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UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT	FORM B For New Members, Candidates, and New Employees
Name: LEE HAVIS	Daytime Telephon

FILER STATUS		
	☐ New Member of or Candidate for U.S. House of Representatives — State: MD District: 06 — Candidates – Date of Election: 6-02-2020	☐ Check if Amendment
	☐ New Officer or Employee — Employing Office: ______ — Staff Filer Type (If Applicable): Shared ☐ Principal Assistant ☐	Period Covered: January 1, 2019 to MAY 15, 2020

A $200 penalty shall be assessed against any individual who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

	Yes	No		Yes	No
A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than $1,000 at the end of the reporting period? or b. Receive more than $200 in unearned income from any reportable asset during the reporting period?	☒	☐	E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	☒	☐
C. Did you or your spouse have "earned" income (*e.g.*, salaries, honoraria, or pension/IRA distributions) of $200 or more during the reporting period?	☐	☒	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	☐	☒
D. Did you, your spouse, or your dependent child have any reportable liability (more than $10,000) at any point during the reporting period?	☐	☒	J. Did you receive compensation of more than $5,000 from a single source in the current year and two prior years?	☐	☒

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

	Yes	No
TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	☐	☒
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	☐	☒

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: LEE HAVIS

Page 2 of 7

BLOCK A — Assets and/or Income Sources

Identify (a) each asset held for investment or production of income and with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use only ticker symbols).

For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.

For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over $5,000, list every financial institution where there is more than $1,000 in interest-bearing accounts.

For rental and other real property held for investment, provide a complete address or description, *e.g.*, "rental property," and a city and state.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (*unless* there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you report a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.

For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.

BLOCK B — Value of Asset

Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."

*Column M is for assets held by your spouse or dependent child in which you have no interest.

BLOCK C — Type of Income

Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts.** Check "None" if the asset generated no income during the reporting period.

BLOCK D — Amount of Income

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts.** Check "None" if no income was earned or generated.

*Column XII is for assets held by your spouse or dependent child in which you have no interest.

Block A / Block B — Value of Asset

SP, DC, JT	Asset	EIF	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*
Examples:	Mega Corp Stock						x								
	Simon & Schuster				Indefinite										
	ABC Hedge Fund	x							x						
	ANDREW LEE HAVIS TRUST - Morgan-STANLEY								X						

Block C — Type of Income

Asset	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)
Mega Corp Stock		x						
Simon & Schuster								Royalties
ABC Hedge Fund								Partnership Income
ANDREW LEE HAVIS TRUST - Morgan-STANLEY		X			X			

Block D — Amount of Income: Current Year

Asset	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Income over $1,000,000*
Mega Corp Stock		x										
Simon & Schuster					x							
ABC Hedge Fund						x						
ANDREW LEE HAVIS TRUST - Morgan-STANLEY						X						

Block D — Amount of Income: Preceding Year

Asset	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Income over $1,000,000*
Mega Corp Stock			x									
Simon & Schuster						x						
ABC Hedge Fund							x					
ANDREW LEE HAVIS TRUST - Morgan-STANLEY						X						

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: LEE HAVIS   Page 3 of 7

BLOCK A – Assets and/or Income Sources

SP, DC, JT	ASSET NAME	EIF
	ANDREW LEE HAVIS TRUST (Con't)	
	- ETRADE	
	- REGULAR	
	- ROTH	
	- INDIVIDUAL	
	- SAVINGS	
JT	ELEANOR C. HAVIS TRUST	
	checking acct. Residential Bank	

BLOCK B – Value of Asset

ASSET NAME	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*
ANDREW LEE HAVIS TRUST (Con't)													
- ETRADE													
- REGULAR				X									
- ROTH				X									
- INDIVIDUAL			X										
- SAVINGS		X											
ELEANOR C. HAVIS TRUST							X						
checking acct. Residential Bank			X										

BLOCK C – Type of Income

ASSET NAME	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)
ANDREW LEE HAVIS TRUST (Con't)								
- ETRADE								
- REGULAR		X			X			
- ROTH		X			X			
- INDIVIDUAL		X			X			
- SAVINGS	X							
ELEANOR C. HAVIS TRUST			X					
checking acct. Residential Bank	X							

BLOCK D – Amount of Income – Current Year

ASSET NAME	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Income over $1,000,000*
ANDREW LEE HAVIS TRUST (Con't)												
- ETRADE												
- REGULAR			X									
- ROTH				X								
- INDIVIDUAL				X								
- SAVINGS	X											
ELEANOR C. HAVIS TRUST					X							
checking acct. Residential Bank	X											

BLOCK D – Amount of Income – Preceding Year

ASSET NAME	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Income over $1,000,000*
ANDREW LEE HAVIS TRUST (Con't)												
- ETRADE												
- REGULAR			X									
- ROTH				X								
- INDIVIDUAL				X								
- SAVINGS	X											
ELEANOR C. HAVIS TRUST	X											
checking acct. Residential Bank	X											

Use additional sheets if more space is required.

SCHEDULE C – EARNED INCOME

Name: LEE HAVIS

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling $200 or more during the reporting period. For both the filer and filer's spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding $1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: Be advised that the outside earned income limit and prohibitions on types of income may apply to you after you are on House payroll. The 2018 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was $28,050. The 2019 limit is $28,440. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

	Source (include date of receipt for honoraria)	Type	Amount: Current Year to Filing	Amount: Preceding Year
Examples:	ABC Trade Association, Baltimore, MD (July 15)	Honorarium	$0	$500
	State of Maryland	Salary	$20,000	$78,000
	Civil War Roundtable (Oct. 2)	Spouse Speech	$0	$1,000
	Ontario County Board of Education	Spouse Salary	N/A	N/A
	NONE			

Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

Name: LEE HAVIS

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or your dependent child. **Mark the highest amount owed during the reporting period. New Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a ***revolving charge account*** (*i.e.*, credit card) only if the balance at the close of the reporting period exceeded $10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability A $10,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Liability)
	Example First Bank of Wilmington, DE	5/16	Mortgage on Rental Property, Dover, DE				X							
	NONE													

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members and second-year candidates** report positions held in the reporting period and the current calendar year. **First-year candidates and new employees** report positions held in the current calendar year and two previous years.

Position	Name of Organization
TRUSTEE (GRANTOR)	ANDREW LEE HAVIS TRUST
CO-TRUSTEE	ELEANOR C. HAVIS TRUST
TRUSTEE AND DIRECTOR	INTERNATIONAL MONTESSORI TRUST (DBA TRUST TUTORING)
DIRECTOR	INTERNATIONAL MONTESSORI SOCIETY

Use additional sheets if more space is required.

SCHEDULE F – AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
	NONE	

SCHEDULE J – COMPENSATION IN EXCESS OF $5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than $5,000. **Exclude**: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. **Do not repeat information listed on Schedule C.**

Source (Name and City/State)		Brief Description of Duties
Example:	Doe Jones & Smith, Hometown, Homestate	Accounting Services
NONE		

Use additional sheets if more space is required.

FILER NOTES
(Optional)

Name: LEE HAVLS

NOTE NUMBER	NOTES
	SCHEDULE A ASSETS-LIST ATTACHED

Use additional sheets if more space is required.

E*TRADE Pro Elite Investment Account

December 1, 2019 - December 31, 2019
Account Number: [redacted]
Account Type: INDIVIDUAL
Account Status: Pro Elite

E*TRADE Securities LLC
P.O. Box 484
Jersey City, NJ 07303-0484
1-800-ETRADE-1 (1-800-387-2331)
etrade.com Member FINRA/SIPC

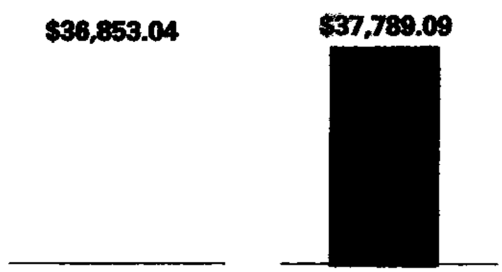
Customer Update:

When to expect your 2019 tax documents
Visit *etrade.com/taxschedule* to see when you'll receive 1099s, 5498s, and other tax documents.

IMPORTANT INFORMATION:
Why not get your statements, confirmations, and tax documents online?
Enroll for paperless delivery today at *etrade.com/paperless.*

LEE HAVIS
9525 GEORGIA AVE 200
SILVER SPRING MD 20910-1416

Account At A Glance



Net Change: **$936.05**

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LEE HAVIS
9525 GEORGIA AVE 200
SILVER SPRING MD 20910-1416

Use This Deposit Slip **Acct:** [redacted]

Please do not send cash

Make checks payable to E*TRADE Securities LLC

	Dollars	Cents
TOTAL DEPOSIT		

Mail deposits to:

E*TRADE SECURITIES LLC
P.O. Box 484
Jersey City, NJ 07303-0484

123120190001 111572278187

Account Number: [redacted]   **Statement Period :** December 1, 2019 - December 31, 2019   **Account Type:** INDIVIDUAL

ACCOUNT HOLDINGS

CASH & CASH EQUIVALENTS (1.71% of Holdings)

DESCRIPTION	PORTFOLIO %	AMOUNT
Extended Insurance Sweep Deposit Account		
Opening Balance		477.98
Closing Balance	1.71	645.73
Average Balance		766.71
Extended Insurance Sweep Deposit Account Balance by Bank as of December 31, 2019		
ETRADE BANK		645.73

Under the Extended Insurance Sweep Deposit Account (ESDA) Program, cash balances from your brokerage account into the ESDA Program may shift from one program bank to another on a daily basis and a different combination or subset of the Program Banks may be used from day to day with dynamic deposit limits. Your ESDA Program cash balances will be FDIC-insured up to an aggregate of at least $1,250,000. Uninvested cash balances in the ESDA program are not covered by SIPC. The balance in your bank deposit sweep account may be withdrawn on your order and proceeds returned to your securities account or remitted to you. To see a list of Program Banks please visit www.etrade.com/esdaagreement or call us at 1-800-ETRADE-1 (1-800-387-2331).

TOTAL CASH & CASH EQUIVALENTS	1.71%	$645.73

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (98.29% of Holdings)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
ALLIANCEBERNSTEIN HOLDING LP UNIT LTD PARTNERSHIP INT	AB	Cash	250	30.2600	7,565.00	20.02	580.00	7.67%
BORG WARNER AUTOMOTIVE INC	BWA	Cash	26	43.3800	1,127.88	2.98	18.00	1.60%
BROADCOM INC COMMON STOCK	AVGO	Cash	14	316.0200	4,424.28	11.71	182.00	4.11%
ENOVA INTERNATIONAL INC	ENVA	Cash		24.0800	0.00	0.00		
ISHARES RUSSELL 2000 ETF	IWM	Cash	44	165.6700	7,289.48	19.29	92.00	1.26%
SPDR S&P 500 ETF TRUST	SPY	Cash	52	321.8600	16,736.72	44.29	292.00	1.74%
TOTAL STOCKS, OPTIONS & ETF					$37,143.36	98.29%	$1,164.00	3.13%

TOTAL PRICED PORTFOLIO HOLDINGS (ON 12/31/19)	$37,789.09
TOTAL ESTIMATED ACCOUNT HOLDINGS ANNUAL INCOME	$1,164.00

E*TRADE Pro Elite
Investment Account

December 1, 2019 - December 31, 2019
Account Number: [redacted]
Account Type: ROTH IRA
Account Status: Pro Elite

E*TRADE Securities LLC
P.O. Box 484
Jersey City, NJ 07303-0484
1-800-ETRADE-1 (1-800-387-2331)
etrade.com Member FINRA/SIPC

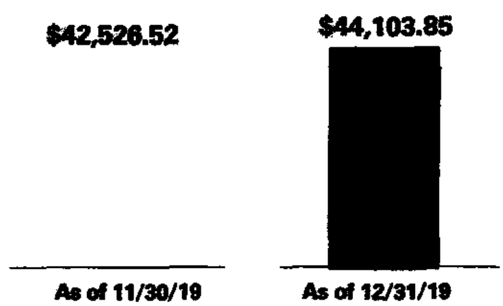
Customer Update:

When to expect your 2019 tax documents
Visit *etrade.com/taxschedule* to see when you'll receive 1099s, 5498s, and other tax documents.

IMPORTANT INFORMATION
Your year-end balance, as shown on this statement, is the Fair Market Value of your account. This information is being furnished to the Internal Revenue Service.

LEE HAVIS
ROTH IRA E*TRADE CUSTODIAN
9525 GEORGIA AVE 200
SILVER SPRING MD 20910-1416

Account At A Glance



Net Change:	$1,577.33

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LEE HAVIS
ROTH IRA E*TRADE CUSTODIAN
9525 GEORGIA AVE 200
SILVER SPRING MD 20910-1416

Use This Deposit Slip **Acct:** [redacted]

To contribute to your IRA, please use our online Transfer Money service at www.etrade.com/transfermoney or use this deposit slip.

Make checks payable to E*TRADE Securities LLC

Check Amount $

Year of Contribution:
______ 2019
______ Rollover

Mail deposits to:

E*TRADE SECURITIES LLC
P.O. Box 484
Jersey City, NJ 07303-0484

E*TRADE

123120190001 333572976608

E*TRADE

E*TRADE Pro Elite
Investment Account

Account Number: [redacted] **Statement Period :** December 1, 2019 - December 31, 2019 **Account Type:** ROTH IRA

ACCOUNT HOLDINGS

CASH & CASH EQUIVALENTS (0.94% of Holdings)

DESCRIPTION	PORTFOLIO %	AMOUNT
Retirement Sweep Deposit Account Program		
Opening Balance		126.67
Closing Balance	0.94	416.50
Average Balance		524.78
Retirement Sweep Deposit Account Program Balance by Bank as of December 31, 2019		
ETRADE BANK		416.50

The E*TRADE Financial Retirement Sweep Deposit Account Program (»RSDA ProgramÓ is a bank sweep program associated with eligible E*TRADE Securities LLC retirement accounts. Cash balances held in retirement accounts are swept to bank deposit accounts at E*TRADE Bank and E*TRADE Savings Bank, which are affiliates of E*TRADE Securities LLC. The RSDA Program is designed to offer up to $500,000 in FDIC deposit insurance coverage to eligible retirement accounts. RSDA Program balances held at the affiliated banks are not covered by SIPC protection. Your balance in the RSDA Program is available to your retirement account for your investment and use upon your request. To learn more about the RSDA Program, please review your RSDA Program Customer Agreement, visit www.etrade.com, or call us at 1-800-387-2331.

TOTAL CASH & CASH EQUIVALENTS	0.94%	$416.50

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (99.06% of Holdings)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
APPLE INC	AAPL	Cash	27	293.6500	7,928.55	17.98	83.00	1.05%
BORG WARNER AUTOMOTIVE INC	BWA	Cash	45	43.3800	1,952.10	4.43	31.00	1.59%
CHIMERA INVESTMENT CORPORATION	CIM	Cash	400	20.5600	8,224.00	18.65	800.00	9.73%
DANAHER CORPORATION	DHR	Cash	25	153.4800	3,837.00	8.70	17.00	0.44%
EDISON INTERNATIONAL	EIX	Cash	70	75.4100	5,278.70	11.97	179.00	3.39%
ENOVA INTERNATIONAL INC	ENVA	Cash		24.0600	0.00	0.00		
METHODE ELECTRONICS INC	MEI	Cash	80	39.3500	3,148.00	7.14	35.00	1.11%
TAPESTRY INC COMMON STOCK	TPR	Cash	250	26.9700	6,742.50	15.29	338.00	5.01%
VISA INC CL A COMMON STOCK	V	Cash	35	187.9000	6,576.50	14.91	42.00	0.64%
XPERI CORPORATION COMMON STOCK	XPER	Cash		18.5000	0.00	0.00		
TOTAL STOCKS, OPTIONS & ETF					$43,687.35	99.06%	$1,525.00	3.49%

TOTAL PRICED PORTFOLIO HOLDINGS (ON 12/31/19)	$44,103.85

E*TRADE Pro Elite Investment Account

December 1, 2019 - December 31, 2019
Account Number: [redacted]
Account Type: INDIVIDUAL
Account Status: Pro Elite

E*TRADE Securities LLC
P.O. Box 484
Jersey City, NJ 07303-0484
1-800-ETRADE-1 (1-800-387-2331)
etrade.com Member FINRA/SIPC

Customer Update:

When to expect your 2019 tax documents
Visit *etrade.com/taxschedule* to see when you'll receive 1099s, 5498s, and other tax documents.

