

**UNITED STATES HOUSE OF REPRESENTATIVES
2020 FINANCIAL DISCLOSURE STATEMENT**

Form A
For Use by Members, Officers, and Employees

**HAND
DELIVERED**

LEGISLATIVE RESOURCE CENTER
2021 JUL 26 PM 4:17

MC

Name: Charles J. Fleischmann Daytime Telephone: _____

OFFICE OF THE CLERK
A \$1000 penalty shall be assessed against any individual who files more than 10 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>TN</u> District: <u>03</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>
	<input checked="" type="checkbox"/> 2020 Annual (Due: May 17, 2021)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

<p>A. Did you, your spouse, or your dependent child:</p> <p>a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or</p> <p>b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$415 in value from a single source during the reporting period?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>	<p>H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for traveling more than \$415 in value from a single source during the reporting period?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>	<p>I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.

Yes No

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

Yes No

EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes No

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **Charles J. Fleischmann**

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BLOCK A			BLOCK B													BLOCK C								BLOCK D												BLOCK E		
Assets and/or Income Sources			Value of Asset													Type of Income								Amount of Income												Transaction		
Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use only ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts. For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you report a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left. For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.			Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting period and is included only because it generated income, the value should be "None." *Column M is for assets held by your spouse or dependent child in which you have no interest.													Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.								For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated. *Column XII is for assets held by your spouse or dependent child in which you have no interest.												Indicate if the purchase (P), sale (S), or exchange (E) of the asset exceeded \$1,000 in the reporting period. If only a portion of an asset was sold, please indicate as follows: (S (part)). Leave the column blank if there are no transactions that exceeded \$1,000.		
SP	DC	JT	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	(S/P/E)		
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*	NONE	<input checked="" type="checkbox"/>							None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII		
		EIF																				Royalties																
																						Partnership Income																

SCHEDULE A - ASSETS & " UNEARNED

Name: **Charles J. Fleischmann**

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, Gift, or E	

Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name: **Charles J. Fleischmann** Page **4** of **10**

SP, DC, JT	Asset	Type of Transaction				Date (MM/DA/YY) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction												
		Purchase	Sale	Partial Sale	Exchange		Check Box if Capital Gain Exceeded \$200	A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)	
GP	Example Mega Corp. Stock			X		3/9/20		X											
JT	Benjamin Edwards - Attachments 1, 2, 3, 4 & 5			X					X										

Use additional sheets if more space is required.

SCHEDULE C – EARNED INCOME

Name: Charles J. Fleischmann

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Let the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.
EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.
INCOME LIMITS and PROHIBITED INCOME: The 2020 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$29,845. The 2021 limit is \$29,595. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

Source (include date of receipt for honoraria)		Type	Amount
Examples:	Kent State State of Maryland Chad Miller, Boardmember, Oct. 21 Ontario County Board of Education	Absorbed Teaching Fee Legislative Pension Spouse Speech Spouse Salary	\$9,000 \$18,000 \$1,000 N/A

Use additional sheets if more space is required.

SCHEDULE D - LIABILITIES

Name: Charles J. Fleischmann

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability											
				A \$10,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Liability)	
	Example First Bank of Wilmington, DE	6/20	Mortgage on Rental Property, Dover, DE				X								

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization

Use additional sheets if more space is required.

SCHEDULE F -- AGREEMENTS

Name: Charles J. Fleischmann

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement

SCHEDULE G -- GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$415 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives; gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent), local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$100 or less need not be added towards the \$415 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Source	Description	Value
Example: Mr. Joseph Smith, Arlington, VA	Silver Platter (prior determination of personal friendship received from the Committee on Ethics)	\$500

Use additional sheets if more space is required.

SCHEDULE H - TRAVEL PAYMENTS and REIMBURSEMENTS

Name: Charles J. Fleischmann

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$415 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.
EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

	Source	Date(s)	City of Departure-Destination-City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
<i>Example:</i>	Government of China (IACCEA)	Aug. 6-11	DC-Berling, China-DC	Y	Y	N
	Habitat for Humanity (Charity Fundraiser)	Mar. 3-4	DC-Boston-DC	Y	Y	Y

SCHEDULE I - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name: Charles J. Fleischmann

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List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, DC	Speech	Feb. 2, 2020	\$2,000
XYZ Magazine	Article	Apr. 13, 2020	\$500

Use additional sheets if more space is required.

FILER NOTES
(Optional)

Name: Charles J. Fleischmann	Page 10 of 10
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NOTE NUMBER	NOTES

Use additional sheets if more space is required.

Attachment 1

ATTACHMENT 1

Charles J. Fleischmann
December 31, 2020

Summary of Brokerage Accounts Held Through Benjamin Edwards & Co.

Account #	Value	Dividends and Interest	Capital Gain Distributions	Gain/(Loss) on Investment Sale	Total Income	Proceeds from Investment Sales	Comments
*****3890	1,493,886		23,577	(16,577)	7,000	78,599	Details on Attachment 2
*****9133	2,308	-			-		Details on Attachment 3
*****5005	148,461	2,120		2,790	4,910	133,462	Details on Attachment 4
*****1695	276,430	6,647	3,102	-	9,749	-	Details on Attachment 5
Totals	1,921,085	8,767	26,679	(13,787)	21,659	212,061	

Attachment 2



BENJAMIN F. EDWARDS & CO.
INVESTMENT MANAGEMENT

One North Brentwood Blvd., Suite 850
St. Louis, MO 63105



001212 XPL372AF

CHARLES AND BRENDA FLEISCHMAN
8714 RAMBLING ROSE DR
OOLTEWAH, TN 37363-7118



001212 XPL372AF 012739

Notice to Investors Regarding Custodian Account Statements: You are encouraged to read the statements you receive from the custodian and compare the information on these statements to this report. Please see the Notice on page 2 of this report for an explanation of differences you may find.

Quarter Ending December 31, 2020

Portfolio Summary Report
Quarter Ending December 31, 2020

Prepared For
Charles and Brenda Fleischman

Account Name	Account Number
Charles J Fleischmann Brenda M Fleischmann JT Ten	ETF-005005
Charles J Fleischmann Brenda M Fleischmann JT Ten	EPP-011695
Charles J Fleischmann SEP	EPP-003890

Your Financial Advisor

WARD PETTY
Benjamin F. Edwards & Company
1101 Broad Street
Suite 105
Charlottesville, TN 37402
422-889-5411

Notice Regarding Portfolio Accounting Differences: Please note that the data shown on this report is for informational purposes and does not constitute an official tax document. The data shown on this report may differ from the data on reports generated by other sources. These differences can be explained by a number of factors, including, but not limited to, the use of trade data versus settlement data for reporting purposes, the treatment of accrued income on securities, the security pricing source utilized and reports generated by different reporting systems for the same account. Please refer to your 1099 form in all instances for tax reporting purposes. If you have any questions, please feel free to contact your financial representative. Please refer to the end of the document for important information regarding this report.

Update to Benjamin F. Edwards & Co.'s Advisory Disclosures

The following material update has been made to Benjamin F. Edwards & Co.'s (BFE) advisory program disclosure brochures:

Disciplinary Information

- On November 13, 2020, an Order Instituting Administrative and Cease-and-Desist Proceedings (the "Order") was entered by the U.S. Securities and Exchange Commission ("SEC") against BFE. The Order focuses on the sale of two volatility-linked complex exchange traded products ("Complex ETPs") whose returns are designed to track CBOE Volatility Index futures. The SEC concluded that between January 1, 2016 and March 31, 2020 (the "Period") BFE failed to reasonably supervise certain of its financial advisors who made unsuitable recommendations to clients to buy and hold the Complex ETPs for extended periods even though the Complex ETPs were designed for short-term holding periods. Furthermore, the BFE financial advisors failed to make a reasonable determination that the Complex ETPs were suitable for their clients based on the clients' investment objectives, risk tolerance and financial condition. During the Period, BFE failed to reasonably implement supervisory policies and procedures to detect and prevent the financial advisors from making unsuitable recommendations to its clients.

Without admitting or denying the findings in the Order, BFE consented to the following:

- The Firm shall cease and desist from committing or causing any violations and future violations of Sections 206(f) and 206(f)-7 of the Investment Advisers Act of 1940
- The Firm is censured for failing to properly supervise
- The Firm shall pay disgorgement, prejudgment interest, and a civil monetary penalty totaling \$985,134.36, broken down as follows:
 - Disgorgement of \$31,417.62 shall be paid, which represents commissions and advisory fees earned on the investments in the Complex ETPs
 - Prejudgment interest of \$3,716.74 shall be paid
 - A civil monetary penalty of \$950,000 shall be paid
- BFE has made remedial efforts to address the issues described in the Order. In December 2018, BFE adopted and implemented the first of a series of additional policies and procedures for complex securities, including those listed in the Order. The enhancement of the policies and procedures are designed to provide greater assurance that the Firm and its financial advisors analyze and understand complex products. BFE's remedial efforts also include prohibiting the sale of all volatility-linked Complex ETPs

A complete copy of Benjamin F. Edwards & Co.'s most recent ADV Part 2A Appendix 1 Wrap Fee Program Brochure is available on our website at www.benjaminfedwards.com. To access this document online, click on "Important Disclosures" at the bottom of the page, and then select "Investment Advisory Program Disclosures." Alternatively, clients may obtain a complimentary copy by contacting their financial advisor, calling BFE's home office at (855) 825-3885 or requesting one by e-mail to ADV@benjaminfedwards.com. Additional information about Benjamin F. Edwards & Co. is available on the SEC's website at <https://www.adviserinfo.sec.gov>.

Portfolio Summary

Charles and Branda Fleischnan

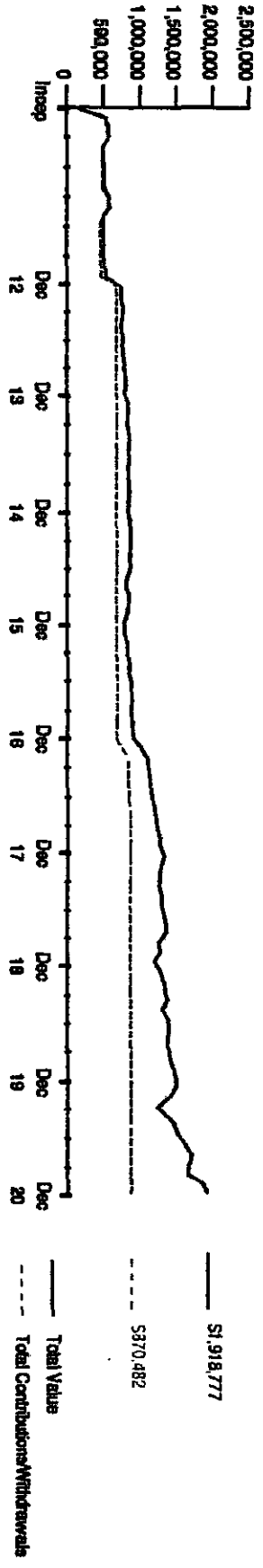
Portfolio Change	Inception (09/22/2011)	Since Inception	Fiscal YTD	Current QTR	Taxable Account Information
Beginning Market Value	\$144,158	\$1,485,023	\$1,889,789	\$0	Income Received \$9,186
Net Contributions/Withdrawals	\$726,324	\$8	\$0	\$0	Net Gain or Loss \$8,344
Quarter Ending 12/31/2020	\$1,048,295	\$433,748	\$248,978	\$248,978	Realized \$5,554
Year Ending 12/31/2020	\$1,918,777	\$1,918,777	\$1,918,777	\$1,918,777	Unrealized \$25,822
Ending Market Value					Capital Gain \$2,484

Investment & Benchmark Returns (%)

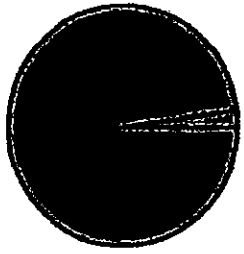
Investment	Allocation	Since Inception	Fiscal YTD	Current QTR	Market Value	Accruals	Total Value
Your Portfolio	100.0%	8.90% ¹	29.21%	14.91%	\$1,918,612	\$165	\$1,918,777
S&P 500 Total Return		16.20% ¹	18.40%	12.15%			
BbgBarc Government Credit		3.59% ¹	8.93%	0.82%			
MSCI EAFE Net Taxes		8.21% ¹	7.82%	16.05%			

Investment	Allocation	Since Inception	Fiscal YTD	Current QTR	Market Value	Accruals	Total Value
Benjamin F. Edwards Advisory Portfolios	7.7%	10.21% ¹	23.52%	13.70%	\$148,461	\$0	\$148,461
Benjamin F. Edwards Private Portfolios (EPP-011695)	14.4%	3.74% ¹	5.95%	8.32%	\$278,430	\$0	\$278,430
Benjamin F. Edwards Private Portfolios (EPP-003890)	77.9%	11.84% ¹	35.32%	16.34%	\$1,493,721	\$165	\$1,493,886

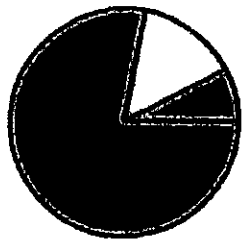
Portfolio Growth



Asset Allocation & Style Allocation



97.7% - Equity
2.3% - Cash



77.9% - Moderate Growth
14.4% - Conservative Income
7.7% - Confluence Growth & Income

1-Annualized Return. 2-Cash, Money Funds, and Bank Deposits. Historical portfolio returns include the impact of terminated accounts previously held. Please see important disclosures regarding performance, fees, and benchmarks at the end of this report.

Charles J Fleischmann Brenda M Fleischmann JT Ten
Benjamin F. Edwards Advisory Portfolios
Confluence Growth & Income

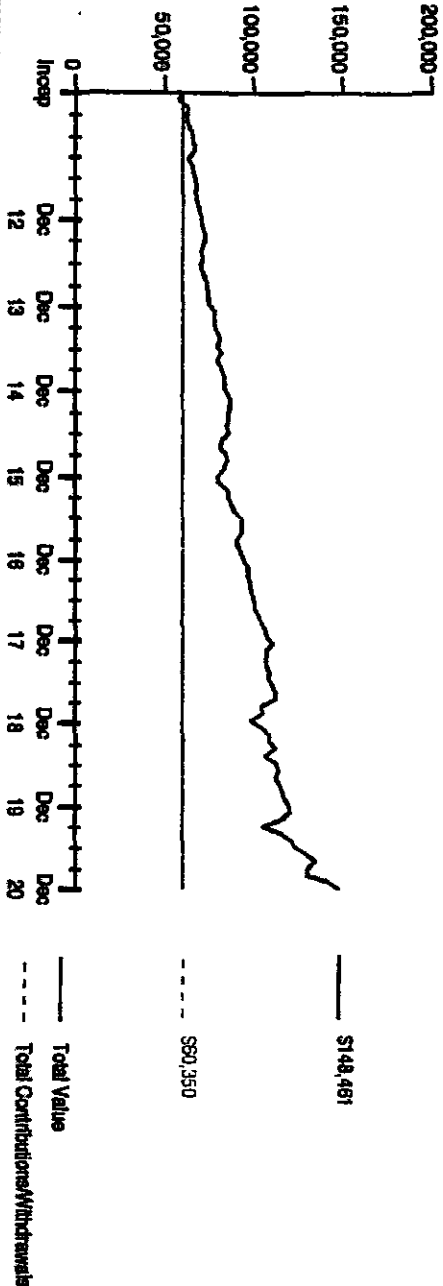
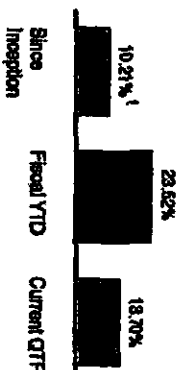
Inception Date 09/27/2011

Quarter Ending 12/31/2020

Year Ending 12/31/2020

Account Growth, Income Received & Gains/Losses

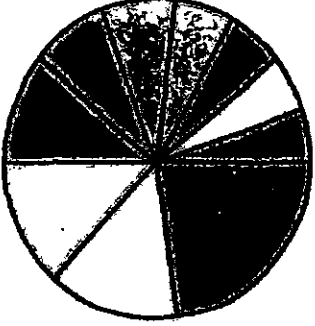
Account Change	Since Inception	Fiscal YTD	Current QTR
Beginning Market Value	\$60,195	\$120,189	\$130,578
Net Contributions/Withdrawals	\$156	\$0	\$0
Gain/Loss + Income Earned	\$68,110	\$28,272	\$17,883
Ending Market Value	\$148,461	\$148,461	\$148,461
Account & Benchmark Returns (%)			
Your Account	10.21% ¹	23.52%	13.70%
Blended Index*	9.71% ¹	14.72%	10.40%



Category	Value
Income Received (YTD)	\$2,129
Net Realized Gains/Losses (YTD)	
Short Term	\$8,344
Long Term	\$-5,654
Total	\$2,790
Unrealized	
Short Term	\$30,821
Long Term	\$2,837
Total	\$33,658
Losses	\$-106
Gains	\$-543
Total	\$-649

Style Allocation & Top 10 Account Holdings

22.8% - Other
13.8% - Large Value
13.4% - Large Growth
10.0% - Commodities Focused
8.1% - MK-Cap Value
7.8% - MK-Cap Growth
6.2% - Foreign Large Blend
6.0% - Intermediate-Term Bond
5.5% - Small Value
5.5% - Small Growth



ETF	Value	Percentage
SPDR Portfolio S&P 500 Value ETF		13.80%
SPDR Ser Tr S&P 500 Growth ETF		13.50%
iShares Gold Trust iShares		10.90%
SPDR Ser Tr DJ Wilshire Mid		8.10%
SPDR Ser Tr DJ Wilshire Mid Cap		7.80%
SPDR Index S&P 500 World Ex US ETF		6.20%
SPDR Ser Tr DJ Wilshire Small Cap		6.20%
SPDR Ser Tr S&P 500 Small Cap Growth ETF		5.50%
Vanguard Index Fds Vanguard Real ETF		4.90%
iShares Tr Iboxx Ued Int Grade Corp Bd ETF		3.90%

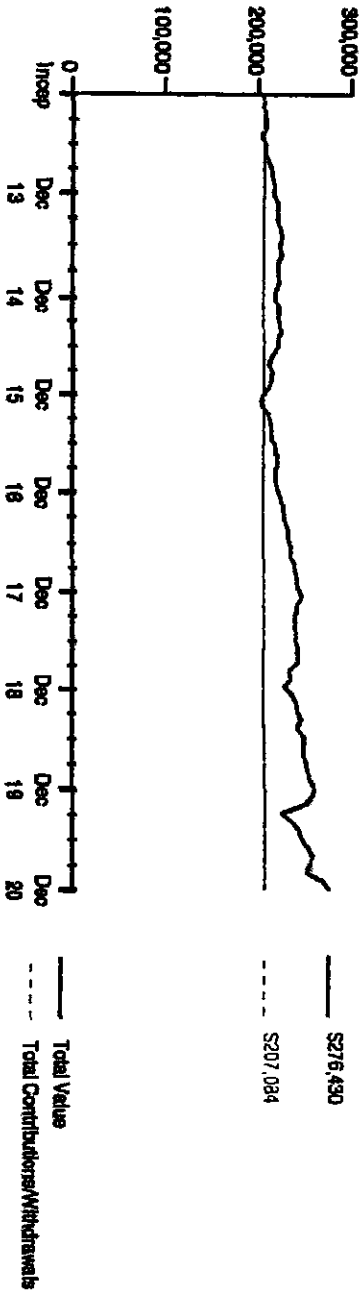
1-Annualized Return
 *Please see important disclosures regarding performance, fees, and benchmarks at the end of this report.

Charles J Fleischmann Brenda M
Fleischmann JT Ten
Benjamin F. Edwards Private
Portfolios
Conservative Income

Inception Date 02/06/2013
Quarter Ending 12/31/2020
Year Ending 12/31/2020

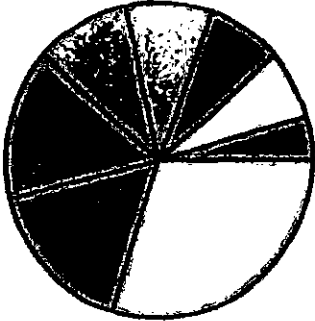
Account Growth: Income Received & Gains/Losses

Account Change	Since Inception	Fiscal YTD	Current QTR
Beginning Market Value	\$205,427	\$260,894	\$255,203
Net Contributions/Withdrawals	\$1,657	\$0	\$0
Gain/Loss + Income Earned	\$69,346	\$15,536	\$21,227
Ending Market Value	\$276,430	\$276,430	\$276,430
Account & Benchmark Returns (%)			
Your Account	3.74% ¹	5.95%	8.32%
S&P 500 Total Return	14.52% ¹	18.40%	12.15%
BBG Barcl Government Credit	3.72% ¹	8.93%	0.82%
BBG Barcl Municipal	3.61% ¹	5.21%	1.82%
MSCI EAFE Net Taxes	8.09% ¹	7.82%	16.05%
20/50 S&P500/80/20 Gov Cr	6.09% ¹	11.92%	3.05%

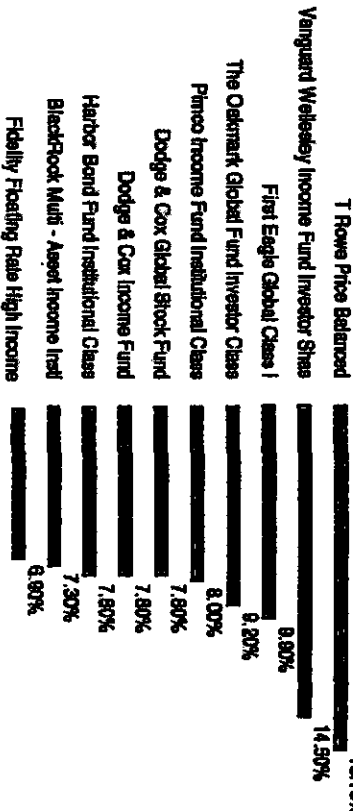


Style Allocation & Top 10 Account Holdings

- 29.8% - U.S. Fixed Income
- 16.1% - U.S. Edgy Lrg Cap Grwth
- 15.6% - U.S. Fixed Inc Inter Term Gov/Corp Bonds
- 9.9% - Global Bal All Cap Val
- 9.2% - Global Edgy Lrg Cap Val
- 7.8% - Global Equity
- 6.9% - U.S. Fixed Hl Yield Bonds
- 4.7% - Cash²



Income Received (YTD)	\$8,058
Net Realized Gains/Losses (YTD)	
Short Term	\$0
Long Term	\$0
Total	\$0
Net Capital Gain	\$3,102
Unrealized	
Short Term	\$31
Long Term	\$-171
Total	\$-171



1-Annualized Return 2-Cash, Money Funds and Bank Deposits
*Please see important disclosures regarding performance, fees, and benchmarks at the end of this report.

