DORIS O. MATSUI
6TH DISTRICT, CALIFORNIA

COMMITTEE ON ENERGY
AND COMMERCE

SMITHSONIAN INSTITUTION,
BOARD OF REGENTS

HAND
DELIVERED

Congress of the United States
House of Representatives
Washington, DC 20515-0506

WASHINGTON OFFICE
2311 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-0506
(202) 225-7163

DISTRICT OFFICE
ROBERT T. MATSUI U.S. COURTHOUSE
501 I STREET, SUITE 12-600
SACRAMENTO, CA 95814
(916) 498-5600

http://matsui.house.gov

MC

RE: Amended 2020 Financial Disclosure Statement

To Whom it May Concern:

Enclosed is an amended 2020 Financial Disclosure Statement. At the time of the initial filing, my husband had not yet received all 2020 K-1s. In place of the K-1s, estimates were used. The K-1s were subsequently received and we noted discrepancies in the classification and amount of income from the following investments owned by my husband:

- ICONIQ Strategic Partners III, LP
- Rockefeller 2006 Special Global Opportunities, LLC
- Rockefeller SGO Distressed Fund, LLC

The enclosed Statement has been amended to reflect the changes and commentary under the Notes section has been added.

Please contact me with any questions.

Very truly yours,

Doris O. Matsui

Honorable Doris O. Matsui

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2021 DEC -6 PM 2:54

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES
2020 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

(Office Use Only)

A $200 penalty shall be assessed against any individual who files more than 30 days late.

Name: Hon. Doris O. Matsui **Daytime Telephone:** 202-225-7163

FILER STATUS	[X] Member of the U.S. House of Representatives — State: California District: 6	[ ] Officer or Employee — Employing Office: ______	Staff Filer Type: (If Applicable) Shared [ ] Principal Assistant [ ]
REPORT TYPE	[ ] 2020 Annual (Due: May 17, 2021)	[X] Amendment	[ ] Termination — Date of Termination: ______

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

	Yes	No		Yes	No
A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than $1,000 at the end of the reporting period? or b. Receive more than $200 in unearned income from any reportable asset during the reporting period?	X		**F.** Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?		X
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding $1,000 during the reporting period?	X		**G.** Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than $415 in value from a single source during the reporting period?		X
C. Did you or your spouse have "earned" income (*e.g.*, salaries, honoraria, or pension/IRA distributions) of $200 or more during the reporting period?		X	**H.** Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than $415 in value from a single source during the reporting period?		X
D. Did you, your spouse, or your dependent child have any reportable liability (more than $10,000) at any point during the reporting period?	X		**I.** Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?		X
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	X		**ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"**		

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

	Yes	No
IPO – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.		X
TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?		X
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.		X

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Hon. Doris O. Matsui | Page 2

