

**UNITED STATES HOUSE OF REPRESENTATIVES
2020 FINANCIAL DISCLOSURE STATEMENT**

Form A
For Use by Members, Officers, and Employees

Name: Douglas Lamborn Daytime Telephone: _____

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>CO</u>	Office or Employee	Employing Office:	Staff Filer Type: (If Applicable) Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>
REPORT TYPE	<input checked="" type="checkbox"/> 2020 Annual (Due: May 17, 2021)	District: <u>5</u>	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? OR b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gifts totaling more than \$415 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$415 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IP0 - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.

Yes No

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

Yes No

EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes No

HAND DELIVERED Page 1 of 12
 LEGISLATIVE RESOURCE CENTER
 2021 AUG -2 PM 10:01
 (Office Use Only)
 OFFICE OF THE CLERK
 U.S. HOUSE OF REPRESENTATIVES
 A \$200 penalty shall be assessed against any individual who files more than 30 days late.
 MK

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Douglas L. Lamborn

Page 2 of 12

BLOCK A			BLOCK B													BLOCK C								BLOCK D												BLOCK E					
Assets and/or Income Sources			Value of Asset													Type of Income								Amount of Income												Transaction					
Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use only ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total in cover \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts. For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a student retirement program, including the Thrift Savings Plan. If you report a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or jointly held with anyone (JT), in the optional column on the far left. For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.			Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting period and is included only because it generated income, the value should be "None." *Column M is for assets held by your spouse or dependent child in which you have no interest.													Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.								For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated. *Column M is for assets held by your spouse or dependent child in which you have no interest.												Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in the reporting period. Leave the column blank if there are no transactions that exceeded \$1,000.					
			None	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, E (over), or E (under)			
Examples: ABC Corp. Stock																																									
Example: ABC Hedge Fund																																									
STWells Foreign Bank acct.																																									
SP Ameritrade Investment acct.																																									
SP Ameritrade IRA																																									
4% Interest in Farm in																																									
Leavenworth, Ok., KS																																									

SCHEDULE A - ASSETS & "UNEARNED

Name: Douglas L Lamborn

Page 3 of 12

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP DC JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or B	
		None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with Income over \$1,000,000*		
	<u>S P - AmeriTrade margin acct.</u>																																			
SP	Net App, Inc. Stock																																			P, S
SP	Net App, Inc. options																																			P, S
SP	2 Jan 17 calls @ 63																																			S
SP	2 June 17 calls @ 77.5																																			S
SP	2 July 10 calls @ 77.5																																			S
SP	2 July 24 calls @ 74																																			S
SP	1 July 31 calls @ 74																																			S
SP	2 Aug 14 calls @ 74																																			S
SP	2 Sept 4 calls @ 75																																			S
SP	2 Sept 18 calls @ 75																																			S
SP	2 Oct 9 calls @ 74																																			S
SP	2 Oct 30 calls @ 76.5																																			S
SP	2 Nov 13 calls @ 76																																			S
SP	2 Dec 4 calls @ 52.5																																			S
SP	2 Dec 24 calls @ 64																																			S

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & " UNEARNED

Name: Douglas Le Lamborn

Page 4 of 12

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction			
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E		
	<i>SP - Ameritrade margin acct - continued</i>																																				
	SP 2 Dow 8 calls @ 66.5																																				
	SP 2 Jaws 8 calls @ 66.5																																				

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & " UNEARNED

Name: *Douglas L. Lamborn*

Page 5 of 12

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction				
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E			
	<i>Amestrade IRA</i>																																					
	Net App. Inc. Stock																																					
	2 Jan 17 calls @ 63	X																																				P, S
	2 June 12 calls @ 47.5	X																																				S
	2 July 10 calls @ 44.5	X																																				S
	2 July 24 calls @ 44	X																																				S
	2 July 31 calls @ 44	X																																				S
	2 Aug 14 calls @ 44	X																																				S
	2 Sept 4 calls @ 45	X																																				S
	2 Sept 18 calls @ 45	X																																				S
	2 Oct 9 calls @ 44	X																																				S
	2 Oct 30 calls @ 46.5	X																																				S
	2 Nov 13 calls @ 46	X																																				S
	2 Dec 4 calls @ 52.5	X																																				S
	1 Dec 24 calls @ 64	X																																				S
	2 Jan 24 calls @ 64	X																																				S
	2 Jan 24 calls @ 66.5	X																																				S