Charles J Fleischmann SEP

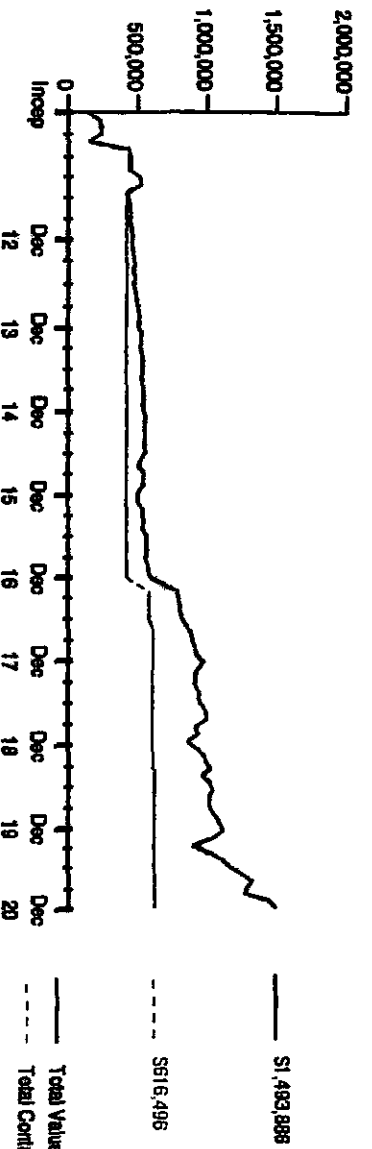
Benjamin F. Edwards Private Portfolios
Moderate Growth

Account Change	Since Inception	Fiscal YTD	Current QTR
Beginning Market Value	\$144,158	\$1,103,940	\$1,284,019
Net Contributions/Withdrawals	\$472,338	\$6	\$0
Gain/Loss + Income Earned	\$977,390	\$289,940	\$209,867
Ending Market Value	\$1,493,886	\$1,493,886	\$1,493,886

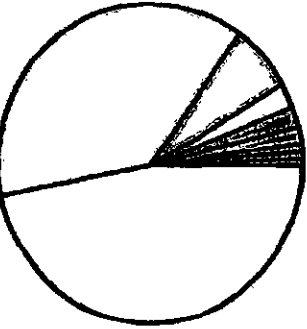
Inception Date 09/22/2011
 Quarter Ending 12/31/2020
 Year Ending 12/31/2020

Account & Benchmark Returns (%)	Your Account	SAP 500 Total Return	BBgBarc Government Credit	BBgBarc Municipal	MSCI EAFE Net Taxes	BO/S&P500/20/GovC ¹
Since Inception	11.84% ¹	18.20% ¹	3.59% ¹	4.02% ¹	8.21% ¹	13.87% ¹
Fiscal YTD	26.32%	18.40%	8.93%	5.21%	7.82%	17.67%
Current QTR	18.34%	12.15%	0.82%	1.82%	18.05%	9.85%

Account Growth, Income Received & Gains/Losses



Sector Allocation & Top 10 Account Holdings



- 48.7% - Multi-Sector Holdings
- 38.2% - Information Technology
- 6.6% - Health Care
- 2.5% - Industrials
- 1.9% - Cash²
- 1.8% - Financials
- 0.8% - Telecommunication Svcs
- 0.8% - Consumer Staples
- 0.7% - Consumer Discretionary

Income Received (YTD)	Net Realized Gains/Losses (YTD)
Short Term	\$-791
Long Term	\$-15,848
Total	\$-16,577
Net Capital Gain	\$23,577
Unrealized	
Short Term	\$29,904
Long Term	\$679,472
Total	\$709,376
	\$-29

Top 10 Account Holdings	Percentage
Vanguard Small-Cap Index Admiral	6.80%
Parnassus Mid-Cap Fund	6.10%
Affiliated Ins Cap S&P Cl C	5.90%
The Trade Desk Inc Com Cl A	5.40%
T Rowe Price Global Technology	4.30%
Primecap Odyssey Growth Fund	4.30%
Paycom Hlths Inc Com	3.80%
First Eagle Global Class I	3.80%
Abbott Laboratories	3.70%
Apple Inc	3.60%

¹-Annualized Return ²-Cash, Money Funds, and Bank Deposits
 *Please see important disclosures regarding performance, fees, and benchmarks at the end of this report.

Performance Summary

December 31, 2020

For Charles and Brenda Fleischen

Investment Account Index	Inception Date	Since Inception	Five Years		Three Years		Last 12 Months	Fiscal YTD	Current QTR	Asset Allocation			
			Returns	Std Dev	Returns	Std Dev				Returns	Std Dev	Equity	Income
EQUITY													
Confluence Growth & Income													
Benjamin F. Edwards Advisory Portfolios													
ETF-006005	09/27/2011	10.2% [†]	10.9%	12.3% [†]	13.3%	11.2% [†]	17.0%	23.5%	23.5%	13.7%	87%	11%	2%
Blended Index*		9.7% [†]	10.0%	9.9% [†]	11.4%	9.5% [†]	14.6%	14.7%	14.7%	10.4%			
Conservative Income													
Benjamin F. Edwards Private Portfolios													
EPP-011695	02/05/2013	3.7% [†]	8.1%	5.5% [†]	9.6%	4.1% [†]	12.2%	6.0%	8.0%	8.3%			
S&P 500 Total Return		14.5% [†]	14.4%	15.2% [†]	18.8%	14.2% [†]	21.5%	18.4%	18.4%	12.1%			
BBGBarc Government Credit		3.7% [†]	3.5%	5.0% [†]	3.6%	6.0% [†]	3.4%	8.9%	8.9%	0.8%			
BBGBarc Municipal		3.6% [†]	3.1%	3.9% [†]	3.0%	4.6% [†]	2.4%	5.2%	5.2%	1.8%			
MSCI EAFE Net Taxes		8.1% [†]	15.2%	7.4% [†]	17.1%	4.3% [†]	21.1%	7.8%	7.8%	16.0%			
20/S&P500/80/GowC [†]		8.1% [†]	3.9%	7.3% [†]	4.4%	8.1% [†]	5.1%	11.9%	11.9%	3.1%			
Moderate Growth													
Benjamin F. Edwards Private Portfolios													
EPP-003890	09/22/2011	11.6% [†]	14.9%	18.2% [†]	19.1%	17.3% [†]	24.2%	26.3%	35.3%	18.3%			
S&P 500 Total Return		18.2% [†]	15.1%	15.2% [†]	16.8%	14.2% [†]	21.5%	18.4%	18.4%	12.1%			
BBGBarc Government Credit		3.8% [†]	3.5%	5.0% [†]	3.6%	6.0% [†]	3.4%	8.9%	8.9%	0.8%			
BBGBarc Municipal		4.0% [†]	3.0%	3.9% [†]	3.0%	4.6% [†]	2.4%	5.2%	5.2%	1.8%			
MSCI EAFE Net Taxes		8.2% [†]	16.2%	7.4% [†]	17.1%	4.3% [†]	21.1%	7.8%	7.8%	16.0%			
80/S&P500/20/GowC [†]		13.9% [†]	12.0%	13.5% [†]	13.4%	13.0% [†]	17.2%	17.7%	17.7%	9.9%			

¹ Annualized Return - Cash, Money Funds, and Bank Deposits
 *Please see important disclosures regarding performance, fees, and benchmarks at the end of this report.

Portfolio History Report
December 31, 2020
For Charles and Brenda Fleishman

Period Ending Date	Beginning Market Value(\$)	Gain/Loss(\$)	Net Contributions/Withdrawals(\$)	Ending Market Value(\$)	Four-Portfolio Quarterly Returns	Four-Portfolio Cumulative Returns
09/22/2011	144,158	-14,842	410,348	144,158	-4%	-4%
09/30/2011	144,158	-14,842	410,348	539,884	-4%	-4%
12/31/2011	539,884	23,703	-74,708	488,881	4%	0%
03/31/2012	488,881	25,283	51	513,995	6%	5%
06/30/2012	513,995	-12,074	90,024	591,945	-2%	3%
09/30/2012	591,945	-21,886	-100,100	513,731	4%	8%
12/31/2012	513,731	9,179		522,910	2%	9%
03/31/2013	522,910	18,794	205,379	747,083	3%	13%
06/30/2013	747,083	-5,888		741,395	-1%	12%
09/30/2013	741,395	29,726		771,121	4%	16%
12/31/2013	771,121	38,670		809,791	5%	22%
03/31/2014	809,791	12,659		822,450	2%	24%
06/30/2014	822,450	29,112		851,562	4%	29%
09/30/2014	851,562	-17,276		834,286	-2%	26%
12/31/2014	834,286	10,056		844,342	1%	28%
03/31/2015	844,342	13,455		857,797	2%	30%
06/30/2015	857,797	-3,107		854,690	0%	29%
09/30/2015	854,690	-57,302		797,388	-7%	20%
12/31/2015	797,388	33,392		830,780	4%	25%
03/31/2016	830,780	2,104		832,884	0%	26%
06/30/2016	832,884	7,951		840,835	1%	27%
09/30/2016	840,835	42,026		882,861	5%	33%
12/31/2016	882,861	8,101		890,962	1%	35%
03/31/2017	890,962	57,612	182,499	1,111,073	6%	43%
09/30/2017	1,111,073	34,709		1,145,782	3%	47%

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Historical portfolio returns include the impact of terminated accounts previously held. Please see Important disclosures regarding performance, fees, and benchmarks at the end of this report.

Portfolio History Report
December 31, 2020
For Charles and Brenda Fleischman

Period Ending Date	Beginning Market Value(S)	Gain/Loss(S)	Net Contributions/ Withdrawals(S)	Ending Market Value(S)	Year Portfolio Quarterly Returns	Cumulative Returns
09/30/2017	1,145,782	53,994	25,000	1,224,776	5%	54%
12/31/2017	1,224,776	45,740		1,270,516	4%	60%
03/31/2018	1,270,516	-6,011		1,264,505	0%	59%
06/30/2018	1,264,505	22,389		1,286,894	2%	62%
09/30/2018	1,286,894	65,796		1,352,690	5%	70%
12/31/2018	1,352,690	-161,924		1,190,766	-12%	50%
03/31/2019	1,190,766	152,951	5	1,343,622	13%	69%
06/30/2019	1,343,622	33,279	7,549	1,384,450	2%	73%
09/30/2019	1,384,450	-1,056	53	1,383,447	0%	73%
12/31/2019	1,383,447	101,360	216	1,485,023	7%	86%
03/31/2020	1,485,023	-251,467	6	1,233,562	-17%	54%
06/30/2020	1,233,562	295,550		1,529,112	24%	91%
09/30/2020	1,529,112	140,667		1,669,799	9%	109%
12/31/2020	1,669,799	248,978		1,918,777	15%	140%
Totals		1,048,295	726,324			

Historical portfolio returns include the impact of terminated accounts previously held. Please see important disclosures regarding performance, fees, and benchmarks at the end of this report.

Capital Gains Summary Report
 January 1, 2020 - December 31, 2020
 For Charles and Brenda Fielichman

Account Number

Net Realized Gains or Losses

	Account Tax		Short Term		Long Term	
	Status		Gains	Losses	Gains	Losses
ETF-005005	Benjamin F. Edwards Advisory Portfolios	Taxable	\$8,344.20		\$-5,553.59	
EPP-011695	Benjamin F. Edwards Private Portfolios	Taxable	\$0.00		\$0.00	
EPP-003890	Benjamin F. Edwards Private Portfolios	Tax Deferred/Exempt	\$-731.09		\$-15,845.97	
Total Taxable Realized			\$8,344.20		\$-5,553.59	
Total Tax Deferred/Exempt Realized			\$-731.09		\$-15,845.97	
Realized Total			\$7,613.11		\$-21,399.56	

Unrealized Gains or Losses

	Account Tax		Short Term		Long Term	
	Status		Gains	Losses	Gains	Losses
ETF-005005	Benjamin F. Edwards Advisory Portfolios	Taxable	\$30,620.85	\$-105.93	\$2,897.43	\$-542.95
EPP-011695	Benjamin F. Edwards Private Portfolios	Taxable	\$30.72	\$0.00	\$23,899.08	\$-171.02
EPP-003890	Benjamin F. Edwards Private Portfolios	Tax Deferred/Exempt	\$29,904.45	\$-3.43	\$679,472.22	\$-19.71
Total Taxable Unrealized			\$30,651.57	\$-105.93	\$26,596.51	\$-713.97
Total Tax Deferred/Exempt Unrealized			\$29,904.45	\$-3.43	\$679,472.22	\$-19.71
Unrealized Total			\$60,556.01	\$-109.36	\$705,068.73	\$-733.68

Capital Gains Detail Report: Taxable Accounts

January 1, 2020 - December 31, 2020

For Charles J Fleisemann Brenda M Fleisemann JT Ten

Account Number: ETF-005005

Shares/ Units	Description	Purchase Date	Sale Date	Cost	Proceeds	Gain/Loss
------------------	-------------	------------------	--------------	------	----------	-----------

Realized Gains or Losses

Short-Term

Mutual Fund / ETF - Equity

5	INVESCO EXCHANGE TRADED FD TR S&P 500 QUALIT	10/17/2019	01/23/2020	169.84	187.61	18.77
114	INVESCO EXCHANGE TRADED FD TR S&P 500 QUALIT	10/17/2019	07/23/2020	3,849.61	4,243.70	394.09
79	INVESCO EXCHANGE TRADED FD TR S&P 500 QUALIT	04/01/2020	07/23/2020	2,120.20	2,717.45	597.25
121	ISHARES SILVER TR ISHARES	07/23/2020	10/22/2020	2,589.54	2,794.71	195.17
13	ISHARES TR S&P MIDCAP 400 GROWTH ETF	07/19/2019	04/01/2020	2,980.19	2,192.06	-788.13
23	ISHARES TR S&P MIDCAP 400 VALUE ETF	07/19/2019	04/01/2020	3,677.93	2,362.56	-1,315.37
1	ISHARES TR S&P 500 VALUE ETF	07/19/2019	04/01/2020	118.48	91.89	-26.59
2	SECTOR SPDR TR SHS BEN INT TECHNOLOGY	04/18/2019	01/23/2020	155.23	194.86	39.63
1	SECTOR SPDR TR SHS BEN INT TECHNOLOGY	04/01/2020	10/22/2020	78.04	117.75	39.71
6	SECTOR SPDR TR SHS BEN INT TECHNOLOGY	07/23/2020	10/22/2020	655.15	706.50	51.35
4	SELECT SECTOR SPDR TR COMMUNICATION SVCS SEC	01/23/2020	07/23/2020	225.55	231.90	6.35
57	SELECT SECTOR SPDR TR COMMUNICATION SVCS SEC	01/23/2020	10/22/2020	3,214.11	3,479.39	265.28
6	SELECT SECTOR SPDR TR COMMUNICATION SVCS SEC	04/01/2020	10/22/2020	256.49	366.25	109.76
3	SELECT SECTOR SPDR TR CONSUMER	04/01/2020	07/23/2020	283.44	410.95	127.51
1	SELECT SECTOR SPDR TR CONSUMER	04/01/2020	10/22/2020	94.48	150.88	56.39
53	SELECT SECTOR SPDR TR CONSUMER STAPLES	10/17/2019	01/23/2020	3,220.13	3,380.97	160.84
2	SELECT SECTOR SPDR TR HEALTH CARE	10/17/2019	01/23/2020	184.53	207.57	23.14
33	SELECT SECTOR SPDR TR HEALTH CARE	10/17/2019	04/01/2020	3,044.82	2,616.10	-228.72
184	SPDR SER TR DJ WILSHIRE MID	04/01/2020	10/22/2020	4,456.18	6,296.66	1,839.48
20	SPDR SER TR DJ WILSHIRE MID CAP	04/01/2020	07/23/2020	811.80	1,140.44	328.64
108	SPDR SER TR DJ WILSHIRE SMALL CAP	04/01/2020	10/22/2020	4,383.71	6,543.73	2,160.02
4	SPDR SER TR S&P 500 GROWTH ETF	04/01/2020	07/23/2020	152.82	218.24	65.42
34	SPDR SER TR S&P 500 GROWTH ETF	04/01/2020	10/22/2020	1,189.30	1,623.72	434.42
9	SPDR SER TR S&P 600 SMALL CAP GROWTH ETF	04/01/2020	10/22/2020	309.52	458.29	148.77
3	SPDR SER TR S&P 600 SMALL CAP GROWTH ETF	04/01/2020	10/22/2020	129.46	188.82	59.36

\$4,801.53

Mutual Fund / ETF - Fixed Income

47	ISHARES IBONDS DEC 2022 TERM CORP ETF	04/01/2020	07/23/2020	1,160.44	1,205.58	45.14
96	ISHARES IBONDS DEC 2024 TERM CORPORATE ETF	01/23/2020	04/01/2020	2,457.29	2,319.94	-137.35
3	ISHARES TR IBONDS DEC 2023 CORPORATE ETF	04/18/2019	01/23/2020	74.64	77.02	2.38

Please see Important disclosures regarding performance, fees, and benchmarks at the end of this report.

Attachment 3



BENJAMIN F. EDWARDS & CO.
 INVESTMENTS for GENERATIONS
 One North Brentwood Blvd., Suite 850
 Saint Louis, MO 63105 314-726-1600

SEP
 Account Statement

December 1, 2020 - December 31, 2020
 Account Number: EBR-299133

SEP FBO CHARLES J FLEISCHMANN
 PERSHING LLC AS CUSTODIAN
 8714 RAMBLING ROSE DR
 OOLTEWAH TN 37363-7118

Your Financial Advisor is:
 WARD PETTY
 (423) 668-5411

The Bank Deposits in your account are FDIC insured bank deposits.
 FDIC Insured bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). These bank deposits are covered by the Federal Deposit Insurance Corporation (FDIC), up to allowable limits.

Portfolio at a Glance

	This Period	Year-to-Date
BEGINNING ACCOUNT VALUE	\$2,308.00	\$3,960.00
Net Change in Portfolio ¹	0.00	-1,652.00
ENDING ACCOUNT VALUE	\$2,308.00	\$2,308.00

¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

Asset Summary

Percent	Asset Type	Prior Year-End	Last Period	This Period
1%	Cash, Money Funds, and Bank Deposits	2.00	2.00	2.00
99%	Alternative Investments	3,958.00	2,308.00	2,308.00
100%	Account Total	\$3,960.00	\$2,308.00	\$2,308.00

Please review your allocation periodically with your Financial Advisor.
 See the Asset Summary Disclosure and Footnotes section for important information regarding your Asset Summary.

Asset Summary Disclosure and Footnotes

Note: Unpriced securities are not included in the Total Account Value.

For Your Information

The firm's Regulation Best Interest ("RegBI") disclosures are available at www.benjaminfranklin.com/regulationbestinterest/. If you wish to receive a paper copy, please contact your Financial Advisor.

We have recently updated our RegBI disclosures. More information can be found in the Messages section of this statement or on our website referenced above.

Client Service Information

Your Financial Advisor is: G90

Contact Information

WARD PETTY
1101 BROAD STREET, SUITE 105
CHATTANOOGA TN 37402-2943

Telephone Number: (423) 688-5411
Fax Number: (423) 688-5412

Your Account Information

INVESTMENT OBJECTIVE

Investment Objective: **MODERATE GROWTH**
Please review your investment objective. If you wish to make a change or have any questions please contact your Financial Advisor.

RETIREMENT INFORMATION

Year-End Fair Market Value (12/31/2020): \$2,308.00. (Includes the fair market value of hard-to-value assets)
Year-End Fair Market Value of Hard-to-Value Assets (12/31/2020): \$2,306.00.

The fair market value for hard-to-value assets has been provided for Individual Retirement Accounts, based on Internal Revenue Service (IRS) reporting requirements. Hard-to-value assets for tax reporting purposes are generally defined as assets that are not readily tradable.

IRS Classifications for hard-to-value assets within your account:
E = Ownership interest in a partnership, trust, or similar entity (unless the entity is traded on an established securities market).

The year-end fair market value is furnished to the Internal Revenue Service for Individual Retirement Accounts. Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2020.

Please verify this information, and if you have any changes, and/or questions, please contact your tax professional or Financial Advisor.

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds:

First In First Out

Default Method for Stocks in a Dividend Reinvestment Plan:

First In First Out

Default Method for all Other Securities:

First In First Out

BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes

Accrual market discount method for all other bond types: Constant Yield Method

Include market discount in income annually: No

Account Number: EBR-299133

A07154C53004-50



Rated Excellent
Every Year Since 2007
DALLAS RATED COMMUNICATIONS
EXCELLENCE

Operating through Parklane LLC, a wholly owned
subsidiary of The Bank of New York Mellon
Corporation (BNY Mellon)
Parklane LLC, member FINRA, NYSE, SIPC



BENJAMIN F. EDWARDS & CO.
 INVESTMENTS for GENERATIONS
 One North Brentwood Blvd., Suite 850
 Saint Louis, MO 63105 314-728-1800

December 1, 2020 - December 31, 2020
 SEP FBO CHARLES J FLEISCHMANN

Your Account Information (continued)

ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:

- Electronic Delivery**
- Enrollment
 - Communication
 - Statements and Reports
 - Trade Confirmations
 - Tax Documents
 - Notifications
 - Prospectus
 - Proxy/Shareholder Communications
- You have not enrolled any of the above documents for electronic delivery. Please log in to your account or contact your Financial Advisor to make any changes to your electronic delivery preferences.

