

UNITED STATES HOUSE OF REPRESENTATIVES 2020 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

HAND DELIVERED Page 1 of 1

LEGISLATIVE RESOURCE CENTER
(Office Use Only)

2021 AUG 10 AM 10:57

Name: W. Kurt Schneider Daytime Telephone: 202-225-5711

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>Oregon</u> District: <u>5</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant
REPORT TYPE	<input checked="" type="checkbox"/> 2020 Annual (Due: May 17, 2021)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$415 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$415 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "Yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "Yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

W. Kurt Schneider

Page

1 of 25

BLOCK A

Assets and/or Income Sources

Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use only ticker symbols).

For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.

For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.

For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you report a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.

For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.

BLOCK B

Value of Asset

Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.

Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.

BLOCK C

Type of Income

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.

BLOCK D

Amount of Income

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in the reporting period.

BLOCK E

Transaction

If only a portion of an asset was sold, please indicate as follows: (S (part)). Leave the column blank if there are no transactions that exceeded \$1,000.

			For bank and other cash accounts, list the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.												For rental and other real property held for investment, provide a complete address or description, e.g., 'rental property', and a city and state.												For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.												Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.												If you report a privately-traded fund that is an Excepted Investment Fund, please check the 'EIF' box.												If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JW), in the optional column on the far left.												For a detailed discussion of Schedule A requirements, please refer to the instruction 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SCHEDULE A - ASSETS & "UNEARNED"

Name:

Mr. Kurt Schrader

Page 2 of 25

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction			
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
		None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,000	\$2,001-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with income over \$1,000,000*		
	<i>Fidelity Treasury Mkt</i>																																			
	<i>Arden Midco</i>																																			
	<i>Bohler's Willy by Co.</i>																																			
	<i>PERCLO by Moby</i>																																			
	<i>Eaton Vance Growth</i>																																			
	<i>Fidelity Growth</i>																																			
	<i>Wells Fargo CDO Mktg</i>																																			
	<i>Tenement Int. REIT</i>																																			
	<i>PERCLO by Moby</i>																																			
	<i>Handing Lower Int. Rpt</i>																																			
	<i>Victory Supreme Sm. Cap</i>																																			
	<i>Boise Mktg Int. Clas</i>																																			
	<i>Boise Adv. Sm. Brk. Bk</i>																																			
	<i>W. Hand Ok. Brk. Bk</i>																																			
	<i>Handing Int. Invest. Trst</i>																																			
	<i>Handing Int. H. F</i>																																			

Page 3 of 25

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED"

Name:

W. Kurt Schrader

Page 4 of 25

BLOCK A Assets and Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income													BLOCK E Transaction
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, SPLIT, or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
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	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
	None																																			
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	\$1,000,001-\$5,000,000																																			
	Over \$5,000,000																																			
	Spouse/DC Asset with Income over \$1,000,000*																																			
	See Note - Attachments for Tax and Income																																			
	Apple Inc																																			
	Amazon Web Services																																			
	Nike Inc																																			
	Legs Inc																																			
	Discover Financial Services																																			
	Alphabet Inc Class																																			
	Amazon.com																																			
	Church Limited																																			

SCHEDULE A - ASSETS & " UNEARNED

Name:

Mr. Kurt Schneider

Page 5 of 25

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, SPOUSE, or E	
	None																																			
	Microsoft Corp																																			
	Visa Inc																																			
	Alabama Exp Hlth																																			
	Home Depot																																			
	Danaher Corp.																																			
	Zacks Inc																																			
	Fortune Corp																																			
	Fidelity National Info																																			
	Rocky Hills Inc																																			
	Parker Met. Resour																																			
	Blackrock Inc.																																			
	CME Co Inc																																			
	United Health Gr Inc																																			
	Chubb Corp.																																			
	Waste Management																																			
	Solo Four Corp																																			

SCHEDULE A - ASSETS & "UNEARNED"

Name:

W. Kurt Schrade

Page 6 of 25

BLOCK A		BLOCK B													BLOCK C							BLOCK D												BLOCK E		
Assets and/or Income Sources		Value of Asset													Type of Income							Amount of Income												Transaction		
SP, JT, JT, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S, or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
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	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
	Service Members																																			
	Active/Retired																																			
	Disability/VA																																			
	Private Corp.																																			
	Private S.A.																																			
	Bank of America Corp.																																			
	MSI Inc.																																			
	STP Global Inc.																																			
	USMC Corp. S.A.																																			
	2011-2012 Inc.																																			
	Union Pacific Corp.																																			
	Amplify Corp.																																			
	TransWorld Driveline Inc.																																			
	Chrysler Financial Services																																			
	Lebanon Forest																																			
	Trinity Capital																																			

SCHEDULE A - ASSETS & "UNEARNED"

Name:

W. K. Schroeder

Page 7 of 25

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, B, S, JT, or E	
	None																																			
	OW Credit Income Fund																																			
	Hillview Mutual Holdings																																			
	Russ Shores Inc																																			
	ACN PLC																																			
	Blackstone Group																																			
	Schwab Charles Legum																																			
	Akiba Inc.																																			
	A. Proctor Chemicals																																			
	American Tower Corp																																			
	Sea LH AOE																																			
	LVH Methuenway Union																																			
	ASML Holdings																																			
	Pfizer Inc																																			
	Viatris Inc																																			

See 1335 - amount of income

SCHEDULE A - ASSETS & "UNEARNED"

Name:

W. Kurt Schade

Page 8 of 25

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction			
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, M, or E	
	Bank of America														None																					
	Apollon Inc.																																			
	Blackrock Inc.																																			
	Bancor Halil Corp																																			
	Johnson & Johnson																																			
	Microsoft Corp.																																			
	PepsiCo																																			
	Pf. Inc. 66 SHS																																			
	Praxair (Walt) Corp																																			
	Intel Corp																																			
	Alphabet Inc SHS C																																			
	Alphabet Inc SHS C/A																																			
	Lockwood Heathcote																																			
	74 Oakwood Tr II Ltd																																			
	Amstar Corp Inc.																																			
	CMH Group Inc																																			

Page 9 of 25

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED"

Name:

W. Kurt Schrader

Page 10 of 25

BLOCK A Assets and Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, SPOUSE, or E	
	Abbie Inc STS																																			
	ATI Inc																																			
	Corlye Co LP																																			
	Century Link Inc STS																																			
	SPORC'd Test																																			
	Adobe Inc																																			
	America's International																																			
	Citrus Systems Inc																																			
	Ellie's Tr Co.																																			
	Nvidia																																			
	Quelchem Inc.																																			
	Blackrock Global Long																																			
	Shares Ketchik Hotel																																			
	TP Morgan Earning																																			
	Western Asset Co. & Bldg																																			

Page 11 of 25

Use additional sheets if more space is required.

Page 12 of 25

Use additional sheets if more space is required.

Page 13 of 28

Use additional sheets if more space is required.

Page 14 of 25

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED"

Name:

W. Kurt Schrader

Page 15 of 25

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction	
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	P, S, S/DC, or E	
SP	Five Star Cap Fund - 529A																																		
SP	Five Star Growth Fund - 529A																																		
SP	Five Star New Economy Fund - 529A																																		
SP	Five Star New Perspective Fund - 529A																																		
SP	Five Star Small Cap Wld - 529A																																		

SCHEDULE A - ASSETS & "UNEARNED"

Name:

W. Kurt Schwab

Page

16 of *25*

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, SPOUSE, or E	
SP	Am. Cap. F.I. 529A																																			
SP	Tic Brook F.I. 529A																																			
SP	Tic Brook F.I. 529A																																			
SP	New England F.I. 529A																																			
SP	New England F.I. 529A																																			
SP	Small Cap World F.I. 529A																																			

Page 17 of 25

Use additional sheets if more space is required.

Page 18 of 25

Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name: W Kurt Schrade Page 19 of 25

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets held by your spouse or dependent child.

SP, DC, JT	SP	Example	Type of Transaction	Date	Amount of Transaction														
					SP	DC	JT	A	B	C	D	E	F	G	H	I	J	K	
		See Prudential Statement	Purchase																
		Jan-Dec 2020 for transect	Sale																
		See Bessemer Trust transaction detail for funds	Partial Sale																
		Jan-Dec 2020 for funds	Exchange																
		See Merrill Lynch shares for transaction detail for	Check Box if Capital Gain Exceeded \$200																
		Jan-Dec 2020 for funds	(M/D/YR) or Quarterly, Monthly, or Weekly if applicable																
		Three Rivers Firm - no changes																	
		See American Fund shares for																	
		Jan-Dec 2020 transaction detail																	
		See IFA FBO Suzanne Nova to																	
		Jan-Dec 2020 transaction detail																	

Name: W. Kutschader Page 20 of 25

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retraining programs, and benefits received under the Social Security Act.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS AND PROHIBITED INCOME: The 2020 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$28,845. The 2021 limit is \$28,595. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

[illegible]

SCHEDULE D - LIABILITIES

Name:

W. K. T. Schade

Page 21 of 25

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
	Example First Bank of Wilmington, DE	5/20	Mortgage on Rental Property, Dover, DE	\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC only)
	McCullough Credit Corp	Oct 2012	Mortgage, Washington, DC				X							
	Key Bank L.O.C. - Oregon	June 2015	L.O.C. (only Oregon)				X							
	SP Credit	March 2015	Mortgage - Mayfield House					X						

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor or organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Partner	Three Rivers Farm LLC
Manager	Shelter Island LLC

SCHEDULE F - AGREEMENTS

Name: W. Kurt Schneider

Page 22 of 25

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
Dec 1997	State of Oregon	Oregon PERS (continued participation)

SCHEDULE G - GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$415 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives; gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent); local meals; and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$166 or less need not be added towards the \$415 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Source		Description	Value
Example:	Mr. Joseph Smith, Arlington, VA	Silver Platter (prior determination of personal friendship received from the Committee on Ethics)	\$500
	N.A.		

Page 23 of 25

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

[illegible]

Page 24 of 25[illegible]

Name:	Page <u>25</u> of <u>25</u>
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Page 25 of 25[illegible]

Use additional sheets if more space is required.

Statement for the Period January 1, 2020 to January 31, 2020

W K SCHRAEDER - Prudential Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUDCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



HOLDINGS > MUTUAL FUNDS

~~continued~~

Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
12/31/19	CASH	REINVESTMENT	PCIM GLOBAL TOTAL RETURN CL Z REINVESTED @ \$6.91	4.165	(\$28.76)
12/31/19	CASH	REINVESTMENT	PCIM TOTAL RETURN BOND CL Z REINVESTED @ \$14.40	6.394	(\$92.06)
Total Securities Purchased					[REDACTED]

Prudco Securities, LLC

MIN CTRH7KK7RRBVXRR RRRR [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period January 1, 2020 to January 31, 2020
 W K SCHRADER - Premier Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRUCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
01/02/20	CASH	YOU BOUGHT	FIDELITY TREASURY MKT FD DAILY MONEY @ 1	0.55	(\$0.55)
01/10/20	CASH	YOU SOLD	FIDELITY TREASURY MKT FD DAILY MONEY @ 1 AS OF 01:10:20	(764.57)	\$764.57
01/31/20	CASH	YOU BOUGHT	FIDELITY TREASURY MKT FD DAILY MONEY @ 1	1.88	(\$1.88)

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
12/31/19	CASH	DIVIDEND RECEIVED	PCIM GLOBAL TOTAL RETURN CL 2		\$28.78
12/31/19	CASH	DIVIDEND RECEIVED	PCIM TOTAL RETURN BOND CL 2		\$92.08
01/31/20	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MKT FD DAILY MONEY DIVIDEND RECEIVED		\$1.88
Total Dividends					

TOTAL INCOME

ACTIVITY > TAXES, FEES AND EXPENSES

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
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Account Fees

Pruco Securities, LLC
 MN CTRH2K7R8RVXNR BRND [REDACTED]

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period January 1, 2020 to January 31, 2020

W K SCHRADER - Premier Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > TAXES, FEES AND EXPENSES *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
01/01/20	CASH	FEE	MANAGED ACCOUNT FEE		(\$104.57)
Total Account Fees					[REDACTED]

TOTAL TAXES, FEES AND EXPENSES [REDACTED]

ACTIVITY > OTHER ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
01/02/20	CASH	ADJUSTMENT	MUTUAL FUND 1281 FEE CREDIT		\$0.55
FIDELITY TREASURY					[REDACTED]
TOTAL OTHER ACTIVITY					[REDACTED]

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the grant is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NIS is not the custodian (e.g. insurance and Annuities; Assets Held Away and Other Assets Held Away).

CALLABLE SECURITIES LOTTERY - When street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NIS may or may not receive an allocation of called/redemmed securities by the issuer. Transfer agent and/or depository, if NIS is allocated a portion of the called/redemmed securities, NIS utilizes an impartial lottery allocation system. In accordance with applicable rules, that randomly selects the securities within customer accounts that will be called/redemmed. NIS' allocations are not made on a pro rata basis and it is possible for you to receive a full or partial allocation, or no allocation. You have the right to withdraw uncalled fully paid securities at any time prior to the cutoff date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargined condition.

PRICING INFORMATION - Prices displayed are obtained from sources that may include pricing vendors, broker/dealers who clear through NIS and/or other sources. Prices may not reflect current fair market value and/or may not be readily marketable or redeemable at the prices shown.

FOREIGN EXCHANGE TRANSACTIONS - Some transaction types necessitate a foreign currency exchange (FX) in order to settle. FX transactions may be effected by Fidelity Forex, Inc. on a principal basis. Fidelity Forex, Inc., an affiliate of NIS, may impose a commission or markup on the prevailing interbank market price, which may result in a higher price to you. Fidelity Forex, Inc. may share a portion of any FX commission or markup with NIS. More favorable rates may be available through third parties not affiliated with NIS. The rate applicable to any transaction involving an FX is available upon request through your broker-dealer.

Prudential Securities, LLC

MEMBER FINRA SIPC

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period February 1, 2020 to February 29, 2020
 W K SCHRAEDER - Premier Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRUDCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



HOLDINGS > MUTUAL FUNDS continued

Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
07/31/20	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL Z REINVESTED @ \$7.02	4.516	(\$31.70)
07/31/20	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$14.72	6.317	(\$92.99)
Total Securities Purchased					

Prud Securities, LLC
 MN CTR149CTTBRAV07G RRRR [REDACTED]

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period February 1, 2020 to February 29, 2020

W K SCHRAEDER - Prudential Select IRA

Account Number: [REDACTED]

Separate Acct Manager: PRUDCHOICE

Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
02/03/20	CASH	YOU BOUGHT	FIDELITY TREASURY MGMT FD DAILY MONEY @ 1	0.44	(\$0.44)
02/28/20	CASH	YOU BOUGHT	FIDELITY TREASURY MGMT FD DAILY MONEY @ 1	1.34	(\$1.34)

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
07/31/20	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL 2		\$331.70
07/31/20	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL 2		\$82.99
02/28/20	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MGMT FD DAILY MONEY DIVIDEND RECEIVED		\$1.34
Total Dividends					\$126.03

TOTAL INCOME

ACTIVITY > OTHER ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
02/03/20	CASH	ADJUSTMENT	MUTUAL FUND 1281 FEE CREDIT FIDELITY TREASURY		\$0.44
TOTAL OTHER ACTIVITY					

Prudential Securities, LLC

MIN CTRBKSCOTBBBYDZG RRR[REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period March 1, 2020 to March 31, 2020
W K SCHRADER, President, Select IRA
Account Number: [REDACTED]
Separate Acct Manager: PRUDCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



HOLDINGS > MUTUAL FUNDS *continued*

Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
02/28/20	CASH	REINVESTMENT	PCIM GLOBAL TOTAL RETURN CL Z REINVESTED @ \$1.02	3.098	(\$21.75)
02/28/20	CASH	REINVESTMENT	PCIM TOTAL RETURN BOND CL Z REINVESTED @ \$14.89	5.873	(\$87.45)
03/30/20	CASH	REINVESTMENT	THE HARTFORD DIV AND GROWTH FD CL 1 REINVEST @ \$20.0200	4.092	(\$81.92)
Total Securities Purchased					[REDACTED]

Prud Securities, LLC
MN CFFRGVRRRRXWBR RRR [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period March 1, 2020 to March 31, 2020
W K SCHRADER - Prudential Select IRA
Account Number: 0000000000
Separate Acct Manager: PRUDCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
03/02/20	CASH	YOU BOUGHT	FIDELITY TREASURY MKT FD DAILY MONEY @ 1	0.34	(\$0.34)
03/30/20	CASH	YOU BOUGHT	FIDELITY TREASURY MKT FD DAILY MONEY @ 1	0.24	(\$0.24)
03/31/20	CASH	YOU BOUGHT	FIDELITY TREASURY MKT FD DAILY MONEY @ 1	0.5	(\$0.50)
TOTAL CORE FUND ACTIVITY					

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
02/28/20	CASH	DIVIDEND RECEIVED	PCIM GLOBAL TOTAL RETURN CL Z		\$21.75
02/28/20	CASH	DIVIDEND RECEIVED	PCIM TOTAL RETURN BOND CL Z		\$87.45
03/30/20	CASH	DIVIDEND RECEIVED	THE HARTFORD DIV AND GROWTH FD CL 1		\$81.82
03/31/20	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MKT FD DAILY MONEY DIVIDEND RECEIVED		\$0.50
Total Dividends					
TOTAL INCOME					

Statement for the Period March 1, 2020 to March 31, 2020

W K SCHRAEDER - Broker/Select IRA

Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE

Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > OTHER ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
03/02/20	CASH	ADJUSTMENT	MUTUAL FUND 1281 FEE CREDIT		\$0.34
			FIDELITY TREASURY 23889201		
03/30/20	CASH	ADJUSTMENT	MUTUAL FUND 1281 FEE CREDIT		\$0.24
			FIDELITY TREASURY		
TOTAL OTHER ACTIVITY					

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NFS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

CALLABLE SECURITIES LOTTERY - When a street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NFS may or may not receive an allocation of called/redemptions securities by the issuer, transfer agent and/or depository. If NFS is allocated a portion of the called/redemptions securities, NFS allocations are not made on a pro rata basis and it is possible for you to receive a full or partial allocation, or no allocation. You have the right to withdraw unallocated fully paid securities at any time prior to the cutoff date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargined condition.

PRICING INFORMATION - Prices displayed are obtained from sources that may include pricing vendors, broker/dealers who clear through NFS and/or other sources. Prices may not reflect current fair market value and/or may not be readily marketable or redeemable at the prices shown.

FOREIGN EXCHANGE TRANSACTIONS - Some transaction types necessitate a foreign currency exchange (FX) in order to settle. FX transactions may be effected by Fidelity Forex, Inc. on a principal basis. Fidelity Forex, Inc., an affiliate of NFS, may impose a commission or markup on the prevailing interbank market price, which may result in a higher price to you. Fidelity Forex, Inc. may share a portion of any FX commission or markup with NFS. More favorable rates may be available through third parties not affiliated with NFS. The rate applicable to any transaction involving an FX is available upon request through your broker-dealer.

Pruco Securities, LLC

MM CTRIRRRRRRRYWR RRRR [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period April 1, 2020 to April 30, 2020
 W K SCHRAEDER - Premiere Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRUDCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



HOLDINGS > MUTUAL FUNDS *continued*

Description	Symbol/Cusip	Price on 4/30/20	Current Value	Estimated Annual Return
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PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
03/31/20	CASH	REINVESTMENT	PCIM GLOBAL TOTAL RETURN CL 2 REINVESTED @ \$6.36	5.016	(\$31.90)
03/31/20	CASH	REINVESTMENT	PCIM TOTAL RETURN BOND CL 2 REINVESTED @ \$13.88	7.244	(\$100.55)
Total Securities Purchased					[REDACTED]

Activity

Prud Securities, LLC
 MN CTRJCKXHB87ZT BBH [REDACTED]

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period April 1, 2020 to April 30, 2020
 W K SCHRADER - Prudential Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRUCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
04/13/20	CASH	YOU SOLD	FIDELITY TREASURY MGMT FD DAILY MONEY @ 1 AS OF 04-13-20	• (618.5)	4618.50
04/30/20	CASH	YOU BOUGHT	FIDELITY TREASURY MGMT FD DAILY MONEY @ 1	0.01	(80.01)

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
03/31/20	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL 2		331.90
03/31/20	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL 2		100.55
04/30/20	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MGMT FD DAILY MONEY DIVIDEND RECEIVED		80.01

Total Dividends

TOTAL INCOME

ACTIVITY > TAXES, FEES AND EXPENSES

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
04/13/20	CASH	FEE	MANAGED ACCOUNT FEE		(618.50)
Total Account Fees					

Prudential Securities, LLC
 MN CEBJUKXH987ZT 88888

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period May 1, 2020 to May 31, 2020
 W K SCHRAEDER - Premier Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRUCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



HOLDINGS > MUTUAL FUNDS ~~continued~~

Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
04/30/20	CASH	REINVESTMENT	PCIM GLOBAL TOTAL RETURN CL 2 REINVESTED @ \$6.53	4.625	(\$30.20)
04/30/20	CASH	REINVESTMENT	PCIM TOTAL RETURN BOND CL 2 REINVESTED @ \$14.21	6.876	(\$97.91)
Total Securities Purchased					[REDACTED]

Prudco Securities, LLC
 MN CFRII NAKRRRTTRN RRRR [REDACTED]

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period May 1, 2020 to May 31, 2020

W K SCHRAEDER - Premier Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
06/29/20	CASH	YOU BOUGHT	FIDELITY TREASURY MGMT FD DAILY MONEY @ 1	0.01	(\$0.01)

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
04/30/20	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL 2		\$30.20
04/30/20	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL 2		\$97.91
06/29/20	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MGMT FD DAILY MONEY DIVIDEND RECEIVED		\$0.01
Total Dividends					
TOTAL INCOME					

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which IRS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

Statement for the Period June 1, 2020 to June 30, 2020
 WK SCHRAEDER - Premium Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRUCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
06/29/20	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL 2 REINVESTED @ \$6.72	4.854	(\$32.62)
06/29/20	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL 2 REINVESTED @ \$14.50	7.008	(\$101.62)
06/29/20	CASH	REINVESTMENT	THE HARTFORD DIV AND GROWTH FD CL 1 REINVEST @ \$22.6100	2.847	(\$64.38)
Total Securities Purchased					

Pruco Securities, LLC
 MN ***** RR*****

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period June 1, 2020 to June 30, 2020

W K SCHRAEDER - Premiere Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Sold					
06/03/20	CASH	YOU SOLD	BROWN ADVISORY GROWTH EQUITY INSTL SOLICITED ORDER COMMISSION @ 28.5400	(40.855)	\$1,166.00

Total Securities Sold

ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
06/03/20					
CASH	YOU BOUGHT	FIDELITY TREASURY MKT FD DAILY MONEY @ 1		1.166	(\$4,166.00)
06/30/20					
CASH	YOU BOUGHT	FIDELITY TREASURY MKT FD DAILY MONEY @ 1		0.02	(\$0.02)

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
05/29/20					
CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL 2			\$32.82
05/29/20					
CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL 2			\$101.82
06/29/20					
CASH	DIVIDEND RECEIVED	THE HARTFORD DIV AND GROWTH FD CL 1			\$64.38
06/30/20					
CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MKT FD DAILY MONEY DIVIDEND RECEIVED			\$0.02

Total Dividends

Prudential Securities, LLC
MN CEBJ7BHHBBXCOB BBBB [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period July 1, 2020 to July 31, 2020
 W K SCHRAEDER, Brenneke Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRUDCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



HOLDINGS > MUTUAL FUNDS *continued*

Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
06/30/20	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL Z REINVESTED @ \$6.82	4.513	(\$30.76)
06/30/20	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$14.70	6.596	(\$96.96)
07/01/20	CASH	REINVESTMENT	MASSACHUSETTS INVEST TRUST FUND CL R6 REINVEST @ \$29.9600	5.294	(\$158.60)
07/01/20	CASH	REINVESTMENT	MASSACHUSETTS INVEST TRUST FUND CL R6 REINVEST @ \$29.9600	1.972	(\$59.07)

Prudco Securities, LLC

MN CFIJRNORRBTZVF BBBB [REDACTED]

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period July 1, 2020 to July 31, 2020

W K SCHRAEDER - Premium Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Total Securities Purchased					

ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
07/10/20	CASH	YOU SOLD	FIDELITY TREASURY MKMT FD DML Y MONEY @ 1 AS OF 07-10-20	(736.27)	\$736.27
07/31/20	CASH	YOU BOUGHT	FIDELITY TREASURY MKMT FD DML Y MONEY @ 1	0.02	(\$0.02)
TOTAL CORE FUND ACTIVITY					

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
06/30/20	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL 2		\$30.78
06/30/20	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL 2		\$96.96
07/01/20	CASH	DIVIDEND RECEIVED	MASSACHUSETTS INVEST TRUST FUND CL R6		\$150.60
07/31/20	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MKMT FD DML Y MONEY DIVIDEND RECEIVED		\$0.02
Total Dividends					

Capital Gain					
07/01/20	CASH	LONG CAP GAIN	MASSACHUSETTS INVEST TRUST FUND CL R6		\$59.07
Total Capital Gain					

Prudential Securities, LLC

MAN CEBRNORBBTZYF BBBB 20200731

Account carried with National Financial Services LLC, Member
NYSE, SIPC



Statement for the Period July 1, 2020 to July 31, 2020
W K SCHRADER - Brooklyn Select IRA
Account Number: [REDACTED]
Separate Acct Manager: FRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM

ACTIVITY continued

TOTAL INCOME		Amount
		\$345.43

ACTIVITY > TAXES, FEES AND EXPENSES

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
07/01/20	CASH	FEE	MANAGED ACCOUNT FEE		(\$736.27)
Total Account Fees					(\$736.27)
TOTAL TAXES, FEES AND EXPENSES					(\$736.27)

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NFS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

CALLABLE SECURITIES LOTTERY - When street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NFS may or may not receive an allocation of called/redemmed securities by the issuer, transfer agent and/or depository. If NFS is allocated a portion of the called/redemmed securities, NFS utilizes an impartial lottery allocation system, in accordance with applicable rules, that randomly selects the securities within customer accounts that will be called/redemmed. NFS allocations are not made on a pro rata basis and it is possible for you to receive a full or partial allocation, or no allocation. You have the right to withdraw unallocated fully paid securities at any time prior to the cutoff date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargined condition.

PRICING INFORMATION - Prices displayed are obtained from sources that may include pricing vendors, broker-dealers who clear through NFS and/or other sources. Prices may not reflect current fair market value and/or may not be readily marketable or redeemable at the prices shown.

FOREIGN EXCHANGE TRANSACTIONS - Some transaction types necessitate a foreign currency exchange (FX) in order to settle. FX transactions may be effected by Fidelity Forex, Inc. on a principal basis. Fidelity Forex, Inc., an affiliate of NFS, may impose a commission or markup on the prevailing interbank market price, which may result in a higher price to you. Fidelity Forex, Inc. may share a portion of any FX commission or markup with NFS. More favorable rates may be available through third parties not affiliated with NFS. The rate applicable to any transaction involving an FX is available upon request through your broker-dealer.