SP, DC, JT	ASSET NAME	EIF	BLOCK B Value of Asset: A NONE	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	M Spouse / DC Asset over $1,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	BLOCK D Amount of Income: I NONE	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	XII Over $1,000,000 (Spouse/DC Asset)	BLOCK E Transaction: P S S(part) E
	Congressional Federal Credit Union								X										X						X											
	Department of Commerce Credit Union				X												X								X											
	Northern Trust Checking							X								X																				
	Northwestern Mutual FDIC Insurance Program								X										X						X											
	Sun Life Co. of Canada				X														X						X											
	SunTrust Bank Checking					X										X																				
	Nuveen Limited Term Municipal Bond Fund	X						X											X								X									S(part)
	Invesco Developing Markets	X					X										X								X											
	Vanguard European Stock Index	X					X										X										X									
	Vanguard Inflation Protected Securities Fund	X					X										X									X										
	Vanguard Intermediate Term Tax Exempt	X						X											X									X								
	Vanguard Large Cap Index	X							X								X											X								
	Vanguard Mid Cap Index	X					X										X										X									
	Vanguard Pacific Stock Index	X				X											X									X										
	Vanguard Reit Index	X				X											X									X										
	Vanguard Small Cap Index	X					X										X									X										
JT	Bank of America Checking							X								X																				
SP	Northern Trust - Persimmon Tree Farm Checking							X								X																				
SP	Bank of America - Adv Plus Banking						X									X																				
SP	Bank of America - Wealth Mgmt Adv. Banking								X							X																				
SP	Bank of America - Personal Banking					X										X																				
SP	Bank of America - Adv Plus Banking					X										X																				
SP	Money Market Fund at Northern Trust														X				X											X						
SP	Money Market Fund at Northern Trust								X										X							X										
SP	Vanguard Total Stock Market ETF	X													X		X															X				
SP	iShares S&P 500 ETF	X													X		X													X						
SP	Schwab U.S. Broad Market ETF	X													X		X													X						S(part)
SP	Baird Aggregate Bond Fund	X													X		X														X					P
SP	MetWest Total Return Bond Fund	X													X		X															X				P
SP	Vanguard Limited-Term Tax Exempt	X													X		X													X						P
SP	Tencent Music Entertainment Group		X													X																				S
SP	Zoom Video Communication		X													X																				S
SP	U.S. Treasury Note 1.375% due 05/31/2020		X																X									X								
SP	U.S. Treasury Note 2.0% due 11/30/2020		X																X											X						P, S(part)
SP	U.S. Treasury Note 1.125% due 02/28/2021														X				X							X										P
SP	U.S. Treasury Note 2.125% due 05/31/2021														X				X											X						P
SP	U.S. Treasury Note 2.625% due 07/15/2021														X				X												X					
SP	*U.S. Treasury Note 1.125% due 08/31/2021*														X				X							X										P
SP	Taconic Capital Partners 1.5, LP	X													X		X		X	X			X							X						
SP	Davidson Kempner Partners	X													X		X	X	X	X			X												X	
SP	Senator Global Opportunity Fund LP	X	X														X		X	X			X									X				S
SP	BGOF Liquidating Master Ltd.	X						X								X																				
SP	Inclusive Capital Partners Spring Fund, LP	X													X		X		X	X			X									X				
SP	AG Credit Real Estate Debt Opportunities Fund, LP	X													X	X																				
SP	AG Credit Real Estate Debt Opportunities Fund II, LP	X													X		X		X				X									X				
SP	Blackstreet Capital Partners II, LP *	X								X						X																				
SP	Carlyle Asia Growth Partners III, LP	X			X											X																				
SP	CrossHill Debt Fund II, LP *		X													X																				
SP	General Atlantic Investment Partners 2019, LP	X													X		X		X				X						X							
SP	ICONIQ Strategic Partners III, LP	X													X		X			X			X							X						
SP	Rockefeller 2006 Special Global Opportunities LLC	X						X									X		X	X			X						X							
SP	Rockefeller SGO Distressed Fund, LLC	X								X							X	X	X	X			X									X				
SP	Thayer Hotel Investors VI	X						X								X																				
SP	The Lyme Forest Fund V, LP	X													X	X																				
SP	888 Wharf, LLC*														X	X																				
SP	888 Riverside, LLC*								X							X																				
	Persimmon Tree Capital Fund, LP																																			
SP	Arborview Capital Partners, LP*														X				X	X															X	
SP	Arborview LRI Investors, LP*			X												X																				
SP	Arborview Paragon Investors, LP*			X															X							X										
	Sant Associates, LLC																																			
SP	Northern Trust Money Market Fund							X											X							X										
	N Street Air, LLC																																			
SP	Northern Trust Money Market Fund						X												X							X										

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Hon. Doris O. Matsui | Page 3

			BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction
			A	B	C	D	E	F	G	H	I	J	K	L	M									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
SP, DC, JT	ASSET NAME	EIF	NONE	$1 - $1,000	$1,001 - $15,000	$15 001 - $50,000	$50 001 - $100,000	$100 001 - $250 000	$250 001 - $500,000	$500 001 - $1,000 000	$1,000,001 - $5 000 000	$5,000,001 - $25,000 000	$25 000,001 - $50,000,000	Over $50,000,000	Spouse / DC Asset over $1,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	NONE	$1 - $200	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	$1,000,001 - $5,000,000	Over $5,000,000	Over $1,000,000 (Spouse/DC Asset)	P S S(part) E
	SANTVEST, LLC																																			
SP	Schwab Money Market Fund			X															X						X											
SP	Schwab Money Market Fund						X												X						X											
SP	Schwab Money Market Fund			X															X						X											
SP	Senator Global Opportunity Fund, LP	X	X														X		X	X			X									X				S
SP	SGOF Liquidating Master Ltd.	X						X								X																				
SP	AG Annex Dislocation Fund, LP	X													X		X		X	X												X				
SP	AG Capital Recovery Partners VIII, LP	X						X									X		X	X									X							
SP	AG Securitized Asset Recovery Fund	X			X												X		X	X			X								X					
SP	AG Super Fund, LP	X													X		X		X				X									X				
SP	Owl Creek II, LP	X							X								X		X	X												X				S(part)
SP	Baird Aggregates Bond Fund	X													X		X												X							P, S(part)
SP	MetWest Total Return Bond Fund	X													X		X													X						P, S(part)
SP	Vanguard Limited-Term Tax Exempt Fund	X													X		X											X								P, S(part)
	Aspen Charitable Remainder Trust																						X												X	
SP	Money Market Fund							X																												
SP	U.S. Treasury Note 1.625% due 03/15/2020		X																																	
SP	U.S. Treasury Note 1.5% due 06/15/2020		X																																	
SP	U.S. Treasury Note 1.375% due 09/15/2020		X																																	S(part)
SP	U.S. Treasury Note 2.625% due 07/15/2021								X																											S(part)
SP	Baird Aggregate Bond Fund	X													X																					P
SP	MetWest Total Return Bond Fund	X													X																					P
SP	AG CSF Annex Fund Ltd.	X													X																					P
SP	Davidson Kempner International (BVI), Ltd.	X													X																					
SP	Taconic Offshore Fund 1.5, Ltd.	X													X																					
SP	Anchorage Capital Partners Offshore, Ltd.	X													X																					S(part)
SP	Centerbridge Credit Partners Offshore, Ltd.	X													X																					
SP	Vanguard Total Stock Market ETF	X													X																					S(part)
SP	Tiger Global Long Opportunities, Ltd	X													X																					
SP	The Children's Investment Fund	X													X																					
SP	Owl Creek Overseas Fund, Ltd.	X													X																					
SP	Tiger Global, Ltd.	X													X																					
SP	Parvus European Abs. Opp. Fund, Ltd.	X							X																											S(part)
SP	Senator Global Opportunity Offshore Fund	X	X																																	S(part), S
SP	The Overlook Partners Fund, LP	X													X																					
SP	Fir Tree International Value Fund II, Ltd.	X			X																															S(part)
	Aspen Charitable Remainder Trust 2																						X												X	
SP	Money Market Fund								X																											
SP	U.S. Treasury Note 1.625% due 03/15/2020		X																																	
SP	U.S. Treasury Note 1.5% due 06/15/2020		X																																	
SP	U.S. Treasury Note 1.375% due 09/15/2020		X																																	
SP	U.S. Treasury Note 2.375% due 03/15/2021														X																					P
SP	U.S. Treasury Note 2.625% due 07/15/2021								X																											
SP	Baird Aggregate Bond Fund	X													X																					P
SP	MetWest Total Return Bond Fund	X													X																					P
SP	AllBlue Limited	X			X																															
SP	Taconic Offshore Fund 1.5, Ltd.	X													X																					
SP	Anchorage Capital Partners Offshore, Ltd.	X								X																										S(part)
SP	Vanguard Total Stock Market ETF	X													X																					S(part)
SP	Senator Global Opportunity Offshore Fund	X	X																																	S(part), S
SP	Owl Creek Overseas Fund, Ltd.	X						X																												S(part)
	Nebis Charitable Remainder Trust																						X												X	
SP	Money Market Fund							X																												
SP	U.S. Treasury Note 1.375% due 02/15/2020		X																																	
SP	U.S. Treasury Note 3.5% due 05/15/2020		X																																	
SP	Baird Aggregate Bond Fund	X													X																					P
SP	MetWest Total Return Bond Fund	X													X																					P
SP	AG Super Fund International	X			X																															
SP	Anchorage Capital Partners Offshore, Ltd.	X								X																										
SP	Vanguard Total Stock Market ETF	X													X																					S(part)
	Landmark Charitable Remainder Trust																						X									X				
SP	Money Market Fund						X																													
SP	U.S. Treasury Note 1.625% due 11/30/2020		X																																	
SP	U.S. Treasury Note 2.625% due 07/15/2021							X																												