Client Account Beneficiary Information

Beneficiary Name	Primary /Contingent	Relationship to Account Holder(s)	Allocation Percent
BRENDA FLEISCHMANN	PRIMARY	SPOUSE	100.00

Disclaimers and Other Information:

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Advisor to update your beneficiary information if necessary or to review more detailed information concerning your designations.

Account Number: EBR-299133

A07540573004-00



Rated Excellent
 Every Year Since 2007
 DALBAR RATED COMMUNICATIONS
 EXCELLENCE

Clearing through Pershing LLC, a wholly owned
 subsidiary of The Bank of New York Mellon
 Corporation (BNY Mellon)
 Pershing LLC, member FINRA, NYSE SIPC

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
	Sweep FDIC Insured Bank Deposits			
	INSURED DEPOSIT PROGRAM F			
	Activity Ending: 12/31/20			
12/01/20	Opening Balance		2.00	2.00
		Androscogin Bank		
		A/O 12/16 \$2.00		
12/31/20	Closing Balance			\$2.00
	Total FDIC Insured Bank Deposits			\$2.00

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to \$250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Portfolio Holdings

Opening Date	Quantity	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
CASH, MONEY FUNDS AND BANK DEPOSITS 1.00% of Portfolio									
FDIC Insured Bank Deposits									
INSURED DEPOSIT PROGRAM F									
12/01/20	2,000	N/A	12/31/20	2.00	2.00	0.00	0.00	N/A	N/A
			Total FDIC Insured Bank Deposits	\$2.00	\$2.00	\$0.00	\$0.00		
			TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS	\$2.00	\$2.00	\$0.00	\$0.00		

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Estimated Value	Unrealized Gain/Loss
ALTERNATIVE INVESTMENTS 99.00% of Portfolio						
7TOWERHILL LONG SHORT EQUITY LLC						
Valuation Date: 12/29/20 Valuation Code: B, V, C				Security Identifier: 891L P239		
02/12/13 ³⁴¹				Price Estimated as of: 12/29/20		
	Total Noncovered	1,0000	2,305.88	N/A	2,305.88	0.00
	Total Unallocated	N/A	Please Provide ³⁴¹	N/A	0.32	N/A
	Total	2,306.000	N/A		\$2,306.00	N/A
	TOTAL ALTERNATIVE INVESTMENTS		\$2,305.88		\$2,306.00	\$0.09

Valuation Codes:

B = This is an estimate of the value of the security.
 C = The source of this information is the manager or the administrator of the program.
 V = The market price of N/A is displayed because the investment does not have a per unit value. The investment value is an estimated value provided by the sponsor or the sponsor's pricing agent and may not include all fees and/or charges. Please contact your investment professional or financial organization for additional information.



Attachments 5



BENJAMIN F. EDWARDS & CO.
 INVESTMENTS for GENERATIONS
 One North Brearford Blvd, Suite 890
 Saint Louis, MO 63105 314-726-1600

Account Number: ETF-005005

2020 PENDING 1099 NOTICE
 As of 02/05/2021
 Mailed by 02/15/2021

Recipient's Name and Address

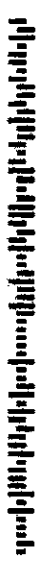
0040258 01 AB 0.425 01 X64R1D03 TR 00108
 CHARLES J FLEISCHMANN
 BRENDA M FLEISCHMANN JT TEN
 8714 RAMBLING ROSE DR
 COOLTEWAH TN 37363-7118

Your Financial Advisor Is

WARD PETTY
 101 BROAD STREET, SUITE 105
 CHATTANOOGA TN 37402-2943
 (423) 668-5411
 ID: C90

Payer Information

PERSHING LLC
 Federal Identification
 Number: G-2741729



Your 2020 IRS 1099 Tax Form(s) Are Pending Final Information

Pershing provides tax reporting for your account. To ensure you receive your tax forms as early as possible with complete and accurate information, we take a phased approach to mailing 1099 form(s). This approach allows us to evaluate your account and determine if we have received and processed the final tax information for each security. We are then able to issue your 1099 form(s) at the earliest possible date and thus reduce the need to revise your 1099 information.

You are receiving this notification because we have not received and processed all necessary information to complete your 2020 1099 form(s) by the as of date on this document. Once the remaining information has been received and processed, we will mail your form(s). You do not need to take any action.

We have not received final information for these securities:

CUSIP	DESCRIPTION	CUSIP	DESCRIPTION
464280109	ISHARES SILVER TR IS HARES	464285105	ISHARES GOLD TR ISHA RES

The next mailing is scheduled for February 28, 2021. If we have not received and processed the information on the above securities (identified by the CUSIP and a short description) by February 28, your 1099 will be mailed by March 15, with the information we have on file.

We look forward to providing your tax information as soon as it becomes available. If you have questions, please refer to the Tax Guide located on mytaxhandbook.com for more information or contact your advisor.



Rated Excellent
 BNY Mellon's Pershing Tax Statement
 DATA RATED COMMUNICATIONS
 EXCELLENCE

Created through Pershing LLC, a wholly-owned
 subsidiary of The Bank of New York Mellon
 Corporation (BNY Mellon)
 Pershing LLC, member FINRA, NYSE SIPC





BENJAMIN F. EDWARDS & CO.
 INVESTMENTS for GENERATIONS
 One North Brentwood Blvd., Suite 800
 Salt Lake City, UT 84103 314-726-1800

2020
YOUR TAX INFORMATION STATEMENT
 As of 02/05/2021
 Mailed by 02/15/2021

Recipient's Name and Address

0097812 02 AB 0425 02 X5882D07 TR 00268



Your Financial Advisor is

WARD PETTY
 1101 BROAD STREET, SUITE 105
 CHATTANOOGA TN 37402-2943
 (423) 668-5411
 ID: C90

CHARLES J FLEISCHMANN
 BRENDA M FLEISCHMANN JT TEN
 8714 RAMBLING ROSE DR
 OOLTEWAH TN 37363-7118



Account Holder Information
Account Number: EPP-01695

General Information:

The following information may be included in this tax statement based on the investments and activity in your account.

Reportable Information: IRS Forms 1099-B, 1099-DIV, 1099-INT, 1099-MISC, 1099-OID

Non-Reportable Information: Non-reportable information may be provided based on the activity within your account. This additional information is provided to assist you with your tax preparation but it is not reported to the IRS.

What's New:

Regulatory Changes

- IRS Form 1099-MISC has been redesigned. As a result, box numbers have been rearranged.

Statement Enhancements

- We enhanced the Summary Page, 1099-OID Transaction Details, Revision Summary Information for Royalty Trusts and WHFITS, and have added dollar signs for clarity.

Mail Dates: You and members of your household may receive tax statements at different times based on the activity and/or holdings within your account. If final tax information is pending from an issuer or pending processing when tax statements are prepared, impacted tax statements will be held until the information is complete. Tax statement mailings are scheduled to occur in four phases this year: January 31, February 15, February 28 and March 15.

Go Digital with e-Delivery: Our e-delivery solution provides access to your account information electronically - statements, trade confirmations, account notifications, shareholder communications and tax documents. Benefits of e-delivery include:

- Faster access to account information and documents
- Increased security and safety of personal information
- Less mail and paper to manage

Electronic Delivery: Your electronic delivery selections for account communications are:

- | | | | |
|------------------------------------------------------------|---------------------------------------------------------|---------------------------------------------------|----------------------------------------------------------------------|
| <input checked="" type="checkbox"/> (X) = Not Enrolled | <input checked="" type="checkbox"/> (✓) = Enrolled | | |
| <input checked="" type="checkbox"/> Statements and Reports | <input checked="" type="checkbox"/> Trade Confirmations | <input checked="" type="checkbox"/> Tax Documents | <input checked="" type="checkbox"/> Proxy/Shareholder Communications |
| <input checked="" type="checkbox"/> Notifications | <input checked="" type="checkbox"/> Prospectus | | |

Sec 8 (EPP 31770)

067512 X5882D07 030301

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 Corporation (BNY Mellon)
 Pershing LLC, member FINRA, NYSE, SIPC



Recipient's Name and Address

CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN

Account Number: EPP-01695

Recipient's Identification
Number: ***-**-1056

General Information:

(continued)

Please log in to your account or contact your Account Executive to make any changes to your electronic delivery preferences.

This Tax Information May Be Revised: You may receive a corrected tax statement. Some issuers provide new information about their securities after we prepare and mail your tax statement. If your tax information needs to be updated, we may be required by the IRS to send you a corrected 1099. Please plan your tax preparation accordingly.

Visit www.irs.gov for IRS Forms, Publications and other resources or you may call (800) 829-1040 (individuals) or (800) 829-4933 (businesses).

Visit www.myfandhandbook.com for information and a reference guide to this 1099 tax statement.



Recipient's Name and Address
CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN

Payer's Information PERSHING LLC
 ONE PERSHING PLAZA
 JERSEY CITY, NJ 07399

Payer's Federal Identification Number B-2741729

Account Number EPF-01695
Recipient's Identification Number ***-**-1056

2020
YOUR TAX INFORMATION STATEMENT
 As of 02/05/2021
 Mailed by 02/15/2021

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Summary of Form 1099-B

Details are reported to the IRS. Refer to the 1099-B section of this statement for those details.

	Amount
Gross Proceeds (Covered and Noncovered Transactions)	\$0.00
Cost or Other Basis (Covered Transactions Only)	\$0.00
Federal Tax Withheld - Proceeds	\$0.00
State Tax Withheld - Proceeds	\$0.00
Regulated Futures and Foreign Currency Forward Contracts Profit or (Loss) Realized in 2020	\$0.00
Unrealized Profit or (Loss) on Open Contracts - 12/31/2019	\$0.00
Unrealized Profit or (Loss) on Open Contracts - 12/31/2020	\$0.00
Aggregate Profit or (Loss)	\$0.00
Fed. Tax Withheld - Reg. Futures and Foreign Curr. Forward Contracts	\$0.00
State Tax Withheld - Reg. Futures and Foreign Curr. Forward Contracts	\$0.00

2020 1099-DIV Dividends and Distributions OMB No. 1545-0110

Box	Amount	
b	Total Ordinary Dividends	\$6,292.17
b	Qualified Dividends (Included in Box b)	\$1,683.49
2a	Total Capital Gain Distributions	\$2,483.52
2a	Unrecaptured Section 1250 Gain (Included in Box 2a)	\$0.00
2a	Section 1202 Gain (Included in Box 2a)	\$0.00
2c	Collectibles (28%) Gain (Included in Box 2a)	\$0.00
2d	Nondividend Distributions	\$342.59
3	Federal Income Tax Withheld	\$0.00
4	Section 199A Dividends (Included in Box b)	\$12.56
5	Investment Expenses	\$0.00
6	Foreign Tax Paid	\$20.11
7	Cash Liquidation Distributions	\$0.00
9	Noncash Liquidation Distributions	\$0.00
10	Exempt Interest Dividends	\$0.00
11	Specified Private Activity Bond Interest Dividends (Included in Box 11)	\$0.00
12	State/State ID# /State Tax Withheld	\$0.00
13/15	FATCA Filing Requirement	

2020 1099-INT Interest Income OMB No. 1545-0112

Box	Amount	
1	Interest Income	\$12.31
3	Interest on U.S. Savings Bonds & Treasury Obligations	\$0.00
4	Federal Income Tax Withheld	\$0.00
5	Investment Expenses	\$0.00
6	Foreign Tax Paid	\$0.00
8	Tax-Exempt Interest	\$0.00
9	Specified Private Activity Bond Interest (Included in Box 8)	\$0.00
10	Market Discount (Covered Transactions Only)	\$0.00
11	Bond Premium (Covered Transactions Only)	\$0.00
12	Bond Premium on Treasury Obligations (Covered Transactions Only)	\$0.00
13	Bond Premium on Tax-Exempt Bond (Covered Transactions Only)	\$0.00
14	Tax-Exempt and Tax Credit Bond CUSIP Number	Various
15/16/17	State/State ID# /State Tax Withheld	
	FATCA Filing Requirement	

Summary of Form 1099-OID

Details are reported to the IRS. Refer to the 1099-OID section of this statement for those details.

	Amount
Original Issue Discount (Non-U.S. Treasury Obligations)	\$0.00
Other Periodic Interest	\$0.00
Market Discount (Covered Transactions Only)	\$0.00
Acquisition Premium (Covered Transactions Only)	\$0.00
Original Issue Discount on U.S. Treasury Obligations	\$0.00
Investment Expenses	\$0.00
Bond Premium (Covered Transactions Only)	\$0.00
Tax-Exempt OID (Covered Transactions Only)	\$0.00
Tax-Exempt OID Specified Private Activity Bonds (Covered Transactions Only)	\$0.00
Federal Income Tax Withheld	\$0.00
State Tax Withheld	

Recipient's Name and Address
CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN

Account Number: **EPF-016595**
 Recipient's Identification Number: ***-**-1056

2020
YOUR TAX INFORMATION STATEMENT
 As of 02/05/2021
 Mailed by 02/15/2021

INTEREST INCOME (Details of Form 1099-INT)

Lot Quantity	Acquisition Date/ Original Cost Basis	Period Begins/ End Date	Cost Basis at Beginning/ End of Period	Interest Income (Box 1)	Interest on U.S. Savings Bonds and Treasury Obligations (Box 2)	Investment Expenses (Box 5)	Foreign Tax Paid (Box 6)	Market Discount (Box 10)	Equal Payments (Interest Offset) (Box 11)	Bond Premium on Treasury Obligations (Interest Offset) (Box 12)
Description: INSURED DEPOSIT PROGRAM F FOR TAX YEAR 2020										
				12.31						
Total				\$12.31						

IRS Form 1099-INT—Interest Income

The amounts in this section of your Tax Information Statement reflect interest paid to you during 2020.

Payment Date. The payment date for the interest payments are displayed above the details. A payment amount may be prorated across multiple lots. If there are multiple lots for a payment, a payment total is provided after the details. The payment total will match the interest paid on the noted payment date.

Lot Quantity. This column includes the amount of fixed income or debt instruments purchased in one transaction. If you purchased the same security on different dates, each purchase creates a separate lot along with the corresponding number of bonds.

Acquisition Date/Original Cost Basis. The acquisition date represents the date you acquired the security or, for short sales, the date you opened the short sale. In the absence of cost basis amounts, the acquisition date is the transfer date of the security. The Original Cost Basis represents the amount that you originally paid for the bond or units and has not been adjusted for return of principal, amortization or accretion.

Period Begins/End Date. The beginning and end date of the accrual period.

Cost Basis at Beginning/End of Period. Amount represents the purchase price adjusted for return of principal distributions, amortization and accretion at the beginning and end of an accrual period.

Box 1—Interest Income. Interest earned from U.S. corporations, U.S. government agencies, foreign sources and credit interest is included in Box 1.

Box 3—Interest on U.S. Savings Bonds and Treasury Obligations. Interest earned from U.S. savings bonds, Treasury bills, Treasury bonds and Treasury notes. This interest income is exempt from state and local income taxes and is reported in Box 3. This income is not included in Box 1.

Box 5—Investment Expenses. The amount reported in this box represents your share of investment expenses of a single-class real estate mortgage investment conduit (REMIC). Your share of a Unit Investment Trust's (UIT) or a Widely Held Fixed Investment Trust's (WHFIT) expenses is also reported in Box 5. These expenses are included in Box 1.

Box 6—Foreign Tax Paid. This box shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your IRS Form 1040. See the Additional Information section of these instructions.

Box 10—Market Discount. If you made an election under section 1278(d) to include market discount in income as it accrues and you notified your payer of the election, this shows the market discount that accrued on the debt instrument during the year while held by you. Report this amount on your income tax return as directed in the instructions for Form 1040. The market discount will be displayed for both covered and noncovered transactions. Market discount amounts will only be reported to the IRS for covered transactions.

Recipient's Name and Address
CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN

Account Number: **EPF-01695**
 Recipient's Identification Number: ***-**-1056

2020
YOUR TAX INFORMATION STATEMENT
 As of 02/05/2021
 Mailed by 02/15/2021

IRS Form 1099-INT—Interest Income (continued):

Box 11—Bond Premium (Interest Offset). This box shows the current year amount of premium amortization for covered and noncovered securities (other than a U.S. Treasury obligation), unless you notified the payer in writing. In accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the instructions for Form 1040 (Schedule B). If elected, report as ABP Adjustment. If an amount is not reported in this box for a security acquired at a premium, the payer has reported a net amount of interest in Boxes 1 or 3, whichever is applicable. When the amortization deduction exceeds the bond income during the year, the excess premium amortization can be carried forward to the next accrual period to offset that period's interest income. For bonds acquired after January 4, 2013, any remaining amortization can be carried forward in the year of disposition as a bond premium deduction. Bond premium amounts will be reported to the IRS for covered transactions only.

Box 12—Bond Premium on Treasury Obligations. This shows the current year amount of premium amortization for covered and noncovered U.S. Treasury obligation securities, unless you notified the payer in writing. In accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the instructions for Form 1040 (Schedule B) to determine the net amount of interest includible in income on Form 1040 with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in Box 3. If the amount in this box is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Income Tax Withholding. If federal or state income taxes were withheld from your interest amounts, they will be reported and displayed in this section. Data. This is the interest payment date.

Interest Income. The amount of interest paid is displayed for reference purposes.

Interest on U.S. Savings Bonds and Treasury Obligations. The amount of interest paid on U.S. savings bonds and Treasury obligations is displayed for reference purposes.

Box 4—Federal Income Tax Withheld. Federal income tax withheld is 24% of interest income. See the Additional Information section of these instructions.

Box 15—State. Displays the two letter postal abbreviation of the state(s) for which state income taxes were withheld.

Box 16—State ID Number. Displays the payer's state identification number(s).

Box 17—State Tax Withheld. Displays the amount of state income taxes withheld on interest payments reported on this form.

DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV)

Supplemental information regarding the percentages of tax-exempt income on municipal bond funds by state and the percentage of government agency, direct federal and foreign source income for funds will be available by March 1, 2021, at mytaxhandbook.com.

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Total Capital Gain Distributions (Box 2a)	Nonqualified Distributions (Box 2b)	Federal Income Tax Withheld (Box 4)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	State (Box 10) / State ID Number (Box 10)	State Tax Withheld (Box 15)
BLACKROCK	02/03/2020	60.52	12.89		2.62						
MULTI-ASSET INCOME	03/02/2020	64.98	13.84		2.81						
PORTFOLIO FD INST CL	04/01/2020	75.22	16.02		3.26						
CUSIP: 092564H35	05/01/2020	67.76	14.43		2.93						
	06/01/2020	76.45	16.28		3.31						
	07/01/2020	81.13	17.28		3.51						
	08/03/2020	71.84	15.30		3.11						
	09/01/2020	73.97	14.93								
	10/01/2020	66.64	13.45								

Seq# (EPF 31770)



Rapid Response
 BNY Mellon's Pending Tax Statement
 DATA RATED COMMUNICATIONS
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Created through Funding LLC, a wholly owned
 subsidiary of The Bank of New York Mellon
 Corporation (BNY Mellon)
 Pershing LLC, member FINRA, NYSE SIPC



Recipient's Name and Address
 CHARLES J FLEISCHMANN
 BRENDA M FLEISCHMANN JT TEN

Account Number: EFP-01695
 Recipient's Identification
 Number: ***-**-1056

2020
 YOUR TAX INFORMATION STATEMENT
 As of 02/05/2021
 Mailed by 02/15/2021

DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV)

(continued)

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	*Total Capital Gain Distributions (Box 2a)	Nonqualified Dividends (Box 3)	Federal Income Tax Withheld (Box 4)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	State (Box 13) / State ID Number (Box 14)	State Tax Withheld (Box 15)
DODGE & COX GLOBAL STOCK FUND	12/22/2020	283.79	283.79								
5 - FRAC 0030 FOREIGN % 57.6311											
CUSIP: 256206202 FOREIGN CORP.											
OTHER											
DODGE & COX INCOME FUND	03/30/2020	143.86	4.32	38.84							
5 - FRAC 5810											
CUSIP: 256210105											
FIDELITY FLOATING RATE HIGH INCOME FUND	02/04/2020	75.41	66.99	74.33							
CUSIP: 38596783											
		786.89		197.43							



Recipient's Name and Address
 CHARLES J FLEISCHMANN
 BRENDA M FLEISCHMANN JT TEN

Account Number: EFP-01695
 Recipient's Identification
 Number: ***-**-1056

2020
 YOUR TAX INFORMATION STATEMENT
 As of 02/05/2021
 Mailed by 02/15/2021

DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV)

(continued)

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Total Capital Gain Dividends (Box 2a)	Nonqualified Dividends (Box 3)	Federal Income Tax Withheld (Box 4)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	State (Box 13) / State ID Number (Box 14)	State Tax Withheld (Box 15)
	01/04/2021	55.95									
		731.12									
FIRST EAGLE GLOBAL FUND CLASS I	12/02/2020	292.47									
5 - FRAC.5140			292.47								
CUSIP: 320008F606				425.08							
HARBOR BOND FUND INSTITUTIONAL CLASS	03/31/2020	75.27									
5 - FRAC.8420	06/30/2020	136.02									
CUSIP: 41571108	09/30/2020	112.15									
	12/18/2020	150.72									
	12/18/2020	220.07									
		694.23									
THE OAKMARK GLOBAL FUND INVESTOR CLASS	12/11/2020	61.47								20.11	
5 - FRAC.3890			61.47								
CUSIP: 4138388890 FOREIGN CORP. OTHER											
PIMCO INCOME FUND INSTITUTIONAL CLASS	02/03/2020	101.17									
CUSIP: 72201F490	03/02/2020	101.31									
	04/01/2020	101.14									
	05/01/2020	58.97									
	06/01/2020	59.06									42.18
	07/01/2020	58.96									42.23
	08/03/2020	59.03									42.23
	09/01/2020	42.58									30.46
	10/01/2020	42.52									30.42
	11/02/2020	42.54									30.42

Seq# (EFP 31770)



Rabot Excellence
 BNY Mellon's Pathway To Success
 DELIVERING COMMUNICATIONS
 EXCELLENCE

Created through Reaching LLC, a wholly owned subsidiary of The Bank of New York Mellon Corporation (BNY Mellon).
 Reaching LLC, member NYSE, NYSE SIPC



Recipient's Name and Address
CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN

Account Number: EFP-016695
 Recipient's Identification Number: ***-**-1056

2020
YOUR TAX INFORMATION STATEMENT
 As of 02/05/2021
 Mailed by 02/15/2021

DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV) (continued)

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Total Capital Gains Distributions (Box 2a)	Nonqualified Dividends (Box 3)	Federal Income Tax Withheld (Box 4)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	State (Box 1b) / State ID Number (Box 1c)	State Tax Withheld (Box 1d)
T ROWE PRICE	03/30/2020	158.06	99.88				1.50				
BALANCED	06/29/2020	173.87	109.87				1.65				
5 - FRAC.7390	09/29/2020	142.27	89.90				1.35				
CLSRP: 779546108	12/14/2020	62.35									
	12/14/2020	115.54	109.87				1.65				
	12/14/2020	11.64	9.99				0.15				
	12/14/2020	0.15		1,312.01							
				1,312.01							
WANGUARD WELLESLEY	03/23/2020	266.86	112.67				1.57				
INCOME FUND	06/29/2020	263.05	111.06				1.55				
INVESTOR SHARES	09/29/2020	261.07	110.22				1.54				
5 - FRAC.2220	12/22/2020	155.13									
CLSRP: 927898106	12/22/2020	226.43	114.52				1.60				
		1,172.54	448.47	549.00			6.26				
Dividends - U.S. Corporations		\$6,092.79	\$1,484.11								
Dividends - Foreign Corporations		199.38	199.38								
Other										20.11	
Total:		\$6,292.17	\$1,683.49	\$2,463.52	\$342.59	\$0.00	\$12.56	\$0.00	\$20.11		\$0.00

* All Capital Gain Distributions paid were classified as Long Term Capital Gain Distributions (see instructions for details).