Statement for the Period August 1, 2020 to August 31, 2020

W K SCHIRADER - Please Select IRA

Account Number: [REDACTED]

Separate Acct Manager: PRUCHICE

Investment Discipline: FUND ADVISORY PROGRAM

HOLDINGS > MUTUAL FUNDS *continued*



Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
07/31/20	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL Z REINVESTED @ \$7.10	4.163	(\$29.56)
07/31/20	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$15.03	6.166	(\$92.97)
08/19/20	CASH	REINVESTMENT	EATON VANCE GROWTH CLASS I REINVEST @ \$34.1800	8.885	(\$303.66)
Total Securities Purchased					

Pruco Securities, LLC

MEMBERSHIP INFORMATION V. RRRI [REDACTED]

Account opened with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period August 1, 2020 to August 31, 2020

W K SCHRAEDER - Brantley Select IRA

Account Number: [REDACTED]

Separate Acct Manager: PRUDENTIAL
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
08/31/20	CASH	YOU BOUGHT	FIDELITY TREASURY MGMT FD DAILY MONEY @ 1	0.01	(\$0.01)

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
07/31/20	CASH	DIVIDEND RECEIVED	PCGM GLOBAL TOTAL RETURN CL Z		\$29.56
07/31/20	CASH	DIVIDEND RECEIVED	PCGM TOTAL RETURN BOND CL Z		\$92.97
08/31/20	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MGMT FD DAILY MONEY DIVIDEND RECEIVED		\$0.01
Total Dividends					

Capital Gain

08/19/20 CASH LONG CAP GAIN EATON VANCE GROWTH CLASS I

\$303.88

Total Capital Gain

TOTAL INCOME

Prudential Securities, LLC

MEMBER FINRA, SIPC

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period September 1, 2020 to September 30, 2020
 W K SCHRADER - Premier Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRUDCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



HOLDINGS > MUTUAL FUNDS *continued*

Activity

PURCHASES, SALES, AND REDEMPTIONS

Statement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
08/31/20	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL 2 REINVESTED @ \$7.99	4.343	(\$30.79)
08/31/20	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL 2 REINVESTED @ \$14.08	6.277	(\$88.46)
08/29/20	CASH	REINVESTMENT	THE HARTFORD DIV AND GROWTH FD CL 1 REINVEST @ \$24.5200	2.644	(\$64.82)
Total Securities Purchased					

Prudential Securities, LLC
 MN CF00XPERTRBRWVXDC NRRP [REDACTED]

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period September 1, 2020 to September 30, 2020

W K SCHRAEDER - Premium Select IRA

Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE

Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
09/30/20	CASH	YOU BOUGHT	FIDELITY TREASURY MGMT FD DML V MONEY @ 1	0.01	(\$0.01)

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
09/31/20	CASH	DIVIDEND RECEIVED	PCIM GLOBAL TOTAL RETURN CL 2		\$30.79
09/31/20	CASH	DIVIDEND RECEIVED	PCIM TOTAL RETURN BOND CL 2		\$93.46
09/29/20	CASH	DIVIDEND RECEIVED	THE HARTFORD DN AND GROWTH FD CL 1		\$64.62
09/30/20	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MGMT FD DML V MONEY DIVIDEND RECEIVED		\$0.01

Total Dividends

TOTAL INCOME

Miscellaneous Footnotes

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Pruco Securities, LLC

MEMBER FINRA SIPC

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period October 1, 2020 to October 31, 2020

W K SCHRAEDER - Premier Select IRA

Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE

Investment Discipline: FUND ADVISORY PROGRAM



HOLDINGS > MUTUAL FUNDS continued

Description	Symbol/Cusip Account Type	Quantity	Price on 10/31/20	Current Market Value	Estimated Annual Income
Fixed Income					
PGIM GLOBAL TOTAL RETURN CL Z	P2TRX CASH	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Estimated Yield 3.51%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
PGIM TOTAL RETURN BOND CL Z	P2BZX CASH	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Estimated Yield 3.05%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
Total Fixed Income					
Total Mutual Funds					
Total Securities					
TOTAL PORTFOLIO VALUE					

Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
09/30/20	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL Z REINVESTED @ \$7.03	4.226	[REDACTED]
09/30/20	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$14.45	6.125	(\$80.56)
Total Securities Purchased					

Securities Sold

Pruco Securities, LLC

MN CFFKRRHRRRVBMQ RRR [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period October 1, 2020 to October 31, 2020
 W K SCHRAEDER - Premier Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRU CHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
10/09/20	CASH	YOU SOLD	EATON VANCE GROWTH CLASS I SOLICITED ORDER CONF-035771824 @ 35.5100	(21.735)	\$885.00
Total Securities Sold					

ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
10/12/20	CASH	YOU SOLD	FIDELITY TREASURY MKMT FD DAILY MONEY @ 1 AS OF 10-12-20	(784.53)	\$784.53
10/30/20	CASH	YOU BOUGHT	FIDELITY TREASURY MKMT FD DAILY MONEY @ 1	0.02	(\$0.02)
TOTAL CORE FUND ACTIVITY					

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
09/30/20	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL Z		\$29.72
09/30/20	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL Z		\$80.95
10/30/20	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MKMT FD DAILY MONEY DIVIDEND RECEIVED		\$0.02
Total Dividends					
					\$120.69
TOTAL INCOME					

Prudential Securities, LLC
 MN CTRKRHHPRHVRVMMO RRRR [REDACTED]

Account certified with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period October 1, 2020 to October 31, 2020

W K SCHRADER - Beneficiary: Selected IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > TAXES, FEES AND EXPENSES

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Account Fees					
10/1/20	CASH	FEE	MANAGED ACCOUNT FEE		(\$784.53)
Total Account Fees					[REDACTED]
TOTAL TAXES, FEES AND EXPENSES					[REDACTED]

Miscellaneous Footnotes

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CALLABLE SECURITIES LOTTERY - When stated name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NPS may or may not receive an allocation of called/redeemed securities by the issuer, transfer agent and/or depository. If NPS is allocated a portion of the called/redeemed securities, NPS utilizes an impartial lottery allocation system, in accordance with applicable rules, that randomly selects the securities within customer accounts that will be called/redeemed. NPS' allocations are not made on a pro rata basis and it is possible for you to receive a full or partial allocation, or no allocation. You have the right to withdraw uncalled fully paid securities at any time prior to the call date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargined condition. PRICING INFORMATION - Prices displayed are obtained from sources that may include pricing vendors, broker/dealers who clear through NPS and/or other sources. Prices may not reflect current fair market value and/or may not be readily marketable or redeemable at the prices shown.

FOREIGN EXCHANGE TRANSACTIONS - Some transaction types necessitate a foreign currency exchange (FX) in order to settle. FX transactions may be effected by Fidelity Forex, LLC, on a principal basis. Fidelity Forex, LLC, an affiliate of NPS, may impose a commission or markup on the prevailing interbank market price, which may result in a higher price to you. Fidelity Forex, LLC, may share a portion of any FX commission or markup with NPS. More favorable rates may be available through third parties not affiliated with NPS. The rate applicable to any transaction involving an FX is available upon request through your broker-dealer.

Prucio Securities, LLC

100 CENTRAL EXPRESSWAY, SUITE 200, NEWTON, MA 02459

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period November 1, 2020 to November 30, 2020
 W K SCHRADER - Prudential Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRUDCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
10/30/20	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL Z REINVESTED @ \$7.00	4.394	(\$30.76)
10/30/20	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$14.71	6.472	(\$95.27)
11/10/20	CASH	YOU BOUGHT	HOTCHKISS & WILEY LARGE CAP VALUE CL I PROSPECTUS UNDER SEPARATE COVER SOLICITED ORDER COM @ \$1,440.00	96.438	(\$1,032.00)
11/11/20	CASH	YOU BOUGHT	THE HARTFORD DIV AND GROWTH FD CL I PROSPECTUS UNDER SEPARATE COVER SOLICITED ORDER COM @ \$6,790.00	623.18	(\$16,665.00)

Prudential Securities, LLC
 MN CEREONATBRVVVR RRBR [REDACTED]

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period November 1, 2020 to November 30, 2020

WIKSCHRADER, Stephen Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS continued

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
11/17/20	CASH	YOU BOUGHT	MFS RESEARCH INTERNATIONAL FD R6 PROSPECTUS UNDER SEPARATE COVER SOLICITED ORDER CON- [REDACTED] @ 20.800	1,420.433	(\$29,545.00)
11/17/20	CASH	YOU BOUGHT	MFS RESEARCH INTERNATIONAL FD R6 PROSPECTUS UNDER SEPARATE COVER SOLICITED ORDER CON- [REDACTED] @ 20.980	15.729	(\$330.00)
11/17/20	CASH	YOU BOUGHT	MASSACHUSETTS INVEST TRUST FUND CL R6 PROSPECTUS UNDER SEPARATE COVER SOLICITED ORDER CON- [REDACTED] @ 34.400	29.36	(\$1,010.00)
11/17/20	CASH	YOU BOUGHT	PGM TOTAL RETURN BOND CL Z PROSPECTUS UNDER SEPARATE COVER SOLICITED ORDER @ 14.840	126.55	(\$1,878.00)
11/17/20	CASH	YOU BOUGHT	VICTORY SYCAMORE SMALL COMPANY OPP R6 PROSPECTUS UNDER SEPARATE COVER SOLICITED ORDER CON- [REDACTED] @ 43.460	1.887	(\$82.00)
11/17/20	CASH	REINVESTMENT	ARTISAN MID CAP FUND ADVISOR REINVEST @ \$44,500 AS OF 11/17/20	20.69	(\$920.65)
11/17/20	CASH	REINVESTMENT	ARTISAN MID CAP FUND ADVISOR REINVEST @ \$44,500 AS OF 11/17/20	4.531	(\$201.54)
Total Securities Purchased					
Securities Sold					
11/17/20	CASH	YOU SOLD	ARTISAN MID CAP FUND ADVISOR SOLICITED ORDER @ \$1,100	(\$4,419)	\$2,783.00
11/17/20	CASH	YOU SOLD	BAIRD MID CAP INSTL CLASS SHS SOLICITED ORDER CON- [REDACTED] @ 28.160	(35,238)	\$994.00
11/17/20	CASH	YOU SOLD	BROWN ADVISORY GROWTH EQUITY INSTL SOLICITED ORDER CON- [REDACTED] @ 27.740	(49,633)	\$1,625.00

Pruco Securities, LLC

MM CTRKDXMTRARVVR R [REDACTED]

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period November 1, 2020 to November 30, 2020

W K SCHRADER - Premium Select IRA

Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE

Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS continued

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
11/1/20	CASH	YOU SOLD	HOTCHINS & WILEY LARGE CAP VALUE CL 1 SOLICITED ORDER CONF # 31.7700*	(463.404)	\$14,722.35
11/1/20	CASH	YOU SOLD	HOTCHINS & WILEY LARGE CAP VALUE CL 1 SOLICITED ORDER CONF # 31.8600	(101.302)	\$3,287.22
11/1/20	CASH	YOU SOLD	TRANSAMERICA INTL EQUITY FUND SOLICITED ORDER CO # 172400	(1,708.715)	\$30,483.48

Total Securities Sold

ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
11/1/20	CASH	YOU BOUGHT	FIDELITY TREASURY MARKET FUND DAILY MONEY MORNING TRADE # 1	745	(\$745.00)
11/1/20	CASH	YOU BOUGHT	FIDELITY TREASURY MARKET FUND DAILY MONEY MORNING TRADE # 1	1,838.05	(\$1,838.05)
11/1/20	CASH	YOU SOLD	FIDELITY TREASURY MARKET FUND DAILY MONEY # 1	(1,340)	\$1,340.00
11/1/20	CASH	YOU BOUGHT	FIDELITY TREASURY MARKET FUND DAILY MONEY # 1	490.69	(\$490.69)
11/1/20	CASH	YOU BOUGHT	FIDELITY TREASURY MARKET FUND DAILY MONEY # 1	51.96	(\$51.96)
11/30/20	CASH	YOU BOUGHT	FIDELITY TREASURY MARKET FUND DAILY MONEY # 1	0.02	(\$0.02)

TOTAL CORE FUND ACTIVITY

Prudential Securities, LLC

MEMBER FINRA, SIPC

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period November 1, 2020 to November 30, 2020

W K SCHRAEDER, President, Select IRA

Account Number: [REDACTED]

Separate Acct Manager: PRUDCHOICE

Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > MISCELLANEOUS & CORPORATE ACTIONS

This section includes miscellaneous and certain corporate action transactions such as mergers, acquisitions, currency conversions, shares delivered or received in-kind, with a zero dollar amount at the time the transaction occurred. It also includes Return of Principal transactions.

Date	Account Type	Transaction	Description	Quantity	Amount
11/16/20	CASH	CONVERSION	HARTFORD DIV AND GROWTH FD CL Y SHR CLASS CONVERSION CNY CLSP: TRAN VALUE: (\$35,601.41)	1,260.227	\$0.00
11/16/20	CASH	CONVERSION	THE HARTFORD DIV AND GROWTH FD CL 1 SHR CLASS CONVERSION CNY CLSP: [REDACTED] TRAN VALUE: \$35,601.43	(1,303.604)	\$0.00

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
10/30/20	CASH	DIVIDEND RECEIVED	PCGM GLOBAL TOTAL RETURN CL Z		\$30.76
10/30/20	CASH	DIVIDEND RECEIVED	PCGM TOTAL RETURN BOND CL Z		\$95.21
11/30/20	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MGMT FD ONLY MONEY DIVIDEND RECEIVED		\$0.02
Total Dividends					
Capital Gain					
11/24/20	CASH	LONG CAP GAIN	ARTISAN MID CAP FUND ADVISOR		\$920.69
11/24/20	CASH	SHORT CAP GAIN	ARTISAN MID CAP FUND ADVISOR		\$201.64
Total Capital Gain					
TOTAL INCOME					

Prudential Securities, LLC

MEMBER FINRA, SIPC

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period November 1, 2020 to November 30, 2020

W K SCHRADER - Premier Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > OTHER ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
11/23/20	CASH	JOURNALLED	ACCOUNT CREDIT CAL 1-800-220-4453 WITH QUESTIONS		\$490.68
11/24/20	CASH	JOURNALLED	ACCOUNT CREDIT CAL 1-800-220-4453 WITH QUESTIONS		\$51.95
TOTAL OTHER ACTIVITY					[REDACTED]

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NFS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

CALLABLE SECURITIES LOTTERY - When street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NFS may or may not receive an allocation of called/redemmed securities by the issuer, transfer agent and/or depository. If NFS is allocated a portion of the called/redemmed securities, NFS utilizes an impartial lottery allocation system, in accordance with applicable rules, that randomly selects the securities within customer accounts that will be called/redemmed. NFS' allocations are not made on a pro rata basis and it is possible for you to receive a full or partial allocation, or no allocation. You have the right to withdraw uncalled fully paid securities at any time prior to the call date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw access margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargined condition.

PRICING INFORMATION - Prices displayed are obtained from sources that may include pricing vendors, broker/dealers who clear through NFS and/or other sources. Prices may not reflect current fair market value and/or may not be readily marketable or redeemable at the prices shown.

FOREIGN EXCHANGE TRANSACTIONS - Some transaction types necessitate a foreign currency exchange (FX) in order to settle. FX transactions may be effected by Fidelity Forex, LLC, on a principal basis. Fidelity Forex, LLC, an affiliate of NFS, may impose a commission or markup on the prevailing interbank market price, which may result in a higher price to you. Fidelity Forex, LLC, may share a portion of any FX commission or markup with NFS. More favorable rates may be available through third parties not affiliated with NFS. The rate applicable to any transaction involving an FX is available upon request through your broker-dealer.

Prudential Securities, LLC
MEMBER FINRA SIPC

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period December 1, 2020 to December 31, 2020

W K SCHRADER - Prudential Select IRA
Account Number: [REDACTED]
Separate Acct Manager: PRUDCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
11/30/20	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL Z REINVESTED @ \$1.21	3.764	(\$27.14)
11/30/20	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$15.04	6.286	(\$94.54)
12/09/20	CASH	REINVESTMENT	MFS RESEARCH INTERNATIONAL FD R6 REINVEST @ \$21.2800	15.401	(\$327.89)
12/11/20	CASH	REINVESTMENT	EATON VANCE GROWTH CLASS I REINVEST @ \$36.3900	32.083	(\$1,167.51)
12/11/20	CASH	REINVESTMENT	HARTFORD DIV AND GROWTH FD CL Y REINVEST @ \$28.2200	25.237	(\$712.18)
12/15/20	CASH	REINVESTMENT	BROWN ADVISORY GROWTH EQUITY INSTL REINVEST @ \$32.4000	26.143	(\$847.03)

Prudential Securities, LLC

MEM CEBKHLPRBBXPMAN 8888 [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period December 1, 2020 to December 31, 2020

W K SCHRAEDER - Premium Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUDCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS continued

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/15/20	CASH	REINVESTMENT	BROWN ADVISORY GROWTH EQUITY INSTL REINVEST @ \$34,000	3.833	(\$124.18)
12/15/20	CASH	REINVESTMENT	HARROING LOEWEN INTL EQUITY PORT INSTL REINVEST @ \$27,700 AS OF 12/15/20	9.788	(\$270.86)
12/16/20	CASH	REINVESTMENT	MASSACHUSETTS INVEST TRUST FUND CL R6 REINVEST @ \$33,800	37.562	(\$1,273.35)
12/16/20	CASH	REINVESTMENT	MASSACHUSETTS INVEST TRUST FUND CL R6 REINVEST @ \$33,900	6.213	(\$210.62)
12/17/20	CASH	REINVESTMENT	BARRO MID CAP INSTL CLASS SHS REINVEST @ \$27,500 AS OF 12/17/20	15.413	(\$425.08)
12/17/20	CASH	REINVESTMENT	BARRO MID CAP INSTL CLASS SHS REINVEST @ \$27,500 AS OF 12/17/20	3.076	(\$84.83)
12/17/20	CASH	REINVESTMENT	VICTORY SYCAMORE SMALL COMPANY OPP R6 REINVEST @ \$47,380	0.367	(\$17.38)
12/17/20	CASH	REINVESTMENT	WELLS FARGO CAB MID CAP VALUE INSTL SHS REINVEST @ \$42,200	1.56	(\$65.83)
12/18/20	CASH	REINVESTMENT	EMERALD GROWTH INSTITUTIONAL REINVEST @ \$35,200	13.537	(\$476.79)
12/18/20	CASH	REINVESTMENT	EMERALD GROWTH INSTITUTIONAL REINVEST @ \$35,200	1.756	(\$67.84)
12/23/20	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL 2 REINVEST @ \$7,260 AS OF 12/23/20	7.855	(\$57.03)
12/23/20	CASH	REINVESTMENT	VICTORY SYCAMORE SMALL COMPANY OPP R6 REINVEST @ \$46,270	0.805	(\$37.27)
12/30/20	CASH	REINVESTMENT	HARTFORD ON AND GROWTH FD CL Y REINVEST @ \$28,090	6.347	(\$178.11)
Total Securities Purchased					[REDACTED]

Prud Securities, LLC
MN CFNKHPRHXXPMN RRR [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period December 1, 2020 to December 31, 2020

W K SCHRADER Prudential Select IRA

Account Number: [REDACTED]

Separate Acct Manager: PRUDCHOICE

Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/31/20	CASH	YOU BOUGHT	FIDELITY TREASURY MMKT FD DAILY MONEY @ 1	0.03	(\$0.03)

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
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Dividends

11/20/20	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL Z		\$27.14
11/20/20	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL Z		\$94.54
12/09/20	CASH	DIVIDEND RECEIVED	MFS RESEARCH INTERNATIONAL FD R6		\$327.89
12/15/20	CASH	DIVIDEND RECEIVED	HARDING LOEWNER INTL EQUITY PORT INSTL		\$270.86
12/16/20	CASH	DIVIDEND RECEIVED	MASSACHUSETTS INVEST TRUST FUND CL R6		\$270.62
12/17/20	CASH	DIVIDEND RECEIVED	WELLS FARGO CAB MID CAP VALUE INSTL SHS		\$65.83
12/23/20	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL Z		\$57.03
12/23/20	CASH	DIVIDEND RECEIVED	VICTORY SYCAMORE SMALL COMPANY OPP R6		\$37.27
12/30/20	CASH	DIVIDEND RECEIVED	HARTFORD DIV AND GROWTH FD CL Y		\$178.17
12/31/20	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MMKT FD DAILY MONEY DIVIDEND RECEIVED		\$0.03
Total Dividends					[REDACTED]

Capital Gain

12/1/20	CASH	LONG CAP GAIN	EATON VANCE GROWTH CLASS I		\$1,167.51
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Prudential Securities, LLC

MN CEBKHJPRBBBXPNN BBBB [REDACTED]

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period December 1, 2020 to December 31, 2020
 W K SCHRADER - Premier Select IRA
 Account Number [REDACTED]
 Separate Acct Manager: PRUCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > INCOME continued

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/11/20	CASH	LONG CAP GAIN	HARTFORD DIV AND GROWTH FD CL Y		\$712.18
12/15/20	CASH	LONG CAP GAIN	BROWN ADVISORY GROWTH EQUITY INSTL		\$847.03
12/15/20	CASH	SHORT CAP GAIN	BROWN ADVISORY GROWTH EQUITY INSTL		\$124.18
12/16/20	CASH	LONG CAP GAIN	MASSACHUSETTS INVEST TRUST FUND CL R6		\$1,273.35
12/17/20	CASH	LONG CAP GAIN	BARID MID CAP INSTL CLASS SHS		\$425.08
12/17/20	CASH	LONG CAP GAIN	VICTORY SYCAMORE SMALL COMPANY OPP R6		\$117.36
12/17/20	CASH	SHORT CAP GAIN	BARID MID CAP INSTL CLASS SHS		\$84.83
12/18/20	CASH	LONG CAP GAIN	EMERALD GROWTH INSTITUTIONAL		\$476.78
12/18/20	CASH	SHORT CAP GAIN	EMERALD GROWTH INSTITUTIONAL		\$61.84
Total Capital Gain					[REDACTED]
TOTAL INCOME					[REDACTED]

Miscellaneous Footnotes

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Cash Transaction Detail

Report dated April 23, 2021
 V. KURT SCHRAEDER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Company	Portfolio	Cash Date	Units	Description	US Code	Principal Income
Additions						
EUR	EUR-P	04/30/2020	25 Sale	SARLAN SA @97.635	283 (SALE CASH SETTLEMENT)	2,188.70 0.00
Total additions EUR						
HKD	HKD-P	06/22/2020	25 Sale	TENCENT HOLDINGS LTD @958.337	283 (SALE CASH SETTLEMENT)	582.16 0.00
Total additions HKD						
USD	USD-P	12/19/2019	1L Dividend	HOME DEPOT REVERSAL OF TRANSACTION REPORTED ON 12/19/2019	183 (CASH DIVIDEND)	(\$34.00) \$0.00
Total additions USD						
01/02/2020	1L Dividend	60.000	NIKE INC CL B	183 (CASH DIVIDEND)	\$34.00	\$0.00
01/03/2020	1L Dividend	55.000	HEALTH CARE SELECT SPDR TAX YEAR 2019	183 (CASH DIVIDEND)	\$14.70	\$0.00
01/07/2020	1L Dividend	0.000	FED TRUST UST OBLIG #59	183 (CASH DIVIDEND)	\$18.95	\$0.00
01/07/2020	1L Dividend	55.000	PERSICO INC	183 (CASH DIVIDEND)	\$52.33	\$0.00
01/07/2020	2# Money mkt fund sale	(208.000)	FED TRUST UST OBLIG #59 @971.000	227 (SWEEP REDEMPTION)	\$208.00	\$0.00
01/08/2020	1L Dividend	35.000	AMPHENOL CORP	183 (CASH DIVIDEND)	\$8.75	\$0.00
01/10/2020	1L Dividend	48.000	CHUBB LIMITED	183 (CASH DIVIDEND)	\$38.75	\$0.00
01/13/2020	2# Money mkt fund sale	(110,053.000)	FED TRUST UST OBLIG #59 @961.000	227 (SWEEP REDEMPTION)	\$110,053.00	\$0.00
01/13/2020	2S Sale	(1,302.159)	OW LARGE CAP STRATEGIES PD @915.280	283 (SALE CASH SETTLEMENT)	\$19,910.00	\$0.00
01/13/2020	2# Money mkt fund sale	(25.000)	FED TRUST UST OBLIG #59 @961.000	227 (SWEEP REDEMPTION)	\$25.00	\$0.00
04/14/2020	1L Dividend	25.000	American Tower Corp TAX YEAR 2019	183 (CASH DIVIDEND)	\$25.25	\$0.00

Cash Transaction Detail

Report dated April 23, 2021
 W. KURT SCHMIDT IM
 All transactions entered between 01/01/2020 and 12/31/2020

Transaction Code	Portfolio	Cash Date	Units	Description	US Code	Price/Adj
USD	00SD-P	01/14/2020	1L Dividend	20.000 PIONEER NATURAL RESOURCES	183 (CASH DIVIDEND)	\$8.80 \$0.00
		01/15/2020	1L Dividend	20.000 CME GROUP INC	183 (CASH DIVIDEND)	\$50.00 \$0.00
				25.000 THERMO FISHER SCIENTIFIC	183 (CASH DIVIDEND)	\$4.75 \$0.00
			2# Money mkt fund sale	(5,345.000) FED TRUST UST ORB LG M59	227 (SWEEP REDEMPTION)	\$5,345.00 \$0.00
				0061.000		
		01/18/2020	1L Dividend	36.000 WALT DISNEY CO	183 (CASH DIVIDEND)	\$30.80 \$0.00
		01/21/2020	2# Money mkt fund sale	(2,080.000) FED TRUST UST ORB LG M59	227 (SWEEP REDEMPTION)	\$2,080.00 \$0.00
				0061.000		
			2S Sale	(75.000) DISCOVER FINANCIAL SVCS	263 (SALE CASH SETTLEMENT)	\$8,274.19 \$0.00
				0083.888		
				(1.000) BOOKING HLDGS INC	263 (SALE CASH SETTLEMENT)	\$2,050.20 \$0.00
				002050.248		
		01/24/2020	2S Sale	(80.000) ACTIVISION BLIZZARD INC	263 (SALE CASH SETTLEMENT)	\$4,841.02 \$0.00
				0080.524		
		01/31/2020	1L Dividend	0.000 OW MULTI-ASSET OPFS FUND	183 (CASH DIVIDEND)	(95,153.10) \$0.00
				TAX YEAR 2019 REALLOCATION OF 12/23/2019 PAYMENT		
			0.000 OW MULTI-ASSET OPFS FUND	183 (CASH DIVIDEND)		\$5,102.75 \$0.00
				TAX YEAR 2019 REALLOCATION OF 12/23/2019 PAYMENT		
			0.000 OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)		(\$1,792.81) \$0.00
				TAX YEAR 2018 REALLOCATION OF 09/10/2019 PAYMENT		
			0.000 OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)		(\$1,782.12) \$0.00
				TAX YEAR 2018 REALLOCATION OF 12/10/2019 PAYMENT		
			0.000 OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)		(\$1,725.74) \$0.00
				TAX YEAR 2019 REALLOCATION OF 08/11/2019 PAYMENT		
			0.000 OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)		\$1,895.81 \$0.00
				TAX YEAR 2019 REALLOCATION OF 09/10/2019 PAYMENT		

Cash Transaction Detail

Report dated April 23, 2021
 KIMT SCHRAEDER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency	Portfolio no	Cash date	Units	Description	US code	Principal Income
USD	0000-P 01/31/2020 1L Dividend					

0.000	OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$1,885.89	\$0.00
	TAX YEAR 2019 REALLOCATION OF 12/10/2019 PAYMENT			
0.000	OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$1,832.55	\$0.00
	TAX YEAR 2019 REALLOCATION OF 08/11/2019 PAYMENT			
0.000	OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$1,597.24	\$0.00
	TAX YEAR 2019 REALLOCATION OF 08/12/2019 PAYMENT			
0.000	OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$1,510.99	\$0.00
	TAX YEAR 2019 REALLOCATION OF 08/12/2019 PAYMENT			
0.000	OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$99.80	\$0.00
	TAX YEAR 2019 REALLOCATION OF 08/10/2019 PAYMENT			
0.000	OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$99.23	\$0.00
	TAX YEAR 2019 REALLOCATION OF 12/10/2019 PAYMENT			
0.000	OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$93.19	\$0.00
	TAX YEAR 2019 REALLOCATION OF 08/11/2019 PAYMENT			
0.000	OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$88.25	\$0.00
	TAX YEAR 2019 REALLOCATION OF 08/12/2019 PAYMENT			
0.000	OW MULT-ASSET OPS FUND	183 (CASH DIVIDEND)	\$48.71	\$0.00
	TAX YEAR 2019 REALLOCATION OF 12/23/2019 PAYMENT			
0.000	HEALTH CARE SELECT SPOR	183 (CASH DIVIDEND)	\$45.53	\$0.00
	TAX YEAR 2019 REALLOCATION OF 01/03/2020 PAYMENT			
0.000	OW MULT-ASSET OPS FUND	183 (CASH DIVIDEND)	\$39.90	\$0.00
	TAX YEAR 2019 REALLOCATION OF 12/23/2019 PAYMENT			
0.000	CONSUMER STAPLES INDEX FD	183 (CASH DIVIDEND)	\$39.38	\$0.00
	TAX YEAR 2019 REALLOCATION OF 08/25/2019 PAYMENT			
0.000	HEALTH CARE SELECT SPOR	183 (CASH DIVIDEND)	\$38.68	\$0.00
	TAX YEAR 2019 REALLOCATION OF 01/03/2020 PAYMENT			
0.000	CONSUMER STAPLES INDEX FD	183 (CASH DIVIDEND)	\$34.17	\$0.00
	TAX YEAR 2019 REALLOCATION OF 08/25/2019 PAYMENT			