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Hon. Doris O. Matsui | Page 4

			BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction
SP, DC, JT	ASSET NAME	EIF	A NONE	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	M Spouse / DC Asset over $1,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I NONE	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	XII Over $1,000,000 (Spouse/DC Asset)	P S S(part) E
SP	Baird Aggregate Bond Fund	X								X																										P
SP	MetWest Total Return Bond Fund	X								X																										P
SP	AllBlue Limited	X			X																															
SP	Taconic Offshore Fund 1.5, Ltd.	X													X																					
SP	Anchorage Capital Partners Offshore, Ltd.	X													X																					S(part)
SP	Vanguard Total Stock Market ETF	X													X																					S(part)
SP	Fir Tree International Value Fund II, Ltd	X			X																															S(part)
	Persimmon Charitable Remainder Trust																						X												X	
SP	Money Market Fund							X																												
SP	U.S. Treasury Note 1.625% due 03/15/2020		X																																	
SP	U.S. Treasury Note 1.5% due 08/15/2020		X																																	
SP	U.S. Treasury Note 3.375% due 09/15/2020		X																																	S(part)
SP	U.S. Treasury Note 1.875% due 12/15/2020		X																																	
SP	U.S. Treasury Note 2.625% due 07/15/2021														X																					
SP	Baird Aggregate Bond Fund	X													X																					P
SP	MetWest Total Return Bond Fund	X													X																					P
SP	AG Super Fund International	X			X																															
SP	AG CSF Annex Fund Ltd.	X													X																					P
SP	AllBlue Limited	X			X																															
SP	Davidson Kempner International (BVI), Ltd.	X													X																					
SP	Centerbridge Credit Partners Offshore, Ltd.	X													X																					S(part)
SP	Vanguard Total Stock Market ETF	X													X																					S(part)
SP	Tiger Global Long Opportunities, Ltd.	X													X																					
SP	The Children's Investment Fund	X													X																					
SP	Tiger Global, Ltd.	X													X																					
SP	Parvus European Abs. Opp. Fund, Ltd.	X						X																												S(part)
SP	The Overlook Partners Fund, LP	X													X																					S(part)
SP	Fir Tree International Value Fund II, Ltd.	X			X																															S(part)
SP	TPG - Axon Offshore	X					X																													
SP	Sonshine Charitable Remainder Unitrust														X								X									X				
SP	Park Trust	X	X														X		X	X			X											X		