IRS Form 1099-DIV—Dividends and Distributions:

Dividends and other distributions from corporations, mutual funds, Exchange Traded Funds (ETF) (treated as mutual funds for tax purposes), Unit Investment Trusts (UIT) and Real Estate Investment Trusts (REIT) are reported in this section of your Tax Information Statement. Income derived from money market funds will also be reported in this section. You will receive a separate IRS Form 1099-DIV directly from a money market fund if we did not handle the processing of your funds for the entire year.

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 eFile with the IRS. eFile with the State. eFile with the State ID Number.
 eFile with the State ID Number.
 eFile with the State ID Number.

Recipient's Name and Address
CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN

Account Number EPP-01695
Recipient's Identification
Number ***-**-1056

2020
YOUR TAX INFORMATION STATEMENT
As of 02/05/2021
Mailed by 02/15/2021

IRS Form 1099-DIV—Dividends and Distributions (continued)

- Box 1a—Total Ordinary Dividends.** Ordinary dividends, which include any net short-term capital gains from a mutual fund, are fully taxable. Ordinary dividends paid by corporations, mutual funds and certain UITs are included in this section of your Tax Information Statement. Subtotals for U.S. and foreign source ordinary dividends are included at the end of this section. For mutual funds and UITs, foreign income includes any distribution that is comprised in whole or in part of foreign-sourced ordinary dividends. Report total ordinary dividends on IRS Form 1040. Also report them on IRS Form 1040 Schedule B (Interest and Ordinary Dividends), if required. See the instructions for IRS Form 1040, Schedule B (Interest and Ordinary Dividends) to determine if you are required to complete Schedule B to report your dividends.
- Box 1b—Qualified Dividends.** This shows the portion of the amount in Box 1a that may be eligible for reduced capital gains rates. See the Form 1040 instructions for how to determine this amount. Report the eligible amount as a dividend on IRS Form 1040.
- Box 2a—Total Capital Gain Distributions.** This shows total capital gain distributions (long-term) from a regulated investment company or REIT. Report the amounts shown in Box 2a on IRS Form 1040 Schedule D. But, if no amount is shown in Boxes 2c and 2d and you only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Box 2a of IRS Form 1040 Schedule 1 rather than Schedule D. See IRS Form 1040 instructions.
- Box 2b—Unrecaptured Section 1250 Gain.** This shows the portion of the amount in Box 2a that is an Unrecaptured Section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the instructions for Schedule D (IRS Form 1040).
- Box 2c—Section 1202 Gain.** This shows the portion of the amount in Box 2a that is a Section 1202 gain from certain small business stock that may be subject to 50% exclusion. See the Schedule D (IRS Form 1040) instructions.
- Box 2d—Collectibles (28%) Gain.** This shows the 28% rate gain from sales or exchanges of collectibles, if required, use this amount when completing the 28% Rate Gain Worksheet in the instructions for Schedule D (IRS Form 1040).
- Box 3—Nondividend Distributions.** This shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your investment. But if you have recovered all your cost (or other basis), report future nontaxable distributions as capital gains, even though this form shows them as nontaxable. See IRS Publication 550, Investment Income and Expenses (Including Capital and Losses).
- Box 4—Federal Income Tax Withheld.** Federal income tax withheld is 24% of dividends. See the Additional Information section of these instructions.
- Box 5—Section 199A Dividends.** This shows the portion of the amount in Box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 9995 and Form 9995-A.
- Box 6—Investment Expenses.** Your share of a UIT's or a WHFIT's expenses are reported in Box 6. These expenses are included in Box 1a.
- Box 7—Foreign Tax Paid.** This shows the foreign tax you may be able to claim as a deduction or a credit on IRS Form 1040. See the IRS Form 1040 instructions and the Foreign Tax Paid section of these instructions.
- Box 13—State.** Displays the two letter postal abbreviation of the state(s) for which state income taxes have been withheld.
- Box 14—State Identification No.** Displays Pershing LLC payer's state identification number(s).
- Box 15—State Income Tax Withheld.** Displays amount of state income taxes withheld.



Recipient's Name and Address
CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN

Account Number: EPP-01695
Recipient's Identification
Number: ***-**-1056

**2020
YOUR TAX INFORMATION STATEMENT
As of 02/05/2021
Mailed by 02/15/2021**

Tax Information Statement Instructions:

The Tax Information Statement provides a detailed summary of your account transactions during 2020. It includes information related to transactions we are required to report to the IRS, as well as information that we do not report. The instructions are provided to help you prepare your tax returns. For a more detailed explanation of your Tax Information Statement and to view the Tax Guide, please visit mytaxhandbook.com. If your account was transferred to our firm during 2020, your Tax Information Statement only includes your activity during the time you conducted business with our firm. Your former firm should provide you with IRS Form 1099 reporting for prior activity. These instructions have been tailored for use by taxpayers that are U.S. individuals who are investors for tax purposes.

Additional Information:

Recipient's Identification Number. For your protection, this form shows only the last four digits of your Social Security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN) or employer identification number (EIN). However, Pershing LLC will report your complete identification number to the IRS and, where applicable, to state or local governments.

Account Number. A unique number the payer assigned to distinguish your account.

FATCA Filing Requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the instructions to Form 8938. For 2020, this box has been intentionally left blank.

Electronic Delivery. The IRS allows for the electronic delivery of 1099 forms, and Pershing offers electronic delivery of this Tax Information Statement. If you would like to receive electronic delivery, speak to your advisor for more information.

Nominee Recipients. If your truncated Social Security number or Employer Identification Number is shown on your Tax Information Statement, and the statement includes amounts belonging to another person, you are considered a nominee recipient. Generally, you must file IRS Form 1099 for each of the other owners, showing the income allocable to each. File the applicable IRS Form 1099, along with IRS Form 1096 (Annual Summary and Transmittal of U.S. Information Returns), with the IRS center in your area. List yourself as the payer on IRS Form 1099 and as the filer on IRS Form 1096. List the other owner(s) as the recipient(s) on IRS Form 1099. You must provide a copy of each IRS Form 1099 you file to the other owner(s). Spouses are not required to file a nominee return to show amounts owned by their spouse.

Payer. The payer for all transactions on your Tax Information Statement is Pershing LLC (Taxpayer Identification Number 13-2741729). This name and Taxpayer Identification Number should be listed wherever the payer's name is requested on an IRS form with respect to amounts reported on your Tax Information Statement.

Corrections. Please review your Tax Information Statement. If it is incorrect, contact your advisor or financial organization. If necessary, we will promptly correct the information provided to the IRS and mail a Revised Tax Information Statement to you. After the initial mailing, revised statements will begin mailing in February and will be mailed at least weekly from March through the end of June. If an income correction is made to your account after issuing your tax statement and the correction is an increase or decrease of less than \$100 of income, we may not send you a revised tax statement. If you would like to receive revisions that are less than \$100, please contact your Investment Professional.

Federal Income Tax Withheld. Federal income tax withheld is 24% of interest, dividends and proceeds from broker and barter exchange transactions, and could be reported in any of these sections of your Tax Information Statement. Backup withholding applies when certain conditions exist. If this Tax Information Statement reflects backup withholding, you may need to provide a new IRS Form W-9 (Request for Taxpayer Identification Number and Certification). See IRS Form W-9 for information on backup withholding and how to furnish your Taxpayer Identification Number.

State and Local Tax Reporting. We are required to provide information to a number of state and local jurisdictions. We report information to California, Connecticut, Massachusetts, Minnesota, New York and Rhode Island concerning municipal bond interest income earned by state residents from bonds not issued by these states. We report certain 1099 information directly to Delaware, District of Columbia, Maine, Massachusetts, Mississippi, Montana, Oregon and Rhode Island. For 2020, we withheld, remitted and reported state income tax for California, Maine and Vermont according to the requirements of those states. We also provided tax information to Puerto Rico as required by the Puerto Rico taxing authority. Check with your tax professional for your specific state and local tax reporting requirements.

Cost Basis Adjustments. There are times when your cost basis reported from a broker will not match your calculations for reporting purposes. IRS Form 8949 allows you and the IRS to reconcile amounts that were reported to you and the IRS on IRS Form 1099-B with the amounts you report on your return.



Rental Receipt
Our Website's Printing Tax Statement
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Commission 73597 01/2021

Recipient's Name and Address

CHARLES J FLEISCHMANN
 BRENDA M FLEISCHMANN JTEN

Account Number: EPF-01695

Recipient's Identification

Number: -- -- -1056

2020**YOUR TAX INFORMATION STATEMENT****As of 02/05/2021****Mailed by 02/15/2021****Tax Information Statement Instructions (continued)**

Important Note Concerning Cost Basis. Your original cost basis for each security affects much of the reporting in this document. You should pay special attention to the basis of any item where we received the basis from you or a third party. If the original basis shown in this tax document is not correct, then the results of our calculations will likewise produce incorrect results. The IRS requires us to remind you that the taxpayer is ultimately responsible for the accuracy of your tax return.

Form 2439. If you held shares in a mutual fund or REIT that paid tax on undistributed long-term capital gains, we will issue IRS Form 2439 (Notice to Shareholder of Undistributed Long-Term Capital Gains) to you. This information is provided after the close of the fund's or the REIT's tax year and will be sent to you shortly after the information becomes available.

Foreign Tax Paid. Your Tax Information Statement may include foreign tax paid (withheld) from foreign source dividends and interest credited to your account during 2020. This information allows you to calculate and claim a foreign tax credit or deduction on your tax return, if you are eligible to do so. A foreign tax credit can be claimed either on IRS Form 1116 (Foreign Tax Credit) or, in some circumstances, by reporting it directly on IRS Form 1040, Schedule A (Itemized Deductions). See instructions for both IRS Form 1116 and IRS Form 1040 for additional information on foreign tax credits and deductions. Foreign tax paid is reported in the Form 1099-INT and Form 1099-DIV sections of your Tax Information Statement. A foreign tax credit may be claimed only if the tax was legally owed and not eligible for a refund.

IRS Publications. IRS publications are available through your local IRS office, by calling the IRS Forms Distribution Center at (800) TAX-FORM, or visiting the IRS website at irs.gov. For instance, IRS Publication 550 and IRS Publication 1212 provide useful tax information related to reporting securities transactions.

To receive more information regarding your Tax Information Statement and the Tax Guide, including illustrations on how to report your income, visit mytaxhandbook.com.

The instructions contained in this Tax Information Statement are not intended to provide tax advisory services. We suggest that you consult with your tax professional to discuss the appropriate federal, foreign, state and local tax treatment of your transactions. These instructions, as well as your Tax Information Statement, are solely intended to help you accumulate data to prepare your income tax return and should not be interpreted or relied upon as tax advice.

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 INVESTMENTS for GENERATIONS
 One North Brentwood Blvd., Suite 850
 Saint Louis, MO 63105 314-726-1600

December 31, 2020 - December 31, 2020
 SEP FBO CHARLES J FLEISCHMANN

Portfolio Holdings (continued)

	Current Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest
Total Portfolio Holdings	\$2,307.69	\$2,308.00	\$0.00	\$0.00

* Noncovered under the cost basis rules as defined below.

Generally, securities acquired before 2014, in retirement accounts or held by Non-U.S. entities are not subject to the cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended by the Emergency Economic Stabilization Act of 2008, and are marked as "noncovered". Securities marked as "covered", were identified as securities potentially subject to the cost basis reporting rules and may be reported to the IRS on form 1099-B for the applicable tax year in which the securities are disposed.

Note: In the event where we cannot easily determine the taxability of an account, we may mark the account as noncovered. However, if the account does not receive a 1099B, the cost basis will not be reported to the IRS.

3. Either all or a portion of the cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.
 5. Unrealized gains and losses are not reported for securities for which cost basis or market value is not available.

H. This security has been denoted as a hard-to-value asset. Hard-to-value assets for tax reporting purposes are generally defined as assets that are not readily tradable. The estimated values, where indicated of Alternative Investments, including limited partnerships, real estate investment trusts (REITs), direct participation programs (DPPs), hedge funds, fund of funds, private equity, real estate and managed future have been provided by the manager or the administrator of the Alternative Investment product. The values are not guaranteed, provided for informational purposes only and are intended to reflect an estimate of the interest in the Alternative Investment represented by the units or shares described above. Alternative Investment securities are not listed on a securities exchange, generally illiquid, and if you are able to sell the securities, the price received may be less than the per share estimate value provided in your account statement. Please note the estimated product values for Alternative Investments, which are provided by the manager or the administrator of the Alternative Investment product, may not reflect recent activity or current values and may not reflect an independent evaluation of the Alternative Investment product.

Where no value is indicated, please note that:

- Alternative Investment securities are generally illiquid
- The value of the security may be different from its purchase price
- Accurate valuation information is not available

Portfolio Holdings Disclosures

Pricing
 This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.
THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or

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 Corporation (BNY Mellon)
 Pershing LLC, member FINRA, NYSE, SIPC

Portfolio Holdings Disclosures (continued)

Estimated Annual Figures (continued)
guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.perishing.com/disclosures for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

Reinvestment
The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit Interest Income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Perishing acts as your agent and receives payment for order flow.

Option Disclosure
Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Exercising options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions
Perishing will execute foreign currency transactions as principal for your account. Perishing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Perishing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote
Securities not fully paid for in your margin account may be lent by Perishing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Messages

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your investment professional for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or quarterly.

PLEASE TAKE NOTE OF THE FOLLOWING CHANGES TO THE SWEEP OPTION USED IN YOUR ACCOUNT. The FDIC-insured bank deposit sweep product used in your account provides Federal Deposit Insurance Corporation ("FDIC") insurance on swept balances up to \$2.5 million and currently will accept unlimited amounts. However, in the event there is insufficient availability of Program Banks to fully allocate your balances, there is a potential that your balance in these products may not be fully insured up to \$2,500,000. In the event the Program Banks cannot accept any additional deposits, the sweep feature on your Account may be updated to prevent any further sweep deposits from your Account. If this occurs, an available cash balance in your Account would no longer be automatically invested and would remain a free credit balance in your Account.



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December 1, 2020 - December 31, 2020
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Messages (continued)

Beginning February 8, 2021, the features of the FDIC-Insured bank deposit sweep products, like the one used in your account, will be amended to automatically limit the balance to \$2,490,000 and any excess amount will automatically be invested in the secondary sweep option. If your Investment Professional or Introducing Firm has not selected a secondary sweep option, the default option - a Dreyfus Government money market mutual fund (Money Fund) - will be used. The default Money Fund will be either the Dreyfus Government Cash Management Service Shares Money Fund (DGMXX) or the Dreyfus Government Cash Management Investor Shares Money Fund (DGVXX). It's important to note there are differences between Money Funds and FDIC-Insured bank deposit sweep products. An investment in an FDIC-Insured bank deposit sweep product is protected by the Federal Deposit Insured Corporation ("FDIC") insurance and therefore not protected by Securities Investor Protection Corporation ("SIPC") coverage. An investment in a Money Fund is not insured or guaranteed by the FDIC or any other government agency but is protected by SIPC. Although Money Funds seek to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investment in a Money Fund. For additional information on any Money Fund, including investment objectives, risks, charges, and expenses, please consult the fund's prospectus. As a result of this risk to these FDIC-Insured bank deposit sweep products and the changes to the features of these products and the current low interest rate environment impacting the market, the Risks of the Program section was updated and two new sections were added to the disclosure documents for the FDIC-Insured bank deposit sweep products, including the one used in your account. The update and the two new sections -- Secondary Sweep Option and Negative Interest Rates - are available online at www.pershing.com/rates. If you have any questions or would prefer to use another secondary sweep option, please contact your Investment Professional or Introducing Firm.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC). WITH REGARD TO PRODUCTS THAT MAY BE AVAILABLE AS SWEEP OPTIONS, YOU MAY VIEW CURRENT YIELDS ON CERTAIN MONEY MARKET FUNDS AND FDIC-INSURED BANK DEPOSIT SWEEP PRODUCTS AS WELL AS CURRENT BANK LISTS BY VISITING WWW.PERSHING.COM/RATES. PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE SWEEP OPTIONS LISTED ON THE PERSHING WEBSITE.

eDelivery

Going digital is more important than ever before, and the benefits of electronic delivery--speed, convenience and safety--are clear. We offer eDelivery for account statements, prospectus documents, proxy or shareholder communications, tax documents, trade confirmations and more. Historical account data is available from the day of initial sign-up. To enroll, log in to your brokerage account, select "Go Paperless" at the top of any page and follow the on-screen prompts for setup. Enrollment can be activated as quickly as the day you register, and you will be notified when documents are available in an online, password-protected portal.

Contact your financial professional if you have any questions about any of our efficient digital tools.

Elimination of Paper Invoices for Annual Retirement Account Maintenance Fees

Going digital is more important than ever before, and the benefits of electronic delivery (eDelivery)- speed, convenience and safety- are clear. If you currently have a retirement account that is subject to an annual maintenance fee, beginning in April, Pershing will discontinue the physical mailing of your annual maintenance fee invoice. These invoices will



Messages (continued)

continue to be posted online and will contain details on your payment options so you may select the method that is most convenient for you. You can access them by logging into your account and selecting Documents, then viewing under Account Notifications. If you are already enrolled for eDelivery, no action is required - you will continue to receive your notification when this document is available.

If you would like to receive email notifications when this document is posted online and you are not yet enrolled for eDelivery, log in to your brokerage account, select "Go Paperless" at the top of any page and follow the on-screen prompts for setup. Enrollment can be activated as quickly as the day you register, and you will be notified when documents are available in an online, password-protected portal.

Contact your investment professional if you have any questions about any of our efficient digital tools.

Please see the following important information from Benjamin F. Edwards. This information is contained in our Firm's Regulation Best Interest Disclosure. You may request an additional copy of this disclosure at any time by contacting your financial advisor or by viewing it on-line at www.benjaminfredwards.com/regulationbestinterest.

Cash and Non-cash Compensation by the Firm Based on Asset and Sales Volume

Financial advisors receive cash and non-cash compensation for various reasons including those tied to assets under management and sales volume. Cash compensation includes payments in the form of forgivable loans, backend bonuses and deferred compensation arrangements. Non-cash compensation includes (a) the allotment of restricted shares of BFE company stock, (b) sales title awards based on a graduated tiers of gross commission; (c) recognition programs with awards such as gifts from the Firm, inclusion in the firm's annual recognition trips and executive outings; (d) Firm financial support toward a sales assistant; (e) a firm-funded marketing budget.

The compensation structure for cash and non-cash compensation provided by the Firm is based on a number of goals achieved over the course of the previous one or more calendar years. These goals, all of which are investment neutral, include annual gross production, assets gathered, assets retained, percentage increases in gross commission over the prior year or two years and, for branch managers, branch profitability. Cash and non-cash compensation provided by the Firm incentivizes your financial advisor to maximize sales in your account(s) as well as to engage as many new clients as possible and encourage you to invest more of your assets through your account(s) at our Firm carried by your financial advisor. This conflict is especially acute as your financial advisor maintains and/or approaches each successive cash and/or non-cash compensation incentive level.

Important Information and Disclosures

The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or actively should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.

Account Number: EBR-289133

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One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105
314-726-1600

December 1, 2020 - December 31, 2020
SEP FBO CHARLES J FLEISCHMANN

Important Information and Disclosures (continued)

The Role of Pershing (continued)

- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability.
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330. Errors and Omissions excepted.

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding: a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (i) the class certification is denied; (ii) the class is decertified; or (iii) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.

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TERMS AND CONDITIONS

TRANSACTIONS

- ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
- TITLE TO SECURITIES SOLD TO YOU WHERE PERSHING HAS ACTED AS PRINCIPAL SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
- YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.
- **FREE CREDIT BALANCES:** ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCREDITED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.