Cash Transaction Detail

Report dated April 23, 2021
KURT SCHRAEDER IM
All transactions entered between 01/01/2020 and 12/31/2020

Page 4 of 35

Additional		Currency	Portfolio no	Cash type	Units	Description	US code	Principal income
USD		USD-P	01/31/2020	TL Dividend				
0.000	American Tower Corp	TAX YEAR 2019 REALLOCATION OF 07/12/2019 PAYMENT	183 (CASH DIVIDEND)	(\$32.20)	\$0.00			
0.000	American Tower Corp	TAX YEAR 2019 REALLOCATION OF 07/12/2019 PAYMENT	183 (CASH DIVIDEND)	(\$32.14)	\$0.00			
0.000	American Tower Corp	TAX YEAR 2019 REALLOCATION OF 04/28/2019 PAYMENT	183 (CASH DIVIDEND)	(\$31.50)	\$0.00			
0.000	American Tower Corp	TAX YEAR 2019 REALLOCATION OF 04/28/2019 PAYMENT	183 (CASH DIVIDEND)	\$31.46	\$0.00			
0.000	American Tower Corp	TAX YEAR 2019 REALLOCATION OF 01/14/2020 PAYMENT	183 (CASH DIVIDEND)	(\$25.25)	\$0.00			
0.000	American Tower Corp	TAX YEAR 2019 REALLOCATION OF 01/14/2020 PAYMENT	183 (CASH DIVIDEND)	\$25.20	\$0.00			
0.000	American Tower Corp	TAX YEAR 2019 REALLOCATION OF 10/17/2019 PAYMENT	183 (CASH DIVIDEND)	(\$23.75)	\$0.00			
0.000	American Tower Corp	TAX YEAR 2019 REALLOCATION OF 10/17/2019 PAYMENT	183 (CASH DIVIDEND)	\$23.71	\$0.00			
0.000	HEALTH CARE SELECT SPDR	TAX YEAR 2019 REALLOCATION OF 12/28/2019 PAYMENT	183 (CASH DIVIDEND)	(\$23.20)	\$0.00			
0.000	HEALTH CARE SELECT SPDR	TAX YEAR 2019 REALLOCATION OF 01/03/2020 PAYMENT	183 (CASH DIVIDEND)	\$13.87	\$0.00			
50.000	DANAHER CORP		183 (CASH DIVIDEND)	\$0.50	\$0.00			
0.000	CONSUMER STAPLES INDEX FD	TAX YEAR 2019 REALLOCATION OF 09/25/2019 PAYMENT	183 (CASH DIVIDEND)	\$5.19	\$0.00			
0.000	American Tower Corp	TAX YEAR 2019 REALLOCATION OF 07/12/2019 PAYMENT	183 (CASH DIVIDEND)	\$0.06	\$0.00			
0.000	American Tower Corp	TAX YEAR 2019 REALLOCATION OF 01/14/2020 PAYMENT	183 (CASH DIVIDEND)	\$0.05	\$0.00			

B
BESSMER
TRUST

Cash Transaction Detail

Report dated April 23, 2021
 [REDACTED] V. KUHLT SCHRAEDER IM
 All transactions entered between 01/01/2020 and 12/31/2020
 Page 5 of 35

Currency	Portfolio no.	Cash date	Date	Description	US code	Principal/Income
USD	[REDACTED]	USD-P 01/31/2020	1L Dividend	0.000 American Tower Corp TAX YEAR 2019 REALLOCATION OF 10/17/2019 PAYMENT	183 (CASH DIVIDEND)	\$0.04 \$0.00
				0.000 American Tower Corp TAX YEAR 2019 REALLOCATION OF 04/28/2019 PAYMENT	183 (CASH DIVIDEND)	\$0.04 \$0.00
				0.000 OW MULTI-ASSET OPPS FUND TAX YEAR 2019 REALLOCATION OF 12/23/2019 PAYMENT	182 (CAPITAL GAINS DIST RECEIPT (SHORT))	(\$3,916.14) \$0.00
				0.000 OW MULTI-ASSET OPPS FUND TAX YEAR 2019 REALLOCATION OF 12/23/2019 PAYMENT	182 (CAPITAL GAINS DIST RECEIPT (SHORT))	\$3,877.88 \$0.00
		02/04/2020	1L Dividend	0.000 FED TRUST UST OBLIG #59	183 (CASH DIVIDEND)	\$13.52 \$0.00
		02/08/2020	2# Money mkt fund sale	(250.000) FED TRUST UST OBLIG #59 @ \$1.000	227 (SWEEP REDEMPTION)	\$250.00 \$0.00
		02/10/2020	1L Dividend	60.000 TEXAS INSTRUMENTS INC	183 (CASH DIVIDEND)	\$54.00 \$0.00
		02/11/2020	2S Sale	(388.349) OW LARGE CAP STRATEGIES FO @ \$15.450	263 (SALE CASH SETTLEMENT)	\$6,000.00 \$0.00
				(10.000) CHUBB LIMITED @ \$163.277	263 (SALE CASH SETTLEMENT)	\$1,632.64 \$0.00
		02/12/2020	2S Sale	(5.000) BLACKROCK INC @ \$354.620	263 (SALE CASH SETTLEMENT)	\$2,772.64 \$0.00
				(5.000) WALT DISNEY CO @ \$140.890	263 (SALE CASH SETTLEMENT)	\$703.18 \$0.00
				(5.000) AMERICAN WATER WORKS CO @ \$134.705	263 (SALE CASH SETTLEMENT)	\$673.31 \$0.00
		02/13/2020	1L Dividend	30.000 APPLE INC	183 (CASH DIVIDEND)	\$23.10 \$0.00
		02/14/2020	2# Money mkt fund sale	(5,400.000) FED TRUST UST OBLIG #59 @ \$1.000	227 (SWEEP REDEMPTION)	\$5,400.00 \$0.00
		02/18/2020	1L Dividend	100.000 BLACKSTONE GROUP INC	183 (CASH DIVIDEND)	\$61.00 \$0.00

Cash Transaction Detail

Page 6 of 35

Report dated April 23, 2021
KURT SCHRAEDER IM
All transactions entered between 01/01/2020 and 12/31/2020

Account	Portfolio no	Cash date	Unit	Description	US code	Principal income
USD	SD-P	02/24/2020	25	Sale		
				(10,000) MSCI INC	263 (SALE CASH SETTLEMENT)	\$3,218.40 \$0.00
				00321.957		
				(6,000) SERVICENOW INC	263 (SALE CASH SETTLEMENT)	\$1,760.94 \$0.00
				00362.226		
02/28/2020	1L Dividend			80,000 CITIGROUP INC	183 (CASH DIVIDEND)	\$40.80 \$0.00
03/02/2020	1L Dividend			120,000 CONOCOPHILLIPS	183 (CASH DIVIDEND)	\$50.40 \$0.00
	25 Sale			(30,000) WALT DISNEY CO	263 (SALE CASH SETTLEMENT)	\$3,591.86 \$0.00
				00519.775		
				(10,000) CHUBB LIMITED	263 (SALE CASH SETTLEMENT)	\$1,545.38 \$0.00
				00514.579		
03/03/2020	1L Dividend			80,000 VISA INC	183 (CASH DIVIDEND)	\$18.00 \$0.00
				55,000 ZOETIS INC	183 (CASH DIVIDEND)	\$11.00 \$0.00
				0,000 FED TRUST UST OBLIG #59	183 (CASH DIVIDEND)	\$10.38 \$0.00
03/04/2020	1L Dividend			30,000 AMERICAN WATER WORKS CO	183 (CASH DIVIDEND)	\$15.00 \$0.00
03/05/2020	24 Money mkt fund sale			(232,000) FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$232.00 \$0.00
				0061.000		
03/06/2020	1L Dividend			10,000 MSCI INC	183 (CASH DIVIDEND)	\$6.80 \$0.00
	24 Money mkt fund sale			(951,000) FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$951.00 \$0.00
				0061.000		
03/11/2020	1L Dividend			10,000 SAP GLOBAL INC.	183 (CASH DIVIDEND)	\$8.70 \$0.00
03/12/2020	1L Dividend			135,000 MICROSOFT CORP	183 (CASH DIVIDEND)	\$98.85 \$0.00
03/13/2020	24 Money mkt fund sale			(5,400,000) FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$5,400.00 \$0.00
				0061.000		
03/17/2020	1L Dividend			43,722.292 DOW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$1,991.45 \$0.00
03/20/2020	1L Dividend			35,000 WASTE MANAGEMENT INC NEW	183 (CASH DIVIDEND)	\$18.08 \$0.00
03/23/2020	1L Dividend			4,000 BLACKROCK INC	183 (CASH DIVIDEND)	\$14.52 \$0.00

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Cash Transaction Detail

Report dated April 23, 2021
KURT SCHRAEDER IM
All transactions entered between 01/01/2020 and 12/31/2020

Security Code	Purchase or Sale	Cash Date	Units	Description	US Code	Principals
Additions						
USD	SD-P	04/24/2020	1L Dividend	20.000 UNITEDHEALTH GROUP INC	183 (CASH DIVIDEND)	\$21.80 \$0.00
		04/25/2020	1L Dividend	20.000 ONE GROUP INC	183 (CASH DIVIDEND)	\$17.00 \$0.00
		04/26/2020	1L Dividend	25.000 HOME DEPOT	183 (CASH DIVIDEND)	\$37.50 \$0.00
		04/27/2020	1L Dividend	55.000 HEALTHCARE SELECT SPDR	183 (CASH DIVIDEND)	\$21.01 \$0.00
		04/27/2020	1L Dividend	255.000 BANK OF AMERICA CORP	183 (CASH DIVIDEND)	\$51.30 \$0.00
				60.000 FIDELITY NATL INFO SVCS	183 (CASH DIVIDEND)	\$21.00 \$0.00
				70.000 FORTUNE CO	183 (CASH DIVIDEND)	\$4.50 \$0.00
				113.805.310 OMV MULTI-ASSET OPPS FUND	263 (SALE CASH SETTLEMENT)	\$78,000.00 \$0.00
				695.650 OMV MUNICIPAL BOND FUND	263 (SALE CASH SETTLEMENT)	\$54,000.00 \$0.00
				65.000 PEPISCO INC	183 (CASH DIVIDEND)	\$52.53 \$0.00
				60.000 UNION PACIFIC CORP	183 (CASH DIVIDEND)	\$48.50 \$0.00
				65.000 AMEREN CORP	183 (CASH DIVIDEND)	\$32.18 \$0.00
				40.000 HILTON WORLDWIDE HOLDINGS	183 (CASH DIVIDEND)	\$5.00 \$0.00
				229.250.000 FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$29,250.00 \$0.00
				70.000 NIKE INC CL B	183 (CASH DIVIDEND)	\$17.15 \$0.00
				1704.000 FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$704.00 \$0.00
				0.000 FED TRUST UST OBLIG #59	183 (CASH DIVIDEND)	\$9.30 \$0.00
				1243.000 FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$243.00 \$0.00
				35.000 AMPHENOL CORP	183 (CASH DIVIDEND)	\$8.75 \$0.00

Cash Transaction Detail

Report dated April 23, 2021
 W. KURT SCHRAEDER III
 All transactions entered between 01/01/2020 and 12/31/2020

Currency code	Portfolio name	Cash date	Units	Description	US code	Price	Quantity
Additions							
USD	SD-P	04/08/2020	2#	Money mkt fund sale	(2,551.000)	FED TRUST UST DBLIG #59	227 (SWEEP REDEMPTION)
					0001.000		
		04/10/2020	1L	Dividend	29.000	CHARR LIMITED	183 (CASH DIVIDEND)
		04/14/2020	1L	Dividend	20.000	PIONEER NATURAL RESOURCES	183 (CASH DIVIDEND)
		04/15/2020	2#	Money mkt fund sale	(5,400.000)	FED TRUST UST DBLIG #59	227 (SWEEP REDEMPTION)
					0001.000		
		04/16/2020	1L	Dividend	25.000	THERRAO FISHER SCIENTIFIC	183 (CASH DIVIDEND)
		04/24/2020	1L	Dividend	50.000	DANAHER CORP	183 (CASH DIVIDEND)
		04/29/2020	1L	Dividend	25.000	American Tower Corp	183 (CASH DIVIDEND)
			2#	Money mkt fund sale	(1,714.000)	FED TRUST UST DBLIG #59	227 (SWEEP REDEMPTION)
					0001.000		
		04/30/2020	2S	Sale	(35.000)	BLACKSTONE GROUP INC	263 (SALE CASH SETTLEMENT)
					0002.500		
					(5.000)	HOME DEPOT	263 (SALE CASH SETTLEMENT)
					000217.025		
					(10.000)	HILTON WORLDWIDE HOLDINGS	263 (SALE CASH SETTLEMENT)
					00077.000		
		05/01/2020	2S	Sale	(20.000)	HEALTH CARE SELECT SPDR	263 (SALE CASH SETTLEMENT)
					000100.053		
		05/04/2020	1L	Dividend	0.000	FED TRUST UST DBLIG #59	183 (CASH DIVIDEND)
		05/08/2020	2#	Money mkt fund sale	(259.000)	FED TRUST UST DBLIG #59	227 (SWEEP REDEMPTION)
					0001.000		
		05/11/2020	1L	Dividend	120.000	BLACKSTONE GROUP INC	183 (CASH DIVIDEND)
		05/14/2020	1L	Dividend	45.000	APPLE INC	183 (CASH DIVIDEND)
		05/15/2020	2#	Money mkt fund sale	(5,400.000)	FED TRUST UST DBLIG #59	227 (SWEEP REDEMPTION)
					0001.000		

Cash Transaction Detail

Report dated April 23, 2021
 M. KURT SCHMIDT IM
 All transactions entered between 01/01/2020 and 12/31/2020

Agency Code	Portfolio Code	Qual Code	Date	Description	US Code	Principal Income
USD						
05/18/2020	1L Dividend	65.000	TEXAS INSTRUMENTS INC.	183 (CASH DIVIDEND)	\$53.50	\$0.00
05/22/2020	1L Dividend	140.000	CITIGROUP INC	183 (CASH DIVIDEND)	\$71.40	\$0.00
05/29/2020	1L Dividend	105.000	TENCENT HOLDINGS LTD	183 (CASH DIVIDEND)	\$16.24	\$0.00
06/01/2020	1L Dividend	130.000	CONOCOPHILLIPS	183 (CASH DIVIDEND)	\$54.80	\$0.00
06/02/2020	1L Dividend	55.000	ZOETIS INC	183 (CASH DIVIDEND)	\$11.00	\$0.00
06/02/2020	1L Dividend	75.000	VISA INC	183 (CASH DIVIDEND)	\$22.50	\$0.00
06/04/2020	2# Money mkt fund sale	30.000	AMERICAN WATER WORKS CO	183 (CASH DIVIDEND)	\$16.50	\$0.00
06/04/2020	2# Money mkt fund sale	0.000	FED TRUST UST DBLG #59	183 (CASH DIVIDEND)	\$1.88	\$0.00
06/05/2020	2# Money mkt fund sale	(273.000)	FED TRUST UST DBLG #59	227 (SWEEP REDEMPTION)	\$273.00	\$0.00
06/05/2020	2# Money mkt fund sale	(465.000)	FED TRUST UST DBLG #59	227 (SWEEP REDEMPTION)	\$465.00	\$0.00
06/10/2020	2# Money mkt fund sale	(15.000)	HEALTH CARE SELECT SPOR	263 (SALE CASH SETTLEMENT)	\$1,533.19	\$0.00
06/10/2020	1L Dividend	10.000	S&P GLOBAL INC.	183 (CASH DIVIDEND)	\$8.70	\$0.00
06/11/2020	1L Dividend	145.000	MICROSOFT CORP	183 (CASH DIVIDEND)	\$73.95	\$0.00
06/15/2020	2# Money mkt fund sale	(5,400.000)	FED TRUST UST DBLG #59	227 (SWEEP REDEMPTION)	\$5,400.00	\$0.00
06/18/2020	1L Dividend	39,317.724	OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$1,494.07	\$0.00
06/18/2020	1L Dividend	25.000	HONAI DEPOT	183 (CASH DIVIDEND)	\$37.50	\$0.00
06/18/2020	2# Money mkt fund sale	(4,385.995)	OW LARGE CAP STRATEGIES FO	263 (SALE CASH SETTLEMENT)	\$82,500.00	\$0.00
06/18/2020	2# Money mkt fund sale	(514.250)	OW SMALL & MIDCAP STRAT FO	263 (SALE CASH SETTLEMENT)	\$45,000.00	\$0.00
06/18/2020	2# Money mkt fund sale	(1,048.513)	OW MULT-ASSET OPS FUND	263 (SALE CASH SETTLEMENT)	\$8,700.00	\$0.00

Cash Transaction Detail

Report dated April 23, 2021
 KURT SCHRAEDER IM
 All transactions entered between 01/01/2020 and 12/31/2020
 Page 10 of 35

Currency	Posting no	Cash date	Units	Description	BS code	Principal amount
USD	080-P 08/19/2020	1L Dividend	40.000	WASTE MANAGEMENT INC NEW	1183 (CASH DIVIDEND)	\$21.80 \$0.00
		24 Money mkt fund sale	(113,607.000)	FED TRUST UST OBLIG F59	227 (SWEEP	\$113,607.00 \$0.00
			0251.000		REDEMPTION)	
		25 Sale	(20.000)	MICROSOFT CORP	283 (SALE CASH	\$3,898.81 \$0.00
			025194.885		SETTLEMENT)	
			(5.000)	CHARTER COMMUNICATIONS INC	283 (SALE CASH	\$2,878.26 \$0.00
			025335.705		SETTLEMENT)	
			(10.000)	FACEBOOK INC-A	283 (SALE CASH	\$2,362.28 \$0.00
			025238.273		SETTLEMENT)	
			(5.000)	SERVICENOW INC	283 (SALE CASH	\$2,003.04 \$0.00
			025400.659		SETTLEMENT)	
			(10.000)	VISA INC	283 (SALE CASH	\$1,941.43 \$0.00
			025194.187		SETTLEMENT)	
			(5.000)	APPLE INC	283 (SALE CASH	\$1,788.40 \$0.00
			025353.327		SETTLEMENT)	
			(5.000)	THERMO FISHER SCIENTIFIC	283 (SALE CASH	\$1,752.28 \$0.00
			025350.500		SETTLEMENT)	
			(10.000)	FIDELITY NATL INFO SVCS	283 (SALE CASH	\$1,390.75 \$0.00
			025139.118		SETTLEMENT)	
			(5.000)	American Tower Corp	283 (SALE CASH	\$1,325.61 \$0.00
			025255.188		SETTLEMENT)	
			(10.000)	PERSCO INC	283 (SALE CASH	\$1,320.24 \$0.00
			025132.067		SETTLEMENT)	
			(5.000)	HOMER DEPOT	283 (SALE CASH	\$1,259.16 \$0.00
			025251.877		SETTLEMENT)	
			(10.000)	SEA LTD ADR	283 (SALE CASH	\$1,059.18 \$0.00
			025105.981		SETTLEMENT)	

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Cash Transaction Detail

Report dated April 23, 2021
 W. KURT SCHMIDT IM
 All transactions entered between 01/01/2020 and 12/31/2020
 Page 11 of 35

Currency: USD Portfolio no: Cash date: 03/19/2020 23 Sale
 Additions: USD-P 03/19/2020 23 Sale

USD	USD-P 03/19/2020 23 Sale	Units	Description	US code	Principal amount
(10.000)	BANK OF AMERICA CORP	263	(SALE CASH SETTLEMENT)	\$1,008.58	\$0.00
00025.205					
(10.000)	NIKE INC CL B	263	(SALE CASH SETTLEMENT)	\$394.26	\$0.00
00099.468					
(5.000)	SALESFORCE COM	263	(SALE CASH SETTLEMENT)	\$308.35	\$0.00
000181.714					
(5.000)	DANAHER CORP	263	(SALE CASH SETTLEMENT)	\$383.17	\$0.00
000178.877					
(5.000)	UNION PACIFIC CORP	263	(SALE CASH SETTLEMENT)	\$381.11	\$0.00
000172.288					
(15.000)	BLACKSTONE GROUP INC	263	(SALE CASH SETTLEMENT)	\$360.10	\$0.00
00057.381					
(15.000)	CTT GROUP INC	263	(SALE CASH SETTLEMENT)	\$738.14	\$0.00
00053.318					
(10.000)	AMEREN CORP	263	(SALE CASH SETTLEMENT)	\$725.52	\$0.00
00072.894					
(5.000)	Hydrex Holdings Inc	263	(SALE CASH SETTLEMENT)	\$397.31	\$0.00
000138.526					
(5.000)	ZOETIS INC	263	(SALE CASH SETTLEMENT)	\$384.44	\$0.00
000136.932					
(10.000)	FORTIVE CO	263	(SALE CASH SETTLEMENT)	\$381.93	\$0.00
00088.235					
(15.000)	CONOCOPHILLIPS	263	(SALE CASH SETTLEMENT)	\$387.74	\$0.00
00044.557					
(5.000)	CHUBB LIMITED	263	(SALE CASH SETTLEMENT)	\$363.09	\$0.00
000130.680					
(5.000)	AMERICAN WATER WORKS CO	263	(SALE CASH SETTLEMENT)	\$350.54	\$0.00
000130.150					

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 TRUST

Cash Transaction Detail

Report dated April 23, 2021
 KURT SCHRAEDER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency	Portfolio	Cash Date	Units	Description	US Code	Principal/Income
USD	USD-P	06/19/2020	25	Sale		
			(5,000)	TEXAS INSTRUMENTS INC. @5128.955	263 (SALE CASH SETTLEMENT)	\$834.59 \$0.00
			(5,000)	WASTE MANAGEMENT INC NEW @5108.384	263 (SALE CASH SETTLEMENT)	\$531.48 \$0.00
			(5,000)	HEALTH CARE SELECT SPDR @5100.455	263 (SALE CASH SETTLEMENT)	\$502.07 \$0.00
			(5,000)	AMPHENOL CORP @398.952	263 (SALE CASH SETTLEMENT)	\$494.55 \$0.00
			(5,000)	HILTON WORLDWIDE HOLDINGS @575.975	263 (SALE CASH SETTLEMENT)	\$379.67 \$0.00
		06/23/2020	1L	Dividend		
			9,000	BLACKROCK INC	163 (CASH DIVIDEND)	\$32.67 \$0.00
		06/25/2020	1L	Dividend		
			20,000	CME GROUP INC	163 (CASH DIVIDEND)	\$17.00 \$0.00
			25,000	HEALTH CARE SELECT SPDR	163 (CASH DIVIDEND)	\$10.62 \$0.00
		06/26/2020	1L	Dividend		
			335,000	BANK OF AMERICA CORP	163 (CASH DIVIDEND)	\$80.30 \$0.00
			70,000	FIDELITY NATL INFO SVCS	163 (CASH DIVIDEND)	\$24.50 \$0.00
			75,000	FORTUNE CO	163 (CASH DIVIDEND)	\$5.25 \$0.00
			(35,000)	WASTE MANAGEMENT INC NEW @5101.494	263 (SALE CASH SETTLEMENT)	\$3,550.80 \$0.00
		06/30/2020	1L	Dividend		
			60,000	PEPSICO INC	163 (CASH DIVIDEND)	\$61.35 \$0.00
			60,000	UNION PACIFIC CORP	163 (CASH DIVIDEND)	\$58.20 \$0.00
			70,000	AMEREN CORP	163 (CASH DIVIDEND)	\$34.65 \$0.00
			25,000	UNITEDHEALTH GROUP INC	163 (CASH DIVIDEND)	\$31.25 \$0.00
			(10,000)	SEA LTD ADR @5106.042	263 (SALE CASH SETTLEMENT)	\$1,090.00 \$0.00
		07/01/2020	1L	Dividend		
			60,000	NIKE INC CL B	163 (CASH DIVIDEND)	\$19.60 \$0.00
		07/02/2020	1L	Dividend		
			0,000	FED TRUST UST CBIG #39	163 (CASH DIVIDEND)	\$1.44 \$0.00

Cash Transaction Detail

Report dated April 23, 2021
 M. KURT SCHRAEDER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency	Portfolio no	Cash date	Unit	Description	US code	Principal/Income
USD	SID-P	07/08/2020	2#	Money mkt fund sale		
				(254,000) FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$254.00 \$0.00
				0061.000		
	07/08/2020	1L	Dividend	40,000 AMFENGL CORP	183 (CASH DIVIDEND)	\$10.00 \$0.00
	07/08/2020	1L	Dividend	10,000 LVMH MOET HENNESSY VUITTON	183 (CASH DIVIDEND)	\$28.47 \$0.00
				INCLUDES WITHHOLDING TAX OF \$8.25		
	07/10/2020	1L	Dividend	20,000 American Tower Corp	183 (CASH DIVIDEND)	\$22.00 \$0.00
				24,000 CHUBB LIMITED	183 (CASH DIVIDEND)	\$18.72 \$0.00
	07/14/2020	1L	Dividend	20,000 PIONEER NATURAL RESOURCES	183 (CASH DIVIDEND)	\$11.00 \$0.00
	07/15/2020	1L	Dividend	25,000 THERMO FISHER SCIENTIFIC	183 (CASH DIVIDEND)	\$5.50 \$0.00
				2# Money mkt fund sale	227 (SWEEP REDEMPTION)	\$5,395.00 \$0.00
				(5,395,000) FED TRUST UST OBLIG #59		
				0061.000		
	07/31/2020	1L	Dividend	45,000 DANAHER CORP	183 (CASH DIVIDEND)	\$8.10 \$0.00
	08/04/2020	1L	Dividend	0,000 FED TRUST UST OBLIG #59	183 (CASH DIVIDEND)	\$0.98 \$0.00
	08/08/2020	2#	Money mkt fund sale	(285,000) FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$285.00 \$0.00
				0061.000		
	08/10/2020	1L	Dividend	105,000 BLACKSTONE GROUP INC	183 (CASH DIVIDEND)	\$38.85 \$0.00
				15,000 AIR PRODUCTS & CHEMICALS	183 (CASH DIVIDEND)	\$20.10 \$0.00
	08/13/2020	1L	Dividend	40,000 APPLE INC	183 (CASH DIVIDEND)	\$32.80 \$0.00
	08/14/2020	2#	Money mkt fund sale	(5,400,000) FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$5,400.00 \$0.00
				0061.000		
	08/17/2020	1L	Dividend	80,000 TEXAS INSTRUMENTS INC	183 (CASH DIVIDEND)	\$54.00 \$0.00
				23 Sale	283 (SALE CASH SETTLEMENT)	\$1,588.04 \$0.00
				(10,000) ZOETIS INC		
				006158.648		
				(10,000) SEALT LTD ADR	283 (SALE CASH SETTLEMENT)	\$1,280.81 \$0.00
				006128.124		

Cash Transaction Detail

Report dated April 23, 2021
N. KURT SCHRAEDER IM
All transactions entered between 01/01/2020 and 12/31/2020

