



# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Max Steiner  
**Status:** Congressional Candidate  
**State/District:** CA01

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2021  
**Filing Date:** 08/2/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Bank of America [BA]	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Broadcom Inc. (AVGO) [ST]		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Citi - Self [BA]		\$15,001 - \$50,000	None		
Citi - Spouse [BA]	SP	\$15,001 - \$50,000	None		
Dodge and Cox ⇒ Dodge and Cox [MF]		\$15,001 - \$50,000	Capital Gains, Interest	\$201 - \$1,000	\$1,001 - \$2,500
DESCRIPTION: Roth IRA Account					
First Republic [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Four J Farms, 3% Interest [FA]		\$15,001 - \$50,000	LLC, pro-rata rent income	\$1,001 - \$2,500	\$1,001 - \$2,500
LOCATION: Woodland, CA, US					
DESCRIPTION: This is a family farm holding split between all of the cousin's on my mother's side. I do not run the LLC or have any influence on its activities. We "rent" the farm to my second cousins, who still live in Nebraska.					
House [RP]	JT	\$100,001 -	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
		\$250,000			
LOCATION: Chico, CA, US					
DESCRIPTION: Our House, of which we have about 150k in equity.					
IRA - Spouse [OT]	SP	\$15,001 - \$50,000	None		
DESCRIPTION: IRA held by spouse.					
L Brands, Inc. (LB) [ST]		\$1,001 - \$15,000	None		
Micron Technology, Inc. (MU) [ST]		\$100,001 - \$250,000	Capital Gains	\$15,001 - \$50,000	None
Roth 401k - Spouse [OT]	SP	\$50,001 - \$100,000	None		
DESCRIPTION: Wife has an Empower 401k Retirement Account					
SOFI - Spouse [BA]	SP	\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
SoFi Money Account [BA]		\$50,001 - \$100,000	Interest	\$201 - \$1,000	\$201 - \$1,000
SPDR S&P 500 (SPY) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
TD Ameritrade [OP]		\$15,001 - \$50,000	None		
DESCRIPTION: I own an option account with "puts" on PDD (120 shares), SHOP (12 shares), and NVDA (4 shares)					
TTM Technologies, Inc. (TTMI) [ST]		\$1,001 - \$15,000	None		
Uniti Group Inc. (UNIT) [ST]		\$1,001 - \$15,000	None		
USAA [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
US Army Reserves	Salary	\$16,230.00	\$14,586.00
RAND Corporation	Salary	\$32,837.00	\$7,000.00

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Payoneer	Salary - Spouse	\$159,597.00	\$136,507.00

#### SCHEDULE D: LIABILITIES

None disclosed.

#### SCHEDULE E: POSITIONS

None disclosed.

#### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
August 2019	Department of State	I was on LWOP with the Department of State until declaring for Congress. I intended to return to the State Department as a Foreign Service Officer. Not sure if this is actually "reportable", but I was on leave from government service in the past two years.

#### SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

#### SCHEDULE A ASSET CLASS DETAILS

- o Dodge and Cox  
DESCRIPTION: Dodge and Cox Account DODGX DODFX

#### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Max Steiner , 08/2/2021