DEBIT BALANCES: INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.

MARGIN INFORMATION: IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.

TAX INFORMATION

- AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU; USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
- DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION.
- PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE. IF GIVEN, INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.

GENERAL INFORMATION

- WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS, ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
- WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF.

WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW.

- PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR. CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
- IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH. DETAILS AVAILABLE UPON REQUEST.

- A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT WWW.PERSHING.COM.
- FOR BUSINESS CONTINUITY AND ADDITIONAL DISCLOSURES: WWW.PERSHING.COM/DISCLUSURES.
- THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICY DISCLOSURES (REGULATION NMS - RULE 607 (A) (1) - (2))

PERSHING SENDS EQUITY AND OPTION ORDERS TO EXCHANGES, OR BROKER-DEALERS AS MARKET MAKERS OR AUTOMATED TRADING SYSTEMS (ATSS). CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. COMPENSATION IS GENERALLY IN THE FORM OF A PER SHARE OR PER OPTION CONTRACT CASH PAYMENT. IN ADDITION, PERSHING ROUTES CERTAIN EQUITY TO ITS AFFILIATE, BNY MELLON CAPITAL MARKETS, LLC, FOR EXECUTION AS PRINCIPAL.

BEST EXECUTION: NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO). IN CERTAIN SECURITIES THAT ARE NOT ELECTRONICALLY QUOTED, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS, AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT.



Attachment 4



BENJAMIN F. EDWARDS & CO.
 INVESTMENT'S for GENERATIONS
 One North Brentwood Blvd., Suite 800
 Smt Louis, MO 63105 314-726-1900

**2020 TAX and
 YEAR-END STATEMENT**
 As of 03/05/2021
 Mailed by 03/15/2021

Recipient's Name and Address:

0084940 03 NP 1 270 03 TF 00322 588B1F15

CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN
 8714 RAMBLING ROSE DR
 COLTEWAH TN 37363-7118

Your Financial Advisor Is:

WARD PETTY
 1101 BROAD STREET, SUITE 105
 CHATTANOOGA TN 37402-2943
 (423) 668-5411
 ID: 090



Account Holder Information:
 Account Number: ETF-008005

Important Information:

The following information may be included in this tax statement based on the Investments and activity in your account.

Reportable Information: IRS Forms 1099-B, 1099-DIV, 1099-INT, 1099-MISC, 1099-OID

Cost Basis Service: Realized gains and losses may be displayed on your Investments.

Non-Reportable Information: Non-reportable Information may be provided based on the activity within your account. This additional information is provided to assist you with your tax preparation but it is not reported to the IRS.

What's New:

Regulatory Changes

- IRS Form 1099-MISC has been redesigned. As a result, box numbers have been rearranged.

Statement Enhancements

- We enhanced the Summary Page, 1099-OLD Transaction Details, Revision Summary Information for Royalty Trusts and W-9175, and have added dollar signs for clarity.

Mail Dates: You and members of your household may receive tax statements at different times based on the activity and/or holdings within your account. If final tax information is pending from an issuer or pending processing when tax statements are prepared, impacted tax statements will be held until the information is complete. Tax statement mailings are scheduled to occur in four phases this year: January 31, February 15, February 28 and March 15.

Go Digital with e-Delivery: Our e-delivery solution provides access to your account information electronically - statements, trade confirmations, account notifications, shareholder communications and tax documents. Benefits of e-delivery include:

- Faster access to account information and documents
- Increased security and safety of personal information
- Less mail and paper to manage

Electronic Delivery: Your electronic delivery selections for account communications are:
 (X) = Not Enrolled (✓) = Enrolled



Recipient's Name and Address
CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN

Account Number: EIT-005005

Recipient's Identification
Number: ***-**-1056

2020 TAX and
YEAR-END STATEMENT
As of 03/05/2021
Mailed by 03/15/2021

General Information:

(continued)

- | | | |
|------------------------------------------------------------|---------------------------------------------------------|----------------------------------------------------------------------|
| <input checked="" type="checkbox"/> Statements and Reports | <input checked="" type="checkbox"/> Trade Confirmations | <input checked="" type="checkbox"/> Tax Documents |
| <input checked="" type="checkbox"/> Notifications | <input checked="" type="checkbox"/> Prospectus | <input checked="" type="checkbox"/> Proxy/Shareholder Communications |

Please log in to your account or contact your Account Executive to make any changes to your electronic delivery preferences.

This Tax Information May Be Revised: You may receive a corrected tax statement. Some issuers provide new information about their securities after we prepare and mail your tax statement. If your tax information needs to be updated, we may be required by the IRS to send you a corrected 1099. Please plan your tax preparation accordingly.

Visit www.irs.gov for IRS Forms, Publications and other resources or you may call (800) 829-1040 (Individuals) or (800) 829-4933 (Businesses).

Visit www.mytaxhandbook.com for information and a reference guide to this 1099 tax statement.

Client Service Information

Contact Information

Telephone Numbers (423) 668-5411
Fax Numbers (423) 668-5412



BENJAMIN F. EDWARDS & CO.
 INVESTMENTS for GENERATIONS
 One North Brentwood Blvd, Suite 890
 St. Louis, MO 63105 314-728-1800

Account Number: ETF-005005

**2020 TAX and
 YEAR-END STATEMENT**
 As of 03/05/2021
 Mailed by 03/15/2021

Recipient's Name and Address

CHARLES J FLEISCHMANN
 BRENDA M FLEISCHMANN JT TEN
 8714 RAMBLING ROSE DR
 COLTEWAH TN 37363-7118

Your Financial Advisor is

WARD PETTY
 1101 BROAD STREET, SUITE R5
 CHATTANOOGA TN 37402-2943
 (423) 669-5411
 ID: C90

This tax statement may include the following IRS forms: 1099-B, 1099-INT, 1099-DIV, 1099-MISC and 1099-OID. Only the forms that pertain to the activity in this account are included in this tax statement. Please retain this document for tax preparation purposes.

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1099 Form or Tax Statement Section	Page	1099 Form or Tax Statement Section	Page
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1099-INT Form: Interest Income	1	Long-Term Covered Transactions	6
1099-DIV Form: Dividends and Distributions	1	Long-Term Recouped Transactions	8
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1099-B Form: Proceeds From Broker and Barter Exchange Transactions	2	Widely Held Mortgage Trusts and Widely Held Fixed Investment Trusts	21



Robo-Advisor
 BNY Mellon's Leading Tax Statement
 DELIVERY PLATFORM COMMUNICATIONS
 PARTNERSHIP

Created through Pershing LLC, a wholly owned
 subsidiary of The Bank of New York Mellon
 Corporation (BNY Mellon)



Recipient's Name and Address

CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN

Page's Information PERSHING LLC
ONE PERSHING PLAZA
JERSEY CITY, NJ 07399

Page's Federal Identification Number B-2741729

Account Number EIT-005005
Recipient's Identification Number ***-**-1056

2020 TAX and
YEAR-END STATEMENT
As of 03/05/2021
Mailed by 03/15/2021

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Summary of Form 1099-B

Details are reported to the IRS. Refer to the 1099-B section of this statement for these details.

	Amount
Gross Proceeds (Covered and Noncovered Transactions)	\$133,498.13
Cost or Other Basis (Covered Transactions Only)	\$130,660.55
Federal Tax Withheld - Proceeds	\$0.00
State Tax Withheld - Proceeds	\$0.00
Regulated Futures and Foreign Currency Forward Contracts Profit or (Loss) Realized in 2020	\$0.00
Unrealized Profit or (Loss) on Open Contracts - 12/31/2019	\$0.00
Unrealized Profit or (Loss) on Open Contracts - 12/31/2020	\$0.00
Aggregate Profit or (Loss)	\$0.00
Fed. Tax Withheld - Reg. Futures and Foreign Curr. Forward Contracts	\$0.00
State Tax Withheld - Reg. Futures and Foreign Curr. Forward Contracts	\$0.00

2020 Reg. Div. Interest Income OMB No. 1545-0112

Box	Description	Amount
1	Interest Income	\$0.00
3	Interest on U.S. Savings Bonds & Treasury Obligations	\$0.00
4	Federal Income Tax Withheld	\$0.00
5	Investment Expenses	\$0.00
6	Foreign Tax Paid	\$0.00
8	Tax-Exempt Interest	\$0.00
9	Specified Private Activity Bond Interest (Included in Box 8)	\$0.00
10	Market Discount (Covered Transactions Only)	\$0.00
11	Bond Premium (Covered Transactions Only)	\$0.00
12	Bond Premium on Treasury Obligations (Covered Transactions Only)	\$0.00
13	Bond Premium on Tax-Exempt Bond (Covered Transactions Only)	\$0.00
14	Tax-Exempt and Tax Credit Bond CUSIP Number	Various
K/16/17	State/State ID# /State Tax Withheld	
	FATCA Filing Requirement	

2020 Reg. Div. Dividends and Distributions OMB No. 1545-0110

Box	Description	Amount
b	Total Ordinary Dividends	\$2,010.92
b	Qualified Dividends (Included in Box b)	\$1,221.36
2a	Total Capital Gain Distributions	\$0.00
2b	Unrecaptured Section 1250 Gain (Included in Box 2a)	\$0.00
2c	Section 1202 Gain (Included in Box 2a)	\$0.00
2d	Collectibles (28%) Gain (Included in Box 2a)	\$0.00
3	Nondividend Distributions	\$108.62
4	Federal Income Tax Withheld	\$0.00
5	Section 89A Dividends (Included in Box b)	\$179.53
6	Investment Expenses	\$0.00
7	Foreign Tax Paid	\$17.36
9	Cash Liquidation Distributions	\$0.00
10	Noncash Liquidation Distributions	\$0.00
11	Exempt Interest Dividends	\$0.00
12	Specified Private Activity Bond Interest Dividends (Included in Box 10)	\$0.00
E/A/F	State/State ID# /State Tax Withheld	
	FATCA Filing Requirement	

Summary of Form 1099-OID

Details are reported to the IRS. Refer to the 1099-OID section of this statement for these details.

Box	Description	Amount
	Original Issue Discount (Non-U.S. Treasury Obligations)	\$0.00
	Other Periodic Interest	\$0.00
	Market Discount (Covered Transactions Only)	\$0.00
	Acquisition Premium (Covered Transactions Only)	\$0.00
	Original Issue Discount on U.S. Treasury Obligations	\$0.00
	Investment Expenses	\$0.00
	Bond Premium (Covered Transactions Only)	\$0.00
	Tax-Exempt OID (Covered Transactions Only)	\$0.00
	Tax-Exempt OID Specified Private Activity Bonds (Covered Transactions Only)	\$0.00
	Federal Income Tax Withheld	\$0.00
	State Tax Withheld	



Send Payment
Direct Deposit
Direct Deposit
Direct Deposit

Check through Pershing LLC is a fully owned subsidiary of The Bank of New York Mellon Corporation (BNY Mellon)



Recipient's Name and Address
CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN

Account Number: **ETF-005005**
 Recipient's Identification Number: ***-**-4056

2020 TAX and YEAR-END STATEMENT
 As of 03/05/2021
 Mailed by 03/15/2021

2020 Form 1099-B **Proceeds From Broker and Barter Exchange Transactions** **OMB No. 1545-0718**
 (Report details on Form 8949 or Schedule D)

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Disposition Transaction	Quantity (Box 1e)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1f)	Cost or Other Basis (Box 1g)	Capital Gain or Loss (Box 1h)	Realized Gain or Loss
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Short-Term Transactions for Which Basis is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.
Covered (Box 12)

Description (Box 1a): INVESCO EXCHANGE-TRADED FD TR S&P 500 QU ALITY ETF							
SELL	5	10/17/2019	01/23/2020	187.61	168.84	18.77	
SELL	114	10/17/2019	07/23/2020	4,243.70	3,849.61	394.09	
SELL	73	04/01/2020	07/23/2020	2,717.45	2,120.20	597.25	
SALE DATE TOTAL	187	VARIOUS	07/23/2020	6,961.15	5,969.81	991.34	
SECURITY TOTAL				7,148.76	6,138.65	1,010.11	

Description (Box 1a): ISHARES SILVER TR IS HARES							
SELL	121	07/23/2020	10/22/2020	2,764.71	2,566.54	198.17	
Description (Box 1a): ISHARES TR IBOXX USD INT GRADE CORP BD ETF							
SELL	20	04/01/2020	07/23/2020	2,765.10	2,437.01	328.09	

Description (Box 1a): ISHARES TR S&P 500 V ALLIE ETF							
SELL	1	07/19/2019	04/01/2020	91.89	118.48	(26.59)	
Description (Box 1a): ISHARES TR BARCLAYS 20+ YR TREAS BD ETF							
SELL	19	04/18/2019	01/23/2020	2,883.77	2,334.99	348.78	
SELL	31	04/18/2019	04/01/2020	5,224.84	3,809.71	1,415.13	
SELL	32	10/17/2019	04/01/2020	5,393.39	4,484.47	908.92	
SALE DATE TOTAL	63	VARIOUS	04/01/2020	10,618.23	8,294.18	2,324.05	
SELL	26	10/17/2019	07/23/2020	4,390.42	3,643.64	746.78	
SECURITY TOTAL				17,692.42	14,272.81	3,419.61	

Investor's Name and Address
CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN

Account Number **ETF-009005**
 Recipient's Identification Number: **-*-*1056

2020 TAX and YEAR-END STATEMENT
 As of 03/05/2021
 Mailed by 03/15/2021

2020 Form 1099-B Proceeds From Broker and Barter Exchange Transactions
 (Report details on Form 8949 or Schedule D) **OMB No. 1545-0715 (continued)**

Disposition Transaction	Quantity (Box 1b)	Date Acquired (Box 1d)	Date Sold or Disposed (Box 1e)	Proceeds (Box 1f)	Cost or Other Basis (Box 1g)	D-Block Discount (D) Gain/loss (Box 1h)	Revised Gain or Loss
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Short-Term Transactions for Which Basis is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.
 Covered (Box 1z) (continued)

Description (Box 1a): ISHARES TR SGP MIDCA P 400 GROWTH ETF							
SELL	13	07/19/2019	04/01/2020	2,192.06	2,960.19	CUSIP: 464287605	(768.13)
Description (Box 1a): ISHARES TR SGP MIDCA P 400 VALUE ETF							
SELL	23	07/19/2019	04/01/2020	2,362.56	3,677.93	CUSIP: 464287705	(1,315.37)

Description (Box 1a): ISHARES TR LONG-TERM CORP BD ETF							
SELL	2	04/18/2019	04/01/2020	123.40	119.59	CUSIP: 464289511	3.81
SELL	14	01/23/2020	04/01/2020	863.80	958.73		(94.93)
SALE DATE TOTAL	16	VARIOUS	04/01/2020	987.20	1,078.32		(91.12)
SELL	61	01/23/2020	07/23/2020	4,485.37	4,177.30		308.07
SECURITY TOTAL				5,472.57	5,255.62		216.95

Description (Box 1a): ISHARES IBONDS DEC 2 023 TERM CORPORATE E TF							
SELL	3	04/18/2019	01/23/2020	77.02	74.64	CUSIP: 46434VAV8	2.38
Description (Box 1a): ISHARES TR IBONDS DE C 2022 TERM CORPORAT E TF							
SELL	47	04/01/2020	07/23/2020	1,205.58	1,160.44	CUSIP: 46434VBA7	45.14
Description (Box 1a): ISHARES IBONDS DEC 2 025 CORPORATE ETF							
SELL	3	04/18/2019	04/01/2020	74.22	74.31	CUSIP: 46434VB01	(0.09)

Description (Box 1a): ISHARES IBONDS DEC 2 024 TERM CORPORATE E TF							
SELL	95	01/23/2020	04/01/2020	2,319.94	2,457.29	CUSIP: 46434VBC4	(137.35)
Description (Box 1a): ISHARES TR IBONDS DE C 2021 TERM CORPORAT E ETF FD							
SELL	47	04/01/2020	07/23/2020	1,180.34	1,156.47	CUSIP: 46434VB15	23.87

Sec 8 (ETF 1720)

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Global Securities
 RNY Mellon Investing For Statement
 DALLAS LISTED COMMUNICATIONS
 PARTNERSHIP

Created through Pershing LLC, a wholly owned subsidiary of The Bank of New York Mellon Corporation (BNY Mellon)



Recipient's Name and Address
 CHARLES J FLEISCHMANN
 BRENDA M FLEISCHMANN JT TEN

Account Number: ETF-005005
 Recipient's Identification Number: ***-**-1056

2020 TAX and
 YEAR-END STATEMENT
 As of 03/05/2021
 Mailed by 03/15/2021

2020 Form 1099-B
 Proceeds From Broker and Barter Exchange Transactions
 (Report details on Form 8949 or Schedule D)
 OMB No. 1545-0715 (continued)

Disposition Transaction	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	D-Adjusted Discount (D) Dis-Question Premium (D) Wash Sale Loss (D)	Realized Gain or (Loss)
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Short-Term Transactions for Which Basis is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.
 Covered (Box 12) (continued)

Description (Box 1a): ISHARES TR BONDSD DE C 2026 TERM CORP ETF							
SELL	95	01/23/2020	04/01/2020	2,295.21	2,447.48		(152.27)
Description (Box 1a): ISHARES TR BONDSD DE C 2027 TERM CORPORAT E ETF							
SELL	3	04/18/2019	04/01/2020	72.60	73.13		(0.53)
Description (Box 1a): ISHARES TR BONDSD DE C 2028 TERM CORPORAT E ETF							
SELL	4	01/23/2020	04/01/2020	103.36	112.50		(9.14)
Description (Box 1a): ISHARES TR BONDSD DE C 2029 TERM CORPORAT E ETF							
SELL	95	01/23/2020	04/01/2020	2,261.00	2,462.40		(201.40)
Description (Box 1a): SPDR SER TR S&P 600 SMALL CAP GROWTH ETF							
SELL	3	04/01/2020	10/22/2020	188.82	129.46		59.36
Description (Box 1a): SPDR SER TR S&P 600 SMALL CAP VALUE ETF							
SELL	4	04/01/2020	10/22/2020	218.24	152.82		65.42
Description (Box 1a): SPDR SER TR S&P 500 GROWTH ETF							
SELL	34	04/01/2020	07/23/2020	1,623.72	1,169.30		454.42
SELL	9	04/01/2020	10/22/2020	458.29	309.52		148.77
SECURITY TOTAL							
				2,082.01	1,478.82		603.19
Description (Box 1a): SPDR SER TR PORTFOLIO INTER TERM TREAS ETF							
SELL	206	10/17/2019	01/23/2020	6,436.90	6,429.49		7.41
Description (Box 1a): SPDR SER TR S&P 400 MID CAP GROWTH ETF							
SELL	20	04/01/2020	07/23/2020	1,140.44	811.80		328.64
SELL	108	04/01/2020	10/22/2020	6,543.73	4,383.71		2,160.02

Recipient's Name and Address
CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN

Account Number: **ETP-005005**
 Recipient's Identification Number: **...-1056**

2020 TAX and YEAR-END STATEMENT
 As of 03/05/2021
 Mailed by 03/15/2021

2020 Form 1099-B **Proceeds From Broker and Barter Exchange Transactions**
 (Report details on Form 8949 or Schedule D) **OMB No. 1545-0715 (continued)**

Disposition Transaction	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Disputed Proceed (or Disputed Sales Loss) (Box 1f)	Realized Gain or Loss
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Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.
 Covered (Box 1Z) (continued)

Description (Box 1a): SPDR SER TR S&P 400 MID CAP GROWTH ETF
 CUSIP: 78464A839 (continued)
 SECURITY TOTAL 7,684.17 5,195.51 2,488.66

Description (Box 1a): SPDR SER TR S&P 400 MID CAP VALUE ETF
 CUSIP: 78464A839
 SELL 134 04/01/2020 10/22/2020 6,296.66 4,458.18 1,838.48

Description (Box 1a): SELECT SECTOR SPDR T R HEALTH CARE
 CUSIP: 81869Y209
 SELL 2 10/17/2019 01/23/2020 207.67 184.53 23.14
 SELL 33 10/17/2019 04/07/2020 2,816.10 3,044.82 (228.72)
 SECURITY TOTAL 3,023.77 3,229.35 (205.58)

Description (Box 1a): SELECT SECTOR SPDR T R CONSUMER STAPLES
 CUSIP: 81869Y308
 SELL 53 10/17/2019 01/23/2020 3,380.97 3,220.13 160.84

Description (Box 1a): SELECT SECTOR SPDR T R CONSUMER DISCRETIONARY
 CUSIP: 81869Y407
 SELL 3 04/01/2020 07/23/2020 410.95 283.44 127.51
 SELL 1 04/01/2020 10/22/2020 150.86 94.48 56.38
 SECURITY TOTAL 561.81 377.92 183.89

Description (Box 1a): SELECT SECTOR SPDR T R TECHNOLOGY
 CUSIP: 81869Y803
 SELL 2 04/18/2019 01/23/2020 194.86 155.23 39.63
 SELL 1 04/01/2020 10/22/2020 117.75 78.04 39.71
 SELL 6 07/23/2020 10/22/2020 706.50 655.15 51.35
 SALE DATE TOTAL 7 824.25 733.19 91.06
 SECURITY TOTAL 1,019.11 888.42 130.69

Seq# (ETP 17324)

Recipient's Name and Address
CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN

Account Number: ETT-005005
 Recipient's Identification Number: ***-**-1056

2020 TAX and YEAR-END STATEMENT
 As of 03/05/2021
 Mailed by 03/15/2021

2020 Form 1099-B Proceeds From Broker and Barter Exchange Transactions
 (Report details on Form 8949 or Schedule D) **OMB No. 1545-0715 (continued)**

Disposition Transaction	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	D-Barter Discount (B) G-Option Premium W-8 Basis Sub Less (Cp)	Realized Gain or (Loss)
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Short-Term Transactions for Which Basis is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.
 Covered (Box 12) (continued)

