UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT	FORM B For New Members, Candidates, and New Employees

MAY 10 2021

LEGISLATIVE RESOURCE CENTER

2021 MAY 19 PM 3:06

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

Name: Randi McGinn **Daytime Telephone:** (

FILER STATUS		
	[X] New Member of or Candidate for U.S. House of Representatives — State: N.M District: CD-1 — Candidates – Date of Election: June, 2021	[ ] Check If Amendment
	[ ] New Officer or Employee — Employing Office: ___ — Staff Filer Type (If Applicable): Shared [ ] Principal Assistant [ ]	Period Covered: January 1, ___ to ___

A $200 penalty shall be assessed against any individual who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

	Yes	No		Yes	No
A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than $1,000 at the end of the reporting period? or b. Receive more than $200 in unearned income from any reportable asset during the reporting period?	X		E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	X	
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of $200 or more during the reporting period?	X		F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?		X
D. Did you, your spouse, or your dependent child have any reportable liability (more than $10,000) at any point during the reporting period?	X		J. Did you receive compensation of more than $5,000 from a single source in the current year and two prior years?	X	

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

	Yes	No
TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	[ ]	[ ]
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? *Do not answer "yes" unless you have first consulted with the Committee on Ethics.*	[ ]	[ ]

SCHEDULE A – ASSETS & "UNEARNED INCOME"

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BLOCK A

Assets and/or Income Sources

Identify (a) each asset held for investment or production of income and with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use only ticker symbols).

For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.

For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over $5,000, list every financial institution where there is more than $1,000 in interest-bearing accounts.

For rental and other real property held for investment, provide a complete address or description, *e.g.*, "rental property," and a city and state.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (*unless* there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you report a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.

For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.

BLOCK B

Value of Asset

Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."

*Column M is for assets held by your spouse or dependent child in which you have no interest.

BLOCK C

Type of Income

Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts.** Check "None" if the asset generated no income during the reporting period.

BLOCK D

Amount of Income

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. ***Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts.*** Check "None" if no income was earned or generated.

*Column XII is for assets held by your spouse or dependent child in which you have no interest.

Current Year: 2021 | Preceding Year: 2020

SP, DC, JT	Block A: Asset	EIF	B-A: None	B-B: $1-$1,000	B-C: $1,001-$15,000	B-D: $15,001-$50,000	B-E: $50,001-$100,000	B-F: $100,001-$250,000	B-G: $250,001-$500,000	B-H: $500,001-$1,000,000	B-I: $1,000,001-$5,000,000	B-J: $5,000,001-$25,000,000	B-K: $25,000,001-$50,000,000	B-L: Over $50,000,000	B-M: Spouse/DC Asset over $1,000,000*	C: NONE	C: DIVIDENDS	C: RENT	C: INTEREST	C: CAPITAL GAINS	C: EXCEPTED/BLIND TRUST	C: TAX-DEFERRED	C: Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current I: None	Current II: $1-$200	Current III: $201-$1,000	Current IV: $1,001-$2,500	Current V: $2,501-$5,000	Current VI: $5,001-$15,000	Current VII: $15,001-$50,000	Current VIII: $50,001-$100,000	Current IX: $100,001-$1,000,000	Current X: $1,000,001-$5,000,000	Current XI: Over $5,000,000	Current XII: Spouse/DC Income over $1,000,000*	Preceding I: None	Preceding II: $1-$200	Preceding III: $201-$1,000	Preceding IV: $1,001-$2,500	Preceding V: $2,501-$5,000	Preceding VI: $5,001-$15,000	Preceding VII: $15,001-$50,000	Preceding VIII: $50,001-$100,000	Preceding IX: $100,001-$1,000,000	Preceding X: $1,000,001-$5,000,000	Preceding XI: Over $5,000,000	Preceding XII: Spouse/DC Income over $1,000,000*
	Examples: Mega Corp Stock						X										X								X													X									
	Examples: Simon & Schuster				Indefinite																		Royalties					X													X						
	Examples: ABC Hedge Fund	X							X														Partnership Income						X													X					

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: Randi McGinn

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BLOCK A – Assets and/or Income Sources; BLOCK B – Value of Asset

SP, DC, JT	ASSET NAME	EIF	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*
	Wells Fargo Checking						X								
	NMB&T Checking				X										
	UBS cash UBS investments (see attached schedule)										X				
	UBS Vested profit sharing										X				
	UBS IRA Rollover				X										
	2% Stock MMIC			No value.											
	Edward Jones (see attached schedule)										X				
	Pensions: NMPERA			undetermined value											
	State NM			undetermined value											

BLOCK C – Type of Income

ASSET NAME	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)
Wells Fargo Checking								
NMB&T Checking								
UBS cash UBS investments (see attached schedule)		X						
UBS Vested profit sharing								Ret
UBS IRA Rollover								Ret
2% Stock MMIC								W-2
Edward Jones (see attached schedule)		X						
Pensions: NMPERA								Ret
State NM								Ret

BLOCK D – Amount of Income: Current Year

ASSET NAME	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Income over $1,000,000*
Wells Fargo Checking												
NMB&T Checking												
UBS cash UBS investments (see attached schedule)				X								
UBS Vested profit sharing	X											
UBS IRA Rollover	X											
2% Stock MMIC	X											
Edward Jones (see attached schedule)				X								
Pensions: NMPERA						X						
State NM								X				

BLOCK D – Amount of Income: Preceding Year

ASSET NAME	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Income over $1,000,000*
Wells Fargo Checking												
NMB&T Checking												
UBS cash UBS investments (see attached schedule)				X								
UBS Vested profit sharing	X											
UBS IRA Rollover	X											
2% Stock MMIC												
Edward Jones (see attached schedule)				X								
Pensions: NMPERA						X						
State NM								X				

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: Randi McGinn | Page 3 of 7

BLOCK A – Assets and/or Income Sources: PS + Rentals

BLOCK B – Value of Asset: Gross no liab

SP, DC, JT	ASSET NAME	EIF	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*
	Kreak Partnership								X						
	198 EagleCreek									X					
	123 WalterSE									X					
	116 WalterSE								X						
	Broadmoor 50%								X						
	Assets														
	Home - Alb.									X					
	Vacation Home-Ruidoso									X					

BLOCK C – Type of Income

ASSET NAME	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)
Kreak Partnership			X					Pip
198 EagleCreek			X					
123 WalterSE			X					
116 WalterSE			X					
Broadmoor 50%			X					
Assets								
Home - Alb.	X							
Vacation Home-Ruidoso	X							

BLOCK D – Amount of Income

Current Year: 4 months 2021 – GROSS

ASSET NAME	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Income over $1,000,000*
Kreak Partnership				X								
198 EagleCreek						X						
123 WalterSE						X						
116 WalterSE						X						
Broadmoor 50%				X								
Assets												
Home - Alb.									X			
Vacation Home-Ruidoso									X			

Preceding Year: 2020 – GROSS

ASSET NAME	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Income over $1,000,000*
Kreak Partnership						X						
198 EagleCreek							X					
123 WalterSE							X					
116 WalterSE							X					
Broadmoor 50%												
Assets												
Home - Alb.												
Vacation Home-Ruidoso												

Use additional sheets if more space is required.