Page 14 of 35

Currency	Portfolio no	Cash date	Unit	Description	US code	Principal (USD)
USD	SD-P	08/17/2020	25 Sale	(5,000) SALESFORCE COM @6195.180	263 (SALE CASH SETTLEMENT)	\$975.69 \$0.00
	08/28/2020	11 Dividend	125,000	OTISGROUP INC	183 (CASH DIVIDEND)	\$63.75 \$0.00
	08/31/2020	11 Stock Dividend	120,000	APPLE INC STOCK SPLIT	155 (STOCK SPLIT)	\$0.00 \$0.00
	09/01/2020	11 Dividend	115,000	CONOCOPHILLIPS	183 (CASH DIVIDEND)	\$48.30 \$0.00
			65,000	VISA INC	183 (CASH DIVIDEND)	\$19.50 \$0.00
			26,000	AMERICAN WATER WORKS CO	183 (CASH DIVIDEND)	\$13.75 \$0.00
			50,000	ZDETS INC	183 (CASH DIVIDEND)	\$10.00 \$0.00
	09/02/2020	11 Dividend	0,000	FED TRUST UST OBLIG #59	183 (CASH DIVIDEND)	\$0.41 \$0.00
	09/04/2020	25 Sale	(20,000)	CME GROUP INC @6172.548	263 (SALE CASH SETTLEMENT)	\$3,450.04 \$0.00
	09/10/2020	11 Dividend	125,000	MICROSOFT CORP	183 (CASH DIVIDEND)	\$63.75 \$0.00
			10,000	SAP GLOBAL INC.	183 (CASH DIVIDEND)	\$0.70 \$0.00
	09/15/2020	11 Dividend	39,317.724	OWI MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$1,378.12 \$0.00
		25 Sale	(4,150.782)	OWI SMALL & MIDCAP STRAT FD @515.890	263 (SALE CASH SETTLEMENT)	\$65,000.00 \$0.00
	09/16/2020	24 Money mkt fund sale	(25,000)	FED TRUST UST OBLIG #59 @61,000	227 (SWEEP REDEMPTION)	\$25.00 \$0.00
	09/17/2020	11 Dividend	20,000	HOME DEPOT	183 (CASH DIVIDEND)	\$30.00 \$0.00
	09/22/2020	11 Dividend	9,000	BLACKROCK INC	183 (CASH DIVIDEND)	\$32.67 \$0.00
			25,000	UNITEDHEALTH GROUP INC	183 (CASH DIVIDEND)	\$31.25 \$0.00
	09/24/2020	11 Dividend	25,000	HEALTH CARE SELECT SPDR	183 (CASH DIVIDEND)	\$10.46 \$0.00
	09/25/2020	11 Dividend	286,000	BANK OF AMERICA CORP	183 (CASH DIVIDEND)	\$53.10 \$0.00
			60,000	FIDELITY NATL INFO SVCS	183 (CASH DIVIDEND)	\$21.00 \$0.00

Cash Transaction Detail

Report dated April 23, 2021
 J. KURT SCHRAEDER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Agency Code	Portfolio no	Cash date	Unit	Description	US code	Principal amount
USD						
USD	SD-P	09/29/2020	1L Dividend	65.000 FORTIVE CO	183 (CASH DIVIDEND)	\$4.56 \$0.00
	09/29/2020	Z1 Security receipt	0.000 FED HERMES TR LST OBL 59	151 (SECURITY NAME CHANGE)		\$0.00 \$0.00
	09/30/2020	1L Dividend	55.000 UNION PACIFIC CORP	183 (CASH DIVIDEND)		\$53.35 \$0.00
			50.000 PEPSICO INC	183 (CASH DIVIDEND)		\$51.13 \$0.00
			60.000 AMEREN CORP	183 (CASH DIVIDEND)		\$29.70 \$0.00
	10/01/2020	1L Dividend	70.000 NIKE INC CL B	183 (CASH DIVIDEND)		\$17.15 \$0.00
		Z5 Sale	(13,501.699) OW MULTI-ASSET OPPS FUND	263 (SALE CASH SETTLEMENT)		\$30,693.90 \$0.00
			Settled in kind via a basket of securities and cash. Details available upon request.			
			@\$6.715			
			(2,700.340) OW MULTI-ASSET OPPS FUND	263 (SALE CASH SETTLEMENT)		\$16,132.78 \$0.00
			@\$6.715			
	10/02/2020	1L Dividend	0.000 FED HERMES TR LST OBL 59	183 (CASH DIVIDEND)		\$0.11 \$0.00
	10/08/2020	Z# Money mkt fund sale	(278.000) FED HERMES TR LST OBL 59	227 (SWEEP REDEMPTION)		\$278.00 \$0.00
			@\$1.000			
	10/07/2020	1L Dividend	35.000 AMPHENOL CORP	183 (CASH DIVIDEND)		\$8.75 \$0.00
	10/09/2020	1L Dividend	24.000 CHUBB LIMITED	183 (CASH DIVIDEND)		\$18.72 \$0.00
		Z# Money mkt fund sale	(250.000) FED HERMES TR LST OBL 59	227 (SWEEP REDEMPTION)		\$250.00 \$0.00
			@\$1.000			
		Z1 Security receipt	26.000 VONTRIER CORP	323 (SPIN OFF)		\$0.00 \$0.00
			SPIN OFF			
	10/14/2020	1L Dividend	20.000 PIONEER NATURAL RESOURCES	183 (CASH DIVIDEND)		\$11.00 \$0.00
	10/15/2020	1L Dividend	20.000 THERMO FISHER SCIENTIFIC	183 (CASH DIVIDEND)		\$4.40 \$0.00
		Z# Money mkt fund sale	(5,396.000) FED HERMES TR LST OBL 59	227 (SWEEP REDEMPTION)		\$5,396.00 \$0.00
			@\$1.000			
	10/16/2020	1L Dividend	20.000 American Tower Corp	183 (CASH DIVIDEND)		\$22.80 \$0.00

Cash Transaction Detail

Report dated April 23, 2021
 M. KURT SCHRAEDER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Page 16 of 35

Currency	Portfolio	Cash flow	Units	Description	DS code	Principal Income
USD	USD-P	10/28/2020 2# Money mkt fund sale	(1.000)	FED HERMES TR UST CBL 58 @51.000	227 (SWEEP REDEMPTION)	\$1.00 \$0.00
		10/30/2020 1L Dividend	45.000	DANAHER CORP	183 (CASH DIVIDEND)	\$5.10 \$0.00
		11/05/2020 2# Money mkt fund sale	(285.000)	FED HERMES TR UST CBL 58 @51.000	227 (SWEEP REDEMPTION)	\$355.00 \$0.00
		11/09/2020 1L Dividend	15.000	AIR PRODUCTS & CHEMICALS	183 (CASH DIVIDEND)	\$20.10 \$0.00
		11/12/2020 1L Dividend	180.000	APPLE INC	183 (CASH DIVIDEND)	\$32.80 \$0.00
		11/13/2020 1L Dividend	15.000	ADN PLC	183 (CASH DIVIDEND)	\$0.90 \$0.00
				INCLUDES WITHHELDING TAX OF \$1.73		
		2# Money mkt fund sale	(2,987.000)	FED HERMES TR UST CBL 58 @51.000	227 (SWEEP REDEMPTION)	\$2,987.00 \$0.00
		2S Sale	(5.000)	HOMIE DEPOT @5274.089	263 (SALE CASH SETTLEMENT)	\$1,370.22 \$0.00
			(5.000)	DANAHER CORP @5228.261	263 (SALE CASH SETTLEMENT)	\$1,141.23 \$0.00
			(28.000)	VONTER CORP @528.705	263 (SALE CASH SETTLEMENT)	\$748.07 \$0.00
		11/18/2020 1L Dividend	80.000	TEXAS INSTRUMENTS INC	183 (CASH DIVIDEND)	\$61.20 \$0.00
			105.000	BLACKSTONE GROUP INC	183 (CASH DIVIDEND)	\$56.70 \$0.00
		11/25/2020 1L Dividend	145.000	CTIGROUP INC	183 (CASH DIVIDEND)	\$73.95 \$0.00
		2S Sale	(80.000)	CTIGROUP INC @553.402	263 (SALE CASH SETTLEMENT)	\$3,202.27 \$0.00
			(25.000)	HEALTH CARE SELECT SPDR @5108.441	263 (SALE CASH SETTLEMENT)	\$2,710.45 \$0.00
			(10.000)	AMEREN CORP @579.138	263 (SALE CASH SETTLEMENT)	\$791.16 \$0.00

Cash Transaction Detail

Report dated April 23, 2021
 M. KURT SCHMIDT IM
 All transactions entered between 01/01/2020 and 12/31/2020

Page 17 of 35

Currency	Portfolio no	Cash flow	Units	Description	BY code	Principalle value
Additions						
USD	75SD-P	12/01/2020	1L Dividend	115.000 CONICO/PHILLIPS	183 (CASH DIVIDEND)	\$46.45 \$0.00
				75.000 VISA INC	183 (CASH DIVIDEND)	\$24.80 \$0.00
				40.000 ZOETIS INC	183 (CASH DIVIDEND)	\$0.00 \$0.00
				25.000 AMERICAN WATER WORKS CO	183 (CASH DIVIDEND)	\$13.75 \$0.00
				0.000 FED HERMES TR UST ORL 59	183 (CASH DIVIDEND)	\$0.01 \$0.00
				10.000 LYMAH MOET HENNESSY VUITTON	183 (CASH DIVIDEND)	\$24.18 \$0.00
INCLUDES WITHHOLDING TAX OF \$8.77						
				15.000 CINTAS CORP	183 (CASH DIVIDEND)	\$42.15 \$0.00
				15.000 CINTAS CORP	183 (CASH DIVIDEND)	\$10.80 \$0.00
				(25.000) SALESFORCE COM	283 (SALE CASH SETTLEMENT)	\$5,517.63 \$0.00
				125.000 MICROSOFT CORP	183 (CASH DIVIDEND)	\$70.00 \$0.00
				10.000 SAP GLOBAL INC.	183 (CASH DIVIDEND)	\$8.70 \$0.00
				39,317.724 OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$1,326.19 \$0.00
				25.000 UNITEDHEALTH GROUP INC	183 (CASH DIVIDEND)	\$81.25 \$0.00
				39,317.724 OW MUNICIPAL BOND FUND	782 (CAPITAL GAINS DIST RECEIPT (LONG))	\$2,048.24 \$0.00
				39,317.724 OW MUNICIPAL BOND FUND	182 (CAPITAL GAINS DIST RECEIPT (SHORT))	\$807.20 \$0.00
				(3,535.000) FED HERMES TR UST ORL 59	227 (SWEEP REDEMPTION)	\$3,535.00 \$0.00
				40,010.030 OW LARGE CAP STRATEGIES FO	183 (CASH DIVIDEND)	\$1,976.90 \$0.00
				40,010.030 OW LARGE CAP STRATEGIES FO	782 (CAPITAL GAINS DIST RECEIPT (LONG))	\$7,494.28 \$0.00
				15.000 HOME DEPOT	183 (CASH DIVIDEND)	\$22.50 \$0.00

Cash Transaction Detail

Report dated April 23, 2021
 J. KLART SCHRAEDER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency: USD Portfolio: Cash Date: 01/01/2020 - 12/31/2020 Units: Description: US code: Principal/Income

Additions

USD	12/18/2020	24 Money mkt fund sale	(1,746,000)	FED HERMES TR JUST ORL 59	061.000	227 (SWEEP REDEMPTION)	\$1,746.00	\$0.00
	12/21/2020	16 Cash liq distribution	0.000	OW MULT-ASSET OPS FUND		188 (ACCRUATING DIVIDEND)	\$10.75	\$0.00
				To receive pro-rata post-liquidation cash distribution from OW MULT-ASSET OPS Fund. Taxability to be reflected as cash liquidation distributions.				
		1L Dividend	9.000	BLACKROCK INC		183 (CASH DIVIDEND)	\$32.67	\$0.00
	12/29/2020	1L Dividend	9,086.390	OW CREDIT INCOME FUND		183 (CASH DIVIDEND)	\$979.35	\$0.00
			13,200.841	OW SMALL & MIDCAP STRAT FD		183 (CASH DIVIDEND)	\$380.51	\$0.00
		1P Long term cap gain	13,200.841	OW SMALL & MIDCAP STRAT FD		782 (CAPITAL GAINS DIST RECEIPT (LONG))	\$7,487.52	\$0.00
	12/24/2020	1L Dividend	295.000	BANK OF AMERICA CORP		183 (CASH DIVIDEND)	\$53.10	\$0.00
	12/29/2020	1L Dividend	60.000	FIDELITY NATL INFV SVCS		183 (CASH DIVIDEND)	\$21.00	\$0.00
			65.000	FORTIVE CO		183 (CASH DIVIDEND)	\$4.55	\$0.00
	12/29/2020	1L Dividend	70.000	NIKE INC CL B		183 (CASH DIVIDEND)	\$19.25	\$0.00
	12/30/2020	1L Dividend	55.000	UNION PACIFIC CORP		183 (CASH DIVIDEND)	\$53.35	\$0.00
	12/31/2020	1L Dividend	50.000	AMEREN CORP		183 (CASH DIVIDEND)	\$26.75	\$0.00
Total additions USD								\$0.00
Subtractions								
EUR	02/11/2020	5P Purchase	5.000	LVMH MOET HENNESSY VUITTON	02462.658	282 (PURCHASE CASH SETTLEMENT)	(2,272.79)	0.00
	03/31/2020	5P Purchase	5.000	LVMH MOET HENNESSY VUITTON	02378.572	282 (PURCHASE CASH SETTLEMENT)	(1,900.42)	0.00

Cash Transaction Detail

Report dated April 23, 2021
 W. KURT SCHRAEDER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency	Period	Cash Date	Units	Description	US Code	Financial Income
Subtractions						
EUR	EUR-P	03/31/2020	SP Purchase	10.000	SAFRAN SA €\$100.339	262 (PURCHASE CASH SETTLEMENT) (1,007.40) 0.00
		12/04/2020	SP Purchase	5.000	ASML HOLDING €\$448.202	262 (PURCHASE CASH SETTLEMENT) (2,242.35) 0.00
Total subtractions EUR						
HKD	HKD-P	04/01/2020	SP Purchase	15.000	TENCENT HOLDINGS LTD €\$47.977	262 (PURCHASE CASH SETTLEMENT) (721.29) 0.00
Total subtractions HKD						
USD	USD-P	01/02/2020	SP Money mkt fund purch	14.000	FED TRUST UST DBLG #59 €\$1.000	226 (SWEEP PURCHASE) (\$14.00) \$0.00
		01/03/2020	SP Money mkt fund purch	54.000	FED TRUST UST DBLG #59 €\$1.000	226 (SWEEP PURCHASE) (\$54.00) \$0.00
		01/07/2020	BI Fee/Commission	0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 12/31/19	6001 (CORE SERVICES FEE) (\$268.25) \$0.00
		01/08/2020	SP Money mkt fund purch	9.000	FED TRUST UST DBLG #59 €\$1.000	226 (SWEEP PURCHASE) (\$9.00) \$0.00
		01/10/2020	4Z Cash disbursement	0.000	KURT SCHRAEDER BANK NATIONAL ASSOCIATION PER REQUEST 1/8/2020 XI	7 (MISCELLANEOUS DISBURSEMENT) (\$30,000.00) \$0.00
				0.000	EXPENSE REIMBURSEMENT WIRE TRANSFER XI	7 (MISCELLANEOUS DISBURSEMENT) (\$26.00) \$0.00
		01/14/2020	SP Money mkt fund purch	34.000	FED TRUST UST DBLG #59 €\$1.000	226 (SWEEP PURCHASE) (\$34.00) \$0.00
		01/15/2020	BL Penitance & dep.	0.000	KEY BANK W/O KURT SCHRAEDER MONTHLY WITHDRAWAL	219 (PENALTANCES AND DEPOSITS) (\$5,400.00) \$0.00
		01/16/2020	SP Money mkt fund purch	30.000	FED TRUST UST DBLG #59 €\$1.000	226 (SWEEP PURCHASE) (\$30.00) \$0.00
		01/21/2020	SP Purchase	100.000	BLACKSTONE GROUP INC €\$59.518	262 (PURCHASE CASH SETTLEMENT) (\$5,955.84) \$0.00

Cash Transaction Detail

Currency	Portfolio no	Cash date	Units	Description	US code	Principal/balance
Subtractions						
USD	SD-P	01/21/2020	SP Purchase	40.000 HILTON WORLDWIDE HOLDINGS 000111131	202 (PURCHASE CASH SETTLEMENT)	(\$4,449.25) \$0.00
01/24/2020	SP Money mkt fund purch	2,614.000	FED TRUST UST OBLIG #59 0001.000	226 (SWEEP PURCHASE)	(\$2,614.00)	\$0.00
	SP Purchase	50.000	SEA LTD ADR 00044.499	202 (PURCHASE CASH SETTLEMENT)	(\$2,226.96)	\$0.00
01/21/2020	SP Money mkt fund purch	8.000	FED TRUST UST OBLIG #59 0001.000	226 (SWEEP PURCHASE)	(\$8.00)	\$0.00
02/04/2020	SP Money mkt fund purch	14.000	FED TRUST UST OBLIG #59 0001.000	226 (SWEEP PURCHASE)	(\$14.00)	\$0.00
02/06/2020	61 Fee/Commission	0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 01/31/20	5001 (CORE SERVICES FEE)	(\$250.00)	\$0.00
02/10/2020	SP Money mkt fund purch	54.000	FED TRUST UST OBLIG #59 0001.000	226 (SWEEP PURCHASE)	(\$54.00)	\$0.00
02/11/2020	SP Money mkt fund purch	4,178.000	FED TRUST UST OBLIG #59 0001.000	226 (SWEEP PURCHASE)	(\$4,178.00)	\$0.00
	SP Purchase	15.000	CITICORP INC 00078.776	202 (PURCHASE CASH SETTLEMENT)	(\$1,161.94)	\$0.00
02/12/2020	SP Money mkt fund purch	4,149.000	FED TRUST UST OBLIG #59 0001.000	226 (SWEEP PURCHASE)	(\$4,149.00)	\$0.00
02/13/2020	SP Money mkt fund purch	23.000	FED TRUST UST OBLIG #59 0001.000	226 (SWEEP PURCHASE)	(\$23.00)	\$0.00
02/14/2020	61 Remittance & dep.	0.000	KEY BANK W/O KURT SCHRAEDER MONTHLY WITHDRAWAL	219 (REMITTANCES AND DEPOSITS)	(\$6,400.00)	\$0.00
02/18/2020	SP Money mkt fund purch	61.000	FED TRUST UST OBLIG #59 0001.000	226 (SWEEP PURCHASE)	(\$61.00)	\$0.00
02/24/2020	SP Money mkt fund purch	2,726.000	FED TRUST UST OBLIG #59 0001.000	226 (SWEEP PURCHASE)	(\$2,726.00)	\$0.00

Cash Transaction Detail

Report dated April 23, 2021
KURT SCHRAEDER IM
All transactions entered between 01/01/2020 and 12/31/2020

Currency	Portfolio	Cash date	Units	Description	US code	Principal Income
Subtractions						
USD	USD-P	02/24/2020	SP Purchase	20.000	OTIERGROUP INC 06577.914	262 (PURCHASE CASH SETTLEMENT) (\$1,559.07) \$0.00
				20.000	BANK OF AMERICA CORP 06334.711	262 (PURCHASE CASH SETTLEMENT) (\$935.02) \$0.00
		02/29/2020	SP Money mkt fund purch	41.000	FED TRUST UST OBLIG #59 0651.000	226 (SWEEP PURCHASE) SETTLEMENT) (\$41.00) \$0.00
		03/02/2020	SP Money mkt fund purch	2,652.000	FED TRUST UST OBLIG #59 0651.000	226 (SWEEP PURCHASE) SETTLEMENT) (\$2,652.00) \$0.00
			SP Purchase	30.000	BLACKSTONE GROUP INC 06564.300	262 (PURCHASE CASH SETTLEMENT) (\$1,330.19) \$0.00
				10.000	NIKE INC CL B 06980.520	262 (PURCHASE CASH SETTLEMENT) (\$305.60) \$0.00
		03/03/2020	SP Money mkt fund purch	39.000	FED TRUST UST OBLIG #59 0651.000	226 (SWEEP PURCHASE) SETTLEMENT) (\$39.00) \$0.00
		03/04/2020	SP Money mkt fund purch	15.000	FED TRUST UST OBLIG #59 0651.000	226 (SWEEP PURCHASE) SETTLEMENT) (\$15.00) \$0.00
		03/05/2020	61 Fee/Commission	0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 02/29/20	5001 (CORE SERVICES FEE) (\$232.73) \$0.00
		03/06/2020	SP Purchase	10.000	HILTON WORLDWIDE HOLDINGS 06335.727	262 (PURCHASE CASH SETTLEMENT) (\$567.67) \$0.00
		03/11/2020	SP Money mkt fund purch	7.000	FED TRUST UST OBLIG #59 0651.000	226 (SWEEP PURCHASE) SETTLEMENT) (\$7.00) \$0.00
		03/12/2020	SP Money mkt fund purch	88.000	FED TRUST UST OBLIG #59 0651.000	226 (SWEEP PURCHASE) SETTLEMENT) (\$88.00) \$0.00
		03/13/2020	61 Remittance & dep.	0.000	KEY BANK 0651.000	219 (REMITTANCES AND DEPOSITS) (\$5,400.00) \$0.00
		03/17/2020	SP Money mkt fund purch	1,882.000	FED TRUST UST OBLIG #59 0651.000	226 (SWEEP PURCHASE) SETTLEMENT) (\$1,882.00) \$0.00

Cash Transaction Detail

Report dated April 23, 2021
KLUT SCHRAEDER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Page 22 of 35

Currency	Portfolio no	Cash date	Units	Description	US code	Principal/Income
Subactions						
USD	USD-P	03/20/2020	SP Money mkt fund purch	19.000	FED TRUST UST OBLIG #59 @51.000	226 (SWEEP PURCHASE) (\$19.00) \$0.00
		03/23/2020	SP Money mkt fund purch	14.000	FED TRUST UST OBLIG #59 @51.000	226 (SWEEP PURCHASE) (\$14.00) \$0.00
		03/24/2020	SP Money mkt fund purch	22.000	FED TRUST UST OBLIG #59 @51.000	226 (SWEEP PURCHASE) (\$22.00) \$0.00
		03/25/2020	SP Money mkt fund purch	17.000	FED TRUST UST OBLIG #59 @51.000	226 (SWEEP PURCHASE) (\$17.00) \$0.00
		03/26/2020	SP Money mkt fund purch	59.000	FED TRUST UST OBLIG #59 @51.000	226 (SWEEP PURCHASE) (\$59.00) \$0.00
		03/27/2020	SP Money mkt fund purch	49,327.000	FED TRUST UST OBLIG #59 @51.000	226 (SWEEP PURCHASE) (\$49,327.00) \$0.00
			SP Purchase	5,781.144	OW SMALL & MIDCAP STRAT FD @511.880	262 (PURCHASE CASH (\$58,500.00) \$0.00 SETTLEMENT)
				1,185.168	OW LARGE CAP STRATEGIES FD @512.230	262 (PURCHASE CASH (\$14,250.00) \$0.00 SETTLEMENT)
		03/31/2020	SP Purchase	15.000	VISA INC @5161.130	262 (PURCHASE CASH (\$2,417.55) \$0.00 SETTLEMENT)
				5.000	CHARTER COMMUNICATIONS INC @5439.010	262 (PURCHASE CASH (\$2,155.25) \$0.00 SETTLEMENT)
				5.000	BLACKROCK INC @5428.540	262 (PURCHASE CASH (\$2,142.90) \$0.00 SETTLEMENT)
				10.000	FACEBOOK INC-A @5158.580	262 (PURCHASE CASH (\$1,588.00) \$0.00 SETTLEMENT)
				10.000	MICROSOFT CORP @5151.830	262 (PURCHASE CASH (\$1,518.70) \$0.00 SETTLEMENT)
				10.000	UNION PACIFIC CORP @5135.820	262 (PURCHASE CASH (\$1,358.80) \$0.00 SETTLEMENT)

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TRUST

Cash Transaction Detail

Report dated April 23, 2021
 M. KUFT SCHRAEDER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Page 23 of 35

Currency	Unit	Description	US Code	Principle/Account
USD	USD	03/31/2020 SP Purchase		
Subtractions				
5.000	APPLE INC	202 PURCHASE CASH	(\$1,294.80)	\$0.00
5.000	UNITEDHEALTH GROUP INC	202 PURCHASE CASH	(\$1,214.50)	\$0.00
10.000	FIDELITY NATL INFO SVCS	202 PURCHASE CASH	(\$1,199.00)	\$0.00
25.000	BLACKSTONE GROUP INC	202 PURCHASE CASH	(\$1,137.75)	\$0.00
25.000	CITIGROUP INC	202 PURCHASE CASH	(\$1,095.75)	\$0.00
50.000	BANK OF AMERICA CORP	202 PURCHASE CASH	(\$1,082.75)	\$0.00
10.000	Lyda Holdings Inc	202 PURCHASE CASH	(\$1,057.50)	\$0.00
5.000	HOME DEPOT	202 PURCHASE CASH	(\$942.05)	\$0.00
10.000	HEALTH CARE SELECT SPDR	202 PURCHASE CASH	(\$943.00)	\$0.00
10.000	NIKE INC CL B	202 PURCHASE CASH	(\$917.20)	\$0.00
10.000	HILTON WORLDWIDE HOLDINGS	202 PURCHASE CASH	(\$890.40)	\$0.00
5.000	PERSCO INC	202 PURCHASE CASH	(\$883.05)	\$0.00
5.000	AMERICAN WATER WORKS CO	202 PURCHASE CASH	(\$884.05)	\$0.00
5.000	TEXAS INSTRUMENTS INC	202 PURCHASE CASH	(\$825.20)	\$0.00

Cash Transaction Detail

Report dated April 23, 2021
KURT SCHRAEDER IRA
 All transactions entered between 01/01/2020 and 12/31/2020

Currency	Portfolio no	Cash date	Units	Description	US code	Principal/Interest
Subtractions						
USD	7	USD-P 03/31/2020 SP Purchase				
			5.000	WASTE MANAGEMENT INC NEW @935.300	262 (PURCHASE CASH SETTLEMENT)	(\$478.70) \$0.00
			10.000	SEALTD ADR @945.311	262 (PURCHASE CASH SETTLEMENT)	(\$453.51) \$0.00
			5.000	AMPHENOL CORP @575.980	262 (PURCHASE CASH SETTLEMENT)	(\$380.10) \$0.00
			5.000	AMEREN CORP @571.100	262 (PURCHASE CASH SETTLEMENT)	(\$355.70) \$0.00
			10.000	CONOCOPHILLIPS @530.120	262 (PURCHASE CASH SETTLEMENT)	(\$301.60) \$0.00
			5.000	FORTIVE CO @549.300	262 (PURCHASE CASH SETTLEMENT)	(\$246.70) \$0.00
		04/02/2020 SP Money mkt fund purch	10.000	FED TRUST UST DBLG #59 @51.000	226 (SWEEP PURCHASE)	(\$10.00) \$0.00
		04/03/2020 BI Fee/Commission	0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 03/31/20	5001 (CORE SERVICES FEE)	(\$242.48) \$0.00
		04/03/2020 SP Purchase	10.000	APPLE INC @255.912	262 (PURCHASE CASH SETTLEMENT)	(\$2,559.52) \$0.00
		04/10/2020 SP Money mkt fund purch	22.000	FED TRUST UST DBLG #59 @51.000	226 (SWEEP PURCHASE)	(\$22.00) \$0.00
		04/14/2020 SP Money mkt fund purch	11.000	FED TRUST UST DBLG #59 @51.000	226 (SWEEP PURCHASE)	(\$11.00) \$0.00
		04/15/2020 BL Penalties & dep.	0.000	KEY BANK AND KURT SCHRAEDER MONTHLY WITHDRAWAL	218 (PENALTIES AND DEPOSITS)	(\$5,400.00) \$0.00
		04/16/2020 SP Money mkt fund purch	6.000	FED TRUST UST DBLG #59 @51.000	226 (SWEEP PURCHASE)	(\$6.00) \$0.00
		04/24/2020 SP Money mkt fund purch	9.000	FED TRUST UST DBLG #59 @51.000	226 (SWEEP PURCHASE)	(\$9.00) \$0.00