* Not classified as an EIF, but is subject to a Confidentiality Agreement

SCHEDULE B - TRANSACTIONS

Name Hon. Doris O. Matsui

SP, DC, JT	Asset	Type of Transaction: Purchase	Sale	Partial Sale	Exchange	Check here if Capital Gains Exceeded $200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly if applicable	Amount of Transaction: A $1,001 - $15,000	B $15,001 - $50,000	C $50,001 - $100,000	D $100,001 - $250,000	E $250,001 - $500,000	F $500,001 - $1,000,000	G $1,000,001 - $5,000,000	H $5,000,001 - $25,000,000	I $25,000,001 - $50,000,000	J Over $50,000,000	K Over $1,000,000 (Spouse/DC Asset)
	Congressional Federal Credit Union																	
	Department of Commerce Credit Union																	
	Northern Trust Checking																	
	Northwestern Mutual FDIC Insurance Program																	
	Sun Life Co. of Canada																	
	SunTrust Bank Checking																	
	Nuveen Limited Term Municipal Bond Fund			X			4/3/2020	X										
	Invesco Developing Markets																	
	Vanguard European Stock Index	X					4/3/2020	X										
	Vanguard Inflation Protected Securities Fund																	
	Vanguard Intermediate Term Tax Exempt																	
	Vanguard Large Cap Index																	
	Vanguard Mid Cap Index	X					4/3/2020	X										
	Vanguard Pacific Stock Index																	
	Vanguard Reit Index																	
	Vanguard Small Cap Index																	
JT	Bank of America Checking																	
SP	Northern Trust - Persimmon Tree Farm Checking																	
SP	Bank of America - Adv Plus Banking																	
SP	Bank of America - Wealth Mgmt Adv Banking																	
SP	Bank of America - Persimmon Tree Farm Banking																	
SP	Bank of America - Adv Plus Banking																	
SP	Vanguard Total Stock Market ETF																	
SP	iShares S&P 500 ETF																	
SP	Schwab U.S. Broad Market ETF			X		X	4/13/2020											X
SP	Baird Aggregate Bond Fund	X					9/2/2020											X
SP	Baird Aggregate Bond Fund	X					9/30/2020						X					
SP	MetWest Total Return Bond Fund	X					9/2/2020											X
SP	MetWest Total Return Bond Fund	X					9/30/2020						X					
SP	Vanguard Limited-Term Tax Exempt	X					9/2/2020											X
SP	Vanguard Limited-Term Tax Exempt	X					9/30/2020						X					
SP	Vanguard Limited-Term Tax Exempt	X					11/27/2020											X
SP	Vanguard Limited-Term Tax Exempt	X					12/30/2020											X
SP	Tencent Music Entertainment Group		X			X	7/21/2020	X										
SP	Zoom Video Communication		X			X	9/4/2020			X								
SP	U.S. Treasury Note 2.0% due 11/30/2020	X					8/26/2020											X
SP	U.S. Treasury Note 1.125% due 02/28/2021	X					8/26/2020											X
SP	U.S. Treasury Note 1.125% due 08/31/2021	X					8/26/2020											X
SP	U.S. Treasury Note 2.125% due 08/31/2021	X					8/26/2020											X
SP	U.S. Treasury Note 2.0% due 11/30/2020			X			10/9/2020											X
SP	U.S. Treasury Note 2.0% due 11/30/2020			X			10/26/2020											X
SP	Teconic Capital Partners 1.5, LP																	
SP	Davidson Kempner Partners																	
SP	Senator Global Opportunity Fund LP			X		X	7/21/2020											X
SP	Inclusive Capital Partners Spring Fund, LP																	
SP	AG Credit Real Estate Debt Opportunities Fund, LP																	
SP	AG Credit Real Estate Debt Opportunities Fund II, LP																	
SP	Blackstreet Capital Partners II, LP																	
SP	Carlyle Asia Growth Partners III, LP																	
SP	Carlyle Mezzanine Partners, LP																	
SP	CrossHill Debt Fund II, LP																	
SP	General Atlantic Investment Partners 2019, LP																	
SP	ICONIQ Strategic Partners III, LP																	
SP	Rockefeller 2008 Special Global Opportunities LLC																	
SP	Rockefeller SGO Distressed Fund, LLC																	
SP	Thayer Hotel Investors VI																	
SP	The Lyme Forest Fund V, LP																	
SP	Persimmon Tree Capital, LLC																	
SP	888 Wharf, LLC																	
SP	888 Riverside, LLC																	
	Persimmon Tree Capital Fund, LP																	
SP	Arborview Capital Partners, LP*																	
SP	Arborview LRI Investors, LP*																	
SP	Arborview Paragon Investors, LP*																	
	Sant Associates, LLC																	
SP	Northern Trust Money Market Fund																	
	N Street Air, LLC																	
SP	Northern Trust Money Market Fund																	