IMPORTANT INFORMATION:
Why not get your statements, confirmations, and tax documents online?
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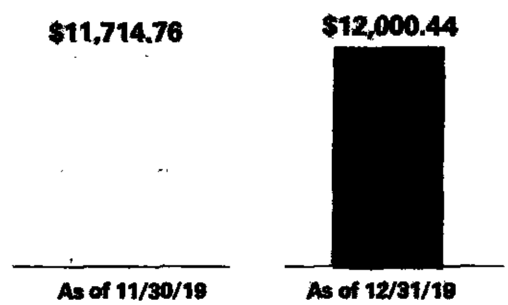
LEE HAVIS
9525 GEORGIA AVE 200
SILVER SPRING MD 20910-1416

Account At A Glance



Net Change: **$285.68**

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E*TRADE

LEE HAVIS
9525 GEORGIA AVE 200
SILVER SPRING MD 20910-1416

Use This Deposit Slip **Acct:** [redacted]

Please do not send cash

Make checks payable to E*TRADE Securities LLC

	Dollars	Cents
TOTAL DEPOSIT		

Mail deposits to:

E*TRADE SECURITIES LLC
P.O. Box 484
Jersey City, NJ 07303-0484

123120190001 111373738314

Account Number: [redacted] **Statement Period :** December 1, 2019 - December 31, 2019 **Account Type:** INDIVIDUAL

ACCOUNT HOLDINGS

CASH & CASH EQUIVALENTS (3.71% of Holdings)

DESCRIPTION	PORTFOLIO %	AMOUNT
JPM TR II, US GT MMF CAP CL		
The shares of your money market sweep fund may be liquidated on your order and proceeds returned to your securities account or remitted to you.		
Opening Balance		216.81
Closing Balance	3.71	445.78
Average Balance		278.85
TOTAL CASH & CASH EQUIVALENTS	3.71%	$445.78
TOTAL CASH & CASH EQUIVALENTS YTD DIVIDENDS (SWEEP ONLY)		$3.64

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (32.51% of Holdings)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
ISHARES RUSSELL 2000 ETF	IWM	Cash	7	165.6700	1,159.69	9.66	15.00	1.29%
SPDR S&P 500 ETF TRUST	SPY	Cash	7	321.8600	2,253.02	18.77	39.00	1.73%
VANGUARD FTSE EMERGING MARKETS ETF FTSE EMERGING INDEX	VWO	Cash	11	44.4700	489.17	4.08	16.00	3.27%
TOTAL STOCKS, OPTIONS & ETF					$3,901.88	32.51%	$70.00	1.79%

MUTUAL FUNDS (63.77% of Holdings)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME
**BLACKROCK FDS V STRTGC INCM OPTNS PORT INSTL	BSIIX	Cash	132.338	9.9700	1,319.41	10.99	45.00
**DODGE & COX FUNDS INTERNATIONAL STOCK FUND	DODFX	Cash	22.794	43.6000	993.82	8.28	39.00
**DODGE & COX INCOME FUND	DODIX	Cash	100.322	14.0300	1,407.52	11.73	43.00
**HARDING LOEVNER FUNDS INC INTL EQUITY PORTFOLIO INSTL CL	HLMIX	Cash	46.886	23.8000	1,115.89	9.30	18.00
**HARRIS ASSOC INVT TR OAKMARK FD INSTL CL	OANMX	Cash	16.279	79.9100	1,300.85	10.84	13.00

Account Number: [redacted] **Statement Period :** December 1, 2019 - December 31, 2019 **Account Type:** INDIVIDUAL

ACCOUNT HOLDINGS

CASH & CASH EQUIVALENTS (3.71% of Holdings)

DESCRIPTION	PORTFOLIO %	AMOUNT
JPM TR II, US GT MMF CAP CL		
The shares of your money market sweep fund may be liquidated on your order and proceeds returned to your securities account or remitted to you.		
Opening Balance		216.81
Closing Balance	3.71	445.78
Average Balance		276.85
TOTAL CASH & CASH EQUIVALENTS	3.71%	$445.78
TOTAL CASH & CASH EQUIVALENTS YTD DIVIDENDS (SWEEP ONLY)		$3.64

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (32.51% of Holdings)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
ISHARES RUSSELL 2000 ETF	IWM	Cash	7	165.6700	1,159.69	9.66	15.00	1.29%
SPDR S&P 500 ETF TRUST	SPY	Cash	7	321.8600	2,253.02	18.77	39.00	1.73%
VANGUARD FTSE EMERGING MARKETS ETF FTSE EMERGING INDEX	VWO	Cash	11	44.4700	489.17	4.08	16.00	3.27%
TOTAL STOCKS, OPTIONS & ETF					$3,901.88	32.51%	$70.00	1.79%

MUTUAL FUNDS (63.77% of Holdings)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME
**BLACKROCK FDS V STRTGC INCM OPTNS PORT INSTL	BSIIX	Cash	132.338	9.9700	1,319.41	10.99	46.00
**DODGE & COX FUNDS INTERNATIONAL STOCK FUND	DODFX	Cash	22.794	43.6000	993.82	8.28	39.00
**DODGE & COX INCOME FUND	DODIX	Cash	100.322	14.0300	1,407.52	11.73	43.00
**HARDING LOEVNER FUNDS INC INTL EQUITY PORTFOLIO INSTL CL	HLMIX	Cash	46.886	23.8000	1,115.89	9.30	18.00
**HARRIS ASSOC INVT TR OAKMARK FD INSTL CL	OANMX	Cash	16.279	79.9100	1,300.85	10.84	13.00

E*TRADE

E*TRADE Pro Elite
Investment Account

Account Number: [redacted] Statement Period : December 1, 2019 - December 31, 2019 Account Type: INDIVIDUAL

MUTUAL FUNDS (Continued)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME
**WESTERN ASSET CORE PLUS BOND FD CLASS I	WACPX	Cash	126.591	11.9700	1,515.29	12.63	53.00
TOTAL MUTUAL FUNDS					$7,652.78	63.77%	$211.00
TOTAL PRICED PORTFOLIO HOLDINGS (ON 12/31/19)					$12,000.44		
TOTAL ESTIMATED ACCOUNT HOLDINGS ANNUAL INCOME					$281.00		

TRANSACTION HISTORY

DIVIDENDS & INTEREST ACTIVITY

DATE	TRANSACTION TYPE	DESCRIPTION	SYMBOL/ CUSIP	AMOUNT DEBITED	AMOUNT CREDITED
12/02/19	Dividend	**BLACKROCK FDS V STRTGC INCM OPTNS PORT INSTL RECORD 11/29/19 PAY 11/29/19	BSIIX		3.53
12/02/19	Dividend	**WESTERN ASSET CORE PLUS BOND FD CLASS I RECORD 11/29/19 PAY 11/29/19	WACPX		7.27
12/13/19	Capital Gain	**HARRIS ASSOC INVT TR OAKMARK FD INSTL CL L/T CAPITAL GAIN RECORD 12/11/19 PAY 12/13/19 L/T CAP RATE 6.012400000	OANMX		97.88
12/13/19	Dividend	**HARRIS ASSOC INVT TR OAKMARK FD INSTL CL RECORD 12/11/19 PAY 12/13/19 DIVIDEND RATE 0.801500000	OANMX		13.05
12/18/19	Dividend	**HARDING LOEVNER FUNDS INC INTL EQUITY PORTFOLIO INSTL CL RECORD 12/16/19 PAY 12/17/19 DIVIDEND RATE 0.379060900	HLMIX		17.77
12/19/19	Capital Gain	**WESTERN ASSET CORE PLUS BOND FD CLASS I L/T CAPITAL GAIN RECORD 12/17/19 PAY 12/18/19 L/T CAP RATE 0.047820000	WACPX		6.05

Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2019

STATEMENT FOR:
ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

Morgan Stanley Smith Barney LLC. Member SIPC.

#BWNJGWM

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR
U/A/D 11-18-2008
9525 GEORGIA AVE #200
SILVER SPRING MD 20910-1416

TOTAL VALUE OF YOUR ACCOUNT (as of 12/31/19) **$285,576.80**
Includes Accrued Interest

Your Financial Advisor Team
The Fishbein Group

Your Financial Advisors
Ronald L Fishbein
Managing Director, Wealth Mgmt
Ronald.L.Fishbein@morganstanley.com

Stuart S Fishbein
Senior Vice President
Stuart.S.Fishbein@morganstanley.com

Kevin E Fishkind
Senior Vice President
Kevin.E.Fishkind@morganstanley.com

Daniel Twomey
Vice President
Daniel.J.Twomey@morganstanley.com

Your Branch
702 KING FARM BLVD, SUITE 500
ROCKVILLE, MD 20850
Telephone: 301-556-2300; Alt. Phone: 800-624-0673; Fax: 301-556-2399

Client Service Center (24 Hours a Day; 7 Days a Week): 800-869-3326

Access Your Account Online: www.morganstanley.com/online

GIMA Status Definitions

GIMA STATUS IN INVESTMENT ADVISORY PROGRAMS

Global Investment Manager Analysis (GIMA) reviews certain investment products in various advisory programs. For these programs, a GIMA status will apply:

Focus (FL): Investment products on the Focus List have been subject to an in-depth review and possess GIMA's highest level of confidence.

Approved (AL): Investment products on the Approved List have typically been subject to a less rigorous review process and have been approved for recommendation to investors.

Not Approved (NL): Investment products that were previously on the Focus List or Approved List but are no longer on either of those lists. GIMA no longer covers these products.

For more information, please contact us for the applicable Morgan Stanley ADV brochure.

Expanded Disclosures

Expanded Disclosures, which apply to all statements Morgan Stanley Smith Barney LLC (we/us) sends to you, are provided with your first statement and thereafter twice a year.

Questions?

Questions regarding your account may be directed to us by using the contact information on the statement cover page, or the Client Service Center at (800) 869-3326.

Errors and Inquiries

Be sure to review your statement promptly, and immediately address any concerns regarding entries that you do not understand or believe were made in error by contacting the Branch Manager of the office where you maintain your account. Oral communications regarding any inaccuracy or discrepancy in this statement should be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). Your statement will be deemed correct unless we receive a written inquiry of a suspected error. See your account documentation for special rules regarding your rights and responsibilities with respect to erroneous electronic fund transfers, including a description of the transfers covered. For concerns or complaints, contact our Client Relations Department at (866) 227-2256 or mail to P.O. Box 95002, South Jordan, UT 84095, or contact us at www.morganstanley.com.

Senior Investor Helpline

In order to provide Morgan Stanley's senior investor clients a convenient way to communicate with us, we offer a Senior Investor Helpline. Senior investors or those acting on their behalf may call (800) 280-4534, Monday-Friday 9am-7pm Eastern Time.

Account Valuation

Account values are computed by adding (1) the market value of all priced positions and (2) market values provided by pricing services and/or outside custodians, as applicable for other positions, and by adding any credit or subtracting any debit to your closing Cash, Money Market Funds and/or Deposit balance. Cash, Deposits and Money Market Funds are displayed on a settlement date basis, and other positions are displayed in your account on a trade date basis. The values of fixed income positions in summary displays include accrued interest in the totals. In the "Holdings" section, fixed income market value and accrued interest are also displayed in separate columns. Accrued interest is the interest earned but not yet paid on the bond since its last interest payment. In most cases, it is calculated from the date of the last coupon payment (or "dated date") through the closing date of the statement. Foreign Currency Deposits are reflected in U.S. dollars as of the statement end date. The Annual Percentage Yield (APY) for deposits represents the applicable rate in effect for your deposits at the statement ending date. This APY may be different than the APY that was in effect during the statement period. For current Bank Deposit or Money Market Fund yields, go to www.morganstanley.com/wealth-investmentstrategies/ratemonitor.html.

Additional Retirement Account Information

Tax-qualified account contributions are subject to IRS eligibility rules and regulations. The Contributions information in this statement reflects contributions for a particular account, without reference to any other account. Check with your tax advisor to verify how much you can contribute, if the contribution will be tax deductible, and if other special rules apply (e.g., to conversions/recharacterizations of Traditional to Roth/Roth to Traditional IRAs). Tax reporting is provided for IRA, VIP Basic and 403(b) accounts but not for VIP Plus and RPM accounts. The account value used for your Required Minimum Distribution calculation, if any, is based on the prior December 31st Account Value, including accrued interest. Additionally, for IRAs (1) the "Max. Individual Contributions Allowed (by SSN)" reflects the annual limit on contributions that you can make to Traditional and Roth IRAs under the Internal Revenue Code (this limit applies on a per person basis, not per account; other rules apply to IRAs which are part of employer-sponsored plans); (2) you cannot make an individual contribution to a Traditional IRA for the year in which you reach age 70 1/2 or any later year; and (3) the categorization of any contribution's deductibility is based upon information provided by you. The information included in this statement is not intended to constitute tax, legal or accounting advice. Contact us if any of this information is incorrect.

Availability of Free Credit Balances and Financial Statements

Under the customer protection rules of the SEC [17 CFR §240.15c3-3], we may use funds comprising free credit balances carried for customer accounts here, provided that these funds are payable to customers on demand (i.e., are free of a lien or right of set-off in our favor or on behalf of some third party to whom you have given control). A financial statement of this organization is available for your personal inspection at its offices, or a copy will be mailed to you upon your written request.

Gain/(Loss) Information

Gain/(Loss) is provided for informational purposes. It is not a substitute for Internal Revenue Service (IRS) Form 1099 (on which we report cost basis for covered securities) or any other IRS tax form, and should not be used for tax preparation. Unrealized Gain/(Loss) provided on this statement is an estimate. Contact your own independent legal or tax advisor to determine the appropriate use of the Gain/(Loss) information on this statement. For more information, go to www.morganstanley.com/wealth/disclosures/disclosures.asp, or call Client Service Center.

Tax Reporting

Under Federal income tax law, we are required to report gross proceeds of sales (including short sales) on Form 1099-B by March 15 of the year following the calendar year of the transaction for reportable (i.e., non-retirement) accounts. For sales of certain securities acquired on or after January 1, 2011 (or applicable date for the type of security) we are also required to report cost basis and holding period. Under IRS regulations, if you have not provided us with a certification of either U.S. or foreign status on applicable Form W-9 or W-8, your accounts may be subject to either 24% back-up withholding or 30% nonresident alien withholding on payments made to your accounts.

Investment Objectives

The following is an explanation of the investment objective alternatives applicable to your account(s): Income - for investors seeking regular income with low to moderate risk to principal; Capital Appreciation - for investors seeking capital appreciation with moderate to high risk to principal; Aggressive Income - for investors seeking higher returns either as growth or as income with greater risk to principal; Speculation - for investors seeking high profits or quick returns with considerable possibility of losing most or all of their investment.

Listed Options

Information with respect to commissions and other charges related to the execution of options transactions has been included in confirmations of such transactions previously furnished to you and such information will be made available to you promptly at your request. Promptly advise us of any material change in your investment objectives or financial situation.

Important Information if you are a Margin Customer(not available for certain retirement accounts)

If you have margin privileges, you may borrow money from us in exchange for pledging assets in your accounts as collateral. The amount you may borrow is based on the value of eligible securities in your margin accounts. If a security has eligible shares the number of shares pledged as collateral is indicated below the position. If you have a margin account, as permitted by law, we may use certain securities in your account for, among other things, settling short sales or lending the securities for short sales, for which we may receive compensation.

Margin Interest Charges

We calculate interest charges on margin loans as follows: (1) multiply the applicable margin interest rate by the daily close of business net settled debit balance, and (2) divide by 360 (days). Margin interest accrues daily throughout the month and is added to your debit balance at month-end. The month-end interest charge is the sum of the daily accrued interest calculations for the month. We add the accrued interest to your debit balance and start a new calculation each time the applicable interest rate changes and at the close of every statement

CONTINUED

Expanded Disclosures (CONTINUED)

month. For interest rate information, log into your Morgan Stanley account at morganstanley.com/online. Select your account with a Margin agreement and click Interest Rates for more information.