SCHEDULE C – EARNED INCOME

Name: Randi McGinn

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling $200 or more during the reporting period. For both the filer and filer's spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding $1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: Be advised that the outside earned income limit and prohibitions on types of income may apply to you after you are on House payroll. The 2019 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was $28,440. The 2020 limit is $28,845. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

Source (include date of receipt for honoraria)	Type	Amount: Current Year to Filing	Amount: Preceding Year 2020
Examples: ABC Trade Association, Baltimore, MD (July 15)	Honorarium	$0	$500
State of Maryland	Salary	$20,000	$76,000
Civil War Roundtable (Oct. 2)	Spouse Speech	$0	$1,000
Ontario County Board of Education	Spouse Salary	N/A	N/A
MMLC, PA	W-2 Salary + Bonuses	57,000	$2,040,424
Trial Guides Royalties	Book Royalties	0	1,422

Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

Name: Randi McGinn

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or your dependent child. **Mark the highest amount owed during the reporting period. New Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a ***revolving charge account*** (*i.e.*, credit card) only if the balance at the close of the reporting period exceeded $10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	A $10,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/19	Mortgage on Rental Property, Dover, DE				x							
	US Bank	6/10	Mortgage EagleCreek				X							
	Rushmore	10/16	Mortgage 123 Walter					X						
	PNC Mortgage	7/15	Mortgage 116 Walter				X							
	SunTrust/Truist	7/16	Mortgage Broadmoor			X								
	Total Escrow	2011	REC Vacation Home			X								

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members and second-year candidates** report positions held in the reporting period and the current calendar year. **First-year candidates and new employees** report positions held in the current calendar year and two previous years.

Position	Name of Organization
Senior Attorney & Partner	McGinn, Montoya, Love + Curry, PA (MMLC, PA)
Partner	KREAK, LLC

Use additional sheets if more space is required.

SCHEDULE F – AGREEMENTS

Name:	Page 6 of ____

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
	None	

SCHEDULE J – COMPENSATION IN EXCESS OF $5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than $5,000. **Exclude:** Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. **Do not repeat information listed on Schedule C.**

Source (Name and City/State)	Brief Description of Duties
Example: Doe Jones & Smith, Hometown, Homestate	Accounting Services
MMLC, PA	Salary + bonuses for representing people hurt by corporate negligence
KREAK	Partnership which owns + receives rent on our office

Use additional sheets if more space is required.

FILER NOTES
(Optional)

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NOTE NUMBER	NOTES
1	UBS Investments - Rather than list all investments I have attached a schedule with identifying info removed. (a) 2020 (b) current
2	UBS Retirement rollover - schedule attached.
3	UBS Retirement vested profit sharing - have attached stock schedule for the portion of the plan which is in my name (a rollover) The rest is held by firm in trust.
4	Edward Jones - Have attached schedule of stocks + investments for 2 accounts held by Edward Jones - 1 retirement + one investment. Have attached just current stocks held as there have been no changes in investments since end of 2020. 4(a) Inv. Account in my name 4(b) Retirement account in name of deceased husband Charles Daniels

Use additional sheets if more space is required.

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Money balance activities (continued)	Dec 21	Deposit	UBS AG DEPOSIT ACCOUNT	198.50
	Dec 23	Deposit	UBS AG DEPOSIT ACCOUNT	61.25
	Dec 24	Deposit	UBS AG DEPOSIT ACCOUNT	207.23
	Dec 30	Deposit	UBS AG DEPOSIT ACCOUNT	16.00
	Dec 31	**Closing UBS AG Deposit Account**		**$88,354.53**

Note 1a

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Deposit Program Bank deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Deposit Program Bank deposit account balances are insured by the FDIC up to $250,000 per depositor per ownership category, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by the FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

For FDIC insurance purposes, deposit balances include deposit account balances held at UBS Bank USA through the UBS cash sweep programs, Certificates of Deposits and UBS Core Savings. FDIC insurance is calculated by ownership category (e.g., single, joint, retirement, business, trust). As a result, you will need to review your deposit amounts in each ownership category to determine whether your deposit balances are fully insured. For more information, visit www.fdic.gov. Please review this section as well as the "Cash alternatives" and "Fixed income" sections to review the current deposit balances held at UBS Bank USA.

See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/interest period	Days in period	Cap amount ($)
UBS BANK USA DEP ACCT	250,000.00	250,000.00					250,000.00
UBS AG DEPOSIT ACCOUNT	87,683.81	88,354.53					
Total	**$337,683.81**	**$338,354.53**					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ALIBABA GROUP HLDG LTD SPON ADR Symbol: BABA Exchange: NYSE	Sep 1, 19	250.000	173.695	43,423.75	232.730	58,182.50	14,758.75	LT
AMAZON.COM INC Symbol: AMZN Exchange: OTC	Sep 1, 19	50.000	1,783.278	89,163.90	3,256.930	162,846.50	73,682.60	LT
	Mar 13, 20	11.000	1,756.526	19,321.79	3,256.930	35,826.23	16,504.44	ST
Security total		61.000	1,778.454	108,485.69		198,672.73	90,187.04	
APPLE INC Symbol: AAPL Exchange: OTC EAI: $1,181 Current yield: 0.62%	Sep 1, 19	1,440.000	51.803	74,596.50	132.690	191,073.60	116,477.10	LT