Cash Transaction Detail

Report dated April 23, 2021
 W. KURT SCHRAEDER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Page 25 of 35

Currency Code	Portfolio Code	Cash Date	Units	Description	US Code	Principal Amount
Subtractions						
USD	USD-P	04/29/2020	SP Purchase	5.000	ADOBE INC 00398.027	262 (PURCHASE CASH (\$1,740.28) \$0.00 SETTLEMENT)
		04/30/2020	SP Money mkt fund purch	4.027.000	FED TRUST UST DBLG #59 0061.000	226 (SWEEP PURCHASE) (\$4,027.00) \$0.00
			SP Purchase	20.000	ROSS STORES INC 00682.815	262 (PURCHASE CASH (\$1,357.09) \$0.00 SETTLEMENT)
		05/01/2020	SP Money mkt fund purch	1,298.000	FED TRUST UST DBLG #59 0061.000	226 (SWEEP PURCHASE) (\$1,298.00) \$0.00
			SP Purchase	5.000	lyria Holdings Inc 006142.904	262 (PURCHASE CASH (\$714.72) \$0.00 SETTLEMENT)
		05/04/2020	SP Money mkt fund purch	4.000	FED TRUST UST DBLG #59 0061.000	226 (SWEEP PURCHASE) (\$4.00) \$0.00
		05/05/2020	61 Fee/Commission	0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 04/30/20	5001 (CORE SERVICES FEE) (\$288.90) \$0.00
		05/11/2020	SP Money mkt fund purch	47.000	FED TRUST UST DBLG #59 0061.000	226 (SWEEP PURCHASE) (\$47.00) \$0.00
		05/14/2020	SP Money mkt fund purch	36.000	FED TRUST UST DBLG #59 0061.000	226 (SWEEP PURCHASE) (\$36.00) \$0.00
		05/15/2020	61 Remittance & dep.	0.000	KEY BANK N/A KURT SCHRAEDER MONTHLY WITHDRAWAL	219 (REMITTANCES AND DEPOSITS) (\$5,400.00) \$0.00
		05/18/2020	SP Money mkt fund purch	58.000	FED TRUST UST DBLG #59 0061.000	226 (SWEEP PURCHASE) (\$58.00) \$0.00
		05/22/2020	SP Money mkt fund purch	71.000	FED TRUST UST DBLG #59 0061.000	226 (SWEEP PURCHASE) (\$71.00) \$0.00
		05/29/2020	42 Cash disbursement	25.000	ALBABA GROUP HOLDINGS LTD ADR Depository Charge	5139 (ADR EXPENSE) (\$0.00) \$0.00
		06/01/2020	SP Money mkt fund purch	82.000	FED TRUST UST DBLG #59 0061.000	226 (SWEEP PURCHASE) (\$82.00) \$0.00

Cash Transaction Detail

Report dated April 23, 2021
KURT SCHRAEDER IRA
All transactions entered between 01/01/2020 and 12/31/2020

Page 26 of 35

Company Code	Portfolio no	Cash Date	Units	Description	U.S. Code	Price/Influence			
Subaccounts									
USD		USD-P	06/02/2020	54 Money mkt fund purch	41.000	FED TRUST UST DBLG #59	226 (SWEEP PURCHASE)	(\$41.00)	\$0.00
					@\$1.000				
		06/04/2020	61 Fee/Commission		0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 06/31/20	5000 (CORE SERVICES FEE)	(\$278.41)	\$0.00
		06/05/2020	52 Purchase		20.000	ROSS STORES INC	262 (PURCHASE CASH SETTLEMENT)	(\$1,899.21)	\$0.00
					@\$99.931				
		06/10/2020	54 Money mkt fund purch		7.000	FED TRUST UST DBLG #59	226 (SWEEP PURCHASE)	(\$7.00)	\$0.00
					@\$1.000				
		06/11/2020	54 Money mkt fund purch		74.000	FED TRUST UST DBLG #59	226 (SWEEP PURCHASE)	(\$74.00)	\$0.00
					@\$1.000				
		06/15/2020	61 Remittance & dep.		0.000	KEY BANK	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00)	\$0.00
						AND KURT SCHRAEDER MONTHLY WITHDRAWAL			
		06/16/2020	54 Money mkt fund purch		1,494.000	FED TRUST UST DBLG #59	226 (SWEEP PURCHASE)	(\$1,494.00)	\$0.00
					@\$1.000				
		06/18/2020	54 Money mkt fund purch		114,237.000	FED TRUST UST DBLG #59	226 (SWEEP PURCHASE)	(\$114,237.00)	\$0.00
					@\$1.000				
		06/19/2020	42 Cash disbursement		0.000	KURT SCHRAEDER	7 (MISCELLANEOUS DISBURSEMENT)	(\$150,000.00)	\$0.00
						KEYBANK NATIONAL ASSOCIATION PER REQUEST 6/17/20 XI			
					0.000	EXPENSE REIMBURSEMENT	7 (MISCELLANEOUS DISBURSEMENT)	(\$25.00)	\$0.00
						WIRE TRANSFER XI			
		06/22/2020	54 Money mkt fund purch		557.000	FED TRUST UST DBLG #59	226 (SWEEP PURCHASE)	(\$557.00)	\$0.00
					@\$1.000				
		06/23/2020	54 Money mkt fund purch		33.000	FED TRUST UST DBLG #59	226 (SWEEP PURCHASE)	(\$33.00)	\$0.00
					@\$1.000				
		06/25/2020	54 Money mkt fund purch		28.000	FED TRUST UST DBLG #59	226 (SWEEP PURCHASE)	(\$28.00)	\$0.00
					@\$1.000				
		06/26/2020	54 Money mkt fund purch		142.000	FED TRUST UST DBLG #59	226 (SWEEP PURCHASE)	(\$142.00)	\$0.00
					@\$1.000				

B
BESSMER
TRUST

Cash Transaction Detail

Report dated April 23, 2021
KURT SCHRAEDER IM
All transactions entered between 01/01/2020 and 12/31/2020

Currency	Portfolio no	Cash date	Units	Description	US code	Price/Inflow
Subtractions						
USD	USD-P	08/28/2020	SP Purchase	15.000 AIR PRODUCTS & CHEMICALS 08233 221	282 (PURCHASE CASH SETTLEMENT)	\$3,498.921 \$0.00
	08/30/2020	5# Money mkt fund purch	1,245.000	FED TRUST UST DBLG #59 081.000	228 (SWEEP PURCHASE)	\$1,245.000 \$0.00
	07/01/2020	5# Money mkt fund purch	20.000	FED TRUST UST DBLG #59 081.000	228 (SWEEP PURCHASE)	\$20.000 \$0.00
	07/02/2020	5# Money mkt fund purch	1.000	FED TRUST UST DBLG #59 081.000	228 (SWEEP PURCHASE)	\$1.000 \$0.00
	07/08/2020	81 Fee/Commission	0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 06/30/20 0001 (CORE SERVICES FEE)		\$254.021 \$0.00
	07/08/2020	5# Money mkt fund purch	10.000	FED TRUST UST DBLG #59 081.000	228 (SWEEP PURCHASE)	\$10.000 \$0.00
	07/09/2020	5# Money mkt fund purch	21.800	FED TRUST UST DBLG #59 081.000	228 (SWEEP PURCHASE)	\$21.800 \$0.00
		62 Withholding Tax	10.000	LVMH MOET HENNESSY VULTON INCLUDES WITHHOLDING TAX OF \$0.25	183 (CASH DIVIDEND)	\$8.250 \$0.00
	07/10/2020	5# Money mkt fund purch	22.000	FED TRUST UST DBLG #59 081.000	228 (SWEEP PURCHASE)	\$22.000 \$0.00
	07/13/2020	5# Money mkt fund purch	19.000	FED TRUST UST DBLG #59 081.000	228 (SWEEP PURCHASE)	\$18.000 \$0.00
	07/14/2020	5# Money mkt fund purch	11.000	FED TRUST UST DBLG #59 081.000	228 (SWEEP PURCHASE)	\$11.000 \$0.00
	07/15/2020	81 Remittances & dep.	0.000	KEY BANK N/AO KURT SCHRAEDER MONTHLY WITHDRAWAL	219 (REMITTANCES AND DEPOSITS)	\$5,400.000 \$0.00
	07/31/2020	5# Money mkt fund purch	9.000	FED TRUST UST DBLG #59 081.000	228 (SWEEP PURCHASE)	\$9.000 \$0.00

Cash Transaction Detail

Report dated April 24, 2021
KURT SCHRAEDER IM
All transactions entered between 01/01/2020 and 12/31/2020

Page 28 of 35

Currency	Period to	Cash date	Units	Description	US code	Principal Income
Subtractions						
USD	08/04/2020	54 Money mkt fund purch	1.000	FED TRUST UST DBLIG #59	228 (SWEEP PURCHASE)	(\$1.00) \$0.00
				\$61.000		
	08/08/2020	61 Fee/Commission	0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 07/31/20	5001 (CORE SERVICES FEE)	(\$284.43) \$0.00
	08/10/2020	54 Money mkt fund purch	59.000	FED TRUST UST DBLIG #59	228 (SWEEP PURCHASE)	(\$59.00) \$0.00
				\$61.000		
	08/13/2020	54 Money mkt fund purch	33.000	FED TRUST UST DBLIG #59	228 (SWEEP PURCHASE)	(\$33.00) \$0.00
				\$61.000		
	08/14/2020	61 Remittance & dep.	0.000	KEY BANK	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00) \$0.00
				AND KURT SCHRAEDER MONTHLY WITHDRAWAL		
	08/17/2020	54 Money mkt fund purch	882.000	FED TRUST UST DBLIG #59	228 (SWEEP PURCHASE)	(\$882.00) \$0.00
				\$61.000		
		54 Purchase	10.000	VISA INC	282 (PURCHASE CASH SETTLEMENT)	(\$1,977.85) \$0.00
				\$6197.725		
			20.000	CITIGROUP INC	282 (PURCHASE CASH SETTLEMENT)	(\$1,056.82) \$0.00
				\$662.801		
	08/28/2020	54 Money mkt fund purch	84.000	FED TRUST UST DBLIG #59	228 (SWEEP PURCHASE)	(\$84.00) \$0.00
				\$61.000		
	09/01/2020	54 Money mkt fund purch	91.000	FED TRUST UST DBLIG #59	228 (SWEEP PURCHASE)	(\$91.00) \$0.00
				\$61.000		
	09/02/2020	54 Money mkt fund purch	1.000	FED TRUST UST DBLIG #59	228 (SWEEP PURCHASE)	(\$1.00) \$0.00
				\$61.000		
	09/04/2020	54 Money mkt fund purch	23.000	FED TRUST UST DBLIG #59	228 (SWEEP PURCHASE)	(\$23.00) \$0.00
				\$61.800		
		54 Purchase	15.000	*ADN PLC	282 (PURCHASE CASH SETTLEMENT)	(\$3,146.23) \$0.00
				\$6209.709		
		61 Fee/Commission	0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 08/31/20	5001 (CORE SERVICES FEE)	(\$280.92) \$0.00

B
BESSEMER
TRUST

Cash Transaction Detail

Report dated April 23, 2021
KURT SCHRAEDER IM
All transactions entered between 01/01/2020 and 12/31/2020

Currency: USD Portfolio: Cash date: US date: Principal: \$0.00

Subtractions

USD	USD-P	09/10/2020	5# Money mkt fund purch	70.000	FED TRUST UST OBLIG #59	06/1.000	Z28 (SWEEP PURCHASE)	(\$70.00)	\$0.00
09/19/2020	42	Cash disbursement	0.000	KURT SCHRAEDER	KEY BANK NATIONAL ASSOCIATION PER REQUEST 9/14/2020 XI	7 (MISCELLANEOUS DISBURSEMENT)	(\$65,000.00)	\$0.00	
			0.000	EXPENSE REMBURSEMENT	WIRE TRANSFER XI	7 (MISCELLANEOUS DISBURSEMENT)	(\$25.00)	\$0.00	
5# Money mkt fund purch			5,978.000	FED TRUST UST OBLIG #59	06/1.000	Z28 (SWEEP PURCHASE)	(\$5,978.00)	\$0.00	
06. Rentlance & dep.			0.000	KEY BANK	KURT SCHRAEDER MONTHLY WITHDRAWAL	218 (REMITTANCES AND DEPOSITS)	(\$5,400.00)	\$0.00	
09/17/2020	5# Money mkt fund purch		30.000	FED TRUST UST OBLIG #59	06/1.000	Z28 (SWEEP PURCHASE)	(\$30.00)	\$0.00	
09/22/2020	5# Money mkt fund purch		84.000	FED TRUST UST OBLIG #59	06/1.000	Z28 (SWEEP PURCHASE)	(\$84.00)	\$0.00	
09/24/2020	5# Money mkt fund purch		11.000	FED TRUST UST OBLIG #59	06/1.000	Z28 (SWEEP PURCHASE)	(\$11.00)	\$0.00	
09/25/2020	5# Money mkt fund purch		78.000	FED HERMES TR UST OBL #9	06/1.000	Z28 (SWEEP PURCHASE)	(\$78.00)	\$0.00	
09/30/2020	5# Money mkt fund purch		134.000	FED HERMES TR UST OBL #9	06/1.000	Z28 (SWEEP PURCHASE)	(\$134.00)	\$0.00	
10/01/2020	5# Money mkt fund purch		18.000	FED HERMES TR UST OBL #9	06/1.000	Z28 (SWEEP PURCHASE)	(\$18.00)	\$0.00	
SP Purchase			9,088.390	OW CREDIT INCOME FUND	Settled in fund via a basket of securities and cash. Details available upon request.	Z82 (PURCHASE CASH SETTLEMENT)	(\$90,683.90)	\$0.00	
			06/10.000	OW LARGE CAP STRATEGIES FD	06/15.470	Z82 (PURCHASE CASH SETTLEMENT)	(\$18,132.79)	\$0.00	

Cash Transaction Detail

Report dated April 23, 2021
 KURT SCHRADER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Page 30 of 35

Currency Code	Portfolio	Cash date	Units	Description	Dr code	Principle Income
Subtractions						
USD		10/09/2020	6I Fee/Commission	0.000		
				CARE SERVICES FEE FOR THE PERIOD ENDED 09/30/20	5001 (CORE SERVICES FEE)	(\$275.53) \$0.00
		10/07/2020	5F Money mkt fund purch	9.000		
				FED HERMES TR UST DBL SB	226 (SWEEP PURCHASE)	(\$91.00) \$0.00
				(\$91.00)		
		10/09/2020	6Y Other financial services	0.000		
				SPECIAL TAX REPORTS FEE FOR TAX YEAR 2019	5076 (SPECIAL TAX REPORTS FEE)	(\$250.00) \$0.00
		10/13/2020	5F Money mkt fund purch	19.000		
				FED HERMES TR UST DBL SB	226 (SWEEP PURCHASE)	(\$191.00) \$0.00
				(\$91.00)		
		10/14/2020	5F Money mkt fund purch	11.000		
				FED HERMES TR UST DBL SB	226 (SWEEP PURCHASE)	(\$111.00) \$0.00
				(\$91.00)		
		10/15/2020	6I Remittance & dep.	0.000		
				KEY BANK	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00) \$0.00
				W/O KURT SCHRADER MONTHLY WITHDRAWAL		
		10/16/2020	5F Money mkt fund purch	23.000		
				FED HERMES TR UST DBL SB	226 (SWEEP PURCHASE)	(\$231.00) \$0.00
				(\$91.00)		
		10/27/2020	4Z Cash disbursement	30.000		
				SEA LTD ADR	5139 (ADR EXPENSE)	(\$0.60) \$0.00
				ADR Depository Charge		
		10/30/2020	5F Money mkt fund purch	8.000		
				FED HERMES TR UST DBL SB	226 (SWEEP PURCHASE)	(\$81.00) \$0.00
				(\$91.00)		
		11/05/2020	6I Fee/Commission	0.000		
				CORE SERVICES FEE FOR THE PERIOD ENDED 10/31/20	5001 (CORE SERVICES FEE)	(\$285.64) \$0.00
		11/09/2020	5F Money mkt fund purch	20.000		
				FED HERMES TR UST DBL SB	226 (SWEEP PURCHASE)	(\$201.00) \$0.00
				(\$91.00)		
		11/12/2020	5F Money mkt fund purch	33.000		
				FED HERMES TR UST DBL SB	226 (SWEEP PURCHASE)	(\$331.00) \$0.00
				(\$91.00)		
		11/13/2020	5F Purchase	5.000		
				ROSS STORES INC	262 (PURCHASE CASH SETTLEMENT)	(\$543.98) \$0.00
				(\$6108.776)		
				6I Remittance & dep.	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00) \$0.00
				KEY BANK		
				W/O KURT SCHRADER MONTHLY WITHDRAWAL		

B
 BESSMER
 TRUST

Cash Transaction Detail

Report dated April 23, 2021
 KUERT SCHRAEDER IM
 All transactions entered between 01/01/2020 and 12/31/2020
 Page 31 of 35

Security Code	Portfolio	Cash Flow	Units	Description	US Code	Principal Amount
Subtractions						
USD	SD-P	11/13/2020	62	Withholding Tax		
			15.000	AON PLC	183 (CASH DIVIDEND)	(\$1.73) \$0.00
			INCLUDES WITHHOLDING TAX OF \$1.73			
		11/16/2020	54	Money mkt fund purch	226 (SWEEP PURCHASE)	(\$123.00) \$0.00
			123.000	FED HERMES TR UST DBL 59		
			061.000			
		11/25/2020	54	Money mkt fund purch	226 (SWEEP PURCHASE)	(\$895.00) \$0.00
			895.000	FED HERMES TR UST DBL 59		
			061.000			
			54	Purchase		
			70.000	SCHWAB CHARLES CORP NEW	262 (PURCHASE CASH SETTLEMENT)	(\$3,356.34) \$0.00
				0647.538		
			5.000	AIR PRODUCTS & CHEMICALS	262 (PURCHASE CASH SETTLEMENT)	(\$1,378.51) \$0.00
				06275.282		
			5.000	American Tower Corp	262 (PURCHASE CASH SETTLEMENT)	(\$1,150.12) \$0.00
				06230.005		
		12/01/2020	54	Money mkt fund purch	226 (SWEEP PURCHASE)	(\$82.00) \$0.00
			82.000	FED HERMES TR UST DBL 59		
			061.000			
		12/02/2020	54	Money mkt fund purch	226 (SWEEP PURCHASE)	(\$13.00) \$0.00
			13.000	FED HERMES TR UST DBL 59		
			061.000			
		12/03/2020	54	Money mkt fund purch	226 (SWEEP PURCHASE)	(\$18.00) \$0.00
			18.000	FED HERMES TR UST DBL 59		
			061.000			
			62	Withholding Tax	183 (CASH DIVIDEND)	(\$8.77) \$0.00
			10.000	LVMH MOET HENNESSY VULTON		
			INCLUDES WITHHOLDING TAX OF \$8.77			
		12/04/2020	54	Money mkt fund purch	226 (SWEEP PURCHASE)	(\$858.00) \$0.00
			858.000	FED HERMES TR UST DBL 59		
			061.000			
			54	Purchase		
			5.000	ADORE INC	262 (PURCHASE CASH SETTLEMENT)	(\$2,382.70) \$0.00
				06478.520		
			61	Fee/Commission	5001 (CORE SERVICES FEE)	(\$285.53) \$0.00
			0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 11/30/20		

Cash Transaction Detail

Report dated April 23, 2021
 B. KURT SCHRAEDER IRA
 All transactions entered between 01/01/2020 and 12/31/2020
 Page 32 of 35

Transaction Code	Portfolio Code	Cash Date	Trans Description	US Code	Principal Amount
Subtractions					
USD	USD-P	12/16/2020	54 Money mkt fund purch	77.000	FED HERMES TR UST DBL SS
				(\$51.000)	
					226 (SWEEP PURCHASE) (\$77.00) \$0.00
		12/15/2020	SP Purchase	163.416	OW MUNICIPAL BOND FUND
				(\$512.540)	
					354 (DIVIDEND REINVESTMENT) (\$520.69.24) \$0.00
			BL Remittance & dep.	0.000	KEY BANK
					219 (REMITTANCES AND DEPOSITS) (\$5,400.00) \$0.00
		12/16/2020	54 Money mkt fund purch	1,977.000	OW KURT SCHRAEDER MONTHLY WITHDRAWAL
				(\$51.000)	
					226 (SWEEP PURCHASE) (\$1,977.00) \$0.00
			SP Purchase	446.088	OW LARGE CAP STRATEGIES FD
				(\$516.800)	
					354 (DIVIDEND REINVESTMENT) (\$7,494.28) \$0.00
		12/17/2020	54 Money mkt fund purch	22.000	FED HERMES TR UST DBL SS
				(\$51.000)	
					226 (SWEEP PURCHASE) (\$22.00) \$0.00
		12/18/2020	SP Purchase	10.000	lydie Holdings Inc
				(\$5174.598)	
					262 (PURCHASE CASH SETTLEMENT) (\$1,746.28) \$0.00
		12/21/2020	54 Money mkt fund purch	33.000	FED HERMES TR UST DBL SS
				(\$51.000)	
					226 (SWEEP PURCHASE) (\$33.00) \$0.00
		12/22/2020	54 Money mkt fund purch	110.000	FED HERMES TR UST DBL SS
				(\$51.000)	
					226 (SWEEP PURCHASE) (\$110.00) \$0.00
		12/23/2020	54 Money mkt fund purch	1,340.000	FED HERMES TR UST DBL SS
				(\$51.000)	
					226 (SWEEP PURCHASE) (\$1,340.00) \$0.00
			SP Purchase	411.628	OW SMALL & MIDCAP STRAT FD
				(\$516.190)	
					354 (DIVIDEND REINVESTMENT) (\$7,487.52) \$0.00
		12/24/2020	54 Money mkt fund purch	53.000	FED HERMES TR UST DBL SS
				(\$51.000)	
					226 (SWEEP PURCHASE) (\$53.00) \$0.00
		12/29/2020	54 Money mkt fund purch	26.000	FED HERMES TR UST DBL SS
				(\$51.000)	
					226 (SWEEP PURCHASE) (\$26.00) \$0.00
		12/29/2020	54 Money mkt fund purch	19.000	FED HERMES TR UST DBL SS
				(\$51.000)	
					226 (SWEEP PURCHASE) (\$19.00) \$0.00

B
 BESSEMER
 TRUST

Cash Transaction Detail

Report dated April 23, 2021
 [REDACTED], KURT SCHNADDER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency	Portfolio	Cash Item	Units	Description	US Code	Principal Income
Subtractions						
USD	[REDACTED]	USD-P 12/30/2020 54 Money mkt fund purch	53.000	FED HERMES TR UST ORL 59 @61.000	228 (SWEEP PURCHASE)	(\$53.00) \$0.00
		12/31/2020 54 Money mkt fund purch	26.000	FED HERMES TR UST ORL 59 @61.000	228 (SWEEP PURCHASE)	(\$26.00) \$0.00
Total subtractions USD						[REDACTED] \$0.00

Cash Transaction Detail

Report dated April 23, 2021
M. KURT SCHRAEDER CUSTODY
 All transactions entered between 01/01/2020 and 12/31/2020

Currency	Period begin	Cash rate	Date	Description	US code	Transaction
Additions						
USD	USD-P 01/02/2020	11 Interest	0.000	INTEREST ON BESSEMER SWEEP FOR PERIOD 12/01/2019 TO 12/31/2019	5060 (INTEREST ON BESSEMER SWEEP)	\$2.77 \$0.00
	02/03/2020	11 Interest	0.000	INTEREST ON BESSEMER SWEEP FOR PERIOD 01/01/2020 TO 01/31/2020	5060 (INTEREST ON BESSEMER SWEEP)	\$2.79 \$0.00
	03/02/2020	11 Interest	0.000	INTEREST ON BESSEMER SWEEP FOR PERIOD 02/01/2020 TO 02/29/2020	5060 (INTEREST ON BESSEMER SWEEP)	\$2.81 \$0.00
	03/06/2020	11 Dividend	2,363.000	PFIZER INC	183 (CASH DIVIDEND)	\$897.94 \$0.00
	04/01/2020	11 Interest	0.000	INTEREST ON BESSEMER SWEEP FOR PERIOD 03/01/2020 TO 03/31/2020	5060 (INTEREST ON BESSEMER SWEEP)	\$0.97 \$0.00
	06/05/2020	11 Dividend	2,363.000	PFIZER INC	183 (CASH DIVIDEND)	\$897.94 \$0.00
	09/01/2020	11 Dividend	2,363.000	PFIZER INC	183 (CASH DIVIDEND)	\$897.94 \$0.00
	11/17/2020	21 Security receipt	294.688	WATRIS INC SPIN OFF	323 (SPIN OFF)	\$0.00 \$0.00
			(294.688)	WATRIS INC SPIN OFF REVERSAL OF TRANSACTION REPORTED ON 11/17/2020	323 (SPIN OFF)	\$0.00 \$0.00
			293.199	WATRIS INC SPIN OFF	323 (SPIN OFF)	\$0.00 \$0.00
	12/01/2020	11 Dividend	2,363.000	PFIZER INC	183 (CASH DIVIDEND)	\$897.94 \$0.00
		25 Sale	(0.189)	WATRIS INC FRACTIONAL SHARES	287 (SALE OF FRACTIONAL SHARES)	\$3.49 \$0.00
Total additions USD						
Subtractions						
USD	USD-P 01/07/2020	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 12/31/19	5008 (DIRECTED CUSTODY FEE)	(\$0.76) \$0.00
	02/09/2020	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 01/31/20	5008 (DIRECTED CUSTODY FEE)	(\$0.76) \$0.00

Cash Transaction Detail

Report dated April 23, 2021
KURT SCHLAUDER CUSTODY
All transactions entered between 01/01/2020 and 12/31/2020

Banking Entity	Period from	Unit	Description	US code	Principal/Income
Subtractions					
USD	03/05/2020	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 02/29/20	5008 (DIRECTED CUSTODY FEE) (\$0.76) \$0.00
	04/06/2020	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 03/31/20	5008 (DIRECTED CUSTODY FEE) (\$0.94) \$0.00
	05/06/2020	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 04/30/20	5008 (DIRECTED CUSTODY FEE) (\$0.94) \$0.00
	06/04/2020	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 05/31/20	5008 (DIRECTED CUSTODY FEE) (\$0.94) \$0.00
	07/06/2020	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 06/30/20	5008 (DIRECTED CUSTODY FEE) (\$1.13) \$0.00
	08/06/2020	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 07/31/20	5008 (DIRECTED CUSTODY FEE) (\$1.13) \$0.00
	09/04/2020	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 08/31/20	5008 (DIRECTED CUSTODY FEE) (\$1.13) \$0.00
	10/06/2020	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 09/30/20	5008 (DIRECTED CUSTODY FEE) (\$1.32) \$0.00
	11/05/2020	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 10/31/20	5008 (DIRECTED CUSTODY FEE) (\$1.32) \$0.00
	12/04/2020	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 11/30/20	5008 (DIRECTED CUSTODY FEE) (\$2.34) \$0.00
Total subtractions USD					\$20.00

All Activity

Single Activity Type: Security Transactions

74 Items - Custom - From 1/1/2020 to 12/31/2020 as of Close of Business 4/26/2021

Total Count:
Total Amount:
Pending Count:
Pending Amount:
Settled Count:
Settled Amount:

MERRILL
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Account / Nickname	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security #	CUSIP	Entry #	JE ID	SOL	Client Entered	Quantity	Price	Amount
CHA	12/28/2020	12/30/2020	Sale	ISHARES S&P 500 GROWTH VSP 12/25/18 100 0000 PER ADVISORY AGREEMENT. ML ACTED AS AGENT	TYW	388E7	464287309	2		0		(100)	63.57	6,354.00
CHA	12/24/2020	12/24/2020	Reinvestment Share(s)	BLACKSTONE ALT MULTI STRATEGY FUND CLASS I AGENT REINV AMT \$647.51 REINV PRICE \$18.15000 REINV SHRS 35.7940 AS OF 12/21	BXNIX	973L3	09257V201	6	684			63.7940		0.00
CHA	12/22/2020	12/22/2020	Reinvestment Share(s)	JOHN HANCOCK INTL GROWTH FUND CL I AGENT REINV AMT \$48.99 REINV PRICE \$36.13000 REINV SHRS 1.3560 AS OF 12/18	OOGIX	9L8M3	478037627	7	684			1.3560		0.00
CHA	12/22/2020	12/22/2020	Reinvestment Share(s)	JPMORGAN EMERGING MARKETS EQ FUND CL I AGENT REINV AMT \$51.57 REINV PRICE \$42.53000 REINV SHRS 1.2600 AS OF 12/18	JEMIX	989K4	4812A0673	4	684			1.2600		0.00
CHA	12/21/2020	12/21/2020	Reinvestment Share(s)	BLACKROCK INC PRINCIPAL REINV AMT \$285.03 REINV PRICE \$893.37000 REINV SHRS .4255	BLK	080L2	09247X101	4	671			0.4255		0.00
CHA	12/14/2020	12/14/2020	Reinvestment Share(s)	DAVITA INTL FUND CL ADV AGENT REINV AMT \$423.66 REINV PRICE \$25.42000 REINV SHRS 16.6660 AS OF 12/10	DAYTX	9UDY3	413838731	3	684			16.6660		0.00
CHA	12/10/2020	12/10/2020	Reinvestment Share(s)	MICROSOFT CORP PRINCIPAL REINV AMT \$366.48 REINV PRICE \$211.80000 REINV SHRS 1.7398	MSFT	49522	594381804	4	671			1.7398		0.00
CHA	12/08/2020	12/08/2020	Reinvestment Share(s)	JOHNSON AND JOHNSON COM PRINCIPAL REINV AMT \$277.29 REINV PRICE \$149.97100 REINV SHRS 1.8490	JNJ	40853	478162104	3	671			1.8490		0.00
CHA	11/30/2020	12/02/2020	Sale	ISHARES S&P 500 GROWTH PER ADVISORY AGREEMENT. ML ACTED AS AGENT	TYW	388E7	464287309	1		0		(400)	61.3775	24,550.46
CHA	11/30/2020	12/01/2020	Purchase	JPMORGAN EMERGING MARKETS EQ FUND CL I FRAC SHR QUANTITY 255 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT, ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS. ML ACTED AS AGENT	JEMIX	989K4	4812A0673	3	000	0		495.2950	43.38	(20,000.01)
CHA	11/09/2020	11/12/2020	Sale	VANGUARD GROWTH ETF PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VUG	317M4	922888736	2		0		(25)	243.8187	6,091.34
CHA	11/09/2020	11/12/2020	Sale	SELECT SECTOR SPDR TR PER ADVISORY AGREEMENT. ML ACTED AS AGENT	XLK	673G5	813699803	3		0		(100)	124.55	12,454.72
CHA	11/09/2020	11/10/2020	Sale	BLACKSTONE ALT MULTI STRATEGY FUND CLASS I FRAC SHR QUANTITY .897 THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	BXNIX	973L3	09257V201	1		0		(493.0972)	10.14	5,000.00
CHA	11/09/2020	11/10/2020	Sale	WESTERN ASSET MANAGED MUNICIPALS FUND FRAC SHR QUANTITY .892 THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	WMHYX	97561	524691509	2		0		(307.8920)	15.25	5,000.00
CHA	10/26/2020	10/28/2020	Purchase	CITRIX SYSTEMS INC COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CTXS	13389	177378100	2	000	0		100	121.565	(12,156.50)
CHA	10/26/2020	10/28/2020	Sale	INTEL CORP EXECUTED 100% AGENCY ACTUAL PRICES, REHIMINATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	INTC	38886	458148100	3		0		(500)	46.9231	23,461.03
CHA	10/26/2020	10/28/2020	Purchase	ELI LILLY & CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	LLY	44534	532457108	4	000	0		28	141.0284	(3,920.57)
CHA	10/26/2020	10/28/2020	Sale	LUMEN TECHNOLOGIES INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	LUPH	13119	156700106	1		0		(4,000)	9.39	37,569.17
CHA	10/26/2020	10/28/2020	Purchase	QUALCOMM INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	QCOM	63487	747925103	5	000	0		100	127.3429	(12,734.29)
CHA	10/26/2020	10/27/2020	Purchase	JPMORGAN EMERGING MARKETS EQ FUND CL I FRAC SHR QUANTITY .894 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT, ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS. ML ACTED AS AGENT.	JEMIX	989K4	4812A0673	1	000	0		393.4940	38.12	(14,999.99)
CHA	10/21/2020	10/21/2020	Sale	SPDR DIVIDEND DUE BILL ISHARES S&P 500 GROWTH	TYW	388E7	464287309	2				(1,800)		0.00
CHA	10/21/2020	10/21/2020	Dividend	ISHARES S&P 500 GROWTH HOLDING 500.0000 PAY DATE 10/16/2020	TYW	388E7	464287309	1	000			1,800		0.00

10/19/2020	10/19/2020	1	Stock Dividend Due BIL ISHARES S&P 500 GROWTH	IVW	188E7	444287709	1		1,820		0.00		
18/02/2020	10/06/2020	1	Purchase AMERICAN INTERNATIONAL GROUP INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AIG	02A17	026874784	1	000	0	100	27,514	(2,751.40)	
18/02/2020	10/06/2020	2	Purchase ELI LILLY & CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	LLY	44534	532457108	2	000	0	30	144,5505	(4,336.52)	
09/30/2020	09/30/2020	11	Reinvestment Share(s) PEPSICO INC PRINCIPAL REINV AMT \$218.33 REINV PRICE \$137.92200 REINV SHRS 1.5830	PEP	60739	713448108	11	671		1,5830		0.00	
09/22/2020	09/22/2020	3	Reinvestment Share(s) BLACKROCK INC PRINCIPAL REINV AMT \$293.09 REINV PRICE \$51.15000 REINV SHRS .5318	BLK	08012	09247X101	3	671		0.5318		0.00	
09/10/2020	09/10/2020	4	Reinvestment Share(s) MICROSOFT CORP PRINCIPAL REINV AMT \$334.78 REINV PRICE \$212.71000 REINV SHRS 1.5663	MSFT	49522	594918104	4	671		1.5663		0.00	
09/08/2020	09/08/2020	3	Reinvestment Share(s) JOHNSON AND JOHNSON COM PRINCIPAL REINV AMT \$275.44 REINV PRICE \$150.75100 REINV SHRS 1.8332	JNJ	40853	478160104	3	671		1.8332		0.00	
09/03/2020	09/03/2020	1	Purchase AMERICAN INTERNATIONAL GROUP INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AIG	02A17	026874784	1	000	0	50	29,0983	(1,454.92)	
09/01/2020	09/01/2020	2	Purchase ELI LILLY & CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	LLY	44534	532457108	2	000	0	25	147,7798	(3,894.50)	
09/02/2020	09/02/2020	2	Stock Dividend Due BIL APPLE INC	AAFL	05001	037833100	2				(1,050)	0.00	
09/02/2020	09/02/2020	1	Dividend APPLE INC HOLDING \$50.0000 PAY DATE 08/28/2020	AAFL	05001	037833100	1	000		1.050		0.00	
08/31/2020	08/31/2020	1	Stock Dividend Due BIL APPLE INC	AAFL	05001	037833100	1			1.050		0.00	
07/24/2020	07/28/2020	2	Purchase BLACKROCK GLOBAL LONG SHORT EQUITY FUND DIST. CL. PRAC SHR QUANTITY .217 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS. ML ACTED AS AGENT.	BOHIX	97M27	091936326	2	000	0	428.2370	11.77	(4,999.99)	
07/28/2020	07/29/2020	1	Purchase JPMORGAN EMERGING MARKETS FUND CL. I PRAC SHR QUANTITY .044 FUND SUBJECT TO RED FEE PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS. ML ACTED AS AGENT.	JPMSTX	92M4	4812A0823	1	000	0	438.0840	34.24	(15,800.00)	
06/30/2020	06/30/2020	10	Reinvestment Share(s) PEPSICO INC PRINCIPAL REINV AMT \$218.65 REINV PRICE \$131.78500 REINV SHRS 1.6440	PEP	60739	713448108	10	671		1.6443		0.00	
06/23/2020	06/23/2020	3	Reinvestment Share(s) BLACKROCK INC PRINCIPAL REINV AMT \$291.20 REINV PRICE \$560.00000 REINV SHRS .5200	BLK	08012	09247X101	3	671		0.5200		0.00	
06/11/2020	06/11/2020	3	Reinvestment Share(s) MICROSOFT CORP PRINCIPAL REINV AMT \$333.90 REINV PRICE \$193.14100 REINV SHRS 1.7288	MSFT	49522	594918104	3	671		1.7288		0.00	
06/09/2020	06/09/2020	3	Reinvestment Share(s) JOHNSON AND JOHNSON COM PRINCIPAL REINV AMT \$205.37 REINV PRICE \$148.51900 REINV SHRS 1.3828	JNJ	40853	478160104	3	671		1.3828		0.00	
06/03/2020	06/03/2020	1	Purchase ADOBE INC SHS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ADBE	08380	00724F101	1	000	0	25	386.8281	(9,676.20)	
06/01/2020	06/03/2020	2	Purchase AMERICAN INTERNATIONAL GROUP INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AIG	02A17	026874784	2	000	0	250	30,7299	(7,882.48)	
06/01/2020	06/03/2020	3	Purchase JOHNSON AND JOHNSON COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	JNJ	40853	478160104	3	000	0	68	146,972	(6,994.18)	
06/01/2020	06/03/2020	4	Purchase ELI LILLY & CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	LLY	44534	532457108	4	000	0	65	152,1921	(8,892.49)	
06/01/2020	06/03/2020	5	Purchase NVIDIA PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NVDA	52809	67064Q104	5	000	0	28	351,2890	(9,836.11)	
06/01/2020	06/03/2020	6	Sale SPDR US FINANCIAL SECTOR ETF PER ADVISORY AGREEMENT. ML ACTED AS AGENT	XLF	67363	81369Y605	6		0		(2,394)	23.6835	58,697.05
05/24/2020	05/28/2020	1	Purchase VANECK VECTORS GOLD MINERS ETF PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	GOX	39QP6	93189P106	1	000	0	100	34.515	(3,451.50)	
05/24/2020	05/28/2020	2	Purchase SPDR GOLD TRUST PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR PER ADVISORY AGREEMENT. ML ACTED AS AGENT	GLD	685W8	78462V107	2	000	0	60	161,6589	(9,699.53)	
05/24/2020	05/27/2020	1	Purchase WESTERN ASSET CORP BOND FUND CL. I PRAC SHR QUANTITY .509 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS. ML ACTED AS AGENT	WATFX	8LD29	937663305	1	000	0	2,445.5090	13.36	(30,060.00)	

05/26/2020	05/27/2020	Purchase	BLACKROCK GLOBAL LONG SHORT EQUITY FD INSTL CL FRAC SHR QUANTITY .605 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS. ML ACTED AS AGENT.	BOMIX	97H27	091936526	4	000	0	1,734.0050	11.53	(20,000.00)
05/26/2020	05/27/2020	Sale	PIMCO INCOME FUND CL 12 FRAC SHR QUANTITY .964 THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PONFX	BPXY4	722014719	3	0	0	(2,332.9640)	11.30	25,458.49
05/26/2020	05/27/2020	Sale	EATON VANCE FLOATING RATE FUND CL 1 FRAC SHR QUANTITY .647 THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	EBLX	BLME1	277911491	3	0	0	(5,295.6470)	8.09	42,841.79
04/17/2020	04/17/2020	Franchise Share Sale	FRANCHISE SHARE SALE PHILLIPS 66 SHS SALE PRICE \$54.95000 QTY SOLD .2291	PSX	605W6	718546104	2	871	0	(0.2291)		12.59
04/17/2020	04/17/2020	Fractional Share Sale	EXTON MOBIL CORP COM SALE PRICE \$40.00000 QTY SOLD .8876	XOM	257D4	30231G102	1	671	0	(0.8876)		35.49
03/30/2020	04/01/2020	Purchase	VANECK VECTORS GOLD MINERALS ETF PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	GOX	29QPK	02189F108	8	000	0	200	24.00	(4,822.00)
03/30/2020	04/01/2020	Sale	CARLYLE (THE) GROUP INC REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CG	12816	14316J108	5	0	0	(850)	23.00	14,949.67
03/30/2020	04/01/2020	Sale	BLACKROCK INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BLK	080L2	09247X101	4	0	0	(18)	432.00	7,198.84
03/30/2020	04/01/2020	Sale	PHILLIPS 66 SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PSX	605W6	718546104	9	0	0	(100)	52.50	5,249.88
03/30/2020	04/01/2020	Purchase	ISHARES NASDAQ BIOTECH ETF PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT. ML ACTED AS AGENT	IBB	31CH7	464287358	7	000	0	150	105.85	(15,877.50)
03/30/2020	04/01/2020	Sale	EXTON MOBIL CORP COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	XOM	257D4	30231G102	6	0	0	(778)	36.50	28,184.38
03/31/2020	03/31/2020	Reinvestment Share(s)	PEPSICO INC PRINCIPAL REINV AMT \$260.81 REINV PRICE \$124.33500 REINV SHRS 1.6151	PEP	60729	713448108	12	671	0	1.6151		0.00
03/31/2020	03/31/2020	Reinvestment Share(s)	BLACKROCK INC PRINCIPAL REINV AMT \$345.70 REINV PRICE \$330.85000 REINV SHRS .9853	BLK	080L2	09247X101	4	671	0	6.9853		0.00
03/12/2020	03/12/2020	Reinvestment Share(s)	MICROSOFT CORP PRINCIPAL REINV AMT \$332.74 REINV PRICE \$145.61900 REINV SHRS 2.2810	MSFT	49532	594918104	5	671	0	2.2810		0.00
03/10/2020	03/12/2020	Purchase	AMAZON COM INC COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AMZN	011K2	023125106	3	000	0	10	1,850.00	(18,500.00)
03/10/2020	03/11/2020	Sale	EATON VANCE FLOATING RATE FUND CL 1 FRAC SHR QUANTITY .083 THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	EBLX	BLME1	277911491	1	0	0	(2,953.0830)	8.46	26,000.00
03/10/2020	03/10/2020	Reinvestment Share(s)	EXTON MOBIL CORP COM PRINCIPAL REINV AMT \$658.28 REINV PRICE \$46.22000 REINV SHRS 14.2423	XOM	257D4	30231G102	8	671	0	14.2423		0.00
03/10/2020	03/10/2020	Reinvestment Share(s)	JOHNSON AND JOHNSON COM PRINCIPAL REINV AMT \$191.86 REINV PRICE \$139.84100 REINV SHRS 1.3720	JNJ	40853	478160104	6	671	0	1.3720		0.00
01/09/2020	01/13/2020	Sale	ABBVIE INC SHS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ABBV	02PC0	00287Y109	1	0	0	(50)	90.00	4,498.91
01/09/2020	01/13/2020	Sale	ISHARES RUSSELL 2000 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	IWV	31CK2	464287689	2	0	0	(125)	191.00	23,874.51
01/07/2020	01/09/2020	Sale	BLACKROCK INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BLK	080L2	09247X101	1	0	0	(7)	507.89	3,549.56
01/07/2020	01/09/2020	Purchase	EXTON MOBIL CORP COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	XOM	257D4	30231G102	2	000	0	50	69.6266	(3,460.33)
01/07/2020	01/07/2020	Reinvestment Share(s)	PEPSICO INC PRINCIPAL REINV AMT \$199.41 REINV PRICE \$125.88800 QUANTITY 1.5875	PEP	60729	713448108	3	671	0	1.4875		0.00
01/02/2020	01/02/2020	Exchange	CARLYLE GROUP LP COMMON UNITS PAY DATE 01/02/2020		16295	14309L102	3	000	0	(850)		0.00
01/02/2020	01/02/2020	Exchange	CARLYLE (THE) GROUP INC REG SHS PAY DATE 01/02/2020	CG	12816	14316J108	2	000	0	650		0.00

Activity is shown in the currency of the account



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Year-End Statement
December 31, 2020

Page 4 of 19

Primary account number: [REDACTED]

Fund results from a long-term perspective

Fund results above are preliminary and may be subject to change. Figures shown are past results for the funds and are not predictive of results in future periods nor do they reflect your personal rate of return. Current and future results may be lower or higher than those shown. Share prices and returns will vary, so investors may lose money. Investing for short periods makes losses more likely. Fund results for Class A shares of the American Funds reflect deduction of the funds' maximum sales charge (5.75% for equity funds and target date funds; 3.75% for most bond funds; 2.50% for intermediate and short-term bond funds). There is no sales charge for AF U.S. Government Money Market Fund Class A shares. Results for other share classes are at net asset value. If a sales charge had been deducted, results would have been lower. Investment results assume all distributions are reinvested and reflect applicable fees and expenses. For current information, including month-end results, results that reflect the impact of sales charges and results for Class A shares at net asset value, please visit capitalgroup.com. Expense ratios are as of each fund's most recent prospectus. Expense ratios reflect any applicable fee waivers or expense reimbursements. Please see the fund's most recent shareholder report or prospectus for details. Investment results reflect the waivers and/or reimbursements, without which they would have been lower. Results for Class B, C, F-1, F-2, and R shares prior to the date of first sale are hypothetical based on Class A share results without a sales charge, adjusted for estimated annual expenses. Results for certain funds also include hypothetical returns because those funds' shares sold after the funds' date of first offering. Fund lifetime results are based on the inception date of Class A shares. Please see capitalgroup.com for more information on specific expense adjustments and the actual dates of first sale for each fund and share class.

Activity detail for account

Account value

Account registration:
SUZANNA E MORA

AMCAP Fund-C Fund number: 302 Symbol: AMPCX Objective: Growth

4.02% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)

Total cost basis: [REDACTED]
Cost per share: [REDACTED]

Calculation method: Average Cost

Year-to-date: Dividends \$0.00 Short-term capital gains \$0.00 Long-term capital gains \$768.12

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$11,270.99	\$28.99 / \$28.99		388.789
03/09/20	Fundlink Purchase	\$3,461.53	\$25.08 / \$25.08	138.020	\$26.809
06/17/20	Capital Gain 0.5817	\$306.44	\$28.77 / \$28.77	10.651	\$37.460
12/16/20	Capital Gain 0.859	\$461.68	\$32.80 / \$32.80	14.076	\$51.536
12/31/20	Ending balance				

American Balanced Fund-C Fund number: 311 Symbol: BALCX Objective: Balanced

8.19% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)

Total cost basis: [REDACTED]
Cost per share: [REDACTED]

Calculation method: Average Cost

Year-to-date: Dividends \$228.77 Short-term capital gains \$11.45 Long-term capital gains \$1,098.18

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$32,659.64	\$28.30 / \$28.30		1,154.051
01/14/20	Fundlink Redemption	-\$2,380.00	\$28.55 / \$28.55	-83.363	1,070.688
03/09/20	Fundlink Purchase	\$3,461.54	\$26.22 / \$26.22	132.019	1,202.707
03/16/20	Income Dividend 0.0471	\$56.65	\$23.66 / \$23.66	2.394	1,205.101
06/15/20	Income Dividend 0.0523	\$63.03	\$27.46 / \$27.46	2.295	1,207.396
06/15/20	Capital Gain 0.1375	\$165.70	\$27.46 / \$27.46	6.034	1,213.430
06/15/20	Short Term Gain 0.0095	\$11.45	\$27.46 / \$27.46	0.417	1,213.847
09/14/20	Income Dividend 0.0454	\$55.11	\$28.87 / \$28.87	1.909	1,215.756
12/15/20	Income Dividend 0.0444	\$53.98	\$29.67 / \$29.67	1.819	1,217.575
12/15/20	Capital Gain 0.767	\$932.48	\$29.67 / \$29.67	31.428	1,249.003
12/31/20	Ending balance				



**CAPITAL
GROUP***
**AMERICAN
FUNDS***

Year-End Statement
December 31, 2020

Page 5 of 19

Primary account number [REDACTED]

Activity detail for account # [REDACTED] (continued) [REDACTED] Account value: [REDACTED]

Account registration:
SUZANNA E MORA

American High-Income Trust-C Fund number: 321 Symbol: AHTCX Objective: Bond

0.00% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)
Not applicable

Year-to-date: Dividends \$11.01 Short-term capital gains \$0.00 Long-term capital gains \$0.00

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$5,643.99	\$10.08 / \$10.08		559.920
01/14/20	Fundlink Redemption	-\$5,666.39	\$10.12 / \$10.12	-559.920	0.000
01/14/20	Income Dividend	\$11.01	/ \$10.12		0.000
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

Daily dividend. Since the fund declares dividends daily, the amount of your income dividend depends on the number of days between the day you paid for your shares and the day the dividend was paid.

American Mutual Fund-A Fund number: 03 Symbol: AMRMX Objective: Growth-and-income

1.97% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)
Total cost basis: [REDACTED]

Cost per share: [REDACTED]
Calculation method: Average Cost

Year-to-date: Dividends \$78.94 Short-term capital gains \$0.00 Long-term capital gains \$0.00

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$0.00	\$43.52 / \$43.52		0.000
07/10/20	Share Class Div Exch	\$1,040.90	\$40.10 ¹ / \$40.10	25.958	25.958
07/10/20	Share Class Exchange	\$3,505.33	\$40.10 ¹ / \$40.10	87.415	113.373
08/10/20	Share Class Div Exch	\$167.08	\$42.15 ¹ / \$42.15	3.964	117.337
08/10/20	Share Class Exchange	\$562.94	\$42.15 ¹ / \$42.15	13.356	130.693
09/10/20	Share Class Div Exch	\$163.82	\$41.40 ¹ / \$41.40	3.957	134.650
09/10/20	Share Class Exchange	\$552.62	\$41.40 ¹ / \$41.40	13.348	147.998
09/16/20	Income Dividend 0.205	\$30.34	\$42.05 / \$42.05	0.722	148.720
10/12/20	Share Class Div Exch	\$172.96	\$43.04 ¹ / \$43.04	4.019	152.739
10/12/20	Share Class Exchange	\$575.16	\$43.04 ¹ / \$43.04	13.363	166.102
11/10/20	Share Class Div Exch	\$175.32	\$43.65 ¹ / \$43.65	4.016	170.118
11/10/20	Share Class Exchange	\$583.04	\$43.65 ¹ / \$43.65	13.357	183.475
12/10/20	Share Class Div Exch	\$178.37	\$44.35 ¹ / \$44.35	4.022	187.497
12/10/20	Share Class Exchange	\$592.00	\$44.35 ¹ / \$44.35	13.348	200.845
12/16/20	Special Dividend 0.037	\$7.43	\$44.16 / \$44.16	0.168	201.013
12/16/20	Income Dividend 0.205	\$41.17	\$44.16 / \$44.16	0.932	201.945
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

¹The above investment was placed with no sales charge.

American Mutual Fund-C Fund number: 303 Symbol: AMFCX Objective: Growth-and-income

8.99% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)
Total cost basis: [REDACTED]

Cost per share: [REDACTED]
Calculation method: Average Cost

Year-to-date: Dividends \$400.22 Short-term capital gains \$0.00 Long-term capital gains \$0.00

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$30,942.26	\$42.84 / \$42.84		722.275
03/09/20	Fundlink Purchase	\$3,461.54	\$36.81 / \$36.81	94.038	816.313



**CAPITAL
GROUP***

**AMERICAN
FUNDS***

Year-End Statement
December 31, 2020

Page 6 of 19

Primary account number: [REDACTED]

Activity detail for account [REDACTED] (continued) [REDACTED] Account value: [REDACTED]

Account registration:
SUZANNA E MORA

American Mutual Fund-C Fund number: 303 Symbol: AMFCX Objective: Growth-and-income

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
03/18/20	Income Dividend 0.1252	\$102.20	\$32.81 / \$32.81	3.115	819.428
06/17/20	Income Dividend 0.1371	\$112.34	\$39.40 / \$39.40	2.851	822.279
07/10/20	Share Class Exchange	-\$3,505.33	\$39.47 / \$39.47	-88.810	733.469
07/10/20	Share Class Div Exch	-\$1,040.90	\$39.47 / \$39.47	-26.372	707.097
08/10/20	Share Class Exchange	-\$562.94	\$41.46 / \$41.46	-13.578	693.519
08/10/20	Share Class Div Exch	-\$167.08	\$41.46 / \$41.46	-4.030	689.489
09/10/20	Share Class Exchange	-\$552.62	\$40.70 / \$40.70	-13.578	675.911
09/10/20	Share Class Div Exch	-\$163.82	\$40.70 / \$40.70	-4.025	671.886
09/16/20	Income Dividend 0.126	\$84.66	\$41.41 / \$41.41	2.044	673.930
10/12/20	Share Class Exchange	-\$575.16	\$42.36 / \$42.36	-13.578	660.352
10/12/20	Share Class Div Exch	-\$172.96	\$42.36 / \$42.36	-4.083	656.269
11/10/20	Share Class Exchange	-\$583.04	\$42.94 / \$42.94	-13.578	642.691
11/10/20	Share Class Div Exch	-\$175.32	\$42.94 / \$42.94	-4.083	638.608
12/10/20	Share Class Exchange	-\$592.00	\$43.60 / \$43.60	-13.578	625.030
12/10/20	Share Class Div Exch	-\$178.37	\$43.60 / \$43.60	-4.091	620.939
12/16/20	Special Dividend 0.037	\$22.97	\$43.48 / \$43.48	0.528	621.467
12/16/20	Income Dividend 0.1257	\$78.05	\$43.48 / \$43.48	1.795	623.262
12/31/20	Ending balance				

Capital Income Builder-A Fund number: 12 Symbol: CAIBX Objective: Equity-income

0.00% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)
Not applicable

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$3,950.72	\$63.32 / \$63.32		62.393
01/14/20	Fundlink Redemption	-\$3,971.31	\$63.65 / \$63.65	-62.393	0.000
12/31/20	Ending balance				

EuroPacific Growth Fund-C Fund number: 316 Symbol: AEPCX Objective: Growth

4.09% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)
Total cost basis: [REDACTED]
Cost per share: [REDACTED]
Calculation method: Average Cost

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$10,985.02	\$54.28 / \$54.28		202.377
03/09/20	Fundlink Purchase	\$3,461.54	\$45.54 / \$45.54	76.011	278.388
12/31/20	Ending balance				



**CAPITAL
GROUP***

**AMERICAN
FUNDS***

Year-End Statement
December 31, 2020

Page 7 of 19

Primary account number: [REDACTED]

Activity detail for account [REDACTED] (continued) [REDACTED] Account value [REDACTED]

Account registration:
SUZANNA E MORA

Fundamental Investors-C Fund number: 310 Symbol: AFICX Objective: Growth-and-income

10.19% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)
Total cost basis: [REDACTED]
Cost per share: [REDACTED]
Calculation method: Average Cost

Year-to-date: Dividends \$383.27 Short-term capital gains \$0.00 Long-term capital gains \$517.74

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$36,799.24	\$61.66 / \$61.66		596.809
03/09/20	Fundlink Purchase	\$3,461.54	\$52.06 / \$52.06	66.491	663.300
03/18/20	Income Dividend 0.0532	\$35.29	\$43.28 / \$43.28	0.815	664.115
06/17/20	Capital Gain 0.7796	\$517.74	\$57.16 / \$57.16	9.058	673.173
06/17/20	Income Dividend 0.0722	\$47.95	\$57.16 / \$57.16	0.839	674.012
09/16/20	Income Dividend 0.052	\$35.05	\$61.47 / \$61.47	0.570	674.582
12/18/20	Special Dividend 0.345	\$232.73	\$68.34 / \$68.34	3.405	677.987
12/18/20	Income Dividend 0.0478	\$32.25	\$68.34 / \$68.34	0.472	678.459
12/31/20	Ending balance				

Global Balanced Fund-A Fund number: 37 Symbol: GBLAX Objective: Balanced

0.04% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)
Total cost basis: [REDACTED]
Cost per share: [REDACTED]
Calculation method: Average Cost

Year-to-date: Dividends \$0.80 Short-term capital gains \$0.00 Long-term capital gains \$0.00

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$0.00	\$34.34 / \$34.34		0.000
12/10/20	Share Class Div Exch	\$3.22	\$37.09 ¹ / \$37.09	0.087	0.087
12/10/20	Share Class Exchange	\$197.18	\$37.09 ¹ / \$37.09	5.316	5.403
12/18/20	Foreign Tax Paid	\$0.05	/ \$37.24		5.403
12/18/20	Income Dividend 0.138	\$0.75	\$37.24 / \$37.24	0.020	5.423
12/31/20	Ending balance				

¹The above investment was placed with no sales charge.