SCHEDULE B - TRANSACTIONS

Name Hon. Doris O. Matsui

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SP, DC, JT	Asset	Type of Transaction: Purchase	Sale	Partial Sale	Exchange	Check here if Capital Gains Exceeded $200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: A $1,001 - $15,000	B $15,001 - $50,000	C $50,001 - $100,000	D $100,001 - $250,000	E $250,001 - $500,000	F $500,001 - $1,000,000	G $1,000,001 - $5,000,000	H $5,000,001 - $25,000,000	I $25,000,001 - $50,000,000	J Over $50,000,000	K Over $1,000,000 (Spouse/DC Asset)
	SANTVEST, LLC																	
SP	Senator Global Opportunity Fund, LP			X		X	7/21/2020											X
SP	AG Annex Dislocation Fund, LP	X					6/16/2020						X					
SP	AG Capital Recovery Partners VIII, LP																	
SP	AG Securitized Asset Recovery Fund																	
SP	AG Super Fund, LP																	
SP	Owl Creek II, LP			X		X	10/29/2020											X
SP	Baird Aggregates Bond Fund	X					9/9/2020											X
SP	Baird Aggregates Bond Fund			X		X	9/17/2020					X						
SP	Baird Aggregates Bond Fund	X					12/22/2020				X							
SP	MetWest Total Return Bond Fund	X					9/9/2020											X
SP	MetWest Total Return Bond Fund			X		X	9/17/2020					X						
SP	MetWest Total Return Bond Fund	X					12/22/2020				X							
SP	Vanguard Limited-Term Tax Exempt Fund	X					9/10/2020											X
SP	Vanguard Limited-Term Tax Exempt Fund			X			9/18/2020					X						
SP	Vanguard Limited-Term Tax Exempt Fund	X					12/22/2020				X							
	Aspen Charitable Remainder Trust																	
SP	U.S. Treasury Note 1.375% due 09/15/2020			X			7/31/2020					X						
SP	U.S. Treasury Note 2.625% due 07/15/2021			X			9/17/2020					X						
SP	U.S. Treasury Note 2.625% due 07/15/2021			X			12/28/2020					X						
SP	Baird Aggregate Bond Fund	X					7/28/2020											X
SP	MetWest Total Return Bond Fund	X					7/28/2020											X
SP	AG CBF Annex Fund Ltd.	X					6/16/2020					X						
SP	Davidson Kempner International (BVI) Ltd.																	
SP	Taconic Offshore Fund 1.5, Ltd.																	
SP	Anchorage Capital Partners Offshore, Ltd.																	
SP	Centerbridge Credit Partners Offshore, Ltd.																	
SP	Vanguard Total Stock Market ETF			X			4/13/2020											X
SP	Tiger Global Long Opportunities, Ltd.																	
SP	The Children's Investment Fund																	
SP	Owl Creek Overseas Fund, Ltd.																	
SP	Tiger Global, Ltd.																	
SP	Parvus European Abs. Opp. Fund, Ltd.			X			9/11/2020											
SP	Parvus European Abs. Opp. Fund, Ltd			X			10/14/2020											
SP	Senator Global Opportunity Offshore Fund			X			4/17/2020											X
SP	Senator Global Opportunity Offshore Fund		X				9/18/2020			X								
SP	The Overlook Partners Fund, LP																	
SP	Fir Tree International Value Fund II, Ltd.			X			4/17/2020				X							
SP	Fir Tree International Value Fund II, Ltd.			X			8/20/2020	X										
SP	Fir Tree International Value Fund II, Ltd.			X			12/22/2020	X										
	Aspen Charitable Remainder Trust 2																	
SP	U.S. Treasury Note 2.375% due 03/15/2021	X					10/30/2020											X
SP	U.S. Treasury Note 2.625% due 07/15/2021																	
SP	Baird Aggregate Bond Fund	X					7/28/2020											X
SP	Baird Aggregate Bond Fund	X					10/29/2020				X							
SP	MetWest Total Return Bond Fund	X					7/28/2020											X
SP	MetWest Total Return Bond Fund	X					10/29/2020				X							
SP	AllBlue Limited																	
SP	Taconic Offshore Fund 1.5, Ltd.																	
SP	Anchorage Capital Partners Offshore, Ltd			X			4/22/2020						X					
SP	Anchorage Capital Partners Offshore, Ltd.			X			10/21/2020						X					
SP	Anchorage Capital Partners Offshore, Ltd.			X			12/31/2020						X					
SP	Vanguard Total Stock Market ETF			X			4/13/2020					X						
SP	Senator Global Opportunity Offshore Fund			X			4/17/2020					X						
SP	Senator Global Opportunity Offshore Fund		X				9/18/2020		X									
SP	Owl Creek Overseas Fund, Ltd.			X			10/29/2020											X
	Nabs Charitable Remainder Trust																	
SP	Baird Aggregate Bond Fund	X					7/28/2020											X
SP	Baird Aggregate Bond Fund	X					10/29/2020				X							
SP	MetWest Total Return Bond Fund	X					7/28/2020											X
SP	MetWest Total Return Bond Fund	X					10/29/2020				X							
SP	AG Super Fund International																	
SP	Anchorage Capital Partners Offshore, Ltd.																	
SP	Vanguard Total Stock Market ETF			X			4/13/2020											X
	Landmark Charitable Remainder Trust																	
SP	U.S. Treasury Note 2.625% due 07/15/2021																	
SP	Baird Aggregate Bond Fund	X					7/28/2020						X					
SP	Baird Aggregate Bond Fund	X					10/29/2020				X							