continued next page

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
APPLIED MATERIALS INC								
Symbol: AMAT Exchange: OTC								
EAI: $528 Current yield: 1.02%	Sep 1, 19	600.000	47.603	28,562.12	86.300	51,780.00	23,217.88	LT
BEYOND MEAT INC								
Symbol: BYND Exchange: OTC	Sep 1, 19	110.000	167.627	18,439.03	125.000	13,750.00	-4,689.03	LT
	Nov 18, 20	250.000	135.667	33,916.75	125.000	31,250.00	-2,666.75	ST
Security total		360.000	145.433	52,355.78		45,000.00	-7,355.78	
CITRIX SYSTEMS INC								
Symbol: CTXS Exchange: OTC								
EAI: $245 Current yield: 1.08%	Jul 30, 20	175.000	142.695	24,971.73	130.100	22,767.50	-2,204.23	ST
FACEBOOK INC CL A								
Symbol: FB Exchange: OTC	Sep 1, 19	175.000	184.510	32,289.27	273.160	47,803.00	15,513.73	LT
JPMORGAN CHASE & CO								
Symbol: JPM Exchange: NYSE								
EAI: $1,440 Current yield: 2.83%	Nov 18, 20	400.000	119.562	47,825.10	127.070	50,828.00	3,002.90	ST
NVIDIA CORP								
Symbol: NVDA Exchange: OTC								
EAI: $64 Current yield: 0.12%	Sep 1, 19	100.000	166.700	16,670.05	522.200	52,220.00	35,549.95	LT
ORACLE CORP								
Symbol: ORCL Exchange: NYSE								
EAI: $432 Current yield: 1.48%	Mar 13, 20	450.000	44.774	20,148.47	64.690	29,110.50	8,962.03	ST
SYSCO CORP								
Symbol: SYY Exchange: NYSE								
EAI: $1,260 Current yield: 2.42%	Nov 18, 20	700.000	74.453	52,117.40	74.260	51,982.00	-135.40	ST
UNITED PARCEL SERVICE INC CL B								
Symbol: UPS Exchange: NYSE								
EAI: $2,121 Current yield: 2.40%	Mar 13, 20	225.000	89.759	20,195.88	168.400	37,890.00	17,694.12	ST
	Nov 18, 20	300.000	173.087	51,926.30	168.400	50,520.00	-1,406.30	ST
Security total		525.000	137.376	72,122.18		88,410.00	16,287.82	
ZOOM VIDEO COMMUNICATIONS INC CL A								
Symbol: ZM Exchange: OTC	Jul 30, 20	200.000	250.020	50,004.05	337.320	67,464.00	17,459.95	ST
Total				**$623,572.09**		**$955,293.83**	**$331,721.74**	

Total estimated annual income: $7,271

Your assets › Equities (continued)

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ISHARES CORE HIGH DIVID ETF									
Symbol: HDV									
Trade date: Sep 1, 19	215.000	92.501	19,887.82	19,887.82	87.670	18,849.05	-1,038.77	-1,038.77	LT
EAI: $767 Current yield: 4.07%									
SPDR S&P DIVIDEND ETF									
Symbol: SDY									
Trade date: Sep 1, 19	210.000	99.016	20,793.52	20,793.52	105.930	22,245.30	1,451.78	1,451.78	LT
EAI: $635 Current yield: 2.85%									
Total			**$40,681.34**	**$40,681.34**		**$41,094.35**	**$413.01**	**$413.01**	

Total estimated annual income: $1,402

Fixed income

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
THORNBURG N M INTERM									
MUNI FUND D									
Symbol: THNDX									
Trade date: Sep 1, 19	16,412.382	13.459	220,910.66	220,910.66	13.570	222,716.02	1,805.36		LT

continued next page

Your assets › Fixed income › Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Total reinvested	322.664	13.400		4,323.77	13.570	4,378.55	54.78		
EAI: $3,330 Current yield: 1.47%									
Security total	16,735.046	13.459	220,910.66	225,234.43		227,094.57	1,860.14	6,183.91	

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**338,354.53**	**21.66%**	**338,354.53**		
Equities	Common stock	955,293.83		623,572.09	7,271.00	331,721.74
	Closed end funds & Exchange traded products	41,094.35		40,681.34	1,402.00	413.01
	Total equities	**996,388.18**	**63.80%**	**664,253.43**	**8,673.00**	**332,134.75**
Fixed income	**Mutual funds**	**227,094.57**	**14.54%**	**225,234.43**	**3,330.00**	**1,860.14**
Total		**$1,561,837.28**	**100.00%**	**$1,227,842.39**	**$12,003.00**	**$333,994.89**

Account activity this month

	Date	Activity	Description	Amount ($)
Dividend and interest income				
Taxable dividends	Dec 3	Dividend	UNITED PARCEL SERVICE INC CL B PAID ON 225 SYMBOL: UPS	227.25
	Dec 10	Dividend	APPLIED MATERIALS INC PAID ON 600 SYMBOL: AMAT	132.00
	Dec 18	Dividend	ISHARES CORE HIGH DIVID ETF PAID ON 215 SYMBOL: HDV	198.50
	Dec 22	Dividend	CITRIX SYSTEMS INC PAID ON 175 SYMBOL: CTXS	61.25
	Dec 23	Dividend	SPDR S&P DIVIDEND ETF PAID ON 210 SYMBOL: SDY	207.23
	Dec 29	Dividend	NVIDIA CORP PAID ON 100 SYMBOL: NVDA	16.00
	Total taxable dividends			**$842.23**

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Dividend and interest income (continued)				
Tax-exempt dividends	Dec 1	Dividend	THORNBURG N M INTERM MUNI FUND D AS OF 11/30/20 SYMBOL: THNDX	248.94
	Total tax-exempt dividends			**$248.94**
Taxable interest	Dec 7	Interest	UBS AG DEPOSIT ACCOUNT AS OF 12/04/20	1.32
	Dec 7	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/04/20	2.17
	Total taxable interest			**$3.49**
	Total dividend and interest income			**$1,094.66**

	Date	Activity	Description	Amount ($)
Fees	Dec 4	Fee Charge	ANNUAL FEE CHARGE	-175.00
	Total annual fees			**-$175.00**

Investment transactions

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value ($)	Price ($)	Proceeds from investment transactions ($)	Funds withdrawn for investments bought ($)	Accrued interest ($)
Dec 1	Reinvestment	THORNBURG N M INTERM MUNI FUND D DIVIDEND REINVESTED AT 13.54 NAV ON 11/30/20 AS OF 11/30/20 SYMBOL: THNDX	18.386				-248.94	
Total							**-$248.94**	