Description (Box 1b): SELECT SECTOR SPDR TR COMMUNICATION SVCS SELECT SECTOR SPDR							
SELL	4	01/23/2020	07/23/2020	231.90	225.55		6.35
SELL	57	01/23/2020	10/22/2020	3,479.39	3,214.11		265.28
SELL	6	04/01/2020	10/22/2020	366.25	256.49		109.76
SALE DATE TOTAL	63	VARIOUS	10/22/2020	3,845.64	3,470.60		375.04
SECURITY TOTAL				4,077.54	3,696.15		381.39
Short-Term Covered Total				\$85,049.34	\$76,702.14		\$8,347.20

Long-Term Transactions for Which Basis is Reported to the IRS - Report on Form 8949, Part II, with Box D checked.
 Covered (Box 12)

Description (Box 1a): ISHARES GOLD TR ISHARES							
SELL	124	04/19/2018	04/01/2020	1,886.53	1,593.11		293.42
Description (Box 1a): ISHARES TR S&P 500 G ROWTH ETF							
SELL	3	01/25/2018	01/23/2020	607.39	490.92		116.47
SELL	55	01/25/2018	04/01/2020	8,704.57	9,000.11		(295.54)
SELL	6	04/19/2018	04/01/2020	949.59	950.26		(0.67)
SALE DATE TOTAL	61	VARIOUS	04/01/2020	9,654.16	9,950.37		(296.21)
SECURITY TOTAL				10,261.55	10,441.29		(179.74)

Description (Box 1a): ISHARES TR S&P 500 V ALUE ETF							
SELL	3	01/25/2018	01/23/2020	392.28	360.22		32.06
SELL	85	01/25/2018	04/01/2020	7,810.57	10,206.17		(2,395.60)
SELL	7	04/19/2018	04/01/2020	643.23	776.98		(133.75)

Recipient's Name and Address
CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN

Account Number **ETF-005005**
 Recipient's Identification Number: ***-**-1056

2020 TAX and YEAR-END STATEMENT
 As of 03/05/2021
 Mailed by 03/15/2021

2020 Form 1099-B Proceeds From Broker and Barter Exchange Transactions
 (Report details on Form 8949 or Schedule D) **OMB No. 1545-0045 (continued)**

Disposition Transaction	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	D-Itemized Discount (f), G-Option Premium, W-Other Sale Loss (f)	Realized Gain or (Loss)
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Long-Term Transactions for Which Basis is Reported to the IRS - Report on Form 8949, Part II, with Box D checked.
 Covered (Box 12) (continued)

Description (Box 1a): ISHARES TR S&P 500 V ALUE ETF							
SELL	1	07/20/2018	04/01/2020	91.88	112.48		(20.60)
SELL	37	01/17/2019	04/01/2020	3,399.90	3,922.74		(522.84)
SALE DATE TOTAL	130	VARIOUS	04/01/2020	11,945.58	15,018.37		(3,072.79)
SECURITY TOTAL				12,337.86	15,378.59		(3,040.73)
Description (Box 1a): ISHARES TR S&P MIDCA P 400 GROWTH ETF							
SELL	1	01/17/2019	01/23/2020	242.04	202.82		39.22
SELL	27	01/17/2019	04/01/2020	4,552.75	5,476.07		(923.32)
SECURITY TOTAL				4,794.79	5,678.89		(884.10)
Description (Box 1a): ISHARES TR S&P MIDCA P 400 VALUE ETF							
SELL	1	10/18/2018	01/23/2020	170.16	157.87		12.29
SELL	1	10/18/2018	04/01/2020	102.72	157.87		(55.15)
SELL	62	01/17/2019	04/01/2020	6,368.64	9,322.93		(2,954.29)
SALE DATE TOTAL	63	VARIOUS	04/01/2020	6,471.36	9,480.80		(3,009.44)
SECURITY TOTAL				6,441.52	9,438.67		(2,997.15)
Description (Box 1a): ISHARES TR LONG-TERM CORP BD ETF							
SELL	54	10/18/2018	04/01/2020	3,331.82	3,057.93		273.89
Description (Box 1a): ISHARES IBONDS DEC 2 023 TERM CORPORATE E TF							
SELL	64	01/25/2018	01/23/2020	1,643.06	1,594.87		48.19
Description (Box 1a): ISHARES IBONDS DEC 2 025 CORPORATE ETF							
SELL	65	01/25/2018	04/01/2020	1,608.11	1,614.58		(6.47)

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Recipient's Name and Address
 CHARLES J FLEISCHMANN
 BRENDA M FLEISCHMANN JT TEN

Account Number: ETT-005005
 Recipient's Identification Number: ***-**-1056

2020 TAX and
 YEAR-END STATEMENT
 As of 03/05/2021
 Mailed by 03/15/2021

2020 Form 1099-B
 Proceeds From Broker and Barter Exchange Transactions
 (Report details on Form 8949 or Schedule D)
 OMB No. 1545-0715 (continued)

Disposition Transaction	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	D-Market Discount (D) O-Option Premium W- Wash Sale Loss (W)	Realized Gain or (Loss)
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Long-Term Transactions for Which Basis is Reported to the IRS - Report on Form 8949, Part II, with Box D checked.
 Covered (Box 1z) (continued)

Description (Box 1a): ISHARES TR IBONDS DE C 2027 TERM CORPORAT E ETF							
SELL	63	01/25/2018	04/01/2020	1,524.60	1,557.99		(33.39)
SELL	3	07/20/2018	04/01/2020	72.60	71.04		1.56
SALE DATE TOTAL	66	VARIOUS	04/01/2020	1,597.20	1,629.03		(31.83)
Description (Box 1a): ISHARES TR IBONDS DE C 2028 TERM CORPORAT E ETF							
SELL	62	10/18/2018	04/01/2020	1,602.08	1,546.28		55.80
Description (Box 1a): SELECT SECTOR SPDR TR TECHNOLOGY							
SELL	23	04/18/2019	10/22/2020	2,708.26	1,785.17		923.09
Long-Term Covered Total							
				\$48,412.78	\$53,958.41		(\$5,545.63)
Covered Total							
				\$133,462.12	\$130,660.55		\$2,801.57

Long-Term Transactions for Which Basis is Not Reported to the IRS - Report on Form 8949, Part II, with Box E checked.

Description (Box 1a): ISHARES SILVER TR IS HARES							
TRUST SALE		07/31/2020	07/31/2020	0.89	0.83		0.06
TRUST SALE		08/31/2020	08/31/2020	1.27	1.00		0.27
TRUST SALE		09/30/2020	09/30/2020	1.22	1.17		0.05
SECURITY TOTAL				3.38	3.00		0.38
Description (Box 1a): ISHARES GOLD TR ISHARES							
TRUST SALE		01/31/2020	01/31/2020	2.54	2.33		0.21
TRUST SALE		02/29/2020	02/29/2020	2.45	2.21		0.24
TRUST SALE		03/31/2020	03/31/2020	2.60	2.39		0.21
SECURITY TOTAL							
				7.59	7.93		(0.34)

Recipient's Name and Address
CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN

Account Number **ETP-009005**
 Recipient's Identification Number: ***-**-1056

**2020 TAX and
 YEAR-END STATEMENT**
 As of 03/05/2021
 Mailed by 03/15/2021

2020 Form 1099-B

Proceeds From Broker and Barter Exchange Transactions
 (Report details on Form 9949 or Schedule D)

OMB No. 1545-0045 (continued)

Disposition Transaction	Quantity (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Deferred Discount (B) On-Delivery Premium Withheld Sale Loss (Y)	Realized Gain or (Loss)
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Long-Term Transactions for Which Basis is Not Reported to the IRS - Report on Form 9949, Part II, with Box E checked.

Noncovered (Box 1a): ISHARES GOLD TR ISHARES

CUSIP: 464285105 (continued)

TRUST SALE		04/30/2020	04/30/2020	2.20	1.91		0.29
TRUST SALE		05/31/2020	05/31/2020	2.37	2.00		0.37
TRUST SALE		06/30/2020	06/30/2020	2.32	1.92		0.40
TRUST SALE		07/31/2020	07/31/2020	2.56	1.92		0.64
TRUST SALE		08/31/2020	08/31/2020	2.85	2.13		0.72
TRUST SALE		09/30/2020	09/30/2020	2.71	2.10		0.61
TRUST SALE		10/31/2020	10/31/2020	3.41	2.81		0.60
TRUST SALE		11/30/2020	11/30/2020	3.28	2.81		0.47
TRUST SALE		12/31/2020	12/31/2020	3.34	2.67		0.67
SECURITY TOTAL				32.63	27.20		5.43

Long-Term Noncovered Total

\$36.01

\$30.20

\$5.81

Noncovered Total

\$36.01

\$30.20

\$5.81

Total

\$133,498.13

\$130,490.75

\$2,807.38

TAX LOT DEFAULT DISPOSITION METHOD:

Default Method for all Other Securities: FIRST IN FIRST OUT

Default Method for Mutual Funds: FIRST IN FIRST OUT

Default Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT

034540 X58B1F15105 090187

See P. 6E17 172240

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Recipient's Name and Address

CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN

Account Number: EIT-005005

Recipient's Identification
Number: ***-**-1056

**2020 TAX and
YEAR-END STATEMENT**
As of 03/05/2021
Mailed by 03/15/2021

IRS Form 1099-B—Proceeds from Broker and Barter Exchange Transactions

The amounts in this section of your Tax Information Statement reflect proceeds from securities transactions such as sales, redemptions, tender offers, return of principal distributions, covered options, the option premium portion of reverse convertibles and bond maturities. Short-term and long-term transactions are segregated in your 1099-B form in a format comparable to IRS Form 8949 for dispositions of covered and noncovered securities. Since your financial organization subscribes to our Premium Tax and Year-End Statement, the date of acquisition, cost or other basis, type of gain or loss (short-term or long-term), whether any loss is disallowed due to a wash sale and market discount for both covered and noncovered securities transactions will be displayed when available. Such detail for noncovered transactions is not reported to the IRS.

Box 2—Type of Gain or Loss. The section headings within the 1099-B indicate the type of gain or loss for the transactions, short-term or long-term. An asterisk will denote when your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the non-contingent bond method generally is treated as ordinary interest income rather than as a capital gain. See the instructions for Form 8949, Pub. 550 or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security.

Box 12—Covered or Not-Covered Security. The section headings within the 1099-B indicate whether your security transaction is or is not a covered security under the IRS cost basis reporting program. For tax return reporting purposes, you may need to make adjustments to your cost basis information on IRS Form 8949 and Schedule D of IRS Form 1040. You may also need to take into account tax reporting rules that a reporting financial organization is not required to use when preparing your Form 1099-B, such as when reporting wash sales. As a result, you must take into account all applicable tax return reporting rules and be able to verify, from your own records, all cost basis information that is reported on your tax return. The IRS requires you to maintain all such records in case you are asked to produce them. For gifted shares where the original cost is greater than the fair market value, if you elect to include these shares in the average calculation, you must instruct your investment professional in writing to move these shares from fair market value to the average calculation.

Box 1a—Description and Quantity. Shows a brief description of the item or service for which the proceeds are being reported, as well as the number of shares included in the sale or exchange for the lot reported. If fractional shares are part of the disposition, those shares will be displayed to three decimal places.

CUSIP. Broker transactions may show the Committee on Uniform Security Identification Procedures (CUSIP) number of the item reported.

Box 3—Qualified Opportunity Fund (QOF). This indicator will be displayed next to the CUSIP if the proceeds are from the disposition of a QOF.

Disposition Transaction. This column will denote the type of transaction; for example, SELL.

Tax Lot Default Disposition Method. The method used to select which lot will be disposed. The default method assigned to this account as of December 31, 2020, will display below the 1099-B detail section.

Box 1b—Data Acquired. This box represents the date you acquired the security or, for short sales, the date you opened the short sale. You may see the word VARIOUS displayed in this column if the disposition transaction includes multiple lots with various acquisition dates.

Box 1c—Data Sold or Disposed. Shows the trade date of the sale or exchange. For short sales, the data shown is the date you closed the short sale.

Box 1d—Proceeds. The proceeds amount from transactions involving stocks, bonds and other debt obligations are reported in Box 1d. These amounts do not reflect net profits and are net of transaction costs. The proceeds amount may also be increased by an option premium received or decreased by an option premium paid. Report the proceeds from each transaction separately on IRS Form 8949 (Sales and Other Dispositions of Capital Assets) and IRS Form 1040, Schedule D (Capital Gains and Losses). See the instructions for Form 8949 for exceptions to reporting each transaction on a separate row. This box does not include proceeds from regulated futures or foreign currency forward contracts.

Box 1e—Cost or Other Basis. This box shows the original cost, or adjusted cost basis, due to a corporate action, gifted or inherited cost basis, etc. The IRS provides a detailed description of cost or other basis reporting in the instructions for Form 1099-B, available at irs.gov.

Basis on Bonds. Perishing is providing you with two different cost figures (when available) on debt instrument investments, the original cost of the bonds (which may have been provided by you or a third party) and a projection of where the adjusted current cost could be if the bonds had been amortized or accreted over the time you held the bonds. The adjusted cost for noncovered bonds was calculated without consideration of your elections and may not be the same method you chose when deciding to amortize or accrete. You or your tax professional should verify the amounts that have been previously calculated to adjust the cost basis of the bond and reported throughout the life of the bond starting with the original purchase price.

Adjustments—The adjustment column may display one or more of codes D, O or W.



Recipient's Name and Address

CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN

Account Number ETF-005005

Recipient's Identification
Number: ...-...-1056

2020 TAX and
YEAR-END STATEMENT
As of 03/05/2021
Mailed by 03/15/2021

IRS Form 1099-B—Proceeds from Broker and Barter Exchange Transactions (continued)

Box 1I—D = Accrued Market Discount. An adjustment code (D) will be displayed next to an amount for accrued market discount. A market discount condition exists when the purchase cost of a bond is below the adjusted issue price of an original issue discount bond (OID bond) or below the redemption value of a non-OID bond. For details on market discount, see Schedule D (Form 1040) instructions, instructions for Form 8949 and Pub. 550.

O = Option Premium (Net Reportable to the IRS). An adjustment code (O) will be displayed next to the amount for an option premium within the Adjustment column. When stock is sold based upon the assignment of either a put or a call option, the proceeds of that sale are adjusted by the price of the option or the option premium.

Box 1g—W = Wash Sale Loss Disallowed. An adjustment code (W) will be displayed next to an amount for a disallowed wash sale loss within the Adjustment column. This loss is reported as disallowed because the sale of the covered security has been adjusted under the broker wash sale rule. This occurs when you re-purchase the identical security, as determined by CUSIP number, in the same account within the 30-day period preceding or following the date of the original loss. The wash sale loss is displayed within Box 1g as a positive amount as required by the IRS. For details on wash sales, see Schedule D (Form 1040) instructions, instructions for Form 8949 and Pub. 550.

Realized Gain or (Loss). The realized gain or loss for the transaction may be displayed. It is not reported to the IRS.
Sale Date Totals. If you have multiple lots with the same date sold or disposed of, you may see a line item with SALE DATE TOTAL noted in the Disposition Transaction column. The totals provided in this line item are an aggregate of the lots listed above the total line when the security and sale or disposed dates are the same. For these totals, the word VARIOUS will be displayed in the Date of Acquisition column, since the total includes multiple lots with different acquisition dates. These total amounts are included to assist you with the completion of IRS Form(s) 8949.

Income Tax Withholding: If federal or state income taxes were withheld from your proceeds amounts, they will be reported and displayed in this section.
Disposition Transaction. This column is for reference purposes only. The type of transaction is denoted; for example, SELL.

Quantity. This quantity is for reference purposes only and is the total number of shares that may have been split and reported in earlier 1099-B sections.

Proceeds. This amount is for reference purposes only. It represents the total proceeds for a sale or exchange. If a sale or exchange included multiple lots, the proceeds amounts are reported at the lot level in the appropriate 1099-B section.

Data Sold or Disposed. This box shows the trade date of the sale or exchange.

Box 4—Federal Income Tax Withheld. Federal income tax withheld is 24% of gross proceeds. See the Additional Information section of these instructions.

Box 14—State. Displays the two letter postal abbreviation of the state(s) for which state income taxes have been withheld.

Box 15—State ID Number. Displays the payer's state identification number(s).

Box 16—State Tax Withheld. Displays the amount of state income tax(es) withheld.

Foreign Tax Paid. This box shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your IRS Form 1040. See the Additional Information section of these instructions. This amount is not reported to the IRS.

FATCA Filing Requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the instructions to Form 8938. For 2020, this box will not be displayed.

The IRS requires us to remind taxpayers that you are ultimately responsible for the accuracy of your tax return.



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Recipient's Name and Address
CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN

Account Number: **ETF-005005**
 Recipient's Identification
 Number: ***-**-1056

**2020 TAX and
 YEAR-END STATEMENT**
 As of 03/05/2021
 Mailed by 03/15/2021

DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV)

Supplemental information regarding the percentages of tax-exempt income on municipal bond funds by state and the percentage of government agency, direct federal and foreign source income for funds will be available by March 1, 2021, at myfahandbook.com.

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Total Capital Gains Distributions (Box 2a)	Nonqualified Distributions (Box 3)	Federal Income Tax Withheld (Box 4)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	State (Box 10) / State ID Number (Box 1a)	State Tax Withheld (Box 1b)
INVESTCO EXCHANGE-TRADED FD TR S&P 500 QUALITY ETF CUSIP: 46537V2A1	03/13/2020 06/30/2020	19.90 30.14	19.90 30.14								
ISHARES TR IBOXX USD INVT GRADE CORP BD ETF CUSIP: 4642872A2	05/07/2020 06/05/2020 07/09/2020 08/07/2020 09/09/2020 10/07/2020 11/06/2020 12/07/2020 12/23/2020	17.93 18.03 17.38 10.63 10.63 10.60 11.02 10.77 10.92									
		117.91									
ISHARES TR S&P 500 GROWTH ETF CUSIP: 464287399	03/13/2020	30.67	30.67								
ISHARES TR S&P 500 VALUE ETF CUSIP: 464287408	03/13/2020	107.65	107.65								
ISHARES TR BARCLAYS 20+ YR TREAS BD ETF CUSIP: 464287432	02/03/2020 03/06/2020 04/07/2020 05/07/2020 06/05/2020 07/08/2020	21.53 20.40 21.18 5.62 5.46 4.98									

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Recipient's Name and Address

CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN

Account Number: ETF-005005

Recipient's Identification
Number: ***-**-1056

**2020 TAX and
YEAR-END STATEMENT**
As of 03/05/2021
Mailed by 03/15/2021

DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV)

(Continued)

Description	Date Paid	Total Ordinary Dividends (Col 1b)	Qualified Dividends (Col 1b)	Total Capital Gain Distributions (Col 2a)	Nonqualified Dividends (Col 3)	Federal Income Tax Withheld (Col 4)	Section 199A Dividends (Col 5)	Investment Expenses (Col 6)	Foreign Tax Paid (Col 7)	State (Col 13) / Subj ID Number (Col 14)	State Tax Withheld (Col 15)
79.17											
ISHARES TR S&P 500	03/31/2020	20.29	20.29								
MIDCAP 400 GROWTH ETF											
15.00											
ISHARES TR S&P 500	03/31/2020	85.55	75.04				10.51				
MIDCAP 400 VALUE ETF											
118.40											
ISHARES TR MBS ETF	09/08/2020	3.00									
CLSIIP: 464288588	10/07/2020	2.88									
	11/06/2020	2.67									
	12/07/2020	3.86									
		3.19									
6.84											
ISHARES INC CORE MSCI EMERGING Mkts ETF	12/18/2020	60.38	34.01								
CLSIIP: 46494103 FOREIGN CORP. OTHER											

Recipient's Name and Address
CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN

Account Number **ETF-005005**
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**2020 TAX and
 YEAR-END STATEMENT**
 As of 03/05/2021
 Mailed by 03/15/2021

DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV)

(continued)

Description	Date Paid	Total Ordinary Dividends (Box 1b)	Qualified Dividends (Box 1b)	Total Capital Gains Distributions (Box 2a)	Nonqualified Dividends (Box 3)	Federal Income Tax Withheld (Box 4)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	State (Box 13) / State ID Number (Box 14)	State Tax Withheld (Box 15)
ISHARES TR IBONDS	05/07/2020	2.69									
DEC 2023 TERM	06/05/2020	2.62									
CORPORATE ETF	07/08/2020	2.50									
CUSIP: 46434VAVX8	08/07/2020	2.81									
	09/08/2020	2.81									
	10/07/2020	2.71									
	11/06/2020	2.75									
	12/07/2020	2.70									
	12/23/2020	2.62									
		<u>24.21</u>									
ISHARES TR IBONDS	05/07/2020	2.54									
DEC 2022 TERM	06/05/2020	2.51									
CORPORATE ETF	07/08/2020	2.34									
CUSIP: 46434VAVAV		<u>7.39</u>									
ISHARES TR IBONDS	02/07/2020	4.20									
DEC 2025 TERM	03/06/2020	4.19									
CORPORATE ETF	04/07/2020	4.50									
CUSIP: 46434VAVB01		<u>12.89</u>									
ISHARES TR IBONDS	02/07/2020	5.82									
DEC 2024 TERM	03/06/2020	5.76									
CORPORATE ETF	04/07/2020	6.13									
CUSIP: 46434VAVB64		<u>17.71</u>									

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 CHARLES J FLEISCHMANN
 BRENDA M FLEISCHMANN JT TEN

Account Number: ETF-005005
 Recipient's Identification
 Number: ***-**-1056

**2020 TAX and
 YEAR-END STATEMENT**
 As of 03/05/2021
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DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV)

(continued)