SCHEDULE B - TRANSACTIONS

Name Hon. Doris O. Matsui

SP, DC, JT	Asset	Type of Transaction: Purchase	Sale	Partial Sale	Exchange	Check here if Capital Gains Exceeded $200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: A $1,001 - $15,000	B $15,001 - $50,000	C $50,001 - $100,000	D $100,001 - $250,000	E $250,001 - $500,000	F $500,001 - $1,000,000	G $1,000,001 - $5,000,000	H $5,000,001 - $25,000,000	I $25,000,001 - $50,000,000	J Over $50,000,000	K Over $1,000,000 (Spouse/DC Asset)
SP	Baird Aggregate Bond Fund	X					12/30/2020				X							
SP	MetWest Total Return Bond Fund	X					7/28/2020						X					
SP	MetWest Total Return Bond Fund	X					10/29/2020				X							
SP	MetWest Total Return Bond Fund	X					12/30/2020				X							
SP	AllBlue Limited																	
SP	Taconic Offshore Fund 1.5, Ltd.																	
SP	Anchorage Capital Partners Offshore, Ltd.			X			10/21/2020					X						
SP	Vanguard Total Stock Market ETF			X			4/13/2020						X					
SP	Fir Tree International Value Fund II, Ltd.			X			8/20/2020	X										
SP	Fir Tree International Value Fund II, Ltd.			X			12/22/2020	X										
	Persimmon Charitable Remainder Trust																	
SP	U.S. Treasury Note 3.375% due 09/15/2020			X			7/31/2020					X						
SP	U.S. Treasury Note 2.625% due 07/15/2021												X					
SP	Baird Aggregate Bond Fund	X					7/28/2020											X
SP	Baird Aggregate Bond Fund	X					8/3/2020											X
SP	Baird Aggregate Bond Fund	X					9/18/2020											X
SP	Baird Aggregate Bond Fund	X					12/22/2020						X					
SP	MetWest Total Return Bond Fund	X					7/28/2020											X
SP	MetWest Total Return Bond Fund	X					8/3/2020											X
SP	MetWest Total Return Bond Fund	X					9/18/2020											X
SP	MetWest Total Return Bond Fund	X					12/22/2020						X					
SP	AG Super Fund International																	
SP	AG CSF Annex Fund Ltd.	X					6/15/2020					X						
SP	AllBlue Limited																	
SP	Davidson Kempner International (BVI), Ltd.																	
SP	Centerbridge Credit Partners Offshore, Ltd.			X			4/29/2020	X										
SP	Centerbridge Credit Partners Offshore, Ltd.			X			10/30/2020	X										
SP	Vanguard Total Stock Market ETF			X			4/13/2020											X
SP	Tiger Global Long Opportunities, Ltd.																	
SP	The Children's Investment Fund																	
SP	Tiger Global, Ltd.																	
SP	Pervus European Abs. Opp. Fund, Ltd.			X			9/11/2020			X								
SP	Pervus European Abs. Opp. Fund, Ltd.			X			10/14/2020				X							
SP	The Overlook Partners Fund, LP			X			7/31/2020											X
SP	Fir Tree International Value Fund II, Ltd			X			4/17/2020				X							
SP	Fir Tree International Value Fund II, Ltd.			X			8/20/2020	X										
SP	Fir Tree International Value Fund II, Ltd			X			12/22/2020	X										
SP	TPG - Axon Offshore																	
SP	Sonshine Charitable Remainder Unitrust																	
SP	Park Trust																	