	Date	Activity	Description	Amount ($)
Money balance activities	**Nov 30**	**Balance forward**		**$250,000.00**
	Dec 7	Deposit	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/04/20	2.17
	Dec 8	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT	-2.17
	Dec 31	**Closing UBS Bank USA Deposit Account**		**$250,000.00**
	Nov 30	**Balance forward**		**$87,683.81**
	Dec 4	Deposit	UBS AG DEPOSIT ACCOUNT	227.25
	Dec 7	Deposit	UBS AG DEPOSIT ACCOUNT AS OF 12/04/20	1.32
	Dec 7	Withdrawal	UBS AG DEPOSIT ACCOUNT	-175.00
	Dec 8	Deposit	UBS AG DEPOSIT ACCOUNT	2.17
	Dec 11	Deposit	UBS AG DEPOSIT ACCOUNT	132.00

continued next page

Note 1 b

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Deposit Program Bank deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Deposit Program Bank deposit account balances are insured by the FDIC up to $250,000 per depositor per ownership category, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by the FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

For FDIC insurance purposes, deposit balances include deposit account balances held at UBS Bank USA through the UBS cash sweep programs, Certificates of Deposits and UBS Core Savings. FDIC insurance is calculated by ownership category (e.g., single, joint, retirement, business, trust). As a result, you will need to review your deposit amounts in each ownership category to determine whether your deposit balances are fully insured. For more information, visit www.fdic.gov. Please review this section as well as the "Cash alternatives" and "Fixed income" sections to review the current deposit balances held at UBS Bank USA.

See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Mar 1 ($)	Closing balance on Mar 31 ($)	Price per share on Mar 31 ($)	Average rate	Dividend/interest period	Days in period	Cap amount ($)
UBS BANK USA DEP ACCT	250,000.00	250,000.00					250,000.00
UBS AG DEPOSIT ACCOUNT	89,438.13	90,548.38					
Total	**$339,438.13**	**$340,548.38**					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized gain or loss ($)	Holding period
ALIBABA GROUP HLDG LTD SPON ADR Symbol: BABA Exchange: NYSE	Sep 1, 19	250.000	173.695	43,423.75	226.730	56,682.50	13,258.75	LT
AMAZON.COM INC Symbol: AMZN Exchange: OTC	Sep 1, 19	50.000	1,783.278	89,163.90	3,094.080	154,704.00	65,540.10	LT
	Mar 13, 20	11.000	1,756.526	19,321.79	3,094.080	34,034.88	14,713.09	LT
Security total		61.000	1,778.454	108,485.69		188,738.88	80,253.19	
APPLE INC Symbol: AAPL Exchange: OTC EAI: $1,181 Current yield: 0.67%	Sep 1, 19	1,440.000	51.803	74,596.50	122.150	175,896.00	101,299.50	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized gain or loss ($)	Holding period
APPLIED MATERIALS INC								
Symbol: AMAT Exchange: OTC								
EAI: $576 Current yield: 0.72%	Sep 1, 19	600.000	47.603	28,562.12	133.600	80,160.00	51,597.88	LT
BEYOND MEAT INC								
Symbol: BYND Exchange: OTC	Sep 1, 19	110.000	167.627	18,439.03	130.120	14,313.20	-4,125.83	LT
	Nov 18, 20	250.000	135.667	33,916.75	130.120	32,530.00	-1,386.75	ST
Security total		360.000	145.433	52,355.78		46,843.20	-5,512.58	
CITRIX SYSTEMS INC								
Symbol: CTXS Exchange: OTC								
EAI: $259 Current yield: 1.05%	Jul 30, 20	175.000	142.695	24,971.73	140.360	24,563.00	-408.73	ST
FACEBOOK INC CL A								
Symbol: FB Exchange: OTC	Sep 1, 19	175.000	184.510	32,289.27	294.530	51,542.75	19,253.48	LT
JPMORGAN CHASE & CO								
Symbol: JPM Exchange: NYSE								
EAI: $1,440 Current yield: 2.36%	Nov 18, 20	400.000	119.562	47,825.10	152.230	60,892.00	13,066.90	ST
NVIDIA CORP								
Symbol: NVDA Exchange: OTC								
EAI: $64 Current yield: 0.12%	Sep 1, 19	100.000	166.700	16,670.05	533.930	53,393.00	36,722.95	LT
ORACLE CORP								
Symbol: ORCL Exchange: NYSE								
EAI: $576 Current yield: 1.82%	Mar 13, 20	450.000	44.774	20,148.47	70.170	31,576.50	11,428.03	LT
SYSCO CORP								
Symbol: SYY Exchange: NYSE								
EAI: $1,260 Current yield: 2.29%	Nov 18, 20	700.000	74.453	52,117.40	78.740	55,118.00	3,000.60	ST
UNITED PARCEL SERVICE INC CL B								
Symbol: UPS Exchange: NYSE								
EAI: $2,142 Current yield: 2.40%	Mar 13, 20	225.000	89.759	20,195.88	169.990	38,247.75	18,051.87	LT
	Nov 18, 20	300.000	173.087	51,926.30	169.990	50,997.00	-929.30	ST
Security total		525.000	137.376	72,122.18		89,244.75	17,122.57	
ZOOM VIDEO COMMUNICATIONS INC CL A								
Symbol: ZM Exchange: OTC	Jul 30, 20	200.000	250.020	50,004.05	321.290	64,258.00	14,253.95	ST
Total				**$623,572.09**		**$978,908.58**	**$355,336.49**	

Total estimated annual income: $7,498

Your assets › **Equities** (continued)

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ISHARES CORE HIGH DIVID ETF									
Symbol: HDV									
Trade date: Sep 1, 19	215.000	92.501	19,887.82	19,887.82	94.890	20,401.35	513.53	513.53	LT
EAI: $760 Current yield: 3.73%									
SPDR S&P DIVIDEND ETF									
Symbol: SDY									
Trade date: Sep 1, 19	210.000	99.016	20,793.52	20,793.52	118.030	24,786.30	3,992.78	3,992.78	LT
EAI: $664 Current yield: 2.68%									
Total			**$40,681.34**	**$40,681.34**		**$45,187.65**	**$4,506.31**	**$4,506.31**	

Total estimated annual income: $1,424

Fixed income

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
THORNBURG N M INTERM									
MUNI FUND D									
Symbol: THNDX									
Trade date: Sep 1, 19	16,412.382	13.459	220,910.66	220,910.66	13.420	220,254.17	-656.49		LT

continued next page

Account name: RANDI MCGINN
Account number: [redacted]

Your Financial Advisor:
KELLY/SCHIFANI
505-881-1700/800-828-3519

Your assets › Fixed income › Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Total reinvested	371.618	13.414		4,985.17	13.420	4,987.11	1.94		
EAI: $3,021 Current yield: 1.34%									
Security total	16,784.000	13.459	220,910.66	225,895.83		225,241.28	-654.55	4,330.62	