Description	Date Paid	Total Ordinary Dividends (Col 1a)	Qualified Dividends (Col 1b)	Total Capital Gains Distributions (Col 2a)	Nondividend Distributions (Col 3)	Federal Income Tax Withheld (Col 4)	Section 199A Dividends (Col 5)	Investment Expenses (Col 6)	Foreign Tax Paid (Col 7)	State (Col 13) / State ID Number (Col 14)	State Tax Withheld (Col 15)
ISHARES TR IBONDS	05/07/2020	2.45									
DEC 2021 TERM	06/05/2020	2.42									
CORPORATE ETF FD	07/08/2020	2.23									
CUSIP: 46434VBK5											
		7.10									
ISHARES TR IBONDS	02/07/2020	6.33									
DEC 2026 TERM CORP	03/06/2020	5.99									
ETF	04/07/2020	6.44									
CUSIP: 46435GAA0											
		18.76									
ISHARES TR	02/07/2020	4.83									
IBONDS DEC 2027	03/06/2020	4.67									
TERM CORPORATE ETF	04/07/2020	4.43									
CUSIP: 46439JAA9											
		13.93									
ISHARES TR IBONDS	02/07/2020	4.61									
DEC 2028 TERM	03/06/2020	4.72									
CORPORATE ETF	04/07/2020	5.18									
CUSIP: 46439JUS5											
		14.51									
ISHARES TR	02/07/2020	6.08									
IBONDS DEC 2029 TERM	03/06/2020	5.73									
CORPORATE ETF	04/07/2020	5.37									
CUSIP: 46436E2U5											
		17.18									

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Recipient's Name and Address
CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN

Account Number: **ETF-005005**

Recipient's Identification
 Number: ***-**-1056

**2020 TAX and
 YEAR-END STATEMENT**
 As of 03/05/2021
 Mailed by 03/15/2021

DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV)

(continued)

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Total Capital Gain Distributions (Box 2a)	Nondividend Distributions (Box 2b)	Federal Income Tax Withheld (Box 4)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	State (Box 1b) / State ID Number (Box 1c)	State Tax Withheld (Box 1d)
ISHARES IBONDS	05/07/2020	0.58									
DEC 2029 TERM	06/05/2020	0.68									
TREASURY ETF	07/08/2020	0.75									
CUSIP: 464369B25	08/07/2020	0.93									
	09/08/2020	0.89									
	10/07/2020	0.86									
	11/06/2020	0.94									
	12/07/2020	0.91									
	12/23/2020	0.92									
		7.46									
ISHARES IBONDS	05/07/2020	0.58									
DEC 2028 TERM	06/05/2020	0.60									
TREASURY ETF	07/08/2020	0.64									
CUSIP: 464369B33	08/07/2020	0.83									
	09/08/2020	0.82									
	10/07/2020	0.79									
	11/06/2020	0.86									
	12/07/2020	0.83									
	12/23/2020	0.84									
		6.79									
ISHARES IBONDS	05/07/2020	0.53									
DEC 2027 TERM	06/05/2020	0.54									
TREASURY ETF	07/08/2020	0.58									
CUSIP: 464369B41	08/07/2020	0.76									
	09/08/2020	0.72									
	10/07/2020	0.73									
	11/06/2020	0.79									
	12/07/2020	0.76									

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CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN

Account Number **ETP-005005**
 Recipient's Identification Number: ***-**-1056

**2020 TAX and
 YEAR-END STATEMENT**
 As of 03/05/2021
 Mailed by 03/15/2021

DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV) (continued)

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Total Capital Gains Distributions (Box 2a)	Nonqualified Dividends (Box 3)	Federal Income Tax Withheld (Box 4)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	State (Box 13) / State ID Number (Box 14)	State Tax Withheld (Box 15)
SPDR INDEX SHS FDS SPDR PORT DEVELOPED WORLD EX-US ETF CUSIP: 78463X889 FOREIGN CORP. OTHER 12/23/2020 99.17 71.85 10.52 6.68											
SPDR SER TR S&P 600 SMALL CAP GROWTH ETF CUSIP: 78464A201 06/25/2020 11.19 9.75 1.44 09/24/2020 5.58 4.86 0.72 12/28/2020 24.61 21.44 3.17 41.38 34.05 5.33											
SPDR SER TR S&P 600 SMALL CAP VALUE ETF CUSIP: 78464A300 06/25/2020 16.59 14.76 1.83 09/24/2020 13.77 12.25 1.52 12/28/2020 35.90 31.93 3.97 66.26 58.94 7.32											

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Recipient's Name and Address
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BRENDA M FLEISCHMANN JT TEN

Account Number **ETF-005005**
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**2020 TAX and
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 Mailed by 03/15/2021

DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV) (continued)

Description	Date Paid	Total Ordinary Dividends (Box 1A)	Qualified Dividends (Box 1B)	Total Capital Gains Distributions (Box 2C)	Nonqualified Dividends (Box 3)	Federal Income Tax Withheld (Box 4)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	State (Box 10) / State ID Number (Box 10)	State Tax Withheld (Box 15)
SPDR SER TR S&P 500	06/25/2020	50.27	50.27								
GROWTH ETF	09/24/2020	38.81	38.81								
CUSIP: 78464A409	12/28/2020	47.31	47.31								
		136.39	136.39								
SPDR PORTFOLIO	06/25/2020	78.42	73.99								
S&P 500 VALUE ETF	09/24/2020	111.83	105.51								
CUSIP: 78464A508	12/28/2020	115.83	109.28								
		306.08	288.78								
SPDR SER TR	08/07/2020	0.72									
PORTFOLIO INTER TERM	09/08/2020	0.50									
TREAS ETF	10/07/2020	0.44									
CUSIP: 78464A672	11/06/2020	0.45									
	12/07/2020	0.45									
	12/24/2020	0.50									
		3.06									
SPDR SER TR S&P 400	06/25/2020	37.04	33.07				3.97				
MID CAP GROWTH ETF	09/24/2020	17.03	15.21				1.82				
CUSIP: 78464A821	12/28/2020	32.34	28.88				3.46				
		86.41	77.16				9.25				
SPDR SER TR S&P 400	06/25/2020	60.20	45.71								
MID CAP VALUE ETF	09/24/2020	53.44	40.57								
CUSIP: 78464A839	12/28/2020	52.45	39.82								
		166.09	126.10								
SPDR SER TR S&P	12/28/2020	4.25	4.25								
HOMEBUILDERS ETF											

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**2020 TAX and
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DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV) (continued)

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Total Capital Gains Distributions (Box 2a)	Nonqualified Dividends (Box 3)	Federal Income Tax Withheld (Box 4)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	State (Box 13) / State ID Number (Box 14)	State Tax Withheld (Box 15)
CUSIP: 78464A888											
SELECT SECTOR SPDR	12/24/2020	14.01	14.01								
TR MATLS											
CUSIP: 8B69Y100											
SELECT SECTOR SPDR	03/26/2020	12.61	12.61								
TR HEALTH CARE											
CUSIP: 8B69Y209											
SELECT SECTOR SPDR	06/25/2020	7.40	6.88								
TR CONSUMER DISCRETIONARY	09/24/2020	6.72	6.07								
DISCRETIONARY	12/24/2020	6.22	5.62								
CUSIP: 8B69Y407											
SELECT SECTOR SPDR		20.34	18.37								
TR INDI											
CUSIP: 8B69Y104											
SELECT SECTOR SPDR	12/24/2020	11.71	10.55								
SELECT SECTOR SPDR											
CUSIP: 8B69Y200											
SELECT SECTOR SPDR	03/26/2020	8.54	8.54								
TR TECHNOLOGY	06/25/2020	6.73	6.73								
DISCRETIONARY	09/24/2020	7.40	7.40								
CUSIP: 8B69Y803											
SELECT SECTOR SPDR		22.67	22.67								
TR COMMUNICATION											
CUSIP: 8B69Y852											
SELECT SECTOR SPDR	03/26/2020	6.89	6.89								
TR COMMUNICATION	06/25/2020	7.48	7.48								
SPCS SELECT SECTOR SPDR FD	09/24/2020	7.81	7.81								
CUSIP: 8B69Y852											
SELECT SECTOR SPDR		22.18	22.18								
VANGUARD INDEX FDS											
CUSIP: 8B69Y852											
REAL ESTATE INDEX FD	03/13/2020	24.59	0.60		17.52		23.73				
ETF	06/30/2020	33.23	0.82		23.67		32.06				
ETF	09/30/2020	28.27	0.70		20.13		27.27				

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2020 TAX and YEAR-END STATEMENT
 As of 03/05/2021
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DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV) (continued)

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Total Capital Gain Distributions (Box 2a)	Nondividend Distributions (Box 3)	Federal Income Tax Withheld (Box 4)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	State ID Number (Box 1a)	State Tax Withheld (Box 1b)
CUSIP: 922908553	12/30/2020	66.39	1.63		47.30		64.06				
		152.48	3.75		108.62		147.12				
Dividends - U.S. Corporations		\$1,957.23	\$1,221.36								
Dividends - Foreign Corporations											
Other		53.69							17.36		
Total:		\$2,010.92	\$1,221.36	\$0.00	\$108.62	\$0.00	\$179.53	\$0.00	\$17.36		\$0.00

IRS Form 1099-DIV—Dividends and Distributions:

Dividends and other distributions from corporations, mutual funds, Exchange Traded Funds (ETF) (created as mutual funds for tax purposes), Unit Investment Trusts (UIT) and Real Estate Investment Trusts (REIT) are reported in this section of your Tax Information Statement. Income derived from money market funds will also be reported in this section. You will receive a separate IRS Form 1099-DIV directly from a money market fund if we did not handle the processing of your funds for the entire year.

Box 1a—Total Ordinary Dividends. Ordinary dividends, which include any net short-term capital gains from a mutual fund, are fully taxable. Ordinary dividends paid by corporations, mutual funds and certain UITs are included in this section of your Tax Information Statement. Subtotals for U.S. and foreign source ordinary dividends are included at the end of this section. For mutual funds and UITs, foreign income includes any distribution that is comprised in whole or in part of foreign-sourced ordinary dividends. Report total ordinary dividends on IRS Form 1040. Also report them on IRS Form 1040 Schedule B (Interest and Ordinary Dividends), if required. See the instructions for IRS Form 1040, Schedule B (Interest and Ordinary Dividends) to determine if you are required to complete Schedule B to report your dividends.

Box 1b—Qualified Dividends. This shows the portion of the amount in Box 1a that may be eligible for reduced capital gains rates. See the Form 1040 instructions for how to determine this amount. Report the eligible amount as a dividend on IRS Form 1040.

Box 2a—Total Capital Gain Distributions. This shows total capital gain distributions (long-term) from a regulated investment company or REIT. Report the amounts shown in Box 2a on IRS Form 1040 Schedule D. But, if no amount is shown in Boxes 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Box 2a of IRS Form 1040 Schedule 1 rather than Schedule D. See IRS Form 1040 instructions.

Box 2b—Unrecaptured Section 1250 Gain. This shows the portion of the amount in Box 2a that is an Unrecaptured Section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the instructions for Schedule D (IRS Form 1040).

Box 2c—Section 1202 Gain. This shows the portion of the amount in Box 2a that is a Section 1202 gain from certain small business stock that may be subject to 50% exclusion. See the Schedule D (IRS Form 1040) instructions.

Box 2d—Collectibles (28%) Gain. This shows the 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the instructions for Schedule D (IRS Form 1040).

Box 3—Nondividend Distributions. This shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your investment. But if you have recovered all your cost (or other basis), report future nontaxable distributions as capital gains, even though this form shows them as nontaxable. See IRS Publication 550, Investment Income and Expenses (Including Capital and Losses).

Box 4—Federal Income Tax Withheld. Federal income tax withheld is 24% of dividends. See the Additional Information section of these instructions.

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BRENDA M FLEISCHMANN JT TEN

Account Number **ET-005005**
 Recipient's Identification
 Number: 00-0095

**2020 TAX and
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IRS Form 1099-DIV—Dividends and Distributions (continued)

Box 5—Section 199A Dividends. This shows the portion of the amount in Box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 995-A.
Box 6—Investment Expenses. Your share of a UIT's or a WHFIT's expenses are reported in Box 6. These expenses are included in Box 1a.
Box 7—Foreign Tax Paid. This shows the foreign tax you may be able to claim as a deduction or a credit on IRS Form 1040. See the IRS Form 1040 instructions and the Foreign Tax Paid section of these instructions.
Box 13—State. Displays the two letter postal abbreviation of the state(s) for which state income taxes have been withheld.
Box 14—State Identification No. Displays Fidelity LLC payer's state identification number(s).
Box 15—State Income Tax Withheld. Displays amount of state income taxes withheld.

ADDITIONAL WRITTEN STATEMENT - WIDELY HELD MORTGAGE TRUSTS (WHMT) AND WIDELY HELD FIXED INVESTMENT TRUSTS (WHFIT)

CLASS	PC	Payment/ Settlement Date	Quantity	Proportional Balance	Period Principal Paid or Proceeds of Sale	Cost/Purchase Price	Gross Interest	Investment Expenses	Market Discount Provision	Resolving Issues Adjustment	Income Adjustment	Adjusted Basis Percentage	Original Cost Basis
SHARES SILVER TR													
CASH INCOME 2020													
464280109		07/31/2020	121					0.89				.00023	
		07/31/2020										.00039	
		08/31/2020	121					1.27				.00046	
		09/30/2020										.00020	
		09/30/2020	121					1.22				.00020	
Security Totals												\$3.38	

(*PC = Purchase Condition: X - Blended, not lot specific P - Premium A - Acquisition Premium N - Par, no adjustment G - Diminishing market discount D - Market Discount, Applicable only to a distribution record.)

SHARES GOLD TR

CASH INCOME 2020

464280105	01/31/2020	823						2.54				.00021	
	01/31/2020											.00019	
	02/29/2020	823						2.45				.00021	
	02/29/2020											.00020	
	03/31/2020	823						2.60				.00020	
	03/31/2020											.00020	

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Account Number: **ETF-005005**
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**2020 TAX and
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ADDITIONAL WRITTEN STATEMENT - WIDELY HELD MORTGAGE TRUSTS (WHMTs) AND WIDELY HELD FIXED INVESTMENT TRUSTS (WHFITs) (continued)

CUSIP	PC	Payment/ Settlement Date	Quantity	Principal Balance	Period Principal Paid or Proceeds of Sale	Cost/Purchase Price	Gross Interest	Investment Expense	Market Discount Fraction	Resolving Income Adjustment	Income Adjustment	Adjusted Basis Percentage	Original Cost Basis
04/30/2020		04/30/2020	699				2.20					.00021	
		05/31/2020						2.37				.00020	
		06/30/2020	699					2.32				.00019	
		07/31/2020	724					2.56				.00021	
		08/31/2020	724					2.85				.00021	
		09/30/2020	724					2.71				.00021	
		10/31/2020	894					3.41				.00021	
		11/30/2020	894					3.28				.00020	
		12/31/2020	894					3.34				.00020	
Security Total:												\$32.63	

(*PC = Purchase Condition: X - Blended, not lot specific P - Premium A - Acquisition Premium N - Par, no adjustment G - Deminimus market discount D - Market Discount Applicable only to a distribution record.)

Additional Written Statement—Widely Held Fixed Investment Trusts (WHFITs) and Widely Held Mortgage Trusts (WHMTs):
 Reporting requirements for widely held fixed investment trusts (WHFITs) require us to report certain specific information regarding items of gross income and expense to trust interest holders for the calendar year on IRS Form 1099. Unit investment trusts (UITs), royalty trusts, mortgage-backed securities and commodity trusts are all considered WHFITs. In addition to the information reported on IRS Form 1099, we are also required to furnish trust interest holders with an additional written statement with certain detailed information by March 15, 2021. Income and interest declared on these investments in October, November or December 2020 is considered received on December 31, 2020, even if the income and interest was not actually paid until January or February 2021. An explanation of items included on the Additional Written Statement is provided below.

Period Principal Paid or Proceeds of Sale. This represents the principal distributed to record date holders or the proceeds of a sale net of accrued interest. This amount includes redemption and sales proceeds and redemption and sales asset proceeds. It also includes principal payments that completely retire a debt instrument (other than a final scheduled principal payment) and pro rata partial principal prepayments. The amount should be reported on IRS Form 1040, Schedule D.

Cost/Purchase Price. This represents the cost of a purchase (the amount paid for the units, including brokers' commissions for the fair market value of such units on the date they were distributed) net of accrued interest.

Gross Interest. This represents the amount of interest distributed to you or received as accrued interest on sales.

Recipient's Name and Address

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2020 TAX and
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Mailed by 03/15/2021

Additional Written Statement—Widely Held Fixed Investment Trusts (WHFITs) and Widely Held Mortgage Trusts (WHMTs) (continued)

Investment Expenses. This amount represents your share of investment expenses paid by the trust.

Market Discount Fraction. This is the ratio used to compute your market discount income or premium amortization for the year. Amortization of bond premiums is deductible on IRS Form 1040, Schedule A. If you claim a deduction for amortization of premiums for bonds acquired after October 22, 1986, but before January 1, 1988, the deduction is treated as an interest expense and is subject to the investment interest limitations. Use IRS Form 4952 (Investment Interest Expense Deduction) to compute the allowable deduction. For taxable bonds acquired after 1987, you can elect to amortize the bond premium over the life of the bond. See IRS Publication 550 for more information.

Income Adjustment. You must increase or decrease your taxable income by the amount of this premium or discount on purchases for your account.

Adjusted Basis Percentage. This represents the ratio of the principal distribution over the principal balance at the start of the year. Use this ratio to determine the portion of your adjusted basis that represents return of principal. It should be used to compute gain or loss on Schedule D of IRS Form 1040.

Original Cost Basis. This represents the amount that you originally paid for the units, including brokers' commissions or the fair market value of such units on the date they were distributed. The amount should be used to compute gain or loss on IRS Form 1040, Schedule D.

Cost Basis Factor. Use the Cost Basis Allocation Factor provided to allocate a portion of your cost basis in the WHFIT (what you paid for the WHFIT, less any reinvested dividend or capital gains distributions) to each sale or disposition to determine any attributable and reportable sales proceeds and realized gains or losses on Schedule D of IRS Form 1040.

Please refer to Pershing's Tax Guide at mytaxhandbook.com for additional information regarding WHFITs and WHMTs and consult your tax professional regarding the proper reporting of income and expenses for WHFITs and WHMTs.

Tax Information Statement Instructions:

The Tax Information Statement provides a detailed summary of your account transactions during 2020. It includes information related to transactions we are required to report to the IRS, as well as information that we do not report. The instructions are provided to help you prepare your tax returns. For a more detailed explanation of your Tax Information Statement and to view the Tax Guide, please visit mytaxhandbook.com. If your account was transferred to our firm during 2020, your Tax Information Statement only includes your activity during the time you conducted business with our firm. Your former firm should provide you with IRS Form 1099 reporting for prior activity. These instructions have been tailored for use by taxpayers that are U.S. individuals who are investors for tax purposes.

Additional Information:

Recipient's Identification Number. For your protection, this form shows only the last four digits of your Social Security number (SSN), Individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN) or employer identification number (EIN). However, Pershing LLC will report your complete identification number to the IRS and, where applicable, to state or local governments.

Account Number. A unique number the payer assigned to distinguish your account.

FATCA Filing Requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions to Form 9938, For 2020, this box has been intentionally left blank.

Electronic Delivery. The IRS allows for the electronic delivery of 1099 forms, and Pershing offers electronic delivery of this Tax Information Statement. If you would like to receive electronic delivery, speak to your advisor for more information.

Nonlinee Recipients. If your truncated Social Security number or Employer Identification Number is shown on your Tax Information Statement, and the statement includes amounts belonging to another person, you are considered a nonlinee recipient. Generally, you must file IRS Form 1099 for each of the other owners, showing the income allocable to each. File the applicable IRS Form 1099, along with IRS Form 1096 (Annual Summary and Transmittal of U.S. Information Returns), with the IRS center in your area. List yourself as the payer on IRS Form 1099 and as the filer on IRS Form 1096. List the other owner(s) as the recipient(s) on IRS Form 1099. You must provide a copy of each IRS Form 1099 you file to the other owner(s). Spouses are not required to file a nonlinee return to show amounts owned by their spouse.

FORM 1099-INT (2020)

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**2020 TAX and
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Tax Information Statement Instructions (continued):

Payer. The payer for all transactions on your Tax Information Statement is Pershing LLC (Taxpayer Identification Number 13-2741729). This name and Taxpayer Identification Number should be listed wherever the payer's name is requested on an IRS form with respect to amounts reported on your Tax Information Statement.

Corrections. Please review your Tax Information Statement. If it is incorrect, contact your advisor or financial organization. If necessary, we will promptly correct the information provided to the IRS and mail a Revised Tax Information Statement to you. After the initial mailing, revised statements will begin mailing in February and will be mailed at least weekly from March through the end of June. If an income correction is made to your account after issuing your tax statement and the correction is an increase or decrease of less than \$100 of income, we may not send you a revised tax statement. If you would like to receive revisions that are less than \$100, please contact your Investment Professional.

Federal Income Tax Withheld. Federal income tax withheld is 24% of interest, dividends and proceeds from broker and barter exchange transactions, and could be reported in any of these sections of your Tax Information Statement. Backup withholding applies when certain conditions exist. If this Tax Information Statement reflects backup withholding, you may need to provide a new IRS Form W-9 (Request for Taxpayer Identification Number and Certification). See IRS Form W-9 for information on backup withholding and how to furnish your Taxpayer Identification Number.

State and Local Tax Reporting. We are required to provide information to a number of state and local jurisdictions. We report information to California, Connecticut, Massachusetts, Minnesota, New York and Rhode Island concerning municipal bond interest income earned by state residents from bonds not issued by these states. We report certain 1099 information directly to Delaware, District of Columbia, Maine, Massachusetts, Mississippi, Montana, Oregon and Rhode Island. For 2020, we withheld, remitted and reported state income tax for California, Maine and Vermont according to the requirements of those states. We also provided tax information to Puerto Rico as required by the Puerto Rico taxing authority. Check with your tax professional for your specific state and local tax reporting requirements.

