



Filing ID #10040472

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Kirsten Engel
Status: Congressional Candidate
State/District: AZ02

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2021
Filing Date: 06/3/2021
Period Covered: 01/01/2020– 05/15/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Amgen Inc. (AMGN) [ST]	SP	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	\$1 - \$200
Ballard Power Systems, Inc. - Common Shares (BLDP) [ST]	SP	\$1,001 - \$15,000	None		
Bank of America Corporation (BAC) [ST]	SP	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	\$1 - \$200
Conduent Incorporated (CNDT) [ST]	SP	\$1 - \$1,000	None		
Federal National Mortgage Association (FNMA) [ST]	SP	\$1 - \$1,000	None		
Fidelity Cash Account [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
IDA with Ameritrade [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
JP Morgan Chase & Co. (JPM) [ST]	SP	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	\$1 - \$200
Nokia Corporation Sponsored American	SP	\$1 - \$1,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Depository Shares (NOK) [ST]					
Residential rental property located in Tucson, AZ [RP]	JT	\$500,001 - \$1,000,000	Rent	\$2,501 - \$5,000	\$5,001 - \$15,000
LOCATION: Tucson/Pima, AZ, US					
SEP-IRA [MF]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Fidelity Government Cash Reserves					
SEP-IRA [EF]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: SPDR Gold Trust					
SEP-IRA [MF]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Brookfield Infrastructure Partners LP Limited Partnership Units (BIP)					
Starbucks Corporation (SBUX) [ST]	SP	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	\$1 - \$200
Tulane University Faculty and Administrative Retirement Plan [MF]		\$100,001 - \$250,000	Tax-Deferred		
DESCRIPTION: TIAA Traditional					
Tulane University Faculty and Administrative Retirement Plan [MF]		\$1 - \$1,000	Tax-Deferred		
DESCRIPTION: CREF Social Choice					
Tulane University Faculty and Administrative Retirement Plan [MF]		\$1 - \$1,000	Tax-Deferred		
DESCRIPTION: CREF Bond Market					
Tulane University Faculty and Administrative Retirement Plan [MF]		\$1 - \$1,000	Tax-Deferred		
DESCRIPTION: CREF Global Equities					
Tulane University Faculty and Administrative Retirement Plan [MF]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: TIAA Real Estate Fund					
Tulane University Faculty and Administrative Retirement Plan [MF]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: TIAA-CREF Real Estate Securities Fund - Retirement Class					
University of Arizona 403b Plan [MF]		\$50,001 - \$100,000	Tax-Deferred		
DESCRIPTION: Fidelity Government Cash Reserves					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
University of Arizona 403b Plan [MF] DESCRIPTION: Deer Park Total Return Credit Fund		\$15,001 - \$50,000	Tax-Deferred		
University of Arizona 403b Plan [MF] DESCRIPTION: Eventide Gilead Fund Class I		\$15,001 - \$50,000	Tax-Deferred		
University of Arizona 403b Plan [MF] DESCRIPTION: Fidelity Select Utilities Portfolio		\$50,001 - \$100,000	Tax-Deferred		
University of Arizona 403b Plan [MF] DESCRIPTION: AlphaCentric Income Opportunities Fund Class I		\$15,001 - \$50,000	Tax-Deferred		
University of Arizona 403b Plan [MF] DESCRIPTION: Lord Abbett Short Duration Income Fund Class I		\$15,001 - \$50,000	Tax-Deferred		
University of Arizona 403b Plan [MF] DESCRIPTION: Fidelity Contrafund K6		\$250,001 - \$500,000	Tax-Deferred		
University of Arizona 403b Plan [MF] DESCRIPTION: Vanguard Total Bond Market Index Fund Institutional Shares		\$15,001 - \$50,000	Tax-Deferred		
University of Arizona 403b Plan [MF] DESCRIPTION: Vanguard Federal Money Market Fund	SP	\$100,001 - \$250,000	Tax-Deferred		
University of Arizona ORP [MF] DESCRIPTION: Martin Currie Emerging Markets Fund Class FI		\$100,001 - \$250,000	Tax-Deferred		
University of Arizona ORP [MF] DESCRIPTION: Vanguard Total Bond Market Index Fund Institutional Shares	SP	\$15,001 - \$50,000	Tax-Deferred		
University of Arizona ORP [MF] DESCRIPTION: Eventide Gilead Class I	SP	\$50,001 - \$100,000	Tax-Deferred		
University of Arizona ORP [EF] DESCRIPTION: iShares MSCI USA Min Vol Factor ETF	SP	\$50,001 - \$100,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
University of Arizona ORP [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Fidelity® Select Medical Technology and Devices Portfolio					
University of Arizona ORP [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: BlackRock Multi-Asset Income Portfolio Investor A Shares					
University of Arizona ORP [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Alger Small Cap Focus Fund Class I					
University of Arizona ORP [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Fidelity Government Cash Reserves					
University of Arizona ORP [MF]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Fidelity Government Cash Reserves					
University of Arizona ORP [EF]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: iShares MSCI Global Min Vol Factor					
University of Arizona ORP [MF]		\$50,001 - \$100,000	Tax-Deferred		
DESCRIPTION: Fidelity Capital and Income Fund					
University of Arizona ORP [MF]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Fidelity Select Consumer Staples Portfolio					
University of Arizona ORP [MF]		\$50,001 - \$100,000	Tax-Deferred		
DESCRIPTION: Permanent Portfolio Class I					
University of Arizona ORP [EF]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Invesco ETF DWA Staples					
University of Arizona ORP [EF]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: First Trust NASDAQ Clean Edge Green Energy Index Fund					
University of Arizona ORP [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		
DESCRIPTION: MassMutual Mid Cap Growth Fund Class I					
University of Arizona ORP [MF]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Vanguard Total Bond Market Index Fund Institutional Shares					
Wells Fargo Checking Account [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Wells Fargo Savings Account [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Xerox Holdings Corporation (XRX) [ST]	SP	\$1 - \$1,000	Capital Gains	\$1 - \$200	\$1 - \$200

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
University of Arizona	Salary	\$55,241.39	\$162,320.49
State of Arizona Department of Administration	Salary	\$11,000.00	\$24,000.00
University of Arizona	Spouse's salary	\$46,897.30	\$132,670.24

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo Bank	January 2018	Mortgage on personal residence with attached guesthouse that is used for rental income	\$250,001 - \$500,000
COMMENTS: Date listed refers to date we began renting attached guesthouse. Property was purchased in 2004 and used solely as a personal residence prior to this date.				

SCHEDULE E: POSITIONS

Position	Name of Organization
Arizona State Senator COMMENTS: January 2021 - present	Arizona State Senate
Arizona State Representative COMMENTS: Position held Jan 2019 - Jan 2021	Arizona House of Representatives
Faculty member	University of Arizona College of Law
Legal Advisory Board member	Tulane Environmental Law Clinic

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Kirsten Engel , 06/3/2021