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & " UNEARNED

Name: Douglas L. Lamborn

Page 6 of 12

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP DC JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify a.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$250,000																																			
	\$250,001-\$500,000																																			
	\$500,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
	None																																			
	\$1-\$200																																			
	\$201-\$1,000																																			
	\$1,001-\$2,500																																			
	\$2,501-\$5,000																																			
	\$5,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	Over \$5,000,000																																			
	Spouse/DC Asset with Income over \$1,000,000*																																			
SP	Not App. Fac. Stock																																			P, S
	Not App. Fac. options -																																			
SP	2 Tom 17 call @ 63																																			S
SP	2 5/16 17 call @ 47.5																																			
SP	2 5/16 10 call @ 44.5																																			
SP	2 5/16 24 call @ 44																																			
SP	2 5/16 31 call @ 44																																			
SP	2 Aug 14 call @ 44																																			
SP	2 Sept 4 call @ 45																																			
SP	2 Sept 18 call @ 45																																			
SP	1 Oct 9 call @ 44																																			
SP	1 Oct 9 call @ 44																																			
SP	2 Oct 30 call @ 46.5																																			
SP	2 Nov 13 call @ 46																																			
SP	2 Dec 4 call @ 52.5																																			
SP	1 Dec 24 call @ 64																																			

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & " UNEARNED

Name: *Douglas L. Lamborn*

Page 7 of 12

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction			
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E		
	<i>SP - Annuity/Trade IRA - continued</i>																																				
	<i>SP Dec 24 call @ 54</i>	X																				X															S
	<i>SP Jan 8 call @ 66.5</i>	X																				X															S
	<i>SP Jan 8 call @ 66.5</i>	X																				X															S

Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name: Douglas L. Lamborn

Page 8 of 12

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an equal split of an unretained account. Report the capital gain income on Schedule A. SP - Ave. Stock
Widow's
Report

SP, DC, JT	Example	Mega Cap. Stock	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction													
			Purchase	Sale	Partial Sale	Exchange			A	B	C	D	E	F	G	H	I	J	K			
SP	Example	Mega Cap. Stock			X		X	8/3/26	X													
SP	Net App. Inc. Stock			X				8/3/26	X													
SP				X				9/18/20	X													
SP				X				9/14/20	X													
SP				X				10/5/20	X													
SP				X				10/23/20	X													
SP				X				11/3/20	X													
SP				X				11/4/26	X													
SP				X				11/6/20	X													
SP				X				11/30/20	X													
SP				X				12/7/20	X													
SP				X				12/17/25	X													
SP				X				12/28/20	X													
SP				X				12/28/20	X													
SP				X				12/30/26	X													

Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name: Douglas L. Leuborn

Page 9 of 12

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for treatment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please check the "partial sale" box as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account. You do not check the "capital gain" box on Schedule D.

* Column K is for assets sold held by you, your spouse, or dependent child.

SP, DC, JT

SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DY/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction												
		Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)		
	Example Mega Corp. Stock			X		X	8/3/20	X												
	Net App. Inc. Stock						8/3/20	X												
							8/3/20	X												
							9/18/20	X												
							9/14/20	X												
							10/8/20	X												
							10/23/20	X												
							11/3/20	X												
							11/16/20	X												
							11/4/20	X												
							12/7/20	X												
							12/17/20	X												
							12/21/20	X												
							12/28/20	X												
							12/28/20	X												

Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name: Douglas L. Lumborn

Page 10 of 12

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income, or the purchase or sale of an asset if sold; please check "partial sale" at the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the capital gains box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gen Exceeded \$200	Date (MONTH) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction													
		Purchase	Sale	Partial Sale	Exchange			A	B	C	D	E	F	G	H	I	J	K			
SP	Example Mega Corp Stock						8/3/20	X													
SP	Net App. Inst. Stock	X	X			X	8/3/20		X												
SP		X					8/3/20	X													
SP		X	X				9/8/20	X													
SP		X					9/14/20	X													
SP		X	X				10/8/20	X													
SP		X					10/23/20	X													
SP		X	X				11/3/20	X													
SP		X					11/4/20	X													
SP		X	X				11/16/20	X													
SP		X					11/20/20	X													
SP		X	X				12/7/20	X													
SP		X					12/17/20	X													
SP		X	X				12/21/20	X													
SP		X					12/29/20	X													

Use additional sheets if more space is required.

SCHEDULE C - EARNED INCOME

Name: Douglas L Lamborn

Page 11 of 12

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.
EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.
INCOME LIMITS and PROHIBITED INCOME: The 2020 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$28,845. The 2021 limit is \$29,595. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

Source (include date of receipt for honoraria)	Type	Amount
<i>Examples:</i>		
Kent State	Approved Teaching Fee	\$5,000
State of Maryland	Legislative Pension	\$10,000
Central Water Resources, Oct. 21	Spouse Honoraria	\$1,000
Ontario County Board of Education	Spouse Salary	N/A
Lamborn for Congress	Spouse hourly fee for bookkeeping and compliance	N/A
Lamborn Acres, LLC	Farm sales	\$5,939

Use additional sheets if more space is required.

SCHEDULE D - LIABILITIES

Name: Douglas L. Lumborn

Page 12 of 12

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability											
				A \$10,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Liabilities)	
Example	First Bank of Wilmington, DE	5/20	Mortgage on Rental Property, Dover, DE				X								
SP	Amegy Bank	5/11	Mortgage		X										
JT	Wells Fargo	10/19	Line of credit				X								

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization

Use additional sheets if more space is required.