Information regarding Special Memorandum Account

If you have a Margin Account, this is a combined statement of your Margin Account and Special Memorandum Account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the Special Memorandum Account as required by Regulation T is available for your inspection at your request.

Money Market Fund (MMF) Pricing

You could lose money in MMFs. Although MMFs classified as government funds (i.e., MMFs that invest 99.5% of total assets in cash and/or securities backed by the U.S government) and retail funds (i.e., MMFs open to natural person investors only) seek to preserve value at $1.00 per share, they cannot guarantee they will do so. The price of other MMFs will fluctuate and when you sell shares they may be worth more or less than originally paid. MMFs may impose a fee upon sale or temporarily suspend sales if liquidity falls below required minimums. During suspensions, shares would not be available for purchases, withdrawals, check writing or ATM debits. A MMF investment is not insured or guaranteed by the Federal Deposit Insurance Corporation or other government agency.

Notice Regarding Global Investment Manager Analysis

Morgan Stanley's Global Investment Manager Analysis team conducts analysis on various mutual funds and exchange-traded funds for clients holding those funds in certain investment advisory programs. If you have invested in any of these funds in another type of account, such as a brokerage account, you will not receive the same materials and status updates on the funds as we provide to investment advisory clients (including instructions on selling fund shares).

Pricing of Securities

The prices of securities are derived from various sources, and do not necessarily represent the prices at which those securities could have been bought or sold. Although we attempt to use reliable sources of information, we can offer no assurance as to their accuracy, reliability or completeness. Prices are as of the date shown only and are not an offer by us or our affiliates to purchase or sell any instrument or enter into any transaction or a commitment by us or them to make such an offer. Prices of securities not actively traded may not be available, and are indicated by N/A (not available). For additional information on how we price securities, go to www.morganstanley.com/wealth/disclosures/disclosures.asp.

Important Information About Auction Rate Securities

For certain Auction Rate Securities there is no or limited liquidity. Therefore, the price(s) for these Auction Rate Securities are indicated by N/A (not available). There can be no assurance that a successful auction will occur or that a secondary market exists or will develop for a particular security.

Structured Investments Risks and Considerations

Structured Investments (Structured Products) are complex products and are subject to special risks, which may include, but are not limited to: loss of initial investment; issuer credit risk and price volatility resulting from actual or anticipated changes to issuer's and/or guarantor's credit ratings/spreads; limited or no appreciation and limits on participation in any appreciation of underlying asset(s); risks associated with the underlying asset(s); no periodic payments; call prior to maturity; early redemption fees for market linked deposits; lower interest rates and/or yield compared to conventional debt with comparable maturity; unique tax implications; limited or no secondary market; and conflicts of interest due to affiliation, compensation or other factors which could adversely affect market value or payout to investors. Investors also should consider the concentration risk of owning the related security and their total exposure to any underlying asset. Structured Investments, which may appear in various product categories and are identified on the Position Description Details line as "Asset Class: Struct Inv," may not perform in a manner consistent with the product category where they appear, and therefore may not satisfy portfolio asset allocation needs for that category. When displayed, the accrued interest, annual income and yield for structured investments with a contingent income feature (e.g., Range Accrual Notes/Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant observation period and payment in full of all contingent interest. Actual accrued interest, annual income and yield will be dependent upon the performance of the underlying asset(s) and may be significantly lower than estimates shown. For more information on the risks and conflicts of interest related to Structured Investments, log in to Morgan Stanley Online at www.morganstanley.com/structuredproductsrisksandconflicts. For information on risks specific to your Structured Investments, contact us.

Security Measures

This statement features several embedded security elements to safeguard its authenticity. One is a unique blue security rectangle, printed in heat-sensitive ink on the back of every page. When exposed to warmth, the color will disappear, and then reappear.

SIPC Protection

We are a member of Securities Investor Protection Corporation (SIPC), which protects securities of its customers up to $500,000 (including $250,000 for claims for cash). An explanatory brochure is available upon request or at www.sipc.org. Losses due to market fluctuation are not protected by SIPC and assets not held with us may not be covered by SIPC protection. To obtain information about SIPC, including an explanatory SIPC brochure, contact SIPC at 1-202-371-8300 or visit www.sipc.org.

Certain Assets Not Held at Morgan Stanley Smith Barney LLC

You may purchase certain assets through us that may be held at another financial institution. Assets not held with us may not be covered by SIPC protection. We may include information about certain assets on this statement solely as a service to you and are not responsible for information provided by external sources. Generally, any financial institution that holds securities is responsible for year-end reporting (e.g., Forms 1099) and separate periodic statements, which may vary from our information due to different reporting periods. In the case of networked mutual funds, we perform all year-end tax reporting.

Total Income

Total income, as used in the income summaries, represents dividends and/or interest on securities we receive on your behalf and credit to your account(s) during the calendar year. We report dividend distributions and taxable bond interest credited to your account to the IRS. The totals we report may differ from those indicated as "This Year" figures on the last statement for the calendar year. Only information on Forms 1099 should be used for tax reporting. In the case of Corporations, Real Estate Investment Trusts (REITs), Master Limited Partnerships, Regulated Investment Companies and Unit Investment Trusts, some sponsors may reclassify the distribution to a different tax type for year-end reporting.

Transaction Dates and Conditions

Transactions display trade date and settlement date. Transactions are included on this statement on trade date basis (excluding BDP and MMFs). Trades that have not settled as of statement month end will also be displayed in the "Unsettled Purchases/Sales Activity" section. Upon written request, we will give you the date and time of a transaction and the name of the other party to a transaction. We and/or our affiliates may accept benefits that constitute payment for order flow. Details regarding these benefits and the source and amount of any other remuneration received or to be received by us in connection with any transaction will be furnished upon written request.

Tax and Legal Disclosure

Morgan Stanley does not provide legal or tax advice. Please consult your own tax advisor.

Revised 07/2019

Select UMA Active Assets Account
[redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

Account Summary

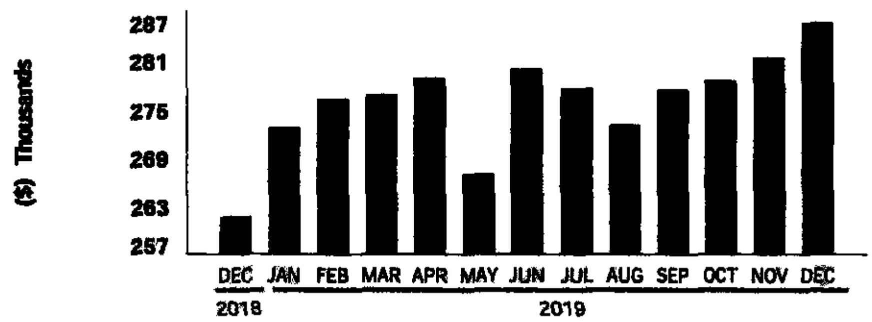
CHANGE IN VALUE OF YOUR ACCOUNTS (includes accrued interest)

	This Period (12/1/19-12/31/19)	This Year (1/1/19-12/31/19)
TOTAL BEGINNING VALUE	**$281,278.54**	**$261,558.76**
Credits	—	2,000.00
Debits	(1,004.42)	(22,954.64)
Security Transfers	—	—
Net Credits/Debits/Transfers	**$(1,004.42)**	**$(20,954.64)**
Change in Value	**5,302.68**	**44,972.68**
TOTAL ENDING VALUE	**$285,576.80**	**$285,576.80**

Net Credits / Debits include investment advisory fees as applicable. See Activity section for details.

MARKET VALUE OVER TIME

The below chart displays the most recent thirteen months of Market Value.

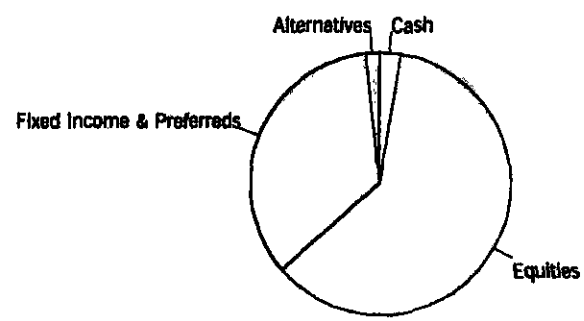


This chart does not reflect corrections to Market Value made subsequent to the dates depicted. It may exclude transactions in Annuities or positions where we are not the custodian, which could delay the reporting of Market Value.

ASSET ALLOCATION (includes accrued interest)

	Market Value	Percentage
Cash	$7,479.83	2.62
Equities	174,058.72	60.95
Fixed Income & Preferreds	98,961.74	34.65
Alternatives	5,076.51	1.78
TOTAL VALUE	**$285,576.80**	**100.00%**

FDIC rules apply and Bank Deposits are eligible for FDIC insurance but are not covered by SIPC. Cash and securities (including MMFs) are eligible for SIPC coverage. See Expanded Disclosures. Values may include assets externally held, which are provided to you as a courtesy, and may not be covered by SIPC. For additional information, refer to the corresponding section of this statement.



This asset allocation represents holdings on a trade date basis, and projected settled Cash/BDP and MMF balances. These classifications do not constitute a recommendation and may differ from the classification of instruments for regulatory or tax purposes.

Account Summary

Select UMA Active Assets Account
[redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

BALANCE SHEET (^ includes accrued interest)

	Last Period (as of 11/30/19)	This Period (as of 12/31/19)
Cash, BDP, MMFs	$5,125.46	$7,479.83
Stocks	139,195.61	142,963.73
Corporate Fixed Income ^	19,420.60	19,462.85
Government Securities ^	26,779.82	26,506.69
Mutual Funds	90,757.11	89,163.70
Total Assets	**$281,278.60**	**$285,576.80**
Cash, BDP, MMFs (Debit)	(0.06)	—
Total Liabilities (outstanding balance)	**$(0.06)**	—
TOTAL VALUE	**$281,278.54**	**$285,576.80**

CASH FLOW

	This Period (12/1/19-12/31/19)	This Year (1/1/19-12/31/19)
OPENING CASH, BDP, MMFs	**$5,125.40**	**$8,836.54**
Purchases	—	(116,329.14)
Sales and Redemptions	—	127,254.41
2018 Net Unsettled Purch/Sales	N/A	(2,503.95)
Income and Distributions	3,358.85	11,176.61
Total Investment Related Activity	**$3,358.85**	**$19,597.93**
Electronic Transfers-Credits	—	2,000.00
Electronic Transfers-Debits	(1,000.00)	(19,000.00)
Other Debits	(4.42)	(3,954.64)
Total Cash Related Activity	**$(1,004.42)**	**$(20,954.64)**
Total Card/Check Activity	—	—
CLOSING CASH, BDP, MMFs	**$7,479.83**	**$7,479.83**

INCOME AND DISTRIBUTION SUMMARY

	This Period (12/1/19-12/31/19)	This Year (1/1/19-12/31/19)
Qualified Dividends	$322.28	$3,680.10
Other Dividends	591.01	2,914.93
Long Term Capital Gains Distributions	2,322.06	2,322.06
Interest	56.39	1,418.98
Return of Capital/Principal	67.11	690.90
Income And Distributions	**$3,358.85**	**$11,026.97**
Tax-Exempt Income	—	—
TOTAL INCOME AND DISTRIBUTIONS	**$3,358.85**	**$11,026.97**

Taxable and tax exempt income classifications are based on the characteristics of the underlying securities and not the taxable status of the account.

GAIN/(LOSS) SUMMARY

	Realized This Period (12/1/19-12/31/19)	Realized This Year (1/1/19-12/31/19)	Unrealized Inception to Date (as of 12/31/19)
Short-Term Gain	—	$2,642.80	$8,223.83
Short-Term (Loss)	—	(1,821.01)	(2,621.93)
Total Short-Term	—	**$821.79**	**$5,601.90**
Long-Term Gain	0.56	8,383.12	53,229.95
Long-Term (Loss)	—	(114.53)	(429.94)
Total Long-Term	**$0.56**	**$8,268.59**	**$52,800.01**
TOTAL GAIN/(LOSS)	**$0.56**	**$9,090.38**	**$58,401.91**
Disallowed Loss	—	$65.59	

The Gain/(Loss) Summary, which may change due to basis adjustments, is provided for informational purposes and should not be used for tax preparation. Refer to Gain/(Loss) in the Expanded Disclosures.

Account Summary

Select UMA Active Assets Account [redacted]
ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

ADDITIONAL ACCOUNT INFORMATION

Category	This Period (12/1/19-12/31/19)	This Year (1/1/19-12/31/19)	Category	This Period (12/1/19-12/31/19)	This Year (1/1/19-12/31/19)
Accrued Interest Paid	—	$61.31	Accrued Interest Received	—	110.08
Foreign Tax Paid	9.53	276.61	U.S. Treasury Coupon Interest	43.13	500.64

All Municipal and U.S. Treasury coupon interest displayed in this section is also included in the Income and Distribution Summary. Municipal interest above is subject to federal income tax, but may be exempt from state and local income tax. U.S. Treasury interest is subject to federal income tax, but is exempt from both state and local income tax.

Account Detail

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

Investment Objectives (in order of priority): Capital Appreciation, Income, Aggressive Income, Speculation

Investment Advisory Account

Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

Account Holder Votes Proxy: No

The account holder has delegated the authority to vote proxies for the account to Institutional Shareholder Services or a third-party or Morgan Stanley-affiliated portfolio manager, as applicable.

HOLDINGS

This section reflects positions purchased/sold on a trade date basis. "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market. Your actual investment return may differ from the unrealized gain/(Loss) displayed. Fixed income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income a) is calculated on a pre-tax basis, b) does not include any reduction for applicable non-US withholding taxes, c) may include return of principal or capital gains which could overstate such estimates, and d) for securities that have a defined maturity date within the next 12 months, is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Current Yield reflects the income generated by an investment, and is calculated by dividing the total estimated annual income by the current market value of the entire position. It does not reflect changes in its price. Structured Investments, identified on the Position Description Details line as "Asset Class: Struct Inv," may appear in various statement product categories. When displayed, the accrued interest, annual income and current yield for those with a contingent income feature (e.g., Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions.

Description	Market Value	7-Day Current Yield %	Est Ann Income	APY %
MORGAN STANLEY BANK N.A. #	**$7,470.83**	—	$2.24	0.030

	Percentage of Holdings	Market Value	Est Ann Income
CASH, BDP, AND MMFs	**2.62%**	**$7,470.83**	**$2.24**

 # Bank Deposits are held at Morgan Stanley Bank. N.A. and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC and each a national bank and FDIC member.