Your total assets

		Value on Mar 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**340,548.38**	**21.42%**	**340,548.38**		
Equities	Common stock	978,908.58		623,572.09	7,498.00	355,336.49
	Closed end funds & Exchange traded products	45,187.65		40,681.34	1,424.00	4,506.31
	Total equities	**1,024,096.23**	**64.41%**	**664,253.43**	**8,922.00**	**359,842.80**
Fixed income	**Mutual funds**	**225,241.28**	**14.17%**	**225,895.83**	**3,021.00**	**-654.55**
Total		**$1,589,885.89**	**100.00%**	**$1,230,697.64**	**$11,943.00**	**$359,188.25**

Account activity this month

	Date	Activity	Description	Amount ($)
Dividend and interest income				
Taxable dividends	Mar 10	Dividend	UNITED PARCEL SERVICE INC CL B PAID ON 525 SYMBOL: UPS	535.50
	Mar 18	Dividend	APPLIED MATERIALS INC PAID ON 600 SYMBOL: AMAT	132.00
	Mar 24	Dividend	SPDR S&P DIVIDEND ETF PAID ON 210 SYMBOL: SDY	169.82
	Mar 26	Dividend	CITRIX SYSTEMS INC PAID ON 175 SYMBOL: CTXS	64.75
	Mar 30	Dividend	ISHARES CORE HIGH DIVID ETF PAID ON 215 SYMBOL: HDV	189.66
	Mar 30	Dividend	NVIDIA CORP PAID ON 100 SYMBOL: NVDA	16.00
	Total taxable dividends			**$1,107.73**

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Dividend and interest income (continued)				
Tax-exempt dividends	Mar 1	Dividend	THORNBURG N M INTERM MUNI FUND D AS OF 02/26/21 SYMBOL: THNDX	252.71
	Total tax-exempt dividends			**$252.71**
Taxable interest	Mar 5	Interest	UBS AG DEPOSIT ACCOUNT AS OF 03/04/21	0.56
	Mar 5	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 03/04/21	1.96
	Total taxable interest			**$2.52**
	Total dividend and interest income			**$1,362.96**

Investment transactions

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value ($)	Price ($)	Proceeds from investment transactions ($)	Funds withdrawn for investments bought ($)	Accrued interest ($)
Mar 1	Reinvestment	THORNBURG N M INTERM MUNI FUND D DIVIDEND REINVESTED AT 13.40 NAV ON 02/26/21 AS OF 02/26/21 SYMBOL: THNDX	18.859				-252.71	
Total							**-$252.71**	

	Date	Activity	Description	Amount ($)
Money balance activities	**Feb 26**	**Balance forward**		**$250,000.00**
	Mar 5	Deposit	UBS BANK USA DEPOSIT ACCOUNT AS OF 03/04/21	1.96
	Mar 8	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT	-1.96
	Mar 31	**Closing UBS Bank USA Deposit Account**		**$250,000.00**
	Feb 26	**Balance forward**		**$89,438.13**
	Mar 5	Deposit	UBS AG DEPOSIT ACCOUNT AS OF 03/04/21	0.56
	Mar 8	Deposit	UBS AG DEPOSIT ACCOUNT	1.96
	Mar 11	Deposit	UBS AG DEPOSIT ACCOUNT	535.50
	Mar 19	Deposit	UBS AG DEPOSIT ACCOUNT	132.00
	Mar 25	Deposit	UBS AG DEPOSIT ACCOUNT	169.82
	Mar 29	Deposit	UBS AG DEPOSIT ACCOUNT	64.75
	Mar 31	Deposit	UBS AG DEPOSIT ACCOUNT	205.66
	Mar 31	**Closing UBS AG Deposit Account**		**$90,548.38**

Note 2

Account name: RANDI MCGINN
Account type: IRA Rollover
Account number: [redacted]

Your Financial Advisor:
KELLY/SCHIFANI
505-881-1700/800-828-3519

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Mar 1 ($)	Closing balance on Mar 31 ($)	Price per share on Mar 31 ($)	Average rate	Dividend/interest period	Days in period
Cash	-100.00	0.00				

Other

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
UBS GLOBAL ALLOCATION									
FUND CLASS A									
Trade date: Jan 12, 06	355.926	13.779	4,904.66	4,904.66	14.170	5,043.47	138.81		LT
Total reinvested	360.927	10.337		3,730.97	14.170	5,114.33	1,383.36		
EAI: $52 Current yield: 0.51%									
Security total	716.853	12.047	4,904.66	8,635.63		10,157.80	1,522.17	5,253.14	

Your total assets

		Value on Mar 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Other	**Mutual funds**	**10,157.80**	**100.00%**	**8,635.63**	**52.00**	**1,522.17**
Total		**$10,157.80**	**100.00%**	**$8,635.63**	**$52.00**	**$1,522.17**

Account activity this month

Investment transactions

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value ($)	Price ($)	Proceeds from Investment transactions ($)	Funds withdrawn for investments bought ($)	Accrued interest ($)
Mar 10	Sold	UBS GLOBAL ALLOCATION FUND CLASS A SYMBOL: BNGLX	-7.153		13.980	100.00		
Total						**$100.00**		

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* at the end of this document for more information. We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
UBS GLOBAL ALLOCATION FUND CLASS A	FIFO	7.153	Jan 12, 06	Mar 08, 21	100.00	98.57			1.43

Note 3

Account name: RANDI MCGINN P/S PLAN
Account type: Money Purchase Plan
Account number: [redacted]

Your Financial Advisor:
KELLY/SCHIFANI
505-881-1700/800-828-3519

Your PACE assets › **Fixed Income** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date: Jan 15, 15	49.814	10.290	512.63	512.63	10.470	521.55	8.92		LT
Total reinvested	706.571	10.120		7,151.04	10.470	7,397.80	246.76		
EAI: $954 Current yield: 4.44%									
Security total	2,051.339	10.366	14,113.73	21,264.77		21,477.51	212.75	7,363.79	
SEGALL BRYANT & HAMILL PLUS BOND									
Symbol: WTIBX									
Trade date: Feb 2, 16	1,555.532	10.659	16,581.97	16,581.97	11.250	17,499.73	917.76		LT
Total reinvested	357.783	10.736		3,841.41	11.250	4,025.06	183.65		
EAI: $572 Current yield: 2.66%									
Security total	1,913.315	10.674	16,581.97	20,423.38		21,524.79	1,101.41	4,942.82	
PACE portfolio total			**$55,521.27**	**$81,587.04**		**$88,463.17**	**$6,876.14**	**$32,941.90**	

Total estimated annual income: $2,806

Your assets not enrolled in PACE

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

PACE assets are held in a commingled account in which you can also hold brokerage assets that are not part of the PACE program. The PACE allocation is Advisory, and the non-PACE assets are considered brokerage assets. Outside of the PACE allocation, you may buy and hold assets in your brokerage account that are not invested through the PACE Program.