Cost Basis Adjustments. There are times when your cost basis reported from a broker will not match your calculations for reporting purposes. IRS Form 8949 allows you and the IRS to reconcile amounts that were reported to you and the IRS on IRS Form 1099-B with the amounts you report on your return.

Important Note Concerning Cost Basis. Your original cost basis for each security affects much of the reporting in this document. You should pay special attention to the basis of any item where we received the basis from you or a third party. If the original basis shown in this tax document is not correct, then the results of our calculations will likewise produce incorrect results. The IRS requires us to remind you that the taxpayer is ultimately responsible for the accuracy of your tax return.

Form 2439. If you held shares in a mutual fund or REIT that paid tax on undistributed long-term capital gains, we will issue IRS Form 2439 (Notice to Shareholder of Undistributed Long-Term Capital Gains) to you. This information is provided after the close of the fund's or the REIT's tax year and will be sent to you shortly after the information becomes available.

Foreign Tax Paid. Your Tax Information Statement may include foreign tax paid (withheld) from foreign source dividends and interest credited to your account during 2020. This information allows you to calculate and claim a foreign tax credit or deduction on your tax return, if you are eligible to do so. A foreign tax credit can be claimed either on IRS Form 1116 (Foreign Tax Credit) or, in some circumstances, by reporting it directly on IRS Form 1040, Schedule A (Itemized Deductions). See instructions for both IRS Form 1116 and IRS Form 1040 for additional information on foreign tax credits and deductions. Foreign tax paid is reported in the Form 1099-INT and Form 1099-DIV sections of your Tax Information Statement. A foreign tax credit may be claimed only if the tax was legally owed and not eligible for a refund.

IRS Publications. IRS publications are available through your local IRS office, by calling the IRS Forms Distribution Center at (800) TAX-FORM, or visiting the IRS website at irs.gov. For instance, IRS Publication 550 and IRS Publication 1212 provide useful tax information related to reporting securities transactions.

To receive more information regarding your Tax Information Statement and the Tax Guide, including illustrations on how to report your income, visit mytaxhandbook.com.

The instructions contained in this Tax Information Statement are not intended to provide tax advisory services. We suggest that you consult with your tax professional to discuss the appropriate federal, foreign, state and local tax treatment of your transactions. These instructions, as well as your Tax Information Statement, are solely intended to help you accumulate data to prepare your income tax return and should not be interpreted or relied upon as tax advice.

Trademark(s) belong to their respective owners.



Capital Gains Detail Report: Taxable Accounts

January 1, 2020 - December 31, 2020

For Charles J Fleichmann Brenda M Fleichmann JT Ten

Account Number: ETF-005005

Shares/ Units	Description	Purchase Date	Sale Date	Cost	Proceeds	Gain/Loss
96	ISHARES TR IBONDS DEC 2026 TERM CORP ETF	01/23/2020	04/01/2020	2,447.48	2,295.21	-152.27
3	ISHARES TR IBONDS DEC 2027 TERM CORPORATE EF	04/18/2019	04/01/2020	73.13	72.60	-0.53
4	ISHARES TR IBONDS DEC 2028 TERM CORPORATE EF	01/23/2020	04/01/2020	112.50	108.36	-4.14
96	ISHARES TR IBONDS DEC 2029 TERM CORPORATE EF	01/23/2020	04/01/2020	2,462.40	2,261.00	-201.40
47	ISHARES TR IBONDS DECEMBER 2021 CORP ETF	04/01/2020	07/23/2020	1,156.47	1,180.34	23.87
3	ISHARES TR IBONDS DECEMBER 2026 CORP ETF	04/18/2019	04/01/2020	74.31	74.22	-0.09
20	ISHARES TR IBONDS USD INVT GRADE CORP BD ETF	04/01/2020	07/23/2020	2,437.01	2,785.10	328.09
2	ISHARES 10+ YEARS CREDIT BOND ETF	04/18/2019	04/01/2020	119.59	123.40	3.81
14	ISHARES 10+ YEARS CREDIT BOND ETF	01/23/2020	04/01/2020	958.73	863.80	-94.93
61	ISHARES 10+ YEARS CREDIT BOND ETF	01/23/2020	07/23/2020	4,177.30	4,485.37	308.07
19	ISHARES 20+ YEAR TREASURY BOND ETF	04/18/2019	01/23/2020	2,334.99	2,683.77	348.78
31	ISHARES 20+ YEAR TREASURY BOND ETF	04/18/2019	04/01/2020	3,809.71	5,224.84	1,415.13
32	ISHARES 20+ YEAR TREASURY BOND ETF	10/17/2019	04/01/2020	4,494.47	5,393.39	898.92
28	ISHARES 20+ YEAR TREASURY BOND ETF	10/17/2019	07/23/2020	3,643.64	4,390.42	746.78
209	SPDR SERIES TRUST BRCLYS INTER ETF	10/17/2019	01/23/2020	6,429.49	6,438.90	9.41
						\$9,542.87

Total Short-Term Realized Gains or Losses

\$76,705.11

\$35,019.34

\$8,314.20

Long-Term

Mutual Fund / ETF - Equity

124	ISHARES GOLD TRUST ISHARES	04/19/2018	04/01/2020	1,801.07	1,886.53	285.46
1	ISHARES TR S&P MIDCAP 400 GROWTH ETF	01/17/2019	01/23/2020	202.82	242.04	39.22
27	ISHARES TR S&P MIDCAP 400 GROWTH ETF	01/17/2019	04/01/2020	5,476.07	4,552.75	-923.32
1	ISHARES TR S&P MIDCAP 400 VALUE ETF	10/18/2018	01/23/2020	157.87	170.16	12.29
1	ISHARES TR S&P MIDCAP 400 VALUE ETF	10/18/2018	04/01/2020	157.87	102.72	-55.15
62	ISHARES TR S&P MIDCAP 400 VALUE ETF	01/17/2019	04/01/2020	9,322.93	6,368.84	-2,954.29
3	ISHARES TR S&P 500 GROWTH ETF	01/25/2018	01/23/2020	490.92	607.39	116.47
55	ISHARES TR S&P 500 GROWTH ETF	01/25/2018	04/01/2020	9,000.11	8,704.57	-295.54
6	ISHARES TR S&P 500 GROWTH ETF	04/19/2018	04/01/2020	950.26	948.59	-1.67
3	ISHARES TR S&P 500 VALUE ETF	01/25/2018	01/23/2020	360.22	392.28	32.06
85	ISHARES TR S&P 500 VALUE ETF	01/25/2018	04/01/2020	10,206.17	7,810.57	-2,395.60
7	ISHARES TR S&P 500 VALUE ETF	04/19/2018	04/01/2020	776.96	643.22	-133.76

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Capital Gains Detail Report: Taxable Accounts

January 1, 2020 - December 31, 2020

For Charles J Fleischmann Brenda M Fleischmann JT Ten

Account Number: ETF-006005

Shares/ Units	Description	Purchase Date	Sale Date	Cost	Proceeds	Gain/Loss
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Realized Gains or Losses

1	ISHARES TR S&P 500 VALUE ETF	07/20/2018	04/01/2020	112.48	91.89	-20.59
37	ISHARES TR S&P 500 VALUE ETF	01/17/2019	04/01/2020	3,922.74	3,399.90	-522.84
23	SECTOR SPDR TR SHS BEN INT TECHNOLOGY	04/18/2019	10/22/2020	1,785.17	2,708.26	923.09
Mutual Fund / ETF - Fixed Income						
64	ISHARES TR IBONDS DEC 2023 CORPORATE ETF	01/25/2018	01/23/2020	1,594.87	1,643.06	48.19
63	ISHARES TR IBONDS DEC 2027 TERM CORPORATE EF	01/25/2018	04/01/2020	1,557.99	1,524.80	-33.39
3	ISHARES TR IBONDS DEC 2027 TERM CORPORATE EF	07/20/2018	04/01/2020	71.04	72.80	1.58
62	ISHARES TR IBONDS DEC 2028 TERM CORPORATE EF	10/18/2018	04/01/2020	1,546.28	1,602.08	55.80
65	ISHARES TR IBONDS DECEMBER 2025 CORP ETF	01/25/2018	04/01/2020	1,614.58	1,608.11	-6.47
54	ISHARES 10+ YEARS CREDIT BOND ETF	10/18/2018	04/01/2020	3,057.93	3,331.82	273.89
						\$339.58

Total Long-Term Realized Gains or Losses

\$53,966.97

\$18,412.78

\$-9,858.99

Capital Gains Detail Report: Taxable Accounts
January 1, 2020 - December 31, 2020
For Charles J Fetschmann Brenda M Fetschmann JT Ten

Account Number: EPP-011695

Description	Sale Date	Proceeds
Capital Gains Distribution		
FIRST EAGLE GLOBAL CLASS I	12/02/2020	425.08
T ROWE PRICE BALANCED	12/14/2020	1,327.82
DODGE & COX INCOME FUND	03/30/2020	43.16
DODGE & COX INCOME FUND	12/22/2020	428.76
HARBOR BOND FUND INSTITUTIONAL CLASS	12/18/2020	220.07
VANGUARD WELLESLEY INCOME FUND INVESTOR SHAS	12/22/2020	659.31

Total Capital Gains Distribution \$3,102.20

Capital Gains Detail Report: Tax Deferred/Exempt Accounts
 January 1, 2020 - December 31, 2020
 For Charles J Fleischmann SEP

Account Number: EPP-0028990

Shares/ Units	Description	Purchase Date	Sale Date	Cost	Proceeds	Gain/Loss
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Realized Gains or Losses

Short-Term

Mutual Fund / ETF - Equity						
11	DODGE & COX STOCK	12/20/2019	05/20/2020	2,034.15	1,825.20	-408.95
108	T ROWE PRICE REAL ESTATE FUND	12/13/2019	11/11/2020	2,662.10	2,329.98	-322.14
						\$-731.09

Long-Term

Equity						
100	CITIGROUP INC COM NEW	12/28/2018	05/18/2020	5,245.90	4,520.00	-725.90
100	EMERSON ELECTRIC CO	07/30/2015	10/20/2020	5,175.50	7,083.00	1,907.50
100	HD SUPPLY INC COM USD0.01	08/30/2017	05/13/2020	3,227.00	2,676.00	-551.00
						\$630.80

Mutual Fund / ETF - Equity

533	DODGE & COX INTERNATL STOCK FUND	03/19/2015	04/15/2020	23,372.38	16,225.18	-7,147.18
129	DODGE & COX STOCK	03/19/2015	05/20/2020	23,253.84	19,714.06	-3,539.58
10	DODGE & COX STOCK	12/22/2015	05/20/2020	1,546.23	1,477.65	-68.58
4	DODGE & COX STOCK	03/24/2016	05/20/2020	692.10	678.76	-13.34
9	DODGE & COX STOCK	12/21/2018	05/20/2020	1,877.30	1,371.16	-506.14
4	DODGE & COX STOCK	03/29/2017	05/20/2020	746.40	605.52	-140.88
11	DODGE & COX STOCK	12/20/2017	05/20/2020	2,195.31	1,658.92	-536.39
3	DODGE & COX STOCK	03/27/2018	05/20/2020	598.53	480.89	-135.64
12	DODGE & COX STOCK	12/20/2018	05/20/2020	1,993.03	1,764.33	-228.70
6	DODGE & COX STOCK	03/27/2019	05/20/2020	1,113.99	930.81	-183.18
677	T ROWE PRICE REAL ESTATE FUND	04/05/2017	11/11/2020	19,000.00	14,942.80	-4,057.40

Total Short-Term Realized Gains or Losses \$4,692.25 \$3,935.16 \$-756.09

Please see important disclosures regarding performance, fees, and benchmarks at the end of this report.

Capital Gains Detail Report: Tax Deferred/Exempt Accounts
January 1, 2020 - December 31, 2020
For Charles J Fleischmann SEP

Account Number: EPP-003890

Shares/ Units	Description	Purchase Date	Sale Date	Cost	Proceeds	Gain/Loss
Realized Gains or Losses						
6	T ROWE PRICE REAL ESTATE FUND	12/14/2017	11/11/2020	178.11	134.90	-41.21
18	T ROWE PRICE REAL ESTATE FUND	12/13/2018	11/11/2020	478.43	400.08	-78.35
						\$-16,476.57
Total Long-Term Realized Gains or Losses				\$90,489.83	\$74,043.85	\$-16,445.97

Capital Gains Detail Report: Tax Deferred/Exempt Accounts
January 1, 2020 - December 31, 2020
For Charles J Fleischmann SEP

Account Number: EPP-008890

Description	Sale Date	Proceeds
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Capital Gains Distribution

ALGER SPECTRA FUND CLASS I	12/17/2020	3,747.88
AMG YACKTMAN FUND SERVICE CLASS	12/17/2020	3,392.89
DFA REAL ESTATE SEC PRTF INSTL	12/15/2020	30.08
DODGE & COX STOCK	09/30/2020	358.60
FIRST EAGLE GLOBAL CLASS I	12/02/2020	878.57
PARNASSUS MID-CAP FUND	11/20/2020	150.01
PRIMECAP ODYSSEY GROWTH FUND	12/16/2020	7,727.41
T ROWE PRICE BLUE CHIP GROWTH	12/15/2020	453.90
T ROWE PRICE GLOBAL TECHNOLOGY	12/17/2020	3,098.14
T ROWE PRICE HEALTH SCIENCES	12/16/2020	3,258.75
VANGUARD INTL GROWTH PORT INV CL	12/17/2020	487.46

Total Capital Gains Distribution **\$23,577.47**

DISCLOSURES

This document provides personalized investment information and is not intended to meet the objectives of anyone other than the individual specified in this document. The performance data quoted represents past performance and does not guarantee future results. Current performance may be lower or higher than the performance data quoted. The investment return and principal value of an investment will fluctuate, so that an investor's shares, when redeemed, may be worth more or less than their original cost. Call your financial professional for performance current to the most recent month-end.

All returns through December 31, 2017 were calculated using the Modified Dietz method. All returns thereafter are calculated using a Daily Time Weighted Rate of Return. All returns are presented on a time-weighted basis unless indicated otherwise. All returns are presented net of fees, including the consultant's fee, manager fee, program fee and transaction costs, except individual mutual fund returns, which are net of all internal fund expenses and transaction costs. If you elected to have quarterly fees deducted from an account other than the one shown on this report, the performance data provided would not reflect the deduction of these fees. Performance returns are annualized for periods greater than one year. A dollar-weighted version of the returns is available upon request. Please contact your financial advisor with this request.

If you requested that historical data (performance history or cost basis) which predates your Program Sponsor relationship be included in this report, that data is included on a "best efforts" basis as an accommodation to you, and is collected from information provided by you or your financial advisor. It may be incomplete, or inaccurate. Accordingly, Lockwood can make no representation as to the accuracy of that historical information or any calculations based on it.

The rate of return for the current manager on accounts which have undergone a manager change begins at the month end following the actual change date. Rates of return for the account in its entirety (including all previous managers) are also provided on the Account Summary.

If non-managed or outside custodied accounts are included in this composite report, information on those accounts is included only in the Portfolio Summary and Capital Gains reports.

Net Contributions/Withdrawals consist of all receipts and deliveries of securities to the account for the specified period. Accrual amount indicates income that has been applied to the total value of the account, but is not reflected on your custodial statement.

Blended Index Details:

Account # ETF-006005

Blended Index: 2% BBgBarc 1-3 Year Government / 39% BBgBarc Aggregate Bond / 30% S&P 500 Price Only / 15% Russell Mid Cap / 10% Russell 2000 / 5% MSCI EAFE Net Taxes

Account # EPP-011695

20/S&P500/80/GovCr: 20% S&P 500 Total Return / 80% BBgBarc Government Credit

Account # EPP-003690

80/S&P500/20/GovCr: 80% S&P 500 Total Return / 20% BBgBarc Government Credit

Benchmark Definitions:

DISCLOSURES

The Bloomberg Barclays U.S. Aggregate Index represents securities that are SEC-registered, taxable, and dollar denominated. The index covers the U.S. investment grade fixed rate bond market, with index components for government and corporate securities, mortgage pass-through securities, and asset-backed securities. These major sectors are subdivided into more specific indices that are calculated and reported on a regular basis. Securities must have at least one year to final maturity regardless of call features and must have at least \$250 million par amount outstanding.

The Bloomberg Barclays Government/Credit Bond Index is an unmanaged index of Treasury securities and other securities issued or guaranteed by the U.S. government or its agencies or instrumentalities, including U.S. Agency mortgage securities and investment-grade corporate debt securities, in each case with maturities of not less than one year.

The Bloomberg Barclays Municipal Bond Index is a market-value-weighted index engineered for the long-term tax-exempt bond market. It is comprised of fixed rate bonds that have a dated-date after December 31, 1990, must be at least one year from their maturity date, must have an outstanding par value of at least \$7 million and be issued as part of a transaction of at least \$75 million. Included bonds must be rated investment-grade (Baa3/BBB- or higher) by at least two of the following ratings agencies: Moody's, S&P, Fitch. If only two of the three agencies rate the security, the lower rating is used to determine index eligibility. If only one of the three agencies rates a security, the rating must be investment-grade. Remarketed issues, taxable municipal bonds, bonds with floating rates, and derivatives, are excluded from the index.

The Bloomberg Barclays 1-3 Year Government Index represents securities that are U.S. domestic, taxable, and dollar denominated. Securities included in the index are U.S. Government securities (U.S. Treasury and Agency securities) with a maturity from 1 year.

The MSCI EAFE® (Europe, Australasia and the Far East) Index (net of taxes) is a free-float-adjusted market-capitalization index that is designed to measure developed market equity performance, excluding the United States and Canada. As of May 30, 2017, the MSCI EAFE Index consisted of the following 21 developed market country indices: Australia, Austria, Belgium, Denmark, Finland, France, Germany, Hong Kong, Ireland, Israel, Italy, Japan, the Netherlands, New Zealand, Norway, Portugal, Singapore, Spain, Sweden, Switzerland and the United Kingdom. The Index is net because dividends are reinvested after deducting a withholding tax from dividend distributions. Since taxes are withheld from the MSCI EAFE Index (net of taxes), the performance of the MSCI EAFE Index (net of taxes) will generally be lower than that of the MSCI EAFE Index (gross of taxes).

The Russell Midcap® Index is an unmanaged index that measures the performance of the mid-cap segment of the U.S. equity market. It includes approximately 800 of the smallest companies in the Russell 1000® Index, and represents approximately 31% of the total market capitalization of the Russell 1000 Index. Frank Russell Company is the source and owner of the Russell Index data contained or reflected in this material and all trademarks and copyrights related thereto. Frank Russell Company is not responsible for the formatting or configuration of this material or for any inaccuracy in the presentation thereof.

The Russell 2000® Index is an unmanaged index that measures the performance of the small-cap segment of the U.S. equity market. It is a subset of the Russell 3000® Index, and includes approximately 2,000 of the smallest securities based on a combination of their market cap and current index membership. The Russell 2000 Index represents approximately 10% of the total market capitalization of the Russell 3000 Index. Frank Russell Company is the source and owner of the Russell Index data contained or reflected in this material and all trademarks and copyrights related thereto. Frank Russell Company is not responsible for the formatting or configuration of this material or for any inaccuracy in the presentation thereof.

The S&P 500 Price Index is not a total return index as it excludes dividends. The index includes 500 of the largest stocks, (in terms of stock market value) in the U.S.; prior to March 1957, it consisted of 90 of the largest stocks. Although the S&P 500 focuses on the large-cap segment of the market, with approximately 80% coverage of U.S. equities, it is also used as a reliable proxy for the total U.S. equity market.

DISCLOSURES

The S&P 500 Index, an unmanaged index, includes 500 of the largest stocks (in terms of stock market value) in the United States; prior to March 1957, it consisted of 90 of the largest stocks. Although the S&P 500 focuses on the large-cap segment of the market, with approximately 80% coverage of U.S. equities, it is also used as a proxy for the total U.S. equity market.

Certain benchmark values are not available on a daily basis. As a result, benchmark returns will not be included in the Performance Summary if the daily values were not available on the date of this report.

The information on indices is presented for illustrative purposes only and is not intended to imply the potential performance of any fund or investment. Indices are not available for direct investment. Index performance assumes the reinvestment of all distributions but does not assume any transaction costs, taxes, management fees or other expenses. An investment advisory disclosure document that describes our firm's investment advisory services and those of any investment advisors managing your account is available to you at no cost. Please contact your financial advisor or Program Sponsor to request these documents.

Please update your financial advisor if your investment objectives have changed or if the personal or financial information initially provided in your application has changed.

The Global Industry Classification (GICS®) was developed by and is the exclusive property of Morgan Stanley Capital International Inc. and Standard and Poor's. GICS is a service mark of MSCI and S&P and has been licensed for use by Lockwood and its affiliates.

Additional Definitions for Your Portfolio Summary Report

Number of Holdings: The number of positions held in the Equity or the Fixed Income asset class. Preferred stocks are counted as fixed income.

Average Market Cap: The weighted (by market value held) average market capitalization of all stocks held. Market capitalization is the price of a stock times the number of outstanding shares for that stock.

Average Dividend Yield: The weighted (by market value held) average dividend yield of all stocks held. Dividend yield is a financial ratio that provides an indication of how much a company has paid out in dividends (based on the most recent dividend paid) relative to its share price. The ratio is not a projection of the actual dividend payout that might be achieved.

Earnings Growth Rate: [Field not currently used]

Average Yield to Worst: The weighted (by market value held) average yield based on the lower of a bond's yield to call or yield to maturity, for all bonds held.

Average Bond Duration: The weighted (by market value held) average duration of all bonds held. Bond duration is the measure of price sensitivity of a fixed income security to an interest rate change of 1%. A higher duration indicates greater relative price sensitivity to changes in interest rates.

Average Coupon: The weighted (by market value held) average of the annual coupon interest rate of all bonds held. The coupon interest rate is a bond's stated interest rate.

DISCLOSURES

If you have any questions or need assistance in understanding your Portfolio Summary Report, please contact your Benjamin F. Edwards & Co. financial advisor.

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