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
3M COMPANY (MMM) *Next Dividend Payable 03/2020; Asset Class: Equities*	3/10/09	4.000	$44.690	$176.420	$178.76	**$705.68**	$526.92 LT	$23.00	3.26
ACCENTURE PLC IRELAND CL A (ACN) *Next Dividend Payable 02/2020; Asset Class: Equities*	12/17/12	5.000	71.102	210.570	355.51	**1,052.85**	697.34 LT	16.00	1.52
ADECCO GROUP AG ADR (AHEXY) *Asset Class: Equities*	5/8/12	14.000	21.290	31.635	298.06	**442.89**	144.83 LT	10.00	2.26

Account Detail

Select UMA Active Assets Account [redacted]
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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ADOBE INC (ADBE)	1/18/19	1.000	247.600	329.810	247.60	**329.81**	82.21 ST	—	—
Asset Class: Equities									
AES CORP (AES)	1/26/18	46.000	11.640	19.900	535.44	**915.40**	379.96 LT	26.00	2.84
Next Dividend Payable 02/2020; Asset Class: Equities									
AIR PROD & CHEM INC (APD)	1/18/19	2.000	158.500	234.990	317.00	**469.98**	152.98 ST	9.00	1.91
Next Dividend Payable 02/10/20; Asset Class: Equities									
AKZO NOBEL NV ADR (AKZOY)	10/3/11	15.000	16.125	34.050	241.88	**510.75**	268.87 LT	58.00	11.36
Asset Class: Equities									
ALASKA AIR GROUP INCORPORATED (ALK)	7/11/13	4.000	29.745	67.750	118.98	**271.00**	152.02 LT	6.00	2.21
Next Dividend Payable 03/2020; Asset Class: Equities									
ALEXION PHARM INC (ALXN)	1/18/19	2.000	116.475	108.150	232.95	216.30	(16.65) ST		
	2/15/19	2.000	128.415	108.150	256.83	216.30	(40.53) ST		
	2/19/19	1.000	128.930	108.150	128.93	108.15	(20.78) ST		
	Total	5.000			618.71	**540.75**	(77.96) ST	—	—
Asset Class: Equities									
ALLERGAN PLC SHS (AGN)	1/18/19	1.000	159.730	191.170	159.73	191.17	31.44 ST		
	1/18/19	4.000	157.448	191.170	629.79	764.68	134.89 ST		
	Total	5.000			789.52	**955.85**	166.33 ST	15.00	1.57
Next Dividend Payable 03/2020; Asset Class: Equities									
ALLISON TRANSMN HLDGS INC (ALSN)	4/22/14	3.000	28.343	48.320	85.03	144.96	59.93 LT R		
	2/12/18	2.000	41.740	48.320	83.48	96.64	13.16 LT R		
	2/13/18	1.000	41.510	48.320	41.51	48.32	6.81 LT R		
	2/14/18	1.000	41.690	48.320	41.69	48.32	6.63 LT R		
	Total	7.000			251.71	**338.24**	86.53 LT	4.00	1.18
Next Dividend Payable 02/2020; Asset Class: Equities									
ALPHABET INC CL A (GOOGL)	4/15/11	1.000	270.730	1,339.390	270.73	**1,339.39**	1,068.66 LT	—	—
Asset Class: Equities									
ALPHABET INC CL C (GOOG)	4/15/11	1.000	269.060	1,337.020	269.06	**1,337.02**	1,067.96 LT	—	—
Asset Class: Equities									
AMER INTL GP INC NEW (AIG)	1/18/19	19.000	44.077	51.330	837.46	975.27	137.81 ST		
	5/28/19	2.000	52.475	51.330	104.95	102.66	(2.29) ST		
	Total	21.000			942.41	**1,077.93**	135.52 ST	27.00	2.50
Next Dividend Payable 03/2020; Asset Class: Equities									

Account Detail

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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
AMERICAN HOMES 4 RENT (AMH)	6/8/15	15.000	16.559	26.210	248.39	393.15	144.76 LT		
	6/10/15	3.000	16.970	26.210	50.91	78.63	27.72 LT		
	6/30/15	6.000	15.990	26.210	95.94	157.26	61.32 LT		
	8/10/15	11.000	15.611	26.210	171.72	288.31	116.59 LT		
	1/31/19	7.000	22.049	26.210	154.34	183.47	29.13 ST		
	2/1/19	2.000	22.040	26.210	44.08	52.42	8.34 ST		
	Total	44.000			765.38	**1,153.24**	350.39 LT 37.47 ST	9.00	0.78
Next Dividend Payable 01/07/20; Asset Class: Alt									
AMERICAN TOWER REIT COM (AMT)	1/28/14	2.000	79.935	229.820	159.87	459.64	299.77 LT		
	2/3/14	2.000	78.750	229.820	157.50	459.64	302.14 LT		
	8/4/14	1.000	95.330	229.820	95.33	229.82	134.49 LT		
	Total	5.000			412.70	**1,149.10**	736.40 LT	19.00	1.65
Next Dividend Payable 01/14/20; Asset Class: Alt									
ANHEUSER BUSCH INBEV SA SPON (BUD)	3/28/19	9.000	82.841	82.040	745.57	738.36	(7.21) ST		
	6/27/19	1.000	87.200	82.040	87.20	82.04	(5.16) ST		
	Total	10.000			832.77	**820.40**	(12.37) ST	15.00	1.83
Asset Class: Equities									
AP MOLLAR-MAERSK A/S ADR (AMKBY)	1/18/19	87.000	6.780	7.180	589.86	**624.66**	34.80 ST	6.00	0.96
Asset Class: Equities									
APPLE INC (AAPL)	10/8/19	1.000	226.010	293.650	226.01	**293.65**	67.64 ST	3.00	1.02
Next Dividend Payable 02/2020; Asset Class: Equities									
ARISTA NETWORKS INC (ANET)	11/14/19	1.000	189.940	203.400	189.94	**203.40**	13.46 ST	—	—
Asset Class: Equities									
AT&T INC (T)	6/12/09	2.000	24.885	39.080	49.77	78.16	28.39 LT		
	6/12/09	1.000	24.890	39.080	24.89	39.08	14.19 LT		
	6/12/09	18.000	24.886	39.080	447.95	703.44	255.49 LT		
	Total	21.000			522.61	**820.68**	298.07 LT	44.00	5.36
Next Dividend Payable 02/2020; Asset Class: Equities									
ATOS ORIGIN SA ADR (AEXAY)	3/12/19	24.000	19.047	16.730	457.12	401.52	(55.60) ST		
	4/15/19	13.000	20.856	16.730	271.13	217.49	(53.64) ST		
	6/7/19	6.000	16.042	16.730	96.25	100.38	4.13 ST		
	Total	43.000			824.50	**719.39**	(105.11) ST	185.00	25.72
Asset Class: Equities									
AUTOMATIC DATA PROCESSING INC (ADP)	11/25/08	8.000	32.786	170.500	262.29	**1,384.00**	1,101.71 LT	29.00	2.13
Next Dividend Payable 01/01/20; Asset Class: Equities									

Account Detail

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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
AUTOZONE INC (AZO)	7/21/17	1.000	510.800	1,191.310	510.80	**1,191.31**	680.51 LT	—	—
Asset Class: Equities									
AXA ADS (AXAHY)	12/3/12	7.000	16.633	28.145	116.43	197.02	80.59 LT		
	12/12/12	16.000	17.224	28.145	275.59	450.32	174.73 LT		
	1/10/13	14.000	18.196	28.145	254.74	394.03	139.29 LT		
	7/18/13	7.000	21.731	28.145	152.12	197.02	44.90 LT		
	Total	44.000			798.88	**1,238.38**	439.51 LT	54.00	4.36
Asset Class: Equities									
BAIDU INC ADS (BIDU)	1/9/19	1.000	167.760	126.400	167.76	126.40	(41.36) ST		
	2/21/19	1.000	171.960	126.400	171.96	126.40	(45.56) ST		
	4/15/19	3.000	168.757	126.400	506.27	379.20	(127.07) ST		
	6/3/19	1.000	107.930	126.400	107.93	126.40	18.47 ST		
	Total	6.000			953.92	**758.40**	(195.52) ST	—	—
Asset Class: Equities									
BANCO SANTANDER S.A. (SAN)	10/19/16	2.000	4.350	4.140	8.70	8.28	(0.42) LT		
	1/18/19	226.000	4.967	4.140	1,122.54	935.64	(186.90) ST		
	Total	228.000			1,131.24	**943.92**	(0.42) LT (186.90) ST	58.00	6.14
Next Dividend Payable 02/2020; Asset Class: Equities									
BANK OZK (OZK)	1/18/19	12.000	31.380	30.505	376.56	**366.06**	(10.50) ST	12.00	3.28
Next Dividend Payable 01/2020; Asset Class: Equities									
BARCLAYS PLC ADR (BCS)	1/18/19	38.000	8.547	9.520	324.80	361.76	36.96 ST		
	4/17/19	49.000	8.800	9.520	431.19	466.48	35.29 ST		
	Total	87.000			755.99	**828.24**	72.25 ST	30.00	3.62
Asset Class: Equities									
BASF SE SP ADR (BASFY)	1/18/19	31.000	18.799	18.715	582.76	580.17	(2.59) ST		
	6/7/19	8.000	17.466	18.715	139.73	149.72	9.99 ST		
	Total	39.000			722.49	**729.89**	7.40 ST	25.00	3.43
Asset Class: Equities									
BAYER AG SPON ADR (BAYRY)	10/3/11	20.000	13.145	20.280	262.90	405.60	142.70 LT		
	1/18/19	36.000	19.020	20.280	684.72	730.08	45.36 ST		
	6/28/19	12.000	17.376	20.280	208.51	243.36	34.85 ST		
	Total	68.000			1,156.13	**1,379.04**	142.70 LT 80.21 ST	76.00	5.51
Next Dividend Payable 05/2020; Asset Class: Equities									

Account Detail

Select UMA Active Assets Account [redacted]

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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
BAYERISCHE MOTOREN WERKE ADR (BMWYY)	1/18/19	29.000	27.946	27.120	810.43	786.48	(23.95) ST	27.00	3.43
Next Dividend Payable 05/2020; Asset Class: Equities									
BECTON DICKINSON & CO (BDX)	5/1/17	2.000	185.950	271.970	371.90	543.94	172.04 LT		
	10/3/19	1.000	250.250	271.970	250.25	271.97	21.72 ST		
	Total	3.000			622.15	815.91	172.04 LT 21.72 ST	9.00	1.10
Next Dividend Payable 03/2020; Asset Class: Equities									
BERKSHIRE HATHAWAY CL-B NEW (BRKB)	2/1/10	3.000	76.150	226.500	228.45	679.50	451.05 LT		
	2/10/10	5.000	74.470	226.500	372.35	1,132.50	760.15 LT		
	Total	8.000			600.80	1,812.00	1,211.20 LT	—	—
Asset Class: Equities									
BNP PARIBAS SP ADR REPSTG (BNPQY)	1/18/19	47.000	24.337	29.690	1,143.82	1,395.43	251.61 ST		
	4/17/19	6.000	27.497	29.690	164.98	178.14	13.16 ST		
	Total	53.000			1,308.80	1,573.57	264.77 ST	75.00	4.77
Asset Class: Equities									
BOEING CO (BA)	9/21/10	1.000	64.230	325.760	64.23	325.76	261.53 LT		
	12/17/14	1.000	124.000	325.760	124.00	325.76	201.76 LT		
	Total	2.000			188.23	651.52	463.29 LT	16.00	2.46
Next Dividend Payable 03/2020; Asset Class: Equities									
BP PLC ADS (BP)	2/19/16	4.000	29.540	37.740	118.16	150.96	32.80 LT		
	3/1/16	17.000	29.589	37.740	503.02	641.58	138.56 LT		
	1/18/19	7.000	40.786	37.740	285.50	264.18	(21.32) ST		
	Total	28.000			906.68	1,056.72	171.36 LT (21.32) ST	68.00	6.44
Next Dividend Payable 03/2020; Asset Class: Equities									
BRENNTAG AG UNSP ADR (BNTGY)	11/25/19	44.000	10.704	10.800	470.99	475.20	4.21 ST	8.00	1.68
Asset Class: Equities									
BRISTOL MYERS SQUIBB CO (BMY)	1/18/19	14.000	50.139	64.190	701.94	898.66	196.72 ST	25.00	2.78
Next Dividend Payable 02/03/20; Asset Class: Equities									
CAPRI HOLDINGS LIMITED (CPRI)	1/9/19	10.000	41.751	38.150	417.51	381.50	(36.01) ST		
	6/7/19	8.000	34.019	38.150	272.15	305.20	33.05 ST		
	Total	18.000			689.66	686.70	(2.96) ST	—	—
Asset Class: Equities									
CATHAY PCFC ARWYS LTD NW S ADR (CPCAY)	1/18/19	63.000	7.740	7.230	487.62	455.49	(32.13) ST	13.00	2.85
Asset Class: Equities									

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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
CEMEX SAB DE CV (CX)	1/18/19	102.000	5.594	3.780	570.56	385.56	(185.00) ST		
	4/17/19	49.000	4.880	3.780	239.12	185.22	(53.90) ST		
	Total	151.000			809.68	570.78	(238.90) ST	15.00	2.63
Asset Class: Equities									
CHINA CONSTRUCTION BANK CORP (CICHY)	12/23/14	16.000	16.194	17.300	259.10	276.80	17.70 LT		
	1/18/19	32.000	17.068	17.300	546.18	553.60	7.42 ST		
	Total	48.000			805.28	830.40	17.70 LT 7.42 ST	36.00	4.34
Asset Class: Equities									
CHOW TAI FOOK JEWLLERY GRP LTD (CJEWY)	1/18/19	21.000	8.130	9.492	170.73	199.33	28.60 ST		
	6/7/19	29.000	8.790	9.492	254.91	275.27	20.36 ST		
	6/28/19	13.000	10.735	9.492	139.56	123.40	(16.16) ST		
	Total	63.000			565.20	598.00	32.80 ST	22.00	3.68
Next Dividend Payable 01/08/20; Asset Class: Equities									
CISCO SYS INC (CSCO)	3/15/11	5.000	17.346	47.960	86.73	239.80	153.07 LT		
	7/1/11	15.000	15.857	47.960	237.85	719.40	481.55 LT		
	7/1/11	5.000	15.858	47.960	79.29	239.80	160.51 LT		
	7/1/11	3.000	15.857	47.960	47.57	143.88	96.31 LT		
	Total	28.000			451.44	1,342.88	891.44 LT	39.00	2.90
Next Dividend Payable 01/22/20; Asset Class: Equities									
CITIGROUP INC NEW (C)	3/27/13	3.000	44.377	79.890	133.13	239.67	106.54 LT		
	10/16/13	8.000	50.094	79.890	400.75	639.12	238.37 LT		
	2/28/14	1.000	48.690	79.890	48.69	79.89	31.20 LT		
	10/14/14	10.000	51.206	79.890	512.06	798.90	286.84 LT		
	Total	22.000			1,094.63	1,757.58	662.95 LT	45.00	2.56
Next Dividend Payable 02/2020; Asset Class: Equities									
CME GROUP INC (CME)	5/5/17	1.000	118.160	200.720	118.16	200.72	82.56 LT		
	5/23/17	1.000	118.010	200.720	118.01	200.72	82.71 LT		
	Total	2.000			236.17	401.44	165.27 LT	6.00	1.49
Next Dividend Payable 03/2020; Asset Class: Equities									
CNH INDL N V SHS (CNHI)	12/22/16	25.000	8.786	11.000	219.64	275.00	55.36 LT		
	1/18/19	26.000	10.289	11.000	267.51	286.00	18.49 ST		
	4/15/19	36.000	11.096	11.000	399.46	396.00	(3.46) ST		
	Total	87.000			886.61	957.00	55.36 LT 15.03 ST	18.00	1.88
Next Dividend Payable 05/2020; Asset Class: Equities									

Account Detail

Select UMA Active Assets Account [redacted]

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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
COMCAST CORP (NEW) CLASS A (CMCSA)	10/5/09	10.000	7.282	44.970	72.82	449.70	376.88 LT		
	3/16/11	6.000	11.198	44.970	67.19	269.82	202.63 LT		
	3/16/11	2.000	11.200	44.970	22.40	89.94	67.54 LT		
	6/16/11	10.000	11.094	44.970	110.94	449.70	338.76 LT		
	6/16/11	2.000	11.095	44.970	22.19	89.94	67.75 LT		
	11/2/11	10.000	11.359	44.970	113.59	449.70	336.11 LT		
	11/2/11	4.000	11.358	44.970	45.43	179.88	134.45 LT		
	Total	44.000			454.56	**1,978.68**	1,524.12 LT	37.00	1.87
Next Dividend Payable 01/2020; Asset Class: Equities									
CONAGRA BRANDS INC (CAG)	2/14/19	4.000	23.805	34.240	95.22	136.96	41.74 ST		
	2/15/19	4.000	24.365	34.240	97.46	136.96	39.50 ST		
	2/22/19	5.000	23.260	34.240	116.30	171.20	54.90 ST		
	Total	13.000			308.98	**445.12**	136.14 ST	11.00	2.47
Next Dividend Payable 03/2020; Asset Class: Equities									
CONTL AG SPONS ADR (CTTAY)	2/15/19	29.000	15.668	12.825	454.38	371.93	(82.45) ST		
	4/15/19	26.000	17.387	12.825	452.07	333.45	(118.62) ST		
	Total	55.000			906.45	**705.38**	(201.07) ST	20.00	2.84
Asset Class: Equities									
CORTEVA INC (CTVA)	10/3/11	0.555	15.586	29.560	8.65	16.41	7.76 LT		
	11/15/12	0.445	16.831	29.560	7.49	13.15	5.66 LT		
	11/15/12	0.445	16.809	29.560	7.48	13.15	5.67 LT		
	11/15/12	0.740	16.824	29.560	12.45	21.87	9.42 LT		
	12/28/12	0.778	18.008	29.560	14.01	23.00	8.99 LT		
	12/28/12	0.815	14.957	29.560	12.19	24.09	11.90 LT		
	12/28/12	1.222	14.958	29.560	18.28	36.12	17.84 LT		
	9/3/19	1.000	28.300	29.560	28.30	29.56	1.26 ST		
	9/3/19	2.000	28.300	29.560	56.60	59.12	2.52 ST		
	9/4/19	5.000	28.444	29.560	142.22	147.80	5.58 ST		
	9/4/19	1.000	28.440	29.560	28.44	29.56	1.12 ST		
	9/30/19	1.000	28.050	29.560	28.05	29.56	1.51 ST		
	9/30/19	5.000	28.052	29.560	140.26	147.80	7.54 ST		
	Total	20.000			504.42	**591.20**	67.24 LT 19.53 ST	11.00	1.88
Next Dividend Payable 03/2020; Asset Class: Equities									
COVETRUS INC (CVET)	10/9/19	29.000	11.073	13.200	321.11	**382.80**	61.69 ST	—	—
Asset Class: Equities									