Neither UBS nor your Financial Advisor will act as your investment advisor or fiduciary with respect to, or exercise investment discretion over, these brokerage assets. We will effect transactions for you in those assets at your direction and in our capacity as a broker- dealer, for our customary fees and commissions. The PACE Program Fee does not apply to these brokerage assets and transactions. Non-PACE assets will not be included in your Performance Report but will be included in your account statements.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/interest period	Days in period
LIQUID ASSETS GOVT FUND	362,239.05	362,676.43	1.00	0.08%	Nov 1 to Nov 30	30

Your assets not enrolled in PACE (continued)

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ADOBE INC. (DELAWARE) Symbol: ADBE Exchange: OTC	Jan 26, 04	320.000	19.418	6,214.07	500.120	160,038.40	153,824.33	LT
ALIBABA GROUP HLDG LTD SPON ADR Symbol: BABA Exchange: NYSE	Jan 28, 15	200.000	99.895	19,979.05	232.730	46,546.00	26,566.95	LT
AMAZON.COM INC Symbol: AMZN Exchange: OTC	Oct 13, 08	130.000	59.569	7,744.07	3,256.930	423,400.90	415,656.83	LT
APPLE INC Symbol: AAPL Exchange: OTC EAI: $1,378 Current yield: 0.62%	Oct 13, 08	1,680.000	3.795	6,375.99	132.690	222,919.20	216,543.21	LT
AUTOZONE INC Symbol: AZO Exchange: NYSE	Mar 13, 20	25.000	1,004.002	25,100.05	1,185.440	29,636.00	4,535.95	ST
BEYOND MEAT INC Symbol: BYND Exchange: OTC	Mar 3, 20	250.000	99.431	24,857.75	125.000	31,250.00	6,392.25	ST
ORACLE CORP Symbol: ORCL Exchange: NYSE EAI: $528 Current yield: 1.48%	Mar 13, 20	550.000	44.857	24,671.47	64.690	35,579.50	10,908.03	ST
UNITED PARCEL SERVICE INC CL B Symbol: UPS Exchange: NYSE EAI: $909 Current yield: 2.40%	Mar 13, 20	225.000	89.558	20,150.67	168.400	37,890.00	17,739.33	ST
Total				**$135,093.12**		**$987,260.00**	**$852,166.88**	

Total estimated annual income: $2,815

Your assets not enrolled in PACE › Equities (continued)

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client Investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ISHARES CORE DIVD GRWTH ETF									
Symbol: DGRO									
Trade date: Mar 3, 20	1,000.000	39.343	39,343.15	39,343.15	44.820	44,820.00	5,476.85	5,476.85	ST
EAI: $1,029 Current yield: 2.30%									

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**362,676.43**	**22.69%**	**362,676.43**		
Equities	PACE	114,979.60		71,442.39	472.00	43,537.20
	Common stock	987,260.00		135,093.12	2,815.00	852,166.88
	Closed end funds & Exchange traded products	44,820.00		39,343.15	1,029.00	5,476.85
	Total equities	**1,147,059.60**	**71.77%**	**245,878.66**	**4,316.00**	**901,180.93**
Fixed Income	**PACE**	**88,463.17**	**5.54%**	**81,587.04**	**2,806.00**	**6,876.14**
Total		**$1,598,199.20**	**100.00%**	**$690,142.13**	**$7,122.00**	**$908,057.07**
Your total PACE assets**		**$203,442.77**	**12.73%**	**$153,029.43**	**$3,278.00**	**$50,413.34**

** Your total PACE assets are included in the Total reported above.

Account activity this month

	Date	Activity	Description	Amount ($)
Dividend and Interest Income				
Dividends	Dec 1	Dividend	DELAWARE CORPORATE BONDFUND INSTL CLASS I AS OF 11/30/20 SYMBOL: DGCIX	100.52
	Dec 1	Dividend	LIQUID ASSETS GOVT FUND AS OF 11/30/20	25.64

continued next page

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Dividend and interest income (continued)				
Dividends (continued)	Dec 3	Dividend	UNITED PARCEL SERVICE INC CL B PAID ON 225 SYMBOL: UPS	227.25
	Dec 11	St Cap Gain	LIQUID ASSETS GOVT FUND AS OF 12/10/20	11.77
	Dec 16	Dividend	FRANKLIN CONVERTIBLE SECURITIES FUND ADVISOR CLASS AS OF 12/15/20 SYMBOL: FCSZX	88.98
	Dec 16	St Cap Gain	FRANKLIN CONVERTIBLE SECURITIES FUND ADVISOR CLASS SHORT TERM CAPITAL GAIN AS OF 12/15/20 SYMBOL: FCSZX	393.80
	Dec 16	Lt Cap Gain	FRANKLIN CONVERTIBLE SECURITIES FUND ADVISOR CLASS LONG TERM CAPITAL GAIN AS OF 12/15/20 SYMBOL: FCSZX	3,324.28
	Dec 18	Dividend	ISHARES CORE DIVD GRWTH ETF PAID ON 1000 SYMBOL: DGRO	272.72
	Dec 21	Dividend	SEGALL BRYANT & HAMILL PLUS BOND SYMBOL: WTIBX	43.73
	Dec 21	St Cap Gain	SEGALL BRYANT & HAMILL PLUS BOND SHORT TERM CAPITAL GAIN SYMBOL: WTIBX	87.27
	Dec 21	Lt Cap Gain	SEGALL BRYANT & HAMILL PLUS BOND LONG TERM CAPITAL GAIN SYMBOL: WTIBX	187.17
	Dec 30	Dividend	PRINCIPAL SPECTRUM PREFERRED AND CAPITAL SEC INCOME FUND INSTL AS OF 12/29/20 SYMBOL: PPSIX	91.51
	Total dividends			**$4,854.64**
	Total dividend and interest income			**$4,854.64**

	Date	Activity	Description	Amount ($)
Fees	Dec 4	Fee Charge	ANNUAL QUALIFIED PLAN FEE	-100.00
	Total annual fees			**-$100.00**

Note 4(a)

Estimated Interest and Dividends by Month (continued)

Month	Not Reinvested	Reinvested	Total
November	455	198	653
December	731	341	1,072
January	0	177	177
February	455	173	628
March	731	316	1,047
Total	**$4,744**	**$2,968**	**$7,712**

The above is an estimate of the interest and dividends you can expect to earn on your investments in the next 12 months but it is only an estimate and cannot be guaranteed by Edward Jones or the issuers of the securities. The estimate is known as the Estimated Annual Income or EAI. It is based on past interest and dividend payments made by the securities held in your account. It is also based on statements made by the issuers of those securities. The estimates project possible future interest and dividend payments based on the number of bonds or shares held in your account at the time the estimate was done. Your actual investment income may be higher or lower than the estimated amounts. Estimates for certain types of securities that have a return of principal or capital gain may be overstated. Income being reinvested is indicated with '*'. Income cannot be estimated for the securities indicated by '**'. It cannot be estimated because the annual payment amount or frequency is not available at this time.

Asset Details (as of Mar 26, 2021)

additional details at www.edwardjones.com/access

Assets Held At Edward Jones

	Beginning Balance	Deposits	Withdrawals	Ending Balance
Money Market 0.01%*	$74,006.81	$733.45	—	$74,740.26

* The average yield on the money market fund for the past seven days.

Stocks	Price	Quantity	Value	Rate of Return*
Alibaba Group Holding Limited Sponsored Ads Symbol: BABA Asset Category: Aggressive Research Rating: None	227.26	501	113,857.26	25.20%
Amazon Com Inc Symbol: AMZN Asset Category: Growth & Income Research Rating: Buy	3,052.03	45	137,341.35	39.68%
Apple Inc Symbol: AAPL Asset Category: Growth & Income Research Rating: Hold Estimated Yield: 0.68%	121.21	991.84704	120,221.78	37.23%

Asset Details (continued)

Stocks	Price	Quantity	Value	Rate of Return*
Chevron Corp Symbol: CVX Asset Category: Growth & Income Research Rating: Buy Estimated Yield: 4.80%	107.48	152	16,336.96	14.00%
Intel Corp Symbol: INTC Asset Category: Growth & Income Research Rating: Hold Estimated Yield: 2.14%	64.87	723.83032	46,941.90	20.71%
Procter & Gamble Co Symbol: PG Asset Category: Growth & Income Research Rating: Buy Estimated Yield: 2.33%	135.45	319	43,208.55	13.89%
Raytheon Technologies Corp Symbol: RTX Asset Category: Growth & Income Research Rating: Buy Estimated Yield: 2.43%	78.17	239.00402	18,682.94	47.60%
Target Corp Symbol: TGT Asset Category: Growth & Income Research Rating: Hold Estimated Yield: 1.35%	200.95	252.6814	50,776.33	41.79%

Estimated Yield
The Estimated Yield (EY) in the preceding section compares the anticipated earnings on your investments in the coming year to the current price of the investments. It is based on past interest and dividend payments made by the securities held in your account. Changes in the price of a security over time or in the amount of the investment held in your account will cause the EY to vary. The EY is only an estimate and cannot be guaranteed by Edward Jones or the issuers of the securities. Your actual yield may be higher or lower than the estimated amounts. Estimates for any securities that have a return of principal or capital gain may be overstated. Income cannot be estimated for any securities that do not have an annual payment amount or frequency available at the time of estimation. Yield to Maturity is typically reported for Zero Coupon Bonds as these securities do not have an annual payment.

The Edward Jones' Research Rating referenced in this document does not take into account your particular investment profile and is not intended as an express recommendation to purchase, hold or sell particular securities, financial instruments or strategies. You should contact your Edward Jones financial advisor before acting upon the Edward Jones Research Rating referenced. You can access full research reports including additional disclosures via Online Access or from your financial advisor.

Mutual Funds	Price	Quantity	Value	Rate of Return*
American AMCAP Cl A Symbol: AMCPX Asset Category: Growth & Income	41.06	178.77	7,340.30	24.93%
American Bond Fund of America Cl A Symbol: ABNDX Asset Category: Income	13.35	1,151.795	15,376.46	5.50%

Asset Details (continued)

Mutual Funds	Price	Quantity	Value	Rate of Return*
American Capital World Bond Cl A Symbol: CWBFX Asset Category: Income	20.55	875.47	17,990.91	3.97%
American Fundamental Investors Cl A Symbol: ANCFX Asset Category: Growth & Income	73.69	96.122	7,083.23	20.74%
American High-Income Trust Cl A Symbol: AHITX Asset Category: Aggressive Income	10.24	282.796	2,895.83	8.13%
American Intermediate Bond Fund of America Cl A Symbol: AIBAX Asset Category: Income	13.81	1,276.837	17,633.12	4.51%
American International Growth & Income Cl A Symbol: IGAAX Asset Category: Growth & Income	40.15	91.763	3,684.28	13.31%
American SMALLCAP World Cl A Symbol: SMCWX Asset Category: Growth	81.94	114.269	9,363.20	34.52%
American Washington Mutual Investors Cl A Symbol: AWSHX Asset Category: Growth & Income	54.74	125.011	6,843.10	16.49%
Franklin Federal Intermediate Term Tax-Free Income Cl A1 Symbol: FKITX Asset Category: Income	12.25	6,652.539	81,493.60	1.65%
Total Account Value			**$791,811.36**	

Asset Details (continued)

*Your Rate of Return for each individual asset above is as of March 26, 2021. Returns greater than 12 months are annualized.

Your Rate of Return in the Asset Details section above measures the investment performance of each of your individual assets. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing your Rate of Return is important to help ensure you're on track to achieving your financial goals.

The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan. 1, 2009. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated.

Information used to calculate performance may have been obtained from third parties and Edward Jones cannot guarantee the accuracy of such information.

For the most current information, contact your financial advisor or visit www.edwardjones.com/performance.

Investment and Other Activity by Date

Date	Description	Quantity	Amount
3/01	Dividend on American High-Income Trust A on 281.652 Shares at Daily Accrual Rate		$11.76
3/01	Reinvestment into American High-Income Trust A @ 10.28	1.144	-11.76
3/01	Dividend on American Bond Fund of Amer A on 1,150.686 Shares at Daily Accrual Rate		14.97
3/01	Reinvestment into American Bond Fund of Amer A @ 13.50	1.109	-14.97
3/01	Dividend on Franklin Fed Interm-Trm Tf A1 on 6,641.179 Shares at Daily Accrual Rate		138.70
3/01	Reinvestment into Franklin Fed Interm-Trm Tf A1 @ 12.21	11.36	-138.70
3/01	Dividend on Intel Corp on 723.63032 Shares @ 0.3475		251.46
3/01	Dividend on American Int BD Fd of Amer A on 1,276.329 Shares at Daily Accrual Rate		7.05
3/01	Reinvestment into American Int BD Fd of Amer A @ 13.87	0.508	-7.05
3/10	Dividend on Chevron Corp on 152 Shares @ 1.29		196.08
3/10	Dividend on Target Corp on 252.6814 Shares @ 0.68		171.82
3/18	Dividend on American Fundamental INV A on 95.901 Shares @ 0.17		16.30
3/18	Reinvestment into American Fundamental INV A @ 73.78	0.221	-16.30
3/18	Dividend on American Wash Mutual INV A on 124.54 Shares @ 0.205		25.53
3/18	Reinvestment into American Wash Mutual INV A @ 54.20	0.471	-25.53
3/22	Dividend on American Capital World Bond A on 872.083 Shares @ 0.08		69.77
3/22	Reinvestment into American Capital World Bond A @ 20.60	3.387	-69.77
3/25	Dividend on Raytheon Technologies Corp on 239.00402 Shares @ 0.475		113.53

Money Market Detail by Date

Beginning Balance on Feb 27 **$74,006.81**

Date	Transaction	Description	Deposits	Withdrawals	Balance
3/01	Deposit		251.46		$74,258.27
3/10	Deposit		367.90		$74,626.17

Edward Jones
MAKING SENSE OF INVESTING

Account Holder(s) Charles W Daniels
Account Number [redacted]
Financial Advisor Amy L. Daniels, 501-593-4851
250 West Mulberry Avenue, Searcy, AR 72143

Note 4 (b)

Rate of Return (continued)

Your Personal Rate of Return: Your Personal Rate of Return measures the investment performance of your account. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing Your Personal Rate of Return is important to help ensure you're on track to achieving your financial goals.

The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan.1, 2009. This also includes investments you owned during this time period but have since sold. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated.

Rate of Return information on account statements uses the dollar-weighted calculation. Time-weighted Rate of Return numbers for Advisory Solutions Fund and UMA accounts can be found on your Quarterly Performance Report through Online Account Access. If you are not an Online Access user, visit edwardjones.com/access to sign up.

Information used to calculate performance may have been obtained from third parties and Edward Jones cannot guarantee the accuracy of such information.

For the most current information, contact your financial advisor or visit edwardjones.com/performance.

Asset Details (as of Mar 26, 2021)

additional details at www.edwardjones.com/access

Assets Held At Edward Jones

				Balance
Cash				$23,383.73
Mutual Funds	**Price**	**Quantity**	**Value**	**Rate of Return***
American AMCAP A	41.06	382.258	15,695.51	26.47%
American Bond Fund of Amer A	13.35	1,776.074	23,710.59	5.32%
American Cap Inc Builder A	66.15	1,014.521	67,110.56	9.62%
American Capital World Bond A	20.55	264.506	5,435.60	4.09%
American Cap Wrld Grw & Inc A	62.05	762.741	47,328.08	20.46%
American Fundamental INV A	73.69	204.742	15,087.44	23.11%
American Inc Fund of Amer A	24.96	1,829.449	45,663.05	11.66%
American Int BD Fd of Amer A	13.81	1,504.379	20,775.47	4.41%
American Mutual A	48.28	279.519	13,495.18	13.10%
American New Perspective A	61.50	676.833	41,625.23	31.13%
American SMALLCAP World A	81.94	106.358	8,714.97	35.69%
American Wash Mutual INV A	54.74	248.512	13,603.55	17.20%
Total Account Value			**$341,628.96**	

Asset Details (continued)

*Your Rate of Return for each individual asset above is as of March 26, 2021. Returns greater than 12 months are annualized.

Your Rate of Return in the Asset Details section above measures the investment performance of each of your individual assets. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing your Rate of Return is important to help ensure you're on track to achieving your financial goals.

The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan. 1, 2009. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated.

Information used to calculate performance may have been obtained from third parties and Edward Jones cannot guarantee the accuracy of such information.

For the most current information, contact your financial advisor or visit www.edwardjones.com/performance

Retirement Summary

	This Period	Cumulative
2021 Contributions	$0.00	$0.00
2020 Contributions	0.00	0.00

Investment and Other Activity by Date

Date	Description	Quantity	Amount
3/01	Dividend on American Bond Fund of Amer A on 1,776.074 Shares at Daily Accrual Rate		$23.08
3/01	Dividend on American Int BD Fd of Amer A on 1,504.379 Shares at Daily Accrual Rate		8.30
3/16	Dividend on American Cap Wrld Grw & Inc A on 762.741 Shares @ 0.20		152.55
3/16	Dividend on American Cap Inc Builder A on 1,014.521 Shares @ 0.40		405.81
3/16	Dividend on American Inc Fund of Amer A on 1,829.449 Shares @ 0.165		301.86
3/18	Dividend on American Mutual A on 279.519 Shares @ 0.205		57.30
3/18	Dividend on American Fundamental INV A on 204.742 Shares @ 0.17		34.81
3/18	Dividend on American Wash Mutual INV A on 248.512 Shares @ 0.205		50.94
3/22	Dividend on American Capital World Bond A on 264.506 Shares @ 0.08		21.16
3/22	Interest at the Rate of 0.010% from 02-21-21 to 03-20-21		0.28

Custodian: Edward Jones Trust Company
This Edward Jones brokerage statement also serves as the Edward Jones Trust Company custodial account statement. No other account statement will be provided by Edward Jones Trust company for the period of time reflected on this statement.

CAMPAIGN NOTICE
REGARDING FINANCIAL DISCLOSURE REQUIREMENT

If you have not yet raised (either through contributions or loans from yourself or others) or spent in excess of $5,000 for your campaign, or if you have withdrawn your candidacy, please indicate your status and sign and date below.

THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED. PLEASE COMPLETE BOTH PAGES AND RETURN TO THE OFFICE OF THE CLERK AT THE MAILING ADDRESS BELOW.

Signature: Randi McGinn Date: 5/10/21

Name (Please Print or Type): Randi McGinn

State: NM District: CD-1

Daytime Telephone:

(THIS PAGE WILL NOT BE MADE PUBLICLY AVAILABLE)

RETURN COMPLETED STATEMENT TO:
The Clerk, U.S. House of Representatives
Legislative Resource Center
B-81 Cannon House Office Building
Washington, DC 20515-6601