST CORPORATION TRADITIONAL IRA ⇒ NORTHERN EMERGING MARKETS EQUITY INDEX FUND [MF]		\$15,001 - \$50,000	Tax-Deferred		
NORTHERN TRUST CORPORATION TRADITIONAL IRA ⇒ NORTHERN HIGH YIELD FIXED INCOME FUND [MF]		\$15,001 - \$50,000	Tax-Deferred		
NORTHERN TRUST CORPORATION TRADITIONAL IRA ⇒ NORTHERN INTERNATIONAL EQUITY INDEX FUND [MF]		\$50,001 - \$100,000	Tax-Deferred		
NORTHERN TRUST CORPORATION TRADITIONAL IRA ⇒ NORTHERN MID CAP INDEX FUND [MF]		\$15,001 - \$50,000	Tax-Deferred		
NORTHERN TRUST CORPORATION TRADITIONAL IRA ⇒ NORTHERN SMALL CAP INDEX FUND [MF]		\$15,001 - \$50,000	Tax-Deferred		
NORTHERN TRUST CORPORATION TRADITIONAL IRA ⇒ NORTHERN STOCK INDEX FUND [MF]		\$100,001 - \$250,000	Tax-Deferred		
NORTHERN TRUST CORPORATION TRADITIONAL IRA ⇒ PRINCIPAL CASH [IH]		\$1,001 - \$15,000	Tax-Deferred		
THE VANGUARD GROUP, INC ROTH IRA ⇒ VANGUARD TOTAL BOND MARKET ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		
THE VANGUARD GROUP, INC ROTH IRA ⇒ VANGUARD TOTAL BOND MARKET ETF [EF]		\$1,001 - \$15,000	Tax-Deferred		
THE VANGUARD GROUP, INC ROTH IRA ⇒ VANGUARD TOTAL INTERNATIONAL STOCK INDEX ETF [EF]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
THE VANGUARD GROUP, INC ROTH IRA ⇒ VANGUARD TOTAL INTERNATIONAL STOCK INDEX ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		
THE VANGUARD GROUP, INC ROTH IRA ⇒ VANGUARD TOTAL STOCK MARKET ETF [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		
THE VANGUARD GROUP, INC ROTH IRA ⇒ VANGUARD TOTAL STOCK MARKET ETF [EF]		\$15,001 - \$50,000	Tax-Deferred		
THE VANGUARD GROUP, INC TRADITIONAL IRA ⇒ VANGUARD LIFESTRATEGY GROWTH FUND [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
TIAA-CREF TUITION FINANCING, INC EDVEST 529 COLLEGE SAVINGS PLAN [5P] LOCATION: WI DESCRIPTION: DEPENDENT CHILD #1 - IN SCHOOL PORTFOLIO	DC	\$1,001 - \$15,000	Tax-Deferred		
TIAA-CREF TUITION FINANCING, INC EDVEST 529 COLLEGE SAVINGS PLAN [5P] LOCATION: WI DESCRIPTION: DEPENDENT CHILD #2 - IN SCHOOL PORTFOLIO	DC	\$15,001 - \$50,000	Tax-Deferred		
WISCONSIN RETIREMENT SYSTEM [PE]		\$15,001 - \$50,000	Tax-Deferred		

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
CUSTOM COLLEGE SOLUTIONS, LLC	PROPRIETOR OF COLLEGE PLANNING BUSINESS	\$450.00	\$2,875.00
MERCY HEALTH SYSTEM CORPORATION	SPOUSE SALARY	N/A	N/A

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
ADVISORY BOARD MEMBER	CHILDREN'S MUSEUM OF ROCK COUNTY
PRESIDENT	DOWNTOWN JANESVILLE, INC
COMMENTS: HELD OFFICE OF VICE PRESIDENT UNTIL BECAME PRESIDENT IN 2020	
PAST PRESIDENT	FOUNDATION FOR THE PRESERVATION OF 108 S JACKSON
COMMENTS: HELD OFFICE OF PRESIDENT UNTIL BECAME PAST PRESIDENT IN 2020	
FINANCE CHAIR	JANESVILLE WOMAN'S CLUB ASSOCIATION
COMMENTS: HELD PRIOR OFFICES IN ORGANIZATION INCLUDING PRESIDENT, PAST PRESIDENT, TREASURER AND PROGRAMS VP OVER LAST SEVERAL YEARS	

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
September 2008	ANN ROE AND WISCONSIN RETIREMENT SYSTEM	PENSION EARNED DUE TO PAST EMPLOYMENT WITH THE UNIVERSITY OF WISCONSIN-WHITEWATER

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none"> o MERCY HEALTH SYSTEM CORPORATION 403(B) RETIREMENT PLAN (Owner: SP) DESCRIPTION: PLAN ADMINISTERED BY VOYA FINANCIAL o MERCY HEALTH SYSTEM CORPORATION 457(B) RETIREMENT PLAN (Owner: SP) DESCRIPTION: PLAN ADMINISTERED BY VOYA FINANCIAL o NORTHERN TRUST CORPORATION BROKERAGE ACCOUNT (Owner: JT) LOCATION: US o NORTHERN TRUST CORPORATION BROKERAGE ACCOUNT (Owner: DC) LOCATION: US o NORTHERN TRUST CORPORATION TRADITIONAL IRA o THE VANGUARD GROUP, INC ROTH IRA (Owner: SP) o THE VANGUARD GROUP, INC ROTH IRA o THE VANGUARD GROUP, INC TRADITIONAL IRA (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

COMMENTS

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Ann Roe , 08/26/2021