Global Balanced Fund-C Fund number: 337 Symbol: GBLCX Objective: Balanced

4.43% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)
Total cost basis: [REDACTED]
Cost per share: [REDACTED]
Calculation method: Average Cost

Year-to-date: Dividends \$130.59 Short-term capital gains \$0.00 Long-term capital gains \$0.00

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$14,813.51	\$34.26 / \$34.26		432.385
03/09/20	Fundlink Purchase	\$3,461.54	\$30.97 / \$30.97	111.771	544.156
03/20/20	Income Dividend 0.0361	\$19.64	\$26.88 / \$26.88	0.731	544.887
03/20/20	Foreign Tax Paid	\$4.79	/ \$26.88		544.887
06/19/20	Income Dividend 0.032	\$17.44	\$33.19 / \$33.19	0.525	545.412
06/19/20	Foreign Tax Paid	\$5.07	/ \$33.19		545.412
09/18/20	Income Dividend 0.0665	\$36.27	\$34.35 / \$34.35	1.056	546.468
09/18/20	Foreign Tax Paid	\$5.07	/ \$34.35		546.468
12/10/20	Share Class Exchange	-\$197.18	\$36.98 / \$36.98	-5.332	541.136
12/10/20	Share Class Div Exch	-\$3.22	\$36.98 / \$36.98	-0.087	541.049



**CAPITAL
GROUP***

**AMERICAN
FUNDS***

Year-End Statement
December 31, 2020

Page 8 of 19

Primary account number [REDACTED]

Activity detail for account [REDACTED] (continued)

Account value [REDACTED]

Account registration:
SUZANNA E MORA

Global Balanced Fund-C Fund number: 337 Symbol: GBLCX Objective: Balanced

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
12/18/20	Foreign Tax Paid	\$4.87	/ \$37.19		541.049
12/18/20	Income Dividend 0.0692	\$37.44	\$37.19 / \$37.19	1.007	542.056
12/31/20	Ending balance				

The Growth Fund of America-A Fund number: 05 Symbol: AGTHX Objective: Growth

2.79% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)
Total cost basis: [REDACTED]
Cost per share: [REDACTED]
Calculation method: Average Cost
Long-term capital gains \$495.03

Year-to-date: Dividends

\$30.77

Short-term capital gains

\$0.00

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$0.00	\$51.13 / \$51.13		0.000
07/10/20	Share Class Div Exch	\$1,906.22	\$57.93 ¹ / \$57.93	32.906	32.906
07/10/20	Share Class Exchange	\$4,183.49	\$57.93 ¹ / \$57.93	72.216	105.122
08/10/20	Share Class Div Exch	\$279.92	\$58.82 ¹ / \$58.82	4.759	109.881
08/10/20	Share Class Exchange	\$613.88	\$58.82 ¹ / \$58.82	10.437	120.318
09/10/20	Share Class Div Exch	\$281.38	\$59.28 ¹ / \$59.28	4.747	125.065
09/10/20	Share Class Exchange	\$618.36	\$59.28 ¹ / \$59.28	10.431	135.496
10/12/20	Share Class Div Exch	\$304.08	\$64.00 ¹ / \$64.00	4.751	140.247
10/12/20	Share Class Exchange	\$667.11	\$64.00 ¹ / \$64.00	10.424	150.671
11/10/20	Share Class Div Exch	\$299.96	\$63.13 ¹ / \$63.13	4.751	155.422
11/10/20	Share Class Exchange	\$657.69	\$63.13 ¹ / \$63.13	10.418	165.840
12/10/20	Share Class Div Exch	\$323.22	\$68.10 ¹ / \$68.10	4.746	170.586
12/10/20	Share Class Exchange	\$708.97	\$68.10 ¹ / \$68.10	10.411	180.997
12/18/20	Income Dividend 0.17	\$30.77	\$67.15 / \$67.15	0.458	181.455
12/18/20	Capital Gain 2.735	\$495.03	\$67.15 / \$67.15	7.372	188.827
12/31/20	Ending balance				

¹The above investment was placed with no sales charge.

The Growth Fund of America-C Fund number: 305 Symbol: GFACX Objective: Growth

10.01% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)
Total cost basis: [REDACTED]
Cost per share: [REDACTED]
Calculation method: Average Cost

Year-to-date: Dividends

\$0.00

Short-term capital gains

\$0.00

Long-term capital gains

\$1,962.43

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$38,822.62	\$46.62 / \$46.62		832.746
03/09/20	Fundlink Purchase	\$3,461.54	\$41.10 / \$41.10		916.968
07/10/20	Share Class Exchange	-\$4,183.49	\$52.61 / \$52.61	-79.519	837.449
07/10/20	Share Class Div Exch	-\$1,906.22	\$52.61 / \$52.61	-36.233	801.216
08/10/20	Share Class Exchange	-\$613.88	\$53.39 / \$53.39	-11.498	789.718
08/10/20	Share Class Div Exch	-\$279.92	\$53.39 / \$53.39	-5.243	784.475
09/10/20	Share Class Exchange	-\$618.36	\$53.78 / \$53.78	-11.498	772.977
09/10/20	Share Class Div Exch	-\$281.38	\$53.78 / \$53.78	-5.232	767.745
10/12/20	Share Class Exchange	-\$667.11	\$58.02 / \$58.02	-11.498	756.247
10/12/20	Share Class Div Exch	-\$304.08	\$58.02 / \$58.02	-5.241	751.006
11/10/20	Share Class Exchange	-\$657.69	\$57.20 / \$57.20	-11.498	739.508



**CAPITAL
GROUP***

**AMERICAN
FUNDS***

Year-End Statement
December 31, 2020

Page 9 of 19

Primary account number: [REDACTED]

Activity detail for account [REDACTED] (continued) Account value [REDACTED]

Account registration:
SUZANNA E MORA

The Growth Fund of America-C Fund number: 305 Symbol: GFACX Objective: Growth

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
11/10/20	Share Class Div Exch	-\$299.96	\$57.20 / \$57.20	-5.244	734.264
12/10/20	Share Class Exchange	-\$708.97	\$61.66 / \$61.66	-11.498	722.766
12/10/20	Share Class Div Exch	-\$323.22	\$61.66 / \$61.66	-5.242	717.524
12/18/20	Capital Gain 2.735	\$1,962.43	\$60.68 / \$60.68	32.341	749.865
12/31/20	Ending balance				

International Growth and Income-C Fund number: 334 Symbol: IGICX Objective: Growth-and-income

3.59% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)

Total cost basis: [REDACTED]

Cost per share: [REDACTED]

Calculation method: Average Cost

Year-to-date: Dividends

\$146.68

Short-term capital gains

\$0.00

Long-term capital gains

\$0.00

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$11,142.61	\$36.11 / \$36.11		308.574
03/09/20	Fundlink Purchase	\$3,461.54	\$29.62 / \$29.62	116.865	425.439
03/20/20	Income Dividend 0.072	\$30.63	\$23.99 / \$23.99	1.277	426.716
03/20/20	Foreign Tax Paid	\$7.62	/ \$23.99		426.716
06/19/20	Income Dividend 0.0443	\$18.90	\$30.81 / \$30.81	0.613	427.329
06/19/20	Foreign Tax Paid	\$7.00	/ \$30.81		427.329
09/18/20	Income Dividend 0.0958	\$40.94	\$32.98 / \$32.98	1.241	428.570
09/18/20	Foreign Tax Paid	\$7.09	/ \$32.98		428.570
12/21/20	Foreign Tax Paid	\$7.33	/ \$37.25		428.570
12/21/20	Income Dividend 0.0634	\$27.17	\$37.25 / \$37.25	0.729	429.299
12/31/20	Ending balance				

The Investment Company of America-C Fund number: 304 Symbol: AICCX Objective: Growth-and-income

9.62% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)

Total cost basis: [REDACTED]

Cost per share: [REDACTED]

Calculation method: Average Cost

Year-to-date: Dividends

\$328.27

Short-term capital gains

\$0.00

Long-term capital gains

\$113.11

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$34,604.44	\$39.10 / \$39.10		885.024
03/09/20	Fundlink Purchase	\$3,461.54	\$32.91 / \$32.91	105.182	990.206
03/18/20	Income Dividend 0.0819	\$81.10	\$28.77 / \$28.77	2.819	993.025
06/17/20	Capital Gain 0.1139	\$113.11	\$37.46 / \$37.46	3.019	996.044
06/17/20	Income Dividend 0.0914	\$90.76	\$37.46 / \$37.46	2.423	998.467
09/16/20	Income Dividend 0.0796	\$79.48	\$40.12 / \$40.12	1.981	1,000.448
12/16/20	Income Dividend 0.0769	\$76.93	\$43.38 / \$43.38	1.773	1,002.221
12/31/20	Ending balance				



**CAPITAL
GROUP***

**AMERICAN
FUNDS***

Year-End Statement
December 31, 2020

Page 10 of 19

Primary account number [REDACTED]

Activity detail for account: [REDACTED] (continued) Account value: [REDACTED]

Account registration:
SUZANNA E MORA

The New Economy Fund-C Fund number: 314 Symbol: ANFCX Objective: Growth

9.79% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)
Total cost basis: [REDACTED]
Cost per share: [REDACTED]
Calculation method: Average Cost

Year-to-date: Dividends \$0.00 Short-term capital gains \$0.00 Long-term capital gains \$1,232.42

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$29,886.53	\$40.08 / \$40.08		745.672
03/09/20	Fundlink Purchase	\$3,461.54	\$35.45 / \$35.45	97.646	843.318
12/16/20	Capital Gain 1.4614	\$1,232.42	\$50.47 / \$50.47	24.419	867.737
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

New Perspective Fund-C Fund number: 307 Symbol: NPFCX Objective: Growth

4.47% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)
Total cost basis: [REDACTED]
Cost per share: [REDACTED]
Calculation method: Average Cost

Year-to-date: Dividends \$0.00 Short-term capital gains \$0.00 Long-term capital gains \$826.92

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$11,433.50	\$45.25 / \$45.25		252.674
03/09/20	Fundlink Purchase	\$3,461.54	\$39.13 / \$39.13	88.463	341.137
12/17/20	Capital Gain 2.424	\$826.92	\$56.68 / \$56.68	14.589	355.726
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

SMALLCAP World Fund-A Fund number: 35 Symbol: SMCWX Objective: Growth

2.34% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)
Total cost basis: [REDACTED]
Cost per share: [REDACTED]
Calculation method: Average Cost

Year-to-date: Dividends \$3.07 Short-term capital gains \$0.00 Long-term capital gains \$168.35

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$0.00	\$58.83 / \$58.83		0.000
07/10/20	Share Class Div Exch	\$1,178.03	\$62.02 ¹ / \$62.02	18.994	18.994
07/10/20	Share Class Exchange	\$3,956.30	\$62.02 ¹ / \$62.02	63.791	82.785
08/10/20	Share Class Div Exch	\$145.32	\$64.50 ¹ / \$64.50	2.253	85.038
08/10/20	Share Class Exchange	\$486.97	\$64.50 ¹ / \$64.50	7.550	92.588
09/10/20	Share Class Div Exch	\$143.61	\$63.86 ¹ / \$63.86	2.249	94.837
09/10/20	Share Class Exchange	\$481.80	\$63.86 ¹ / \$63.86	7.545	102.382
10/12/20	Share Class Div Exch	\$158.17	\$70.48 ¹ / \$70.48	2.244	104.626
10/12/20	Share Class Exchange	\$531.45	\$70.48 ¹ / \$70.48	7.540	112.166
11/10/20	Share Class Div Exch	\$156.97	\$70.14 ¹ / \$70.14	2.238	114.404
11/10/20	Share Class Exchange	\$528.60	\$70.14 ¹ / \$70.14	7.536	121.940
12/10/20	Share Class Div Exch	\$179.53	\$76.85 ¹ / \$76.85	2.336	124.276
12/10/20	Share Class Exchange	\$604.24	\$76.85 ¹ / \$76.85	7.863	132.139
12/17/20	Capital Gain 1.274	\$168.35	\$78.36 / \$78.36	2.148	134.287
12/17/20	Foreign Tax Paid	\$3.07	[REDACTED] / \$78.36		134.287
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

¹The above investment was placed with no sales charge.

**CAPITAL
GROUP***

AMERICAN FUNDS*

**Year-End Statement
December 31, 2020**

Page 11 of 19

Primary account number [REDACTED]

Activity detail for account (continued) - 312,224,135 - Account value

Account registrations:
SUZANNA E MORA

SMALLCAP World Fund-C Fund number: 335 Symbol: SCWCX Objective: Growth

8.91% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)

Total cost basis

Cost per share:

Calculation method: Average Cost

Year-to-date: Dividends

\$13.84

Short-term capital gains

\$0.00

Long-term capital gains

\$760.13

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$33,615.18	\$50.05 / \$50.05		671.632
03/09/20	Fundslink Purchase	\$3,461.54	\$42.71 / \$42.71	81.048	752.680
07/10/20	Share Class Exchange	-\$3,956.30	\$52.56 / \$52.56	-75.272	677.408
07/10/20	Share Class Div Exch	-\$1,178.03	\$52.56 / \$52.56	-22.413	654.995
08/10/20	Share Class Exchange	-\$486.97	\$54.63 / \$54.63	-8.914	646.081
08/10/20	Share Class Div Exch	-\$145.32	\$54.63 / \$54.63	-2.660	643.421
09/10/20	Share Class Exchange	-\$481.80	\$54.05 / \$54.05	-8.914	634.507
09/10/20	Share Class Div Exch	-\$143.61	\$54.05 / \$54.05	-2.657	631.850
10/12/20	Share Class Exchange	-\$531.45	\$59.62 / \$59.62	-8.914	622.936
10/12/20	Share Class Div Exch	-\$158.17	\$59.62 / \$59.62	-2.653	620.283
11/10/20	Share Class Exchange	-\$528.60	\$59.30 / \$59.30	-8.914	611.369
11/10/20	Share Class Div Exch	-\$156.97	\$59.30 / \$59.30	-2.647	608.722
12/10/20	Share Class Exchange	-\$604.24	\$64.93 / \$64.93	-9.306	599.416
12/10/20	Share Class Div Exch	-\$179.53	\$64.93 / \$64.93	-2.765	596.651
12/17/20	Foreign Tax Paid	\$13.84	/ \$66.00		596.651
12/17/20	Capital Gain 1,274	\$760.13	\$66.00 / \$66.00	11.517	608.168
12/31/20	Ending balance				

Washington Mutual Investors Fund-C Fund number: 301 Symbol: WSHCX Objective: Growth-and-income

9.56% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)

Total cost basis

Cost per share:

Calculation method: Average Cost

Year-to-date: Dividends

\$459.29

Short-term capital gains

\$2.00

Long-term capital gains

\$578.69

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$36,761.70	\$47.45 / \$47.45		774,746
03/09/20	Fundslink Purchase	\$3,461.53	\$39.74 / \$39.74	87.104	861,850
03/18/20	Income Dividend 0.1175	\$101.27	\$33.68 / \$33.68	3.007	864,857
06/17/20	Income Dividend 0.1311	\$113.38	\$43.42 / \$43.42	2.611	867,468
09/16/20	Income Dividend 0.1177	\$102.10	\$45.86 / \$45.86	2.226	869,694
12/18/20	Capital Gain 0.6654	\$578.69	\$48.84 / \$48.84	11.849	881,543
12/18/20	Special Dividend 0.05	\$43.48	\$48.84 / \$48.84	0.890	882,433
12/18/20	Income Dividend 0.1139	\$99.06	\$48.84 / \$48.84	2.028	884,461
12/31/20	Ending balance				



**CAPITAL
GROUP***

**AMERICAN
FUNDS***

Year-End Statement
December 31, 2020

Page 12 of 19

Primary account number: [REDACTED]

Activity detail for account: [REDACTED]

Account values: [REDACTED]

Account registration:
VCSP/COLLEGEAMERICA
SUZANNA E MORA OWNER
FBO RENEE G QUARANTA

AMCAP Fund-529A Fund number: 1002 Symbol: CAFAX Objective: Growth

15.40% of the account's assets are in this fund

Beneficiary age: 20

Successor owner:
WALTER K SCHRADER

Year-to-date: Dividends

\$0.84

Short-term capital gains

\$0.00

Long-term capital gains

\$70.78

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$3,436.33	\$33.15 / \$33.15		103.660
06/17/20	Capital Gain 0.5817	\$60.30	\$33.08 / \$33.08	1.823	105.483
08/28/20	Contrib To *****089	-\$2,581.19	\$36.45 / \$36.45	-70.815	34.668
08/28/20	Earnings To *****089	-\$818.81	\$36.45 / \$36.45	-22.463	12.205
12/16/20	Capital Gain 0.859	\$10.48	\$37.92 / \$37.92	0.276	12.481
12/16/20	Income Dividend 0.069	\$0.84	\$37.92 / \$37.92	0.022	12.503
12/31/20	Ending balance				

The Growth Fund of America-529A Fund number: 1005 Symbol: CGFAX Objective: Growth

29.41% of the account's assets are in this fund

Beneficiary age: 20

Successor owner:
WALTER K SCHRADER

Year-to-date: Dividends

\$2.06

Short-term capital gains

\$0.00

Long-term capital gains

\$36.24

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$3,436.40	\$50.49 / \$50.49		68.061
08/28/20	Contrib To *****089	-\$2,581.19	\$62.03 / \$62.03	-41.612	26.449
08/28/20	Earnings To *****089	-\$818.81	\$62.03 / \$62.03	-13.200	13.249
12/18/20	Income Dividend 0.1554	\$2.06	\$66.27 / \$66.27	0.031	13.280
12/18/20	Capital Gain 2.735	\$36.24	\$66.27 / \$66.27	0.547	13.827
12/31/20	Ending balance				

The New Economy Fund-529A Fund number: 1014 Symbol: CNGAX Objective: Growth

15.88% of the account's assets are in this fund

Beneficiary age: 20

Successor owner:
WALTER K SCHRADER

Year-to-date: Dividends

\$0.05

Short-term capital gains

\$0.00

Long-term capital gains

\$12.07

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$3,294.97	\$45.17 / \$45.17		72.946
08/28/20	Contrib To *****089	-\$2,581.19	\$52.56 / \$52.56	-49.109	23.837
08/28/20	Earnings To *****089	-\$818.81	\$52.56 / \$52.56	-15.579	8.258
12/16/20	Capital Gain 1.4614	\$12.07	\$57.46 / \$57.46	0.210	8.468
12/16/20	Income Dividend 0.0065	\$0.05	\$57.46 / \$57.46	0.001	8.469
12/31/20	Ending balance				



**CAPITAL
GROUP***

**AMERICAN
FUNDS***

Year-End Statement
December 31, 2020

Page 13 of 19

Primary account number [REDACTED]

Activity detail for account [REDACTED] (continued) [REDACTED] Account value [REDACTED]

Account registrations:
VCSP/COLLEGEAMERICA
SUZANNA E MORA OWNER
FBO RENEE G QUARANTA

New Perspective Fund-529A Fund number: 1007 Symbol: CNPAX Objective: Growth

23.51% of the account's assets are in this fund

Beneficiary age: 20

Successor owner:
WALTER K SCHRADER

Year-to-date: Dividends \$0.75 Short-term capital gains \$0.00 Long-term capital gains \$28.73

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$3,448.61	\$46.64 / \$46.64		73.941
08/28/20	Contrib To *****089	-\$2,581.20	\$54.76 / \$54.76	-47.137	26.804
08/28/20	Earnings To *****089	-\$818.80	\$54.76 / \$54.76	-14.952	11.852
12/17/20	Capital Gain 2.424	\$28.73	\$58.85 / \$58.85	0.488	12.340
12/17/20	Income Dividend 0.063	\$0.75	\$58.85 / \$58.85	0.013	12.353
12/31/20	Ending balance				

SMALLCAP World Fund-529A Fund number: 1035 Symbol: CSPAX Objective: Growth

15.80% of the account's assets are in this fund

Beneficiary age: 20

Successor owner:
WALTER K SCHRADER

Year-to-date: Dividends \$0.14 Short-term capital gains \$0.00 Long-term capital gains \$7.92

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$3,360.23	\$58.01 / \$58.01		57.925
08/28/20	Contrib To *****089	-\$2,581.20	\$65.75 / \$65.75	-39.258	18.667
08/28/20	Earnings To *****089	-\$818.80	\$65.75 / \$65.75	-12.453	6.214
12/17/20	Foreign Tax Paid	\$0.14	/ \$77.23		6.214
12/17/20	Capital Gain 1.274	\$7.92	\$77.23 / \$77.23	0.103	6.317
12/31/20	Ending balance				

Activity detail for account [REDACTED] (continued) [REDACTED] Account value [REDACTED]

Account registrations:
VCSP/COLLEGEAMERICA
SUZANNA E MORA OWNER
FBO MARIE V QUARANTA

AMCAP Fund-529A Fund number: 1002 Symbol: CAFAX Objective: Growth

18.52% of the account's assets are in this fund

Beneficiary age: 19

Successor owner:
WALTER KURT SCHRADER

Year-to-date: Dividends \$7.28 Short-term capital gains \$0.00 Long-term capital gains \$150.91

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$3,436.33	\$33.15 / \$33.15		103.660
06/17/20	Capital Gain 0.5817	\$60.30	\$33.08 / \$33.08	1.823	105.483
12/16/20	Income Dividend 0.069	\$7.28	\$37.92 / \$37.92	0.192	105.675
12/16/20	Capital Gain 0.859	\$90.61	\$37.92 / \$37.92	2.390	108.065
12/31/20	Ending balance				



**CAPITAL
GROUP***

**AMERICAN
FUNDS***

Year-End Statement
December 31, 2020

Page 14 of 19

Primary account number [REDACTED]

Activity detail for account [REDACTED] (continued) Account value: [REDACTED]

Account registration:
VCSP/COLLEGEAMERICA
SUZANNA E MORA OWNER
FBO MARIE V QUARANTA

The Growth Fund of America-529A Fund number: 1005 Symbol: CGFAX Objective: Growth

21.04% of the account's assets are in this fund

Beneficiary age: 19

Successor owner:
WALTER KURT SCHRADER

Year-to-date: Dividends \$10.58 Short-term capital gains \$0.00 Long-term capital gains \$186.15

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$3,436.40	\$50.49 / \$50.49		68.061
12/18/20	Capital Gain 2.735	\$186.15	\$66.27 / \$66.27	2.809	70.870
12/18/20	Income Dividend 0.1554	\$10.58	\$66.27 / \$66.27	0.160	71.030
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

The New Economy Fund-529A Fund number: 1014 Symbol: CNGAX Objective: Growth

19.52% of the account's assets are in this fund

Beneficiary age: 19

Successor owner:
WALTER KURT SCHRADER

Year-to-date: Dividends \$0.47 Short-term capital gains \$0.00 Long-term capital gains \$106.60

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$3,294.97	\$45.17 / \$45.17		72.946
12/16/20	Capital Gain 1.4614	\$106.60	\$57.46 / \$57.46	1.855	74.801
12/16/20	Income Dividend 0.0065	\$0.47	\$57.46 / \$57.46	0.008	74.809
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

New Perspective Fund-529A Fund number: 1007 Symbol: CNPAX Objective: Growth

20.42% of the account's assets are in this fund

Beneficiary age: 19

Successor owner:
WALTER KURT SCHRADER

Year-to-date: Dividends \$4.66 Short-term capital gains \$0.00 Long-term capital gains \$179.23

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$3,448.61	\$46.64 / \$46.64		73.941
12/17/20	Income Dividend 0.063	\$4.66	\$58.85 / \$58.85	0.079	74.020
12/17/20	Capital Gain 2.424	\$179.23	\$58.85 / \$58.85	3.046	77.066
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

SMALLCAP World Fund-529A Fund number: 1035 Symbol: CSPAX Objective: Growth

20.50% of the account's assets are in this fund

Beneficiary age: 19

Successor owner:
WALTER KURT SCHRADER

Year-to-date: Dividends \$1.34 Short-term capital gains \$0.00 Long-term capital gains \$73.80

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$3,360.23	\$58.01 / \$58.01		57.925
12/17/20	Capital Gain 1.274	\$73.80	\$77.23 / \$77.23	0.956	58.881
12/17/20	Foreign Tax Paid	\$1.34	\$77.23 / \$77.23		58.881
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]



**CAPITAL
GROUP***

**AMERICAN
FUNDS***

Year-End Statement
December 31, 2020

Page 15 of 19

Primary account number [REDACTED]

Activity detail for account

Account value

Account registration:
VCSP/COLLEGEAMERICA
SUZANNA E MORA OWNER
FBO MICHAEL F QUARANTA

AMCAP Fund-529A Fund number: 1002 Symbol: CAFAX Objective: Growth

11.53% of the account's assets are in this fund

Beneficiary age: 22

Successor owner:
MICHAEL J QUARANTA

Year-to-date: Dividends \$2.66 Short-term capital gains \$0.00 Long-term capital gains \$51.04

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$1,023.27	\$33.15 / \$33.15		30.868
06/17/20	Capital Gain 0.5817	\$17.96	\$33.08 / \$33.08	0.543	31.411
08/28/20	Contrib From *****032	\$2,581.19	\$36.45 ¹ / \$36.45	70.815	102.226
08/28/20	Earnings From *****032	\$818.81	\$36.45 ¹ / \$36.45	22.463	124.689
09/11/20	Dist To Owner Earnings	-\$654.51	\$34.81 / \$34.81	-18.802	105.887
09/11/20	Dist To Owner Basis	-\$2,345.49	\$34.81 / \$34.81	-67.380	38.507
12/16/20	Income Dividend 0.069	\$2.66	\$37.92 / \$37.92	0.070	38.577
12/16/20	Capital Gain 0.859	\$33.08	\$37.92 / \$37.92	0.872	39.449
12/31/20	Ending balance				

¹The above investment was placed with no sales charge.