SCHEDULE C – EARNED INCOME

Name:	Page 8 of 13

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling $200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2020 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was $28,845. The 2021 limit is $29,595. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

	Source (include date of receipt for honoraria)	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$18,000
	Civil War Roundtable (Oct. 2)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	N/A

Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or your dependent child. **Mark the highest amount owed during the reporting period. Members**: Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude**: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a ***revolving charge account*** (*i.e.*, credit card) only if the balance at the close of the reporting period exceeded $10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability A $10,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Liability)
	Example First Bank of Wilmington, DE	5/20	Mortgage on Rental Property, Dover, DE				X							
JT	American Express	12 2020	Credit Card				X							
SP	Chase United	12 2020	Credit Card		X									
SP	Northern Trust	12 2020	Credit Card			X								

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude**: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Regent	Smithsonian, Board of Regents
Advisory Board Member	*Smithsonian National Museum of American History*
Member of Advisory Council	Smithsonian National Museum of African American History and Culture

Use additional sheets if more space is required.

SCHEDULE F – AGREEMENTS

Name:	Page 10 of 13

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement

SCHEDULE G – GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than $415 received by you, your spouse, or your dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent), local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $166 or less need not be added towards the $415 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Source		Description	Value
Example:	Mr. Joseph Smith, Arlington, VA	Silver Platter (prior determination of personal friendship received from the Committee on Ethics)	$500

Use additional sheets if more space is required.

SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS

Name:

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $415 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

	Source	Date(s)	City of Departure-Destination-City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
Examples:	Government of China (MECEA)	Aug. 6-11	DC-Beijing, China-DC	Y	Y	N
	Habitat for Humanity (Charity Fundraiser)	Mar. 3-4	DC-Boston-DC	Y	Y	Y

Use additional sheets if more space is required.

SCHEDULE I – PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name:

List the source, activity (*i.e.*, speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington, DC	Speech	Feb. 2, 2020	$2,000
	XYZ Magazine	Article	Aug. 13, 2020	$500

Use additional sheets if more space is required.

FILER NOTES
(Optional)

Name:

NOTE NUMBER	NOTES
1	Filer married Roger W. Sant on April 11, 2020.
2	Spouse has interests in six charitable remainder trusts as described in Section 664 of the Internal Revenue Code. The Trusts make distributions to spouse for his lifetime and terminate at his death with the balance passing to charitable organizations. The distributions are a fixed
	percentage of the Trusts' annually determined value. The trust distributions are reported as income for spouse. The underlying Trust investments are also reported.
3	Confidentiality letters were previously provided to the House Ethics Committee for four investments owned by filer's spouse.
4	This is an Amended 2020 Statement in accordance with the Committee's "Policy Regarding Amendments to Financial Disclsoure Statements."
	When the intial Statement was filed, filer's spouse had not yet received all K-1s for 2020. Upon subsequent receipt and review of K-1s,
	three investments showed different income amounts versus the estimates used. The investments include:
	ICONIQ Strategic Partners III, LP, Rockefeller 2006 Special Global Opportunities, LLC and Rockefeller SGO Distressed Fund, LLC.

Use additional sheets if more space is required.