Account Detail

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
CVS HEALTH CORP COM (CVS)	11/29/18	0.009	81.111	74.290	0.73	0.67	(0.06) LT		
	11/29/18	0.976	80.707	74.290	78.77	72.51	(6.26) LT		
	11/29/18	0.007	81.429	74.290	0.57	0.52	(0.05) LT		
	11/29/18	0.008	81.250	74.290	0.65	0.59	(0.06) LT		
	1/18/19	4.000	65.238	74.290	260.95	297.16	36.21 ST		
	8/16/19	1.000	60.150	74.290	60.15	74.29	14.14 ST		
	8/16/19	5.000	60.146	74.290	300.73	371.45	70.72 ST		
	Total	11.000			702.55	**817.19**	(6.43) LT 121.07 ST	22.00	2.69
Next Dividend Payable 02/2020; Asset Class: Equities									
DUKE ENERGY CORPORATION (DUK)	11/25/08	13.000	45.252	91.210	588.27	**1,185.73**	597.46 LT	49.00	4.13
Next Dividend Payable 03/2020; Asset Class: Equities									
DUPONT DE NEMOURS INC (DD)	10/3/11	0.555	44.685	64.200	24.80	35.63	10.83 LT		
	11/15/12	1.630	48.215	64.200	78.59	104.65	26.06 LT		
	12/28/12	0.778	51.607	64.200	40.15	49.95	9.80 LT		
	12/28/12	0.815	42.834	64.200	34.91	52.32	17.41 LT		
	12/28/12	1.222	42.881	64.200	52.40	78.45	26.05 LT		
	9/11/19	2.000	71.510	64.200	143.02	128.40	(14.62) ST		
	9/11/19	2.000	71.510	64.200	143.02	128.40	(14.62) ST		
	Total	9.000			516.89	**577.80**	90.15 LT (29.24) ST	11.00	1.95
Next Dividend Payable 03/2020; Asset Class: Equities									
DXC TECHNOLOGY COMPANY (DXC)	1/31/19	1.000	64.050	37.590	64.05	37.59	(26.46) ST		
	2/1/19	2.000	65.130	37.590	130.26	75.18	(55.08) ST		
	8/21/19	3.000	34.427	37.590	103.28	112.77	9.49 ST		
	Total	6.000			297.59	**225.54**	(72.05) ST	5.00	2.22
Next Dividend Payable 01/14/20; Asset Class: Equities									
E*TRADE FINANCIAL CORP NEW COM (ETFC)	1/31/19	2.000	46.225	45.370	92.45	90.74	(1.71) ST		
	2/1/19	3.000	47.420	45.370	142.26	136.11	(6.15) ST		
	2/4/19	1.000	47.410	45.370	47.41	45.37	(2.04) ST		
	Total	6.000			282.12	**272.22**	(9.90) ST	3.00	1.10
Next Dividend Payable 02/2020; Asset Class: Equities									
ECOLAB INC (ECL)	12/6/12	5.000	72.154	192.990	360.77	**964.95**	604.18 LT	9.00	0.93
Next Dividend Payable 01/15/20; Asset Class: Equities									

Account Detail

Select UMA Active Assets Account
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ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ENCANA CORP (ECA)	1/18/19	1.000	7.040	4.690	7.04	4.69	(2.35) ST		
	1/18/19	64.000	7.041	4.690	450.64	300.16	(150.48) ST		
	1/18/19	69.000	7.041	4.690	485.84	323.61	(162.23) ST		
	4/15/19	19.000	7.579	4.690	144.01	89.11	(54.90) ST		
	Total	153.000			1,087.53	717.57	(369.96) ST	12.00	1.70
Next Dividend Payable 03/2020; Asset Class: Equities									
EQT CORPORATION COM NEW (EQT)	10/30/19	3.000	10.157	10.900	30.47	32.70	2.23 ST		
	10/31/19	8.000	10.518	10.900	84.14	87.20	3.06 ST		
	11/1/19	3.000	10.607	10.900	31.82	32.70	0.88 ST		
	Total	14.000			146.43	152.60	6.17 ST	2.00	1.31
Next Dividend Payable 03/2020; Asset Class: Alt									
EURONET WORLDWIDE INC (EEFT)	9/27/19	1.000	144.380	157.560	144.38	157.56	13.18 ST		
	9/30/19	1.000	146.350	157.560	146.35	157.56	11.21 ST		
	10/1/19	1.000	146.570	157.560	146.57	157.56	10.99 ST		
	Total	3.000			437.30	472.68	35.38 ST	—	—
Asset Class: Equities									
EXELON CORP (EXC)	6/23/16	1.000	34.730	45.590	34.73	45.59	10.86 LT		
	6/24/16	4.000	34.560	45.590	138.24	182.36	44.12 LT		
	6/27/16	6.000	34.440	45.590	206.64	273.54	66.90 LT		
	6/28/16	5.000	34.696	45.590	173.48	227.95	54.47 LT		
	11/15/16	5.000	31.838	45.590	159.19	227.95	68.76 LT		
	2/9/18	2.000	36.385	45.590	72.77	91.18	18.41 LT		
	Total	23.000			785.05	1,048.57	263.52 LT	33.00	3.15
Next Dividend Payable 03/2020; Asset Class: Equities									
EXXON MOBIL CORP (XOM)	1/18/19	11.000	72.635	69.780	798.98	767.58	(31.40) ST	38.00	4.95
Next Dividend Payable 03/2020; Asset Class: Equities									
FAIRFAX FIN HLDG SUB VTG (FRFHF)	1/18/19	1.000	473.200	469.110	473.20	469.11	(4.09) ST	10.00	2.13
Next Dividend Payable 01/2020; Asset Class: Equities									
FIRST AMERICAN FINL CORP (FAF)	1/18/19	5.000	47.664	58.320	238.32	291.60	53.28 ST		
	8/29/19	1.000	58.680	58.320	58.68	58.32	(0.36) ST		
	Total	6.000			297.00	349.92	52.92 ST	10.00	2.86
Next Dividend Payable 03/2020; Asset Class: Equities									
FOOT LOCKER INC (FL)	3/9/18	1.000	41.830	38.990	41.83	38.99	(2.84) LT		
	1/18/19	3.000	58.463	38.990	175.39	116.97	(58.42) ST		
	1/31/19	3.000	56.073	38.990	168.22	116.97	(51.25) ST		

Account Detail

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	Total	7.000			385.44	272.83	(2.84) LT (109.67) ST	11.00	4.03
Next Dividend Payable 02/2020; Asset Class: Equities									
G4S PLC UNSPONS ADR (GFSZY)	7/27/16	14.000	12.277	14.719	171.88	206.07	34.19 LT		
	1/18/19	25.000	13.694	14.719	342.34	367.97	25.63 ST		
	Total	39.000			514.22	574.04	34.19 LT 25.63 ST	21.00	3.66
Asset Class: Equities									
GATX CORP (GATX)	8/23/16	2.000	45.765	82.850	91.53	165.70	74.17 LT		
	9/1/16	2.000	43.095	82.850	86.19	165.70	79.51 LT		
	6/3/19	1.000	70.380	82.860	70.38	82.85	12.47 ST		
	Total	5.000			248.10	414.25	153.68 LT 12.47 ST	9.00	2.17
Next Dividend Payable 03/2020; Asset Class: Equities									
GLENCORE PLC ADR (GLNCY)	2/12/19	61.000	7.481	6.184	456.32	377.22	(79.10) ST		
	4/15/19	27.000	8.628	6.184	232.96	166.97	(65.99) ST		
	7/25/19	2.000	6.940	6.184	13.88	12.37	(1.51) ST		
	Total	90.000			703.16	556.56	(146.60) ST	31.00	5.57
Asset Class: Equities									
GRAY TELEVISION CL B COM (GTN)	8/26/19	13.000	15.789	21.440	205.26	278.72	73.46 ST		
	9/6/19	1.000	15.270	21.440	15.27	21.44	6.17 ST		
	Total	14.000			220.53	300.16	79.63 ST	—	—
Asset Class: Equities									
GREEK ORGANISATION OF FOOTBALL (GOFPY)	4/3/17	9.000	4.654	6.476	41.89	58.28	16.39 LT		
	4/4/17	22.000	4.684	6.476	103.04	142.47	39.43 LT		
	1/18/19	65.000	4.690	6.476	304.85	420.94	116.09 ST		
	Total	96.000			449.78	621.70	55.82 LT 116.09 ST	30.00	4.83
Asset Class: Equities									
HEIDELBERG CEMENT ADR (HDELY)	1/18/19	22.000	13.656	14.470	300.43	318.34	17.91 ST	7.00	2.20
Asset Class: Equities									
HENGAN INTL GROUP CO LTD ADR (HEGIY)	1/18/19	11.000	37.471	35.620	412.18	391.82	(20.36) ST	17.00	4.34
Asset Class: Equities									
HITACHI 10 COM NEW ADR (HTHIY)	1/18/19	15.000	64.505	84.430	967.58	1,266.45	298.87 ST		
	4/17/19	1.000	67.180	84.430	67.18	84.43	17.25 ST		
	6/7/19	6.000	71.530	84.430	429.18	506.58	77.40 ST		

Account Detail

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	Total	22.000			1,463.94	**1,857.46**	393.52 ST	31.00	1.67
Asset Class: Equities									
HONDA MOTOR COMPANY LTD ADR (HMC)	3/1/16	21.000	26.705	28.310	560.80	594.51	33.71 LT		
	1/18/19	13.000	29.797	28.310	387.36	368.03	(19.33) ST		
	Total	34.000			948.16	**962.54**	33.71 LT (19.33) ST	30.00	3.12
Asset Class: Equities									
HONEYWELL INTERNATIONAL INC (HON)	3/14/11	2.000	53.610	177.000	107.22	354.00	246.78 LT		
	3/15/11	2.000	52.755	177.000	105.51	354.00	248.49 LT		
	6/16/11	1.000	53.770	177.000	53.77	177.00	123.23 LT		
	10/3/11	2.000	41.135	177.000	82.27	354.00	271.73 LT		
	10/3/11	4.000	41.140	177.000	164.56	708.00	543.44 LT		
	5/23/12	1.000	54.750	177.000	54.75	177.00	122.25 LT		
	Total	12.000			568.08	**2,124.00**	1,555.92 LT	43.00	2.03
Next Dividend Payable 03/2020; Asset Class: Equities									
HOYA CORP SPONS ADR (HOCPY)	3/4/13	4.000	19.038	96.400	76.15	385.60	309.45 LT		
	7/19/16	8.000	36.005	96.400	288.04	771.20	483.16 LT		
	Total	12.000			364.19	**1,156.80**	792.61 LT	8.00	0.69
Asset Class: Equities									
HSBC HOLDINGS PLC SPON ADR NEW (HSBC)	4/20/16	13.000	33.658	39.090	437.55	**508.17**	70.62 LT	33.00	6.49
Asset Class: Equities									
HYPERA SA ADR (HYPMY)	4/8/14	55.000	7.605	9.010	418.29	495.55	77.26 LT		
	6/27/19	31.000	7.690	9.010	238.39	279.31	40.92 ST		
	Total	86.000			656.68	**774.86**	77.26 LT 40.92 ST	16.00	2.06
Next Dividend Payable 02/07/20; Asset Class: Equities									
IMPERIAL BRANDS PLC SPD ADR (IMBBY)	1/18/19	13.000	32.421	24.800	421.47	322.40	(99.07) ST		
	6/7/19	15.000	26.440	24.800	396.60	372.00	(24.60) ST		
	Total	28.000			818.07	**694.40**	(123.67) ST	71.00	10.22
Next Dividend Payable 01/07/20; Asset Class: Equities									
INFINEON TECHNOLOGIES AG (IFNNY)	10/10/19	26.000	17.830	22.400	463.59	**582.40**	118.81 ST	5.00	0.86
Asset Class: Equities									
INFOSYS LIMITED ADR (INFY)	12/18/17	56.000	7.985	10.320	447.17	577.92	130.75 LT		
	12/19/17	6.000	8.018	10.320	48.11	61.92	13.81 LT		
	Total	62.000			495.28	**639.84**	144.56 LT	15.00	2.34

Account Detail

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Asset Class: Equities									
INTESA SANPAOLO S.P.A. ADR (ISNPY)	1/18/19	64.000	14.048	15.730	899.07	1,006.72	107.65 ST		
	4/17/19	7.000	15.974	15.730	111.82	110.11	(1.71) ST		
	Total	71.000			1,010.89	**1,116.83**	105.94 ST	68.00	6.09
Asset Class: Equities									
INTL BUSINESS MACHINES CORP (IBM)	11/25/08	8.000	81.060	134.040	648.48	**1,072.32**	423.84 LT	52.00	4.85
Next Dividend Payable 03/2020; Asset Class: Equities									
ITAU UNIBANCO MULTIPLE ADR (ITUB)	7/21/15	13.500	4.304	9.150	58.10	123.53	65.43 LT		
	1/26/18	0.500	11.280	9.150	5.64	4.58	(1.06) LT		
	1/18/19	68.000	9.998	9.150	679.88	622.20	(57.68) ST		
	Total	82.000			743.62	**750.30**	64.37 LT (57.68) ST	37.00	4.93
Next Dividend Payable 01/13/20; Asset Class: Equities									
JOHNSON & JOHNSON (JNJ)	4/24/09	2.000	51.195	145.870	102.39	291.74	189.35 LT		
	4/24/09	12.000	51.195	145.870	614.34	1,750.44	1,136.10 LT		
	4/24/09	8.000	51.195	145.870	409.56	1,166.96	757.40 LT		
	Total	22.000			1,126.29	**3,209.14**	2,082.85 LT	84.00	2.63
Next Dividend Payable 03/2020; Asset Class: Equities									
JOHNSON CTLS INTL PLC (JCI)	1/18/19	16.000	32.873	40.710	525.96	**651.36**	125.40 ST	17.00	2.61
Next Dividend Payable 01/10/20; Asset Class: Equities									
JPMORGAN CHASE & CO (JPM)	10/3/11	2.000	29.160	139.400	58.32	278.80	220.48 LT		
	10/3/11	8.000	29.158	139.400	233.26	1,115.20	881.94 LT		
	12/13/12	4.000	42.745	139.400	170.98	557.60	386.62 LT		
	Total	14.000			462.56	**1,951.60**	1,489.04 LT	50.00	2.56
Next Dividend Payable 01/2020; Asset Class: Equities									
KEYCORP NEW (KEY)	6/9/09	1.000	5.640	20.240	5.64	20.24	14.60 LT		
	6/11/09	5.000	5.996	20.240	29.98	101.20	71.22 LT		
	10/3/11	21.000	5.869	20.240	123.24	425.04	301.80 LT		
	5/30/13	7.000	10.920	20.240	76.44	141.68	65.24 LT		
	7/12/16	12.000	11.466	20.240	137.59	242.88	105.29 LT		
	7/13/16	2.000	11.520	20.240	23.04	40.48	17.44 LT		
	7/13/16	6.000	11.520	20.240	69.12	121.44	52.32 LT		
	7/14/16	6.000	11.805	20.240	70.83	121.44	50.61 LT		
	Total	60.000			535.88	**1,214.40**	678.52 LT	44.00	3.62
Next Dividend Payable 03/2020; Asset Class: Equities									

Account Detail

Select UMA Active Assets Account
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ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
KINDER MORGAN INCORP (KMI)	1/18/19	2.000	17.980	21.170	35.96	42.34	6.38 ST		
	1/18/19	27.000	17.937	21.170	484.29	571.59	87.30 ST		
	1/18/19	44.000	17.978	21.170	791.02	931.48	140.46 ST		
	7/30/19	3.000	20.627	21.170	61.88	63.51	1.63 ST		
	7/31/19	1.000	20.660	21.170	20.66	21.17	0.51 ST		
	7/31/19	2.000	20.660	21.170	41.32	42.34	1.02 ST		
	Total	79.000			1,435.13	1,672.43	237.30 ST	79.00	4.72
Next Dividend Payable 02/2020; Asset Class: Alt									
MERCK & CO INC NEW COM (MRK)	6/9/09	9.000	25.766	90.950	231.89	818.55	586.66 LT		
	6/9/09	1.000	25.770	90.950	25.77	90.95	65.18 LT		
	6/9/09	1.000	25.770	90.950	25.77	90.95	65.18 LT		
	6/9/09	1.000	25.760	90.950	25.76	90.95	65.19 LT		
	6/9/09	1.000	25.770	90.950	25.77	90.95	65.18 LT		
	3/6/13	12.000	43.348	90.950	520.17	1,091.40	571.23 LT		
	3/6/13	1.000	43.350	90.950	43.35	90.95	47.60 LT		
	Total	26.000			898.48	2,364.70	1,466.22 LT	64.00	2.71
Next Dividend Payable 01/08/20; Asset Class: Equities									
METLIFE INCORPORATED (MET)	3/15/12	1.000	34.280	50.970	34.28	50.97	16.69 LT		
	3/15/12	1.000	34.280	50.970	34.28	50.97	16.69 LT		
	3/15/12	1.000	34.280	50.970	34.28	50.97	16.69 LT		
	3/15/12	1.000	34.280	50.970	34.28	50.97	16.69 LT		
	4/10/12	4.000	31.363	50.970	125.45	203.88	78.43 LT		
	4/14/16	1.000	42.060	50.970	42.06	50.97	8.91 LT H		
	1/18/19	5.000	45.086	50.970	225.43	254.85	29.42 ST		
	Total	14.000			530.06	713.58	154.10 LT 29.42 ST	25.00	3.50
Next Dividend Payable 03/2020; Basis Adjustment Due to Wash Sale: $1.49; Asset Class: Equities									
MGIC INVT CORP (MTG)	9/1/16	56.000	8.074	14.170	452.17	793.52	341.35 LT	13.00	1.64
Next Dividend Payable 02/2020; Asset Class: Equities									
MICROSOFT CORP (MSFT)	8/31/15	2.000	43.575	157.700	87.15	315.40	228.25 LT		
	8/31/15	2.000	43.570	157.700	87.14	315.40	228.26 LT		
	8/31/15	3.000	43.570	157.700	130.71	473.10	342.39 LT		
	8/31/15	2.000	43.570	157.700	87.14	315.40	228.26 LT		
	8/31/15	1.000	43.570	157.700	43.57	157.70	114.13 LT		
	9/1/15	1.000	42.070	157.700	42.07	157.70	115.63 LT		
	9/1/15	2.000	42.070	157.700	84.14	315.40	231.26 LT		

Account Detail

Select UMA Active Assets Account
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ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	9/1/15	3.000	42.073	157.700	126.22	473.10	346.88 LT		
	Total	16.000			688.14	**2,523.20**	1,835.06 LT	33.00	1.31
Next Dividend Payable 03/2020; Asset Class: Equities									
MONDELEZ INTL INC COM (MDLZ)	8/30/17	4.000	42.248	55.080	168.99	220.32	51.33 LT		
	1/18/19	12.000	43.365	55.080	520.38	660.96	140.58 ST		
	Total	16.000			689.37	**881.28**	51.33 LT 140.58 ST	18.00	2.04
Next Dividend Payable 01/14/20; Asset Class: Equities									
MURPHY USA INC COM (MUSA)	6/30/15	3.000	56.250	117.000	168.75	351.00	182.25 LT		
	7/2/15	1.000	57.240	117.000	57.24	117.00	59.76 LT		
	Total	4.000			225.99	**468.00**	242.01 LT	—	—
Asset Class: Equities									
NETAPP INC COM (NTAP)	1/18/19	6.000	62.930	62.250	377.58	**373.50**	(4.08) ST	12.00	3.21
Next Dividend Payable 01/22/20; Asset Class: Equities									
NEWCREST MINING LTD SPONS ADR (NCMGY)	1/18/19	22.000	16.960	21.230	373.12	**467.06**	93.94 ST	4.00	0.86
Asset Class: Equities									
NOVARTIS AG ADR (NVS)	11/2/11	1.000	48.680	94.690	48.68	94.69	46.01 LT		
	5/9/16	11.000	65.221	94.690	717.43	1,041.59	324.16 LT		
	Total	12.000			766.11	**1,136.28**	370.17 LT	22.00	1.94
Next Dividend Payable 03/2020; Asset Class: Equities									
NUCOR CORPORATION (NUE)	7/28/11	1.000	39.350	56.280	39.35	56.28	16.93 LT		
	7/28/11	1.000	39.340	56.280	39.34	56.28	16.94 LT		
	10/3/11	4.000	31.238	56.280	124.95	225.12	100.17 LT		
	10/3/11	1.000	31.240	56.280	31.24	56.28	25.04 LT		
	Total	7.000			234.88	**393.96**	159.08 LT	11.00	2.79
Next Dividend Payable 02/11/20; Asset Class: Equities									
NUTRIEN LTD (NTR)	1/18/19	13.000	51.605	47.910	670.87	**622.83**	(48.04) ST	23.00	3.69
Next Dividend Payable 01/16/20; Asset Class: Equities									
ONEMAIN HLDGS INC (OMF)	1/18/19	22.000	28.907	42.150	635.96	927.30	291.34 ST		
	2/8/19	1.000	29.870	42.150	29.87	42.15	12.28 ST		
	2/11/19	1.000	29.910	42.150	29.91	42.15	12.24 ST		
	2/12/19	2.000	33.685	42.150	67.37	84.30	16.93 ST		
	6/3/19	1.000	30.360	42.150	30.36	42.15	11.79 ST		
	6/4/19	1.000	31.260	42.150	31.26	42.15	10.89 ST		
	Total	28.000			824.73	**1,180.20**	355.47 ST	28.00	2.37

Account Detail

Select UMA Active Assets Account
[redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Next Dividend Payable 03/2020; Asset Class: Equities									
ORACLE CORP (ORCL)	3/14/11	2.000	31.505	52.980	63.01	105.96	42.95 LT		
	3/14/11	1.000	31.510	52.980	31.51	52.98	21.47 LT		
	3/14/11	1.000	31.510	52.980	31.51	52.98	21.47 LT		
	3/15/11	1.000	30.750	52.980	30.75	52.98	22.23 LT		
	3/15/11	1.000	30.750	52.980	30.75	52.98	22.23 LT		
	3/16/11	5.000	30.358	52.980	151.79	264.90	113.11 LT		
	10/8/13	6.000	32.497	52.980	194.98	317.88	122.90 LT		
	10/8/13	1.000	32.490	52.980	32.49	52.98	20.49 LT		
	10/8/13	1.000	32.500	52.980	32.50	52.98	20.48 LT		
	12/19/13	2.000	36.075	52.980	72.15	105.96	33.81 LT		
	3/19/14	4.000	37.705	52.980	150.82	211.92	61.10 LT		
	4/11/14	2.000	39.725	52.980	79.45	105.96	26.51 LT		
	7/7/15	6.000	39.955	52.980	239.73	317.88	78.15 LT		
	1/6/17	4.000	38.495	52.980	153.98	211.92	57.94 LT		
	1/20/17	3.000	39.870	52.980	119.61	158.94	39.33 LT		
	Total	40.000			1,415.03	**2,119.20**	704.17 LT	38.00	1.81
Next Dividend Payable 01/2020; Asset Class: Equities									
OWENS CORNING INC (OC)	1/11/18	2.000	94.665	65.120	189.33	130.24	(59.09) LT		
	1/19/18	1.000	96.170	65.120	96.17	65.12	(31.05) LT		
	12/17/18	2.000	43.170	65.120	86.34	130.24	43.90 LT		
	12/18/18	3.000	44.000	65.120	132.00	195.36	63.36 LT		
	Total	8.000			503.84	**520.96**	17.12 LT	8.00	1.54
Next Dividend Payable 01/17/20; Asset Class: Equities									
PAYPAL HLDGS INC COM (PYPL)	6/23/11	1.000	17.610	108.170	17.61	108.17	90.56 LT		
	10/4/11	5.000	17.470	108.170	87.35	540.85	453.50 LT		
	10/4/11	4.000	17.468	108.170	69.87	432.68	362.81 LT		
	Total	10.000			174.83	**1,081.70**	906.87 LT	—	—
Asset Class: Equities									
PEBBLEBROOK HOTEL TR COM (PEB)	3/14/11	6.000	21.437	26.810	128.62	160.86	32.24 LT		
	3/14/11	1.000	18.700	26.810	18.70	26.81	8.11 LT H		
	3/15/11	2.000	21.295	26.810	42.59	53.62	11.03 LT		
	7/1/11	1.000	20.120	26.810	20.12	26.81	6.69 LT		
	10/3/11	3.000	14.567	26.810	43.70	80.43	36.73 LT		
	11/7/12	1.000	21.100	26.810	21.10	26.81	5.71 LT		
	Total	14.000			274.83	**375.34**	100.51 LT	21.00	5.59

Account Detail

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Next Dividend Payable 01/15/20; Basis Adjustment Due to Wash Sale: $4.14; Asset Class: Alt									
PEPSICO INC NC (PEP)	11/25/08	10.000	54.390	136.670	543.90	**1,366.70**	822.80 LT	38.00	2.78
Next Dividend Payable 01/07/20; Asset Class: Equities									
PFIZER INC (PFE)	11/25/08	14.000	16.108	39.180	225.51	548.52	323.01 LT		
	6/4/10	10.000	14.950	39.180	149.50	391.80	242.30 LT		
	Total	24.000			375.01	**940.32**	565.31 LT	36.00	3.83
Next Dividend Payable 03/2020; Asset Class: Equities									
PIONEER NATURAL RESOURCES CO (PXD)	1/18/19	1.000	143.850	151.370	143.85	151.37	7.52 ST		
	1/18/19	3.000	143.850	151.370	431.55	454.11	22.56 ST		
	1/18/19	3.000	144.270	151.370	432.81	454.11	21.30 ST		
	Total	7.000			1,008.21	**1,059.59**	51.38 ST	12.00	1.16
Next Dividend Payable 01/14/20; Asset Class: Equities									
PJSC GAZPROM SPON ADR (OGZPY)	1/18/19	110.000	4.759	8.220	523.49	**904.20**	380.71 ST	45.00	4.98
Asset Class: Equities									
POSCO ADS (PKX)	1/18/19	9.000	58.697	50.620	528.27	455.58	(72.69) ST		
	4/15/19	2.000	59.085	50.620	118.17	101.24	(16.93) ST		
	4/17/19	1.000	60.270	50.620	60.27	50.62	(9.65) ST		
	Total	12.000			706.71	**607.44**	(99.27) ST	23.00	3.79
Asset Class: Equities									
PPG INDUSTRIES INC (PPG)	11/25/08	14.000	21.230	133.490	297.22	**1,868.86**	1,571.64 LT	29.00	1.55
Next Dividend Payable 03/2020; Asset Class: Equities									
PROCTER & GAMBLE (PG)	10/13/08	11.000	57.910	124.900	637.01	**1,373.90**	736.89 LT 1	33.00	2.40
Next Dividend Payable 02/2020; Asset Class: Equities									
QUALCOMM INC (QCOM)	4/17/19	4.000	78.588	88.230	314.35	**352.92**	38.57 ST	10.00	2.83
Next Dividend Payable 03/2020; Asset Class: Equities									
RAYTHEON CO (NEW) (RTN)	3/6/13	1.000	55.230	219.740	55.23	219.74	164.51 LT		
	3/6/13	1.000	55.230	219.740	55.23	219.74	164.51 LT		
	3/6/13	2.000	55.235	219.740	110.47	439.48	329.01 LT		
	3/6/13	1.000	55.230	219.740	55.23	219.74	164.51 LT		
	3/26/13	2.000	57.775	219.740	115.55	439.48	323.93 LT		
	3/28/13	1.000	58.660	219.740	58.66	219.74	161.08 LT		
	4/1/13	1.000	58.330	219.740	58.33	219.74	161.41 LT		
	Total	9.000			508.70	**1,977.66**	1,468.96 LT	34.00	1.72
Next Dividend Payable 02/2020; Asset Class: Equities									

Account Detail

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ROCHE HOLDINGS ADR (RHHBY)	1/26/18	3.000	30.847	40.660	92.54	121.98	29.44 LT		
	1/26/18	12.000	30.850	40.660	370.20	487.92	117.72 LT		
	10/11/18	5.000	30.060	40.660	150.30	203.30	53.00 LT		
	Total	20.000			613.04	813.20	200.16 LT	14.00	1.72
Asset Class: Equities									
ROYAL BK SCOTLAND GROUP SP ADR (RBS)	1/18/19	135.000	6.439	6.440	869.29	869.40	0.11 ST	18.00	2.07
Asset Class: Equities									
SAIPEM S P A UNSPONSORED ADR (SAPMY)	1/18/19	50.000	9.228	9.750	461.42	487.50	26.08 ST	—	—
Asset Class: Equities									
SANDERSON FARMS (SAFM)	1/18/19	3.000	119.697	176.220	359.09	528.66	169.57 ST	4.00	0.76
Next Dividend Payable 01/2020; Asset Class: Equities									
SANOFI ADR (SNY)	10/18/19	5.000	46.388	50.200	231.94	251.00	19.06 ST		
	11/25/19	8.000	46.889	50.200	375.11	401.60	26.49 ST		
	Total	13.000			607.05	652.60	45.55 ST	15.00	2.30
Asset Class: Equities									
SCHLUMBERGER LTD (SLB)	1/18/19	7.000	44.823	40.200	313.76	281.40	(32.36) ST		
	2/1/19	3.000	39.510	40.200	118.53	120.60	2.07 ST H		
	2/2/19	2.000	38.680	40.200	77.36	80.40	3.04 ST H		
	2/17/19	3.000	47.433	40.200	142.30	120.60	(21.70) ST H		
	10/1/19	1.000	33.370	40.200	33.37	40.20	6.83 ST		
	Total	16.000			685.32	643.20	(42.12) ST	32.00	4.98
Next Dividend Payable 01/10/20; Basis Adjustment Due to Wash Sale: $65.59; Asset Class: Equities									
SCHNEIDER ELEC SA UNSP ADR (SBGSY)	10/3/11	26.000	10.285	20.400	267.41	530.40	262.99 LT		
	11/2/11	3.000	11.180	20.400	33.54	61.20	27.66 LT		
	1/18/19	7.000	13.721	20.400	96.05	142.80	46.75 ST		
	Total	36.000			397.00	734.40	290.65 LT 46.75 ST	17.00	2.31
Asset Class: Equities									
SENSATA TECHNOLOGIES HLDG PLC (ST)	10/7/16	6.000	39.650	53.870	237.90	323.22	85.32 LT		
	2/28/17	6.000	40.935	53.870	245.61	323.22	77.61 LT		
	3/1/17	1.000	41.690	53.870	41.69	53.87	12.18 LT		
	4/15/19	2.000	48.875	53.870	97.75	107.74	9.99 ST		
	Total	15.000			622.95	808.05	175.11 LT 9.99 ST	—	—
Asset Class: Equities									

Account Detail

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
SERVICE CORP INTL (SCI)	4/20/15	2.000	27.280	46.030	54.56	92.06	37.50 LT		
	4/22/15	8.000	28.006	46.030	224.05	368.24	144.19 LT		
	4/27/15	5.000	27.972	46.030	139.86	230.15	90.29 LT		
	Total	15.000			418.47	690.45	271.98 LT	11.00	1.59
Next Dividend Payable 03/2020; Asset Class: Equities									
SHINHAN FINANCIAL GROUP CO LTD (SHG)	1/18/19	7.000	36.437	38.070	255.06	266.49	11.43 ST	7.00	2.63
Asset Class: Equities									
SPROUTS FARMERS MARKET INC (SFM)	1/19/17	1.000	19.840	19.350	19.84	19.35	(0.49) LT		
	1/20/17	1.000	19.790	19.350	19.79	19.35	(0.44) LT		
	1/23/17	3.000	18.980	19.350	56.94	58.05	1.11 LT		
	1/24/17	1.000	19.060	19.350	19.06	19.35	0.29 LT		
	1/25/17	5.000	19.362	19.350	96.81	96.75	(0.06) LT		
	1/27/17	9.000	18.768	19.350	168.91	174.15	5.24 LT		
	1/30/17	7.000	18.353	19.350	128.47	135.45	6.98 LT		
	Total	27.000			509.82	522.45	12.63 LT	—	—
Asset Class: Equities									
STANDARD CHARTERED PLC ADR (SCBFY)	11/12/19	28.000	18.750	19.150	525.00	536.20	11.20 ST	—	—
Asset Class: Equities									
STANLEY BLACK & DECKER INC (SWK)	4/1/14	2.000	81.780	165.740	163.56	331.48	167.92 LT		
	10/10/14	1.000	84.190	165.740	84.19	165.74	81.55 LT		
	Total	3.000			247.75	497.22	249.47 LT	8.00	1.61
Next Dividend Payable 03/2020; Asset Class: Equities									
SUNCOR ENERGY INC (SU)	3/21/16	4.000	27.615	32.800	110.46	131.20	20.74 LT		
	3/22/16	4.000	27.925	32.800	111.70	131.20	19.50 LT		
	3/23/16	4.000	27.140	32.800	108.56	131.20	22.64 LT		
	3/24/16	4.000	26.880	32.800	107.52	131.20	23.68 LT		
	3/28/16	1.000	27.030	32.800	27.03	32.80	5.77 LT		
	3/31/16	4.000	27.798	32.800	111.19	131.20	20.01 LT		
	4/1/16	2.000	27.530	32.800	55.06	65.60	10.54 LT		
	4/4/16	1.000	27.260	32.800	27.26	32.80	5.54 LT		
	8/22/16	9.000	28.197	32.800	253.77	295.20	41.43 LT		
	Total	33.000			912.55	1,082.40	169.85 LT	42.00	3.88
Next Dividend Payable 03/2020; Asset Class: Equities									
SYNCHRONY FINANCIAL (SYF)	7/31/14	1.000	23.000	36.010	23.00	36.01	13.01 LT		
	8/12/14	6.000	22.900	36.010	137.40	216.06	78.66 LT		
	1/18/19	39.000	26.354	36.010	1,027.81	1,404.39	376.58 ST		

Account Detail

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	Total	46.000			1,188.21	1,656.46	91.67 LT 376.58 ST	40.00	2.41
Next Dividend Payable 02/2020; Asset Class: Equities									
TELECOM ITALIA S P A NEW ADR (TIIAY)	1/18/19	52.000	5.559	6.180	289.07	321.36	32.29 ST		
	10/10/19	27.000	5.875	6.180	158.63	166.86	8.23 ST		
	Total	79.000			447.70	488.22	40.52 ST	—	—
Asset Class: Equities									
THYSSENKRUPP AG SPONSORED ADR (TKAMY)	1/18/19	25.000	18.201	13.365	455.02	334.13	(120.89) ST		
	4/17/19	3.000	15.493	13.365	46.48	40.10	(6.38) ST		
	Total	28.000			501.50	374.22	(127.27) ST	3.00	0.80
Asset Class; Equities									
TJX COS INC NEW (TJX)	5/13/13	4.000	25.290	61.060	101.16	244.24	143.08 LT		
	5/23/14	10.000	27.724	61.060	277.24	610.60	333.36 LT		
	7/7/14	12.000	26.782	61.060	321.38	732.72	411.34 LT		
	12/20/17	4.000	37.950	61.060	151.80	244.24	92.44 LT		
	Total	30.000			851.58	1,831.80	980.22 LT	28.00	1.53
Next Dividend Payable 03/2020; Asset Class: Equities									
TOTAL S A SPON ADR (TOT)	7/30/13	8.000	53.273	55.300	426.18	442.40	16.22 LT		
	8/9/13	1.000	53.870	55.300	53.87	55.30	1.43 LT		
	3/1/16	7.000	45.756	55.300	320.29	387.10	66.81 LT		
	1/18/19	3.000	54.537	55.300	163.61	165.90	2.29 ST		
	Total	19.000			963.95	1,050.70	84.46 LT 2.29 ST	45.00	4.28
Next Dividend Payable 01/28/20; Asset Class: Equities									
TRAVELERS COMPANIES INC COM (TRV)	3/26/09	11.000	39.857	136.950	438.43	1,506.45	1,068.02 LT		
	8/2/11	1.000	54.970	136.950	54.97	136.95	81.98 LT		
	10/3/11	1.000	47.360	136.950	47.36	136.95	89.59 LT		
	Total	13.000			540.76	1,780.35	1,239.59 LT	43.00	2.42
Next Dividend Payable 03/2020; Asset Class: Equities									
TRAVELSKY TECH LTD SPONS ADR (TSYHY)	1/15/13	18.000	6.011	24.860	108.19	447.47	339.28 LT	6.00	1.34
Asset Class: Equities									
TURKIYE GARANTI BANKASI A S (TKGBY)	1/18/19	171.000	1.730	1.810	295.83	309.51	13.68 ST	—	—
Asset Class: Equities									
U S BANCORP COM NEW (USB)	1/18/19	12.000	50.288	59.290	603.45	711.48	108.03 ST		
	1/18/19	16.000	50.242	59.290	803.87	948.64	144.77 ST		
	Total	28.000			1,407.32	1,660.12	252.80 ST	47.00	2.85

Account Detail

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Next Dividend Payable 01/15/20; Asset Class: Equities									
UBS GROUP AG SHS (UBS)	12/22/11	13.000	11.886	12.580	154.52	163.54	9.02 LT		
	1/18/19	37.000	13.577	12.580	502.36	465.46	(36.90) ST		
	Total	50.000			656.88	**629.00**	9.02 LT (36.90) ST	34.00	5.41
Next Dividend Payable 05/2020; Asset Class: Equities									
UNILEVER PLC (NEW) ADS (UL)	11/25/08	10.000	22.648	57.170	226.48	571.70	345.22 LT		
	4/8/09	9.000	19.693	57.170	177.24	514.53	337.29 LT		
	Total	19.000			403.72	**1,086.23**	682.51 LT	34.00	3.13
Next Dividend Payable 03/2020; Asset Class: Equities									
UNITED PARCEL SER INC CL-B (UPS)	11/25/08	6.000	56.440	117.060	338.64	702.36	363.72 LT		
	8/18/09	5.000	52.190	117.060	260.95	585.30	324.35 LT		
	Total	11.000			599.59	**1,287.66**	688.07 LT	42.00	3.26
Next Dividend Payable 03/2020; Asset Class: Equities									
UNITED TECHNOLOGIES CORP (UTX)	11/25/08	8.000	47.406	149.760	379.25	1,198.08	818.83 LT		
	3/15/11	1.000	78.610	149.760	78.61	149.76	71.15 LT		
	Total	9.000			457.86	**1,347.84**	889.98 LT	26.00	1.93
Next Dividend Payable 03/2020; Asset Class: Equities									
UNITEDHEALTH GP INC (UNH)	1/18/19	1.000	264.070	293.980	264.07	293.98	29.91 ST		
	3/15/19	1.000	252.150	293.980	252.15	293.98	41.83 ST		
	Total	2.000			516.22	**587.96**	71.74 ST	9.00	1.53
Next Dividend Payable 03/2020; Asset Class: Equities									
VERIZON COMMUNICATIONS (VZ)	8/30/18	4.000	54.645	61.400	218.58	245.60	27.02 LT		
	8/31/18	4.000	54.315	61.400	217.26	245.60	28.34 LT		
	9/4/18	4.000	53.203	61.400	212.81	245.60	32.79 LT		
	9/5/18	7.000	53.714	61.400	376.00	429.80	53.80 LT		
	1/31/19	6.000	54.965	61.400	329.79	368.40	38.61 ST		
	8/15/19	5.000	56.284	61.400	281.42	307.00	25.58 ST		
	Total	30.000			1,635.86	**1,842.00**	141.95 LT 64.19 ST	74.00	4.02
Next Dividend Payable 02/2020; Asset Class: Equities									
VIACOMCBS INC CL B (VIAC)	1/18/19	6.000	49.262	41.970	295.57	**251.82**	(43.75) ST	6.00	2.38
Next Dividend Payable 01/10/20; Asset Class: Equities									
VISA INC CL A (V)	8/5/10	4.000	18.075	187.900	72.30	751.60	679.30 LT		
	3/15/11	4.000	17.650	187.900	70.60	751.60	681.00 LT		
	Total	8.000			142.90	**1,503.20**	1,360.30 LT	10.00	0.67

Account Detail

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Next Dividend Payable 03/2020; Asset Class: Equities									
VISTRA ENERGY CORP (VST)	5/28/19	4.000	24.633	22.990	98.53	91.96	(6.57) ST		
	5/29/19	4.000	24.058	22.990	96.23	91.96	(4.27) ST		
	5/31/19	5.000	23.554	22.990	117.77	114.95	(2.82) ST		
	8/15/19	5.000	22.592	22.990	112.96	114.95	1.99 ST		
	8/16/19	1.000	23.540	22.990	23.54	22.99	(0.55) ST		
	Total	19.000			449.03	**436.81**	(12.22) ST	10.00	2.29
Next Dividend Payable 03/2020; Asset Class: Equities									
VODAFONE GROUP PLC (VOD)	1/26/18	10.000	32.369	19.330	323.69	193.30	(130.39) LT		
	12/10/18	23.000	19.948	19.330	458.81	444.59	(14.22) LT		
	4/17/19	3.000	18.687	19.330	56.06	57.99	1.93 ST		
	7/31/19	3.000	18.373	19.330	55.12	57.99	2.87 ST		
	Total	39.000			893.68	**753.87**	(144.61) LT 4.80 ST	37.00	4.91
Next Dividend Payable 02/07/20; Asset Class: Equities									
VOYA FINL INC (VOYA)	9/20/17	1.000	39.010	60.980	39.01	60.98	21.97 LT		
	1/18/19	9.000	45.280	60.980	407.52	548.82	141.30 ST		
	5/29/19	2.000	52.450	60.980	104.90	121.96	17.06 ST		
	Total	12.000			551.43	**731.76**	21.97 LT 158.36 ST	7.00	0.96
Next Dividend Payable 03/2020; Asset Class: Equities									
WALMART INC (WMT)	9/24/09	5.000	50.628	118.840	253.14	594.20	341.06 LT		
	10/5/09	5.000	49.030	118.840	245.15	594.20	349.05 LT		
	3/14/11	2.000	52.190	118.840	104.38	237.68	133.30 LT		
	3/15/11	3.000	52.090	118.840	156.27	356.52	200.25 LT		
	Total	15.000			758.94	**1,782.60**	1,023.66 LT	32.00	1.80
Next Dividend Payable 01/02/20; Asset Class: Equities									
WALT DISNEY CO HLDG CO (DIS)	4/6/09	2.000	19.460	144.630	38.92	289.26	250.34 LT		
	8/2/11	2.000	38.215	144.630	76.43	289.26	212.83 LT		
	10/3/11	2.000	29.265	144.630	58.53	289.26	230.73 LT		
	10/3/11	1.000	29.270	144.630	29.27	144.63	115.36 LT		
	10/3/11	6.000	29.265	144.630	175.59	867.78	692.19 LT		
	Total	13.000			378.74	**1,880.19**	1,501.45 LT	23.00	1.22
Next Dividend Payable 01/16/20; Asset Class: Equities									
WASTE MGMT INC (DELA) (WM)	3/10/09	6.000	22.765	113.960	136.59	683.76	547.17 LT		
	8/5/11	4.000	30.585	113.960	122.34	455.84	333.50 LT		

Account Detail

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	Total	10.000			258.93	**1,139.60**	880.67 LT	21.00	1.84
Next Dividend Payable 03/2020; Asset Class: Equities									
WELLS FARGO & CO NEW (WFC)	9/15/08	2.000	31.350	53.800	62.70	107.60	44.90 LT 1		
	9/15/08	1.000	31.350	53.800	31.35	53.80	22.45 LT 1		
	9/15/08	1.000	31.350	53.800	31.35	53.80	22.45 LT 1		
	9/15/08	2.000	31.350	53.800	62.70	107.60	44.90 LT 1		
	6/10/11	10.000	26.266	53.800	262.66	538.00	275.34 LT		
	10/6/16	3.000	45.227	53.800	135.68	161.40	25.72 LT		
	10/10/16	1.000	45.740	53.800	45.74	53.80	8.06 LT		
	10/10/16	7.000	45.740	53.800	320.18	376.60	56.42 LT		
	10/11/16	1.000	45.430	53.800	45.43	53.80	8.37 LT		
	10/11/16	8.000	45.428	53.800	363.42	430.40	66.98 LT		
	10/14/16	8.000	44.679	53.800	357.43	430.40	72.97 LT		
	1/18/19	8.000	50.133	53.800	401.06	430.40	29.34 ST		
	Total	52.000			2,119.70	**2,797.60**	648.56 LT 29.34 ST	107.00	3.85
Next Dividend Payable 03/2020; Asset Class: Equities									
WEYERHAEUSER CO (WY)	7/22/10	2.000	15.540	30.200	31.08	60.40	29.32 LT		
	8/2/11	13.000	18.999	30.200	246.99	392.60	145.61 LT		
	10/3/11	4.000	15.408	30.200	61.63	120.80	59.17 LT		
	Total	19.000			339.70	**573.80**	234.10 LT	26.00	4.53
Next Dividend Payable 03/2020; Asset Class: Alt									
WIENERBERGER AG SPON ADR (WBRBY)	12/6/12	1.000	1.770	5.770	1.77	5.77	4.00 LT		
	2/28/13	78.000	2.251	5.770	175.59	450.06	274.47 LT		
	2/26/14	15.000	3.671	5.770	55.06	86.55	31.49 LT		
	6/28/19	53.000	4.955	5.770	262.63	305.81	43.18 ST		
	Total	147.000			495.05	**848.19**	309.96 LT 43.18 ST	11.00	1.30
Asset Class: Equities									
COMMON STOCKS					**$92,068.42**	**$141,733.02**	**$48,613.21 LT** **$3,051.40 ST**	**$3,742.00**	**2.64%**

Account Detail

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

PREFERRED STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
VOLKSWAGEN AG RP PRF ADR (VWAPY)	2/4/19	6.000	$16.825	$19.535	$100.95	$117.21	$16.26 ST		
	2/4/19	1.000	16.830	19.535	16.83	19.54	2.71 ST		
	2/5/19	6.000	16.968	19.535	101.81	117.21	15.40 ST		
	2/6/19	6.000	16.922	19.535	101.53	117.21	15.68 ST		
	2/12/19	28.000	16.289	19.535	456.09	546.98	90.89 ST		
	2/12/19	1.000	16.290	19.535	16.29	19.54	3.25 ST		
	4/15/19	15.000	17.479	19.535	262.19	293.03	30.84 ST		
	Total	63.000			1,055.69	1,230.71	175.03 ST	22.00	1.89

Next Dividend Payable 05/2020; Asset Class: FI & Pref

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	50.06%	$93,124.11	$142,963.73	$46,613.21 LT $3,226.43 ST	$3,764.00	2.63%

CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
CVS HEALTH CORP	9/26/19	3,000.000	$104.125	$104.234	$3,123.75			$111.00	3.54
Coupon Rate 3.700%; Matures 03/09/2023; CUSIP 126650CV0			$103.818		$3,114.53	$3,127.02	$12.49 ST	$34.53	
Int. Semi-Annually Mar/Sep; Callable $100.00 on 02/09/23; Yield to Call 2.280%; Moody BAA2 S&P BBB; Issued 03/09/18; Asset Class: FI & Pref									
TORONTO-DOMINION BANK/THE	8/8/18	3,000.000	100.166	105.261	3,004.98			105.00	3.32
Coupon Rate 3.500%; Matures 07/19/2023; CUSIP 89114QC48			100.122		3,003.65	3,157.83	154.18 LT	47.25	
Int. Semi-Annually Jan/Jul; Yield to Maturity 1.958%; Moody AA1 S&P AA-; Issued 07/19/18; Asset Class: FI & Pref									
AMERICAN EXPRESS CO	8/8/18	2,000.000	100.345	105.140	2,006.90			74.00	3.51
Coupon Rate 3.700%; Matures 08/03/2023; CUSIP 025816BW8			100.255		2,005.09	2,102.80	97.71 LT	30.42	
Int. Semi-Annually Feb/Aug; Callable $100.00 on 07/03/23; Yield to Call 2.169%; Moody A3 S&P BBB+; Issued 08/03/18; Asset Class: FI & Pref									
ORACLE CORP	2/12/15	4,000.000	105.449	105.569	4,217.96			136.00	3.22
Coupon Rate 3.400%; Matures 07/08/2024; CUSIP 68389XAU9			102.793		4,111.71	4,222.76	111.05 LT	65.35	
Int. Semi-Annually Jan/Jul; Callable $100.00 on 04/08/24; Yield to Call 2.031%; Moody A1 S&P A+; Issued 07/08/14; Asset Class: FI & Pref									
US BANCORP	2/25/16	2,000.000	104.068	106.322	2,081.36			72.00	3.38
Coupon Rate 3.600%; Matures 09/11/2024; CUSIP 91159HHK9			102.366		2,047.31	2,126.44	79.13 LT	22.00	
Int. Semi-Annually Mar/Sep; Callable $100.00 on 08/11/24; Yield to Call 2.152%; Moody A1 S&P A-; Issued 09/11/14; Asset Class: FI & Pref									
BP CAPITAL MARKETS PLC	10/24/17	2,000.000	100.452	105.230	2,009.06			66.00	3.13
Coupon Rate 3.279%; Matures 09/19/2027; CUSIP 05565QDN5			100.365		2,007.30	2,104.60	97.30 LT	18.58	

Account Detail

Select UMA Active Assets Account [redacted]
ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
Int. Semi-Annually Mar/Sep; Callable $100.00 on 06/19/27; Yield to Call 2.506%; Moody A1 S&P A-; Issued 09/19/17; Asset Class: FI & Pref									
ANHEUSER-BUSCH INBEV FINANCE INC Coupon Rate 4.900%; Matures 02/01/2046; CUSIP 035242AN6	8/28/18	2,000.000	103.452 103.367	118.122	2,069.06 2,067.33	**2,362.44**	295.11 LT	98.00 40.83	4.14
Int. Semi-Annually Feb/Aug; Callable $100.00 on 08/01/45; Yield to Call 3.788%; Moody BAA1 S&P A-; Issued 01/25/16; Asset Class: FI & Pref									

	Percentage of Holdings	Face Value	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
CORPORATE FIXED INCOME		18,000.000	$18,613.07 $18,356.92	$19,203.89	$834.48 LT $12.49 ST	$862.00 $258.96	3.45%
TOTAL CORPORATE FIXED INCOME (includes accrued interest)	6.82%			$19,462.85			

GOVERNMENT SECURITIES

TREASURY SECURITIES

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
UNITED STATES TREASURY NOTE Coupon Rate 2.250%; Matures 02/29/2020; CUSIP 9128283Y4	3/15/18	2,000.000	$99.625 $100.028	$100.092	$1,992.50 $2,000.55	**$2,001.84**	$1.29 LT H	$23.00 $15.08	1.14
Int. Semi-Annually Feb/Aug; Yield to Maturity 1.678%; Moody AAA; Issued 02/28/18; Basis Adjustment Due to Wash Sale: $8.05; Asset Class: FI & Pref									
UNITED STATES TREASURY NOTE Coupon Rate 2.625%; Matures 07/31/2020; CUSIP 912828Y46	8/28/18	4,000.000	99.946 99.946	100.566	3,997.82 3,997.82	**4,022.64**	24.82 LT	105.00 43.65	2.61
Int. Semi-Annually Jan/Jul; Yield to Maturity 1.649%; Moody AAA; Issued 07/31/18; Asset Class: FI & Pref									
UNITED STATES TREASURY NOTE Coupon Rate 2.875%; Matures 11/30/2025; CUSIP 9128285N6	1/29/19	3,000.000	101.562 101.367	106.246	3,046.88 3,041.00	**3,187.38**	146.38 ST	86.00 7.30	2.69
Int. Semi-Annually May/Nov; Yield to Maturity 1.758%; Moody AAA; Issued 11/30/18; Asset Class: FI & Pref									
UNITED STATES TREASURY NOTE Coupon Rate 2.750%; Matures 02/15/2028; CUSIP 9128283W8	5/18/18	2,000.000	97.180 97.180	106.613	1,943.60 1,943.60	**2,132.26**	188.66 LT	55.00 20.62	2.57
Int. Semi-Annually Feb/Aug; Yield to Maturity 1.869%; Moody AAA; Issued 02/15/18; Asset Class: FI & Pref									
UNITED STATES TREASURY NOTE Coupon Rate 2.625%; Matures 02/15/2029; CUSIP 9128286B1	5/15/19	4,000.000	102.106 101.983	106.055	4,084.23 4,079.31	**4,242.20**	162.89 ST	105.00 39.37	2.47
Int. Semi-Annually Feb/Aug; Yield to Maturity 1.899%; Moody AAA; Issued 02/15/19; Asset Class: FI & Pref									
UNITED STATES TREASURY BOND Coupon Rate 2.875%; Matures 05/15/2049; CUSIP 912810SH2	6/26/19	4,000.000	106.655 106.579	110.520	4,266.26 4,263.15	**4,420.80**	157.65 ST	115.00 14.53	2.60
Int. Semi-Annually May/Nov; Yield to Maturity 2.375%; Moody AAA; Issued 05/15/19; Asset Class: FI & Pref									

Account Detail

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TREASURY SECURITIES		19,000.000			$19,331.29 $19,325.43	$20,007.12	$214.77 LT $466.92 ST	$489.00 $140.55	2.44%

FEDERAL AGENCIES

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
FED NATL MTG ASSN Coupon Rate 6.250%; Matures 05/15/2029; CUSIP 31359MEU3 *Int. Semi-Annually May/Nov; Yield to Maturity 2.090%; Moody AAA S&P AA+; Issued 05/15/99; Asset Class: FI & Pref*	8/9/17	1,000.000	$136.669 $130.094	$135.240	$1,366.69 $1,300.94	$1,352.40	$51.46 LT	$63.00 $7.98	4.65
FEDERAL NATIONAL MTG ASSN POOL AE0113 Coupon Rate 4.000%; Matures 07/01/2040; CUSIP 31419ADT1 *Interest Paid Monthly Jun; Yield to Maturity 3.505%; Factor .1519366; Issued 06/01/10; Current Face 1,063.557; Asset Class: FI & Pref*	3/18/11	7,000.000	99.687 99.687	107.202	6,978.13 1,060.23	1,140.15	79.92 LT	43.00 3.54	3.77
FHLMC 30 YR GOLD G08737 Coupon Rate 3.000%; Matures 12/01/2046; CUSIP 3128MJZB9 *Interest Paid Monthly Nov; Yield to Maturity 2.867%; Factor .7504878; Issued 11/01/16; Current Face 3,752.439; Asset Class: FI & Pref*	12/12/16	5,000.000	98.946 98.946	102.482	4,934.96 3,712.87	3,845.57	132.70 LT	113.00 9.38	2.93
FEDERAL AGENCIES		13,000.000			$13,279.78 $6,074.04	$6,338.12	$264.08 LT	$219.00 $20.90	3.46%

	Percentage of Holdings	Face Value	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
GOVERNMENT SECURITIES		32,000.000	$32,611.07 $25,399.47	$26,345.24	$478.85 LT $466.92 ST	$708.00 $161.45	2.69%
TOTAL GOVERNMENT SECURITIES (includes accrued interest)	9.28%			$26,506.69			

Account Detail

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

MUTUAL FUNDS

"Total Purchases vs. Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account.

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash; due to but not limited to: investments made prior to addition of this information on statements; securities transfers; timing of recent distributions; and certain adjustments made in your account.

"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes.

Global Investment Manager Analysis (GIMA) status codes (FL, AL or NL), may be shown for certain mutual funds and are not guarantees of performance. Refer to "GIMA Status in Investment Advisory Programs" in the June or December statement for a description of these codes.

Although share price is displayed only to three decimal places, calculation of Market Value is computed using the full share price in our data base, which may carry out beyond three decimal places.

OPEN-END MUTUAL FUNDS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
BLACKROCK BATS: SERIES C PTF (BRACX)	3/15/11	798.827	$10.090	$10.810	$8,060.16	$8,635.32	$575.16 LT		
	3/25/11	29.880	10.040	10.810	300.00	323.00	23.00 LT		
	5/31/12	228.864	10.700	10.810	2,448.85	2,474.02	25.17 LT		
	6/19/12	24.595	10.700	10.810	263.17	265.87	2.70 LT		
	7/2/12	45.038	10.780	10.810	485.51	486.86	1.35 LT		
	4/15/13	82.925	11.100	10.810	920.47	896.42	(24.05) LT		
	1/12/17	213.000	10.320	10.810	2,198.16	2,302.53	104.37 LT		
	1/26/17	88.000	10.250	10.810	902.00	951.28	49.28 LT		
	10/20/17	17.000	10.520	10.810	178.84	183.77	4.93 LT		
	1/18/18	68.000	10.390	10.810	706.52	735.08	28.56 LT		
	1/23/18	20.000	10.380	10.810	207.60	216.20	8.60 LT		
	4/12/19	35.000	10.260	10.810	359.10	378.35	19.25 ST		
	Total	1,651.129			17,030.38	**17,848.70**	799.07 LT 19.25 ST	651.00	3.65
Total Purchases vs Market Value					17,030.38	17,848.70			
Cumulative Cash Distributions						8,645.05			
Net Value Increase/(Decrease)						9,463.37			
Dividend Cash; Capital Gains Cash; Asset Class: FI & Pref									
BLACKROCK BATS: SERIES M PTF (BRAMX)	3/15/11	859.013	9.510	9.760	8,169.22	8,383.97	214.75 LT		
	3/25/11	42.418	9.430	9.760	400.00	414.00	14.00 LT		
	7/2/12	46.740	10.720	9.760	501.05	456.18	(44.87) LT		
	4/15/13	31.000	9.890	9.760	306.59	302.56	(4.03) LT		
	4/22/13	274.000	9.890	9.760	2,709.86	2,674.24	(35.62) LT		
	3/2/15	312.000	10.000	9.760	3,120.00	3,045.12	(74.88) LT		

Account Detail

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	1/12/17	29.000	9.730	9.760	282.17	283.04	0.87 LT		
	1/26/17	31.000	9.660	9.760	299.46	302.56	3.10 LT		
	7/24/17	324.000	9.740	9.760	3,155.76	3,162.24	6.48 LT		
	10/20/17	19.000	9.700	9.760	184.30	185.44	1.14 LT		
	1/23/18	35.000	9.580	9.760	335.30	341.60	6.30 LT		
	Total	2,003.171			19,463.71	19,550.96	87.24 LT	689.00	3.52
Total Purchases vs Market Value					19,463.71	19,550.95			
Cumulative Cash Distributions						7,190.25			
Net Value Increase/(Decrease)						7,277.49			
Dividend Cash; Capital Gains Cash; Asset Class: FI & Pref									
NEUBERGER BERMAN STR INC INST (NSTLX)	1/18/19	1,209.312	10.630	11.090	12,854.99	13,411.27	556.28 ST	541.00	4.03
Total Purchases vs Market Value					12,854.99	13,411.27			
Cumulative Cash Distributions						462.80			
Net Value Increase/(Decrease)						1,019.08			
GIMA Status: AL; Dividend Cash; Capital Gains Cash; Asset Class: FI & Pref									
ROYCE PREMIER INV (RYPRX)	1/18/19	765.071	12.650	12.880	9,678.15	9,854.11	175.96 ST	41.00	0.42
Total Purchases vs Market Value					9,678.15	9,854.11			
Cumulative Cash Distributions						2,038.00			
Net Value Increase/(Decrease)						2,213.96			
GIMA Status: FL; Dividend Cash; Capital Gains Cash; Asset Class: Equities									
THORNBURG INV INC BUILDER I (TIBIX)	1/18/19	615.365	20.890	22.750	12,854.98	13,999.55	1,144.57 ST	624.00	4.46
Total Purchases vs Market Value					12,854.98	13,999.55			
Cumulative Cash Distributions						591.30			
Net Value Increase/(Decrease)						1,735.87			
GIMA Status: AL; Dividend Cash; Capital Gains Cash; Asset Class: Equities, FI & Pref									
TOUCHSTONE MID CAP Y (TMCPX)	7/3/17	361.484	29.080	40.110	10,511.96	14,499.12	3,987.16 LT	107.00	0.74
Total Purchases vs Market Value					10,511.96	14,499.12			
Cumulative Cash Distributions						1,040.64			
Net Value Increase/(Decrease)						5,027.80			
GIMA Status: AL; Dividend Cash; Capital Gains Cash; Asset Class: Equities									

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
MUTUAL FUNDS	31.22%	$82,394.17	$89,163.70	$4,873.47 LT $1,896.06 ST	$2,653.00	2.98%

Account Detail

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TOTAL VALUE		$219,274.67	$285,156.39	$52,800.01 LT $5,801.90 ST	$7,789.24 $420.41	2.73%
TOTAL VALUE (Includes accrued interest)	100.00%		$285,576.80			

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.
H - Wash sale rules apply to this tax lot. The cost basis and acquisition date (trade date) have been adjusted to account for a disallowed loss of a related wash sale transaction. The aggregate amount of the basis adjustment is identified in italics under the Security Description.
1 - The tax lot information was updated as a result of an internal adjustment and/or information provided by you or a third party.
R - The cost basis was adjusted due to either a return of capital payment and/or a reclassification of income. A return of capital reduces your basis in the security.

ALLOCATION OF ASSETS (^ includes accrued interest)

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Structured Investments	Other
Cash, BDP, MMFs	$7,479.83	—	—	—	—	—
Stocks	—	$136,656.51	$1,230.71	$5,076.51	—	—
Corporate Fixed Income ^	—	—	19,462.85	—	—	—
Government Securities ^	—	—	26,506.69	—	—	—
Mutual Funds	—	37,402.21	51,761.49	—	—	—
TOTAL ALLOCATION OF ASSETS ^	$7,479.83	$174,058.72	$98,961.74	$5,076.51	—	—

ACTIVITY

INVESTMENT RELATED ACTIVITY

TAXABLE INCOME AND DISTRIBUTIONS

Activity Date	Activity Type	Description	Comments	Credits/(Debits)
12/2	Dividend	BLACKROCK BATS: SERIES C PTF DIV PAYMENT		$51.57
12/2	Dividend	BLACKROCK BATS: SERIES M PTF DIV PAYMENT		47.89
12/2	Interest Income	UNITED STATES TREASURY NOTE	2.875% DUE2025-11-30 [9128285N6]	43.13
12/2	Dividend	NEUBERGER BERMAN STR INC INST DIV PAYMENT		43.07
12/2	Qualified Dividend	WELLS FARGO & CO NEW		19.38
12/2	Qualified Dividend	PFIZER INC		8.64
12/2	Qualified Dividend	WELLS FARGO & CO NEW		7.14
12/2	Qualified Dividend	EQT CORPORATION COM NEW		0.42

Account Detail

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

TAXABLE INCOME AND DISTRIBUTIONS (CONTINUED)

Activity Date	Activity Type	Description	Comments	Credits/(Debits)
12/3	Qualified Dividend	CONAGRA BRANDS INC		2.76
12/3	Qualified Dividend	VISA INC CL A		2.40
12/4	Qualified Dividend	UNITED PARCEL SER INC CL-B		10.56
12/4	Qualified Dividend	UNILEVER PLC (NEW) ADS		8.58
12/5	Qualified Dividend	TJX COS INC NEW		6.90
12/5	Qualified Dividend	ALASKA AIR GROUP INCORPORATED		1.40
12/6	Qualified Dividend	HITACHI 10 COM NEW ADR		15.29
12/6	Qualified Dividend	HONDA MOTOR COMPANY LTD ADR		7.35
12