American Balanced Fund-529A Fund number: 1011 Symbol: CLBAX Objective: Balanced

0.00% of the account's assets are in this fund

Beneficiary age: 22

Successor owner:
MICHAEL J QUARANTA

Year-to-date: Dividends \$5.37 Short-term capital gains \$0.26 Long-term capital gains \$3.80

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$782.69	\$28.45 / \$28.45		27.511
03/16/20	Income Dividend 0.0974	\$2.68	\$23.77 / \$23.77	0.113	27.624
06/15/20	Capital Gain 0.1375	\$3.80	\$27.59 / \$27.59	0.138	27.762
06/15/20	Short Term Gain 0.0095	\$0.26	\$27.59 / \$27.59	0.009	27.771
06/15/20	Income Dividend 0.0974	\$2.69	\$27.59 / \$27.59	0.097	27.868
09/11/20	Dist To Owner Basis	-\$629.89	\$28.91 / \$28.91	-21.788	6.080
09/11/20	Dist To Owner Earnings	-\$175.77	\$28.91 / \$28.91	-6.080	0.000
12/31/20	Ending balance				

American High-Income Trust-529A Fund number: 1021 Symbol: CITAX Objective: Bond

0.00% of the account's assets are in this fund

Beneficiary age: 22

Successor owner:
MICHAEL J QUARANTA

Year-to-date: Dividends \$20.27 Short-term capital gains \$0.00 Long-term capital gains \$0.00

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$513.87	\$10.08 / \$10.08		50.979
01/31/20	Income Dividend	\$2.52	\$10.04 / \$10.04	0.251	51.230
02/28/20	Income Dividend	\$2.41	\$9.85 / \$9.85	0.245	51.475
03/31/20	Income Dividend	\$2.66	\$8.65 / \$8.65	0.308	51.783
04/30/20	Income Dividend	\$2.38	\$8.87 / \$8.87	0.268	52.051
05/29/20	Income Dividend	\$2.38	\$9.18 / \$9.18	0.259	52.310
06/30/20	Income Dividend	\$2.40	\$9.25 / \$9.25	0.259	52.569
07/31/20	Income Dividend	\$2.38	\$9.61 / \$9.61	0.248	52.817
08/31/20	Income Dividend	\$2.29	\$9.72 / \$9.72	0.236	53.053
09/11/20	Dist To Owner Basis	-\$401.92	\$9.69 / \$9.69	-41.478	11.575
09/11/20	Dist To Owner Earnings	-\$112.16	\$9.69 / \$9.69	-11.575	0.000



**CAPITAL
GROUP***

**AMERICAN
FUNDS***

Year-End Statement
December 31, 2020

Page 16 of 19

Primary account number: [REDACTED]

Activity detail for account [REDACTED] (continued) [REDACTED] / Account value: [REDACTED]

Account registration:
VCSP/COLLEGEAMERICA
SUZANNA E MORA OWNER
FBO MICHAEL F QUARANTA

American High-Income Trust-529A Fund number: 1021 Symbol: CITAX Objective: Bond

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
09/11/20	Income Dividend	\$0.85	[REDACTED] / \$9.69		0.000
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

Daily dividend. Since the fund declares dividends daily, the amount of your income dividend depends on the number of days between the day you paid for your shares and the day the dividend was paid.

American Mutual Fund-529A Fund number: 1003 Symbol: CMLAX Objective: Growth-and-income

0.00% of the account's assets are in this fund

Beneficiary age: 22

Successor owner:
MICHAEL J QUARANTA

Year-to-date: Dividends \$8.17 Short-term capital gains \$0.00 Long-term capital gains \$0.00

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$877.79	\$43.41 / \$43.41		20.221
03/18/20	Income Dividend 0.2013	\$4.07	\$33.22 / \$33.22	0.123	20.344
06/17/20	Income Dividend 0.2014	\$4.10	\$39.90 / \$39.90	0.103	20.447
09/11/20	Dist To Owner Basis	-\$664.38	\$41.56 / \$41.56	-15.986	4.461
09/11/20	Dist To Owner Earnings	-\$185.40	\$41.56 / \$41.56	-4.461	0.000
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

Fundamental Investors-529A Fund number: 1010 Symbol: CFNAX Objective: Growth-and-income

0.00% of the account's assets are in this fund

Beneficiary age: 22

Successor owner:
MICHAEL J QUARANTA

Year-to-date: Dividends \$5.02 Short-term capital gains \$0.00 Long-term capital gains \$11.89

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$940.56	\$61.92 / \$61.92		15.190
03/18/20	Income Dividend 0.165	\$2.51	\$43.42 / \$43.42	0.058	15.248
06/17/20	Income Dividend 0.1646	\$2.51	\$57.35 / \$57.35	0.044	15.292
06/17/20	Capital Gain 0.7796	\$11.89	\$57.35 / \$57.35	0.207	15.499
09/11/20	Dist To Owner Basis	-\$737.84	\$60.89 / \$60.89	-12.118	3.381
09/11/20	Dist To Owner Earnings	-\$205.89	\$60.89 / \$60.89	-3.381	0.000
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

The Growth Fund of America-529A Fund number: 1005 Symbol: CGFAX Objective: Growth

29.70% of the account's assets are in this fund

Beneficiary age: 22

Successor owner:
MICHAEL J QUARANTA

Year-to-date: Dividends \$8.76 Short-term capital gains \$0.00 Long-term capital gains \$154.10

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$77.25	\$50.49 / \$50.49		1.530
08/28/20	Contrib From *****032	\$2,581.19	\$62.03 ¹ / \$62.03	41.612	43.142
08/28/20	Earnings From *****032	\$818.81	\$62.03 ¹ / \$62.03	13.200	56.342
12/18/20	Capital Gain 2.735	\$154.10	\$66.27 / \$66.27	2.325	58.667



**CAPITAL
GROUP***

**AMERICAN
FUNDS***

Year-End Statement
December 31, 2020

Page 17 of 19

Primary account number [REDACTED]

Activity detail for account [REDACTED] (continued) Account value: \$13,199.48

Account registration:
VCSP/COLLEGEAMERICA
SUZANNA E MORA OWNER
FBO MICHAEL F QUARANTA

The Growth Fund of America-529A Fund number: 1005 Symbol: CGFAX Objective: Growth

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
12/18/20	Income Dividend 0.1554	\$8.76	\$66.27 / \$66.27	0.132	58.799
12/31/20	Ending balance				

1The above investment was placed with no sales charge.

The Investment Company of America-529A Fund number: 1004 Symbol: CICAX Objective: Growth-and-income

0.00% of the account's assets are in this fund

Beneficiary age: 22

Successor owner:
MICHAEL J QUARANTA

Year-to-date: Dividends \$6.28 Short-term capital gains \$0.00 Long-term capital gains \$2.37

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$817.89	\$39.46 / \$39.46		20.727
03/18/20	Income Dividend 0.1509	\$3.13	\$29.01 / \$29.01	0.108	20.835
06/17/20	Income Dividend 0.1513	\$3.15	\$37.78 / \$37.78	0.083	20.918
06/17/20	Capital Gain 0.1139	\$2.37	\$37.78 / \$37.78	0.063	20.981
09/11/20	Dist To Owner Basis	-\$457.29	\$40.07 / \$40.07	-16.404	4.577
09/11/20	Dist To Owner Earnings	-\$183.42	\$40.07 / \$40.07	-4.577	0.000
12/31/20	Ending balance				

The New Economy Fund-529A Fund number: 1014 Symbol: CNGAX Objective: Growth

29.53% of the account's assets are in this fund

Beneficiary age: 22

Successor owner:
MICHAEL J QUARANTA

Year-to-date: Dividends \$0.42 Short-term capital gains \$0.00 Long-term capital gains \$94.54

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$0.00	\$45.17 / \$45.17		0.000
08/28/20	Contrib From *****032	\$2,581.19	\$52.56 ¹ / \$52.56	49.109	49.109
08/28/20	Earnings From *****032	\$818.81	\$52.56 ¹ / \$52.56	15.579	64.688
12/16/20	Capital Gain 1.4614	\$94.54	\$57.46 / \$57.46	1.645	66.333
12/16/20	Income Dividend 0.0065	\$0.42	\$57.46 / \$57.46	0.007	66.340
12/31/20	Ending balance				

1The above investment was placed with no sales charge.

New Perspective Fund-529A Fund number: 1007 Symbol: CNPAX Objective: Growth

29.24% of the account's assets are in this fund

Beneficiary age: 22

Successor owner:
MICHAEL J QUARANTA

Year-to-date: Dividends \$3.91 Short-term capital gains \$0.00 Long-term capital gains \$150.50

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$0.00	\$46.64 / \$46.64		0.000
08/28/20	Contrib From *****032	\$2,581.20	\$54.76 ¹ / \$54.76	47.137	47.137
08/28/20	Earnings From *****032	\$818.80	\$54.76 ¹ / \$54.76	14.952	62.089
12/17/20	Income Dividend 0.063	\$3.91	\$58.85 / \$58.85	0.066	62.155



**CAPITAL
GROUP***

**AMERICAN
FUNDS***

Year-End Statement
December 31, 2020

Page 18 of 19

Primary account number [REDACTED]

Activity detail for account

(continued)

Account value:

Account registration:
VCSP/COLLEGEAMERICA
SUZANNA E MORA OWNER
FBO MICHAEL F QUARANTA

New Perspective Fund-529A Fund number: 1007 Symbol: CNPAX Objective: Growth

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
12/17/20	Capital Gain 2.424	\$150.50	\$58.85 / \$58.85	2.557	64.712
12/31/20	Ending balance				

1 The above investment was placed with no sales charge.

SMALLCAP World Fund-529A Fund number: 1035 Symbol: CSPAX Objective: Growth

0.00% of the account's assets are in this fund

Beneficiary age: 22

Successor owner:
MICHAEL J QUARANTA

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$0.00	\$58.01 / \$58.01		0.000
08/28/20	Contrib From *****032	\$2,581.20	\$65.75 ¹ / \$65.75	39.258	39.258
08/28/20	Earnings From *****032	\$818.80	\$65.75 ¹ / \$65.75	12.453	51.711
09/11/20	Dist To Owner Basis	-\$2,545.02	\$62.95 / \$62.95	-40.429	11.282
09/11/20	Dist To Owner Earnings	-\$710.19	\$62.95 / \$62.95	-11.282	0.000
12/31/20	Ending balance				

1 The above investment was placed with no sales charge.

Washington Mutual Investors Fund-529A Fund number: 1001 Symbol: CWMAX Objective: Growth-and-income

0.00% of the account's assets are in this fund

Beneficiary age: 22

Successor owner:
MICHAEL J QUARANTA

Year-to-date: Dividends \$8.32 Short-term capital gains \$0.00 Long-term capital gains \$0.00

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$988.84	\$48.03 / \$48.03		20.588
03/18/20	Income Dividend 0.201	\$4.14	\$34.07 / \$34.07	0.122	20.710
06/17/20	Income Dividend 0.2016	\$4.18	\$43.92 / \$43.92	0.095	20.805
09/11/20	Dist To Owner Basis	-\$744.82	\$45.79 / \$45.79	-16.266	4.539
09/11/20	Dist To Owner Earnings	-\$207.84	\$45.79 / \$45.79	-4.539	0.000
12/31/20	Ending balance				

About your accounts

Information about your investments

Your responsibilities. Please review this statement carefully to confirm that we have accurately acted on your instructions. If you identify any discrepancies, please immediately notify your financial professional or call us at (800) 421-4225. If you delay in reporting an error, we may be unable to adjust your account.

Rollovers. If you roll over funds into CollegeAmerica or ABLEAmerica, you'll need to give us appropriate documentation from the prior institution showing the earnings portion of the rollover. We must treat the entire rollover as earnings if this information is not provided.

	Credit This Period	Debit This Period	Net This Period	Credit Year-to-Date	Debit Year-to-Date	Net Year-to-Date
Dividends and Interest:	\$0.44	\$0.00	\$0.44	\$0.44	\$0.00	\$0.44
FIDUCIARY Bank Deposits	\$0.00	- \$18.46	- \$18.46	\$0.00	- \$18.46	- \$18.46
Totals	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

General Classification Date	Source Type	Description	Quantity	Value	Account Interest	Account Comments
Confidential and below 07/07/20	From Russian bank deposits interest received Interest received Total deposits and interest	Interest on deposits received				0047 B99

T H BECK INC

January 1, 2020 - January 31, 2020
IRAFBO SUZANNA MORA

Transactions by Type of Activity (continued)

Date	Activity Type	Description	Quantity	Pctn	Accrued Interest	Asset Currency
FIDELITY Investment Bank Deposits						
07/10/2020	FIDELITY INSURED BANK DEPOSIT	LIQUID INS DEPOSITS	-18.02			USD
07/16/2020	FIDELITY INSURED BANK DEPOSITS INTEREST REINVESTED		-0.44			USD
	REINVESTED					
	PER982573					
Total FIDELITY Investment Bank Deposits						USD
Total Value of Transactions						USD

The prices and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
FIDE Insured Bank Deposits		
Total Income		

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC) ASSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC), YOUR MAXIMUM CURRENT MONEY MARKET FUND RATES BY VISITING WWW.FRESHFUND.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET/MUTUAL FUND & IRCA ASSURED DEPOSITS PROGRAMS, RATINGS & BANK LIST". PLEASE NOTE THAT WE MAY NOT CARRY ALL OF THE MONEY MARKET FUNDS LISTED ON THE FRESHFUND WEBSITE.

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund on the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds transferred to your brokerage account. Please see the money market mutual fund's prospectus on the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Rule 17c-1(d)(1), confirmations are not sent for purchases into money market mutual funds processed on the sweep platform. Payments to applicable regulatory agencies may be processed not later than 60 days after the purchase.

2nd Floor, 3rd
 Suite 300
 301 3rd Street
 301 3rd Street
 301 3rd Street
 301 3rd Street



February 1, 2020 - February 29, 2020
 RA/FBO SUZANNA MORA

Transactions by Type/Activity

Period/ Settlement Date	Activity Type	Description	Quantity	Price	Account Balance	Amount	Currency
Dividends and Interest							
02/18/20	CASH DIVIDEND RECEIVED	27 SHRS DEERE & CO RD 02/18/20 02/18/20				20.52	USD
02/18/20	DE						
02/18/20	FDC INSURED BANK DEPOSITS INTEREST CREDITED					0.48	USD
02/18/20	DEPOSITS INTEREST RECEIVED						
02/18/20	FEBRUARY 18						
02/18/20	CASH DIVIDEND RECEIVED	27 SHRS PROCTER & GAMBLE CO COM RD 02/18/20 02/18/20				23.87	USD
02/18/20	PC						
Total Dividends and Interest							
02/11/20	FDC INSURED BANK DEPOSITS					-20.52	USD
02/11/20	USD99997						
02/18/20	FDC INSURED BANK DEPOSITS INTEREST REQUESTED					-0.48	USD
02/18/20	DEPOSITS INTEREST REQUESTED						
02/18/20	FEBRUARY 18						
02/18/20	FDC INSURED BANK DEPOSIT					-23.87	USD
02/18/20	USD99997						
Total FDC Insured Bank Deposits							
Total Value of Transactions							
							USD

The price and quantity displayed may have been adjusted.

Income and Expense Summary

Period/ Settlement Date	Activity Type	Description	Quantity	Price	Account Balance	Amount	Currency
Dividends and Interest							
02/18/20	CASH DIVIDEND RECEIVED	27 SHRS DEERE & CO RD 02/18/20 02/18/20				20.52	USD
02/18/20	DE						
02/18/20	FDC INSURED BANK DEPOSITS INTEREST CREDITED					0.48	USD
02/18/20	DEPOSITS INTEREST RECEIVED						
02/18/20	FEBRUARY 18						
02/18/20	CASH DIVIDEND RECEIVED	27 SHRS PROCTER & GAMBLE CO COM RD 02/18/20 02/18/20				23.87	USD
02/18/20	PC						
Total Dividends and Interest							
02/11/20	FDC INSURED BANK DEPOSITS					-20.52	USD
02/11/20	USD99997						
02/18/20	FDC INSURED BANK DEPOSITS INTEREST REQUESTED					-0.48	USD
02/18/20	DEPOSITS INTEREST REQUESTED						
02/18/20	FEBRUARY 18						
02/18/20	FDC INSURED BANK DEPOSIT					-23.87	USD
02/18/20	USD99997						
Total FDC Insured Bank Deposits							
Total Value of Transactions							
							USD

The price and quantity displayed may have been adjusted.

Transactions by Proof Activity

Period/ Statement Date	Activity Type	Description	Quantity	Price	Account Interest	Amount / Currency
Dividends and Interest						
03/02/20	CASH DIVIDEND RECEIVED	19 SHRS SUNCOR INC CO COM NEW RD 02/14 PD 03/02/20				16.72 USD
03/10/20	CASH DIVIDEND RECEIVED	32 SHRS THE SPOTTS HURDLE COO CO HURD CO RD 02/25 PD 03/10/20				18.56 USD
03/16/20	IBM INCORPORATED INTEREST RECEIVED	UNITED RES SERVICES INTEREST (CREDITED)				0.35 USD
03/17/20	CASH DIVIDEND RECEIVED	34 SHRS TOWERS PERRIN & FENNER INC NEW RD 02/19 PD 03/17/20				18.72 USD
Total Dividends and Interest						43.35 USD

5400 Research Blvd.
Suite 100
Rockville, MD 20850
201.241.4000
202.333.1000
Internet: FPI@a, GPOC



March 1, 2020 - March 31, 2020
IRA/FBO: SUZANNA MORRIS

Transactions by Type of Activity (Continued)

Period Beginning Date	Activity Type	Description	Quantity	Price	Account Interest	Account Currency
FIDIC Insured Bank Deposits						
03/03/20	FIDIC INSURED BANK DEPOSIT	LIQUID INS DEPOSITS				-16.72 USD
	USD999997					
03/11/20	FIDIC INSURED BANK DEPOSIT	LIQUID INS DEPOSITS				-18.56 USD
	USD999997					
03/16/20	FIDIC INSURED BANK DEPOSITS INTEREST	LIQUID INS DEPOSITS INTEREST REINVESTED				-0.35 USD
	REINVESTED					
	FBI992573					
Total FIDIC Insured Bank Deposits						
Total Value of Transactions						-35.63 USD

The price and quantity displayed may have been rounded.

J. H. BECK, INC.

APRIL 1, 2020 - APRIL 30, 2020
IRA, FBO SUZANNA MORRIS

Transactions by Type of Activity (continued)

Account Statement	Date	Billing Type	Description	Quantity	Price	Account Balance	Account Currency
Fees							
04/27/20		MAINTENANCE FEE	Annual Maintenance Retention Fee			45.00	USD
		USD99.97				\$0.00	- \$45.00 USD
Total Fees							
Paid Historical Bank Deposits							
04/01/20		FDC INSURED BANK DEPOSIT	LIQUID INS DEPOSITS			-19.72	USD
		USD99.99					
04/16/20		FDC INSURED BANK DEPOSITS INTEREST REINVESTED	LIQUID INS DEPOSITS INTEREST REINVESTED			-0.01	USD
		REINVESTED					
		PER82572					
04/21/20		FDC INSURED BANK WITHDRAWAL	LIQUID INS DEPOSITS			45.00	USD
		USD99.97					
Total FDC Insured Bank Deposits							
Total Value of Transactions							
							USD
							USD

The price and quantity displayed may have been rounded.

3rd Floor, Research Park,
Suite 300,
Riverside, MD 20630
201.944.3000
800.333.8284
Member FINRA, SIPC



May 1, 2020 - May 31, 2020
IRA FBO SUZANNA MORRIS

Transactions by Type of Activity

Transaction/Description	Activity Type	Description	Quantity	Price	Acquired Interest	Amount	Currency
Dividends and Interest							
05/08/20	CASH DIVIDEND RECEIVED	27 SHRS DEERE & CO RD 03/21 PD 05/08/20				20.52	USD
05/15/20	CASH DIVIDEND RECEIVED	12 SHRS PROCTER & GAMBLE CO COM RD 04/24 PD 05/15/20				25.30	USD
05/18/20	FIDC INSURED BANK DEPOSITS INTEREST RECEIVED	LIQUID HAS DEPOSITS INTEREST CREDITED				0.01	USD
Total Dividends and Interest							
						\$0.00	USD
FIDC Insured Bank Deposits							
05/11/20	FIDC INSURED BANK DEPOSIT	LIQUID HAS DEPOSITS				-20.52	USD
05/18/20	FIDC INSURED BANK DEPOSITS INTEREST REQUESTED	LIQUID HAS DEPOSITS INTEREST REQUESTED				-0.01	USD
05/18/20	FIDC INSURED BANK DEPOSIT	LIQUID HAS DEPOSITS				-26.30	USD
Total FIDC Insured Bank Deposits							
						-\$46.83	USD

Income and Expense Summary

Income	Expense

Document Date	Activity Type	Description	Quantity	Price	Account Number	Posting Currency
Debit/credits used below:						
06/01/20	CASH ON HAND RECEIVED	19 SHIPS SAUNDER JIM COI COI NEW RD 06/05 PD 06/07/20				1672 USD
06/01/20	CASH					1856 USD
06/10/20	CASH ON HAND RECEIVED	22 SHIPS THE SCOTTS MARFAC COI COI HALLS COI RD 06/27 PD 06/07/20				0.01 USD
06/16/20	FOR ASSURED BANK DEPOSIT INTEREST RECEIVED	LIQUID WIS DEPOSIT INTEREST CREDITED				
06/30/20	RECEIVED	34 SHIPS PROUDER AND COI RD 06/15 PD 06/20/20				1072 USD
Total Debit/credits used below:						

Page 8 of 12

J. H. BECK, INC.

JUNE 1, 2020 - JUNE 30, 2020
RAEBO SUZANNA MORRIS

Transactions by Type of Activity (continued)

Investment Description Date	Industry Type	Description	Quantity	Price	Account Interest	Amount / Currency
FDIC Insured Bank Deposits						
06/07/20	FED INSURED BANK DEPOSIT	LIQUID MGS DEPOSITS				-16.72 USD
06/01/20	FDIC INSURED BANK DEPOSIT	LIQUID MGS DEPOSITS				-18.56 USD
06/16/20	FDIC INSURED BANK DEPOSITS WITH DEPOSIT	LIQUID MGS DEPOSITS WITH DEPOSIT				-0.01 USD
06/06/20	FDIC INSURED BANK DEPOSITS WITH DEPOSIT	LIQUID MGS DEPOSITS WITH DEPOSIT				

The prices and quantities displayed may have been rounded.

[illegible]

FDIC Insured Bank Deposits

Product Line

Discussion

• **Keywords:**

References

Transactions by Type of Activity

11

Figure 1

References

Deutsche

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Fracture

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100

07/04/20

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Liquid Resin Coating

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Pediatrics

10



OSAD 100

April 1994
May 1994
June 1994
July 1994
August 1994
September 1994
October 1994
November 1994
December 1994

Page 8 of 40

Total Insurance Fee
 Insurance Fee
 Insurance Fee
 Insurance Fee
 Insurance Fee
 Insurance Fee

H. BECK INC.
 12

July 1, 2020 - July 31, 2020
 IRB/FBO/SUZANNA MORRIS

Transactions by Type of Activity (continued)

Period Date	Activity Type	Description	Quantity	Price	Amount	Account	Amount	Currency
FDIC Insured Bank Deposits								
07/01/20	FDIC INSURED BANK DEPOSIT	LIQUID INS DEPOSITS			-19.72	USD		USD
07/16/20	FDIC INSURED BANK DEPOSITS INTEREST	LIQUID INS DEPOSITS INTEREST REINVESTED			-0.07	USD		USD
Total FDIC Insured Bank Deposits								
Total Value of Transactions								

The price and quantity displayed may have been rounded.

13
J. H. BECK, INC.

August 1, 2020 - August 31, 2020
IRAFBO SUZANNA WEBER

Transactions by Type of Activity

Transaction Settlement Date	Settlement Type	Description	Quantity	Price	Account Interest	Amount Currency
08/10/20	Overdrafts and Refunds	27 SHES DEERE & CO RD 06/30 PD 08/10/20				20.52 USD
08/11/20	DE	LIQUID MFG DEPOSITS INTEREST CREDITED				0.01 USD
08/17/20	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED					
08/17/20	PERMUTUITY	22 SHES PROCTER & GAMBLE CO COM RD 07/24 PD 08/17/20				25.30 USD
08/17/20	CASH ON HAND RECEIVED					
08/17/20	FD					
08/17/20	Total Dividends and Interest					USD
08/11/20	FDIC INSURED BANK DEPOSITS	LIQUID MFG DEPOSITS				-20.52 USD
08/11/20	FDIC INSURED BANK DEPOSITS	LIQUID MFG DEPOSITS INTEREST RECEIVED				0.01 USD
08/17/20	PERMUTUITY					
08/17/20	FDIC INSURED BANK DEPOSITS	LIQUID MFG DEPOSITS				-25.30 USD
08/17/20	FDIC INSURED BANK DEPOSITS					
08/17/20	Total FDIC Insured Bank Deposits					USD
08/17/20	Total Value of all Investments					USD

Income and Expense Summary

Equities
Other Dividends

4

Page 6 of 11

15
THE BECK INC.

September 2020 - September 30, 2020
KATIBOISUZANNA MOBA

Transactions by Type of Activity (continued)

Account/ Statement	Date	Entry Type	Description	Quantity	Price	Account Interest \	Amount / Currency
FUND Insured Bank Deposits							
09/02/20		FUND INSURED BANK DEPOSIT	LIQUID MNS DEPOSITS				.17.10 USD.
		USD099997					
09/11/20		FUND INSURED BANK DEPOSIT	LIQUID MNS DEPOSITS				-178.84 USD
		USD099997					
09/16/20		FUND INSURED BANK DEPOSITS INTEREST	LIQUID MNS DEPOSITS INTEREST REINVESTED				-4.07 USD
		REINVESTED					
		PERCENTS					
Total FUND Insured Bank Deposits							USD
Total Value of Transactions							USD

...and the defendant may have been misled...

Appointments

THE UNIVERSITY OF CHICAGO



WILLIAMSON
(1890-1960)
WILLIAMSON, WILLIAM
WILLIAMSON, WILLIAM

[illegible]

16

FIDIC Insured Bank Deposits

Balance

Transactions by Type of Activity

Interest deductible	Date	Activity	Description	Debit	Credit	Amount (USD)	Balance (USD)
	10/16/20	Dividends and Savings	CDs ISSUED BANK				
			DEPOSITS INTEREST				
			RECEIVED				
			PROCEEDS				
			Total Dividends and Savings				

10/16/20

10/16/20

10/16/20

10/16/20

10/16/20

17
THE H. BECK, INC.

October 1, 2020 - October 31, 2020
IRA FBO SUZANNA MORRIS

Transactions by Type of Activity (continued)

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The price and quantity displayed may have been rounded.

[illegible]

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27 STATES DEBATE & CONSIDER HOUSE BILL

LIQUID HAS DEFENDS MILITARY

2025 RELEASE UNDER E.O. 14176

4

19
H BECK INC

November 1, 2020 - November 30, 2020
IRAVIBO SUZANINA MORA

Transactions by Type of Activity (continued)

Report Section	Activity Type	Description	Quantity	Price	Account Interest	Amount Currency
FDIC Insured Bank Deposits						
11/10/20	FDIC INSURED BANK DEPOSIT	LIQUID HAS DEPOSITS				-20.52 USD
11/16/20	FDIC INSURED BANK DEPOSITS INTEREST REQUESTED					-0.01 USD
11/17/20	FDIC INSURED BANK DEPOSIT	LIQUID HAS DEPOSITS				25.30 USD
Total FDIC Insured Bank Deposits						
Total Value of Transactions						

The price and quantity displayed may have been rounded.

11

Date	Account Type	Description	Quantity	Price	Amount	Interest	Current
Deposits and Withdrawals							
12/01/20	CASH DEPOSIT RECEIVED	19 SHRS SALLERIE IN CO COMM NEW RD VTR PO 24/05/20			17.10	150	
	SM						
12/10/20	CASH WITHDRAW RECEIVED	22 SHRS SPOTTSBURGE AND CO CO A RD 16/20 PTO 24/05/20			18.83	150	
	SM						
12/16/20	INC. HOLDING INC., DEPOSIT RECEIVED	14 SHRS DEPOSITANTS INTEREST CREDITED			0.91	450	
	RECEIVED						
12/31/20	CASH DEPOSIT RECEIVED	24 SHRS HARRIS INC COM RD 24/05/20			18.72	150	
	RD						
Total Deposits and Withdrawals:							

100

401 pages, \$25.00
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Deliverable Country: Philippines
Barcode:

The following is a list of the names of the persons who have been appointed to the various committees of the Board of Directors of the American Telephone and Telegraph Company, for the year ending December 31, 1910:

21
H. BECK INC.

December 1, 2020 - December 31, 2020
IRAFBO SUZANNA MORA

Transactions by Type of Activity (continued)

Postcode/ Settlement Date	Account Type	Description	Quantity	Price	Account Interest	Amount Currency
FIDIC Insured Bank Deposits						
12/02/20	FIDIC INSURED BANK DEPOSIT USD00000007	LIQUID INS DEPOSITS				-17.10 USD
12/11/20	FIDIC INSURED BANK DEPOSIT USD00000007	LIQUID INS DEPOSITS				-19.84 USD
12/16/20	FIDIC INSURED BANK DEPOSITS INTEREST REINVESTED	LIQUID INS DEPOSITS INTEREST REINVESTED				-0.07 USD
FIDIC FIDIC Insured Bank Deposits						
Total Value of Transactions						
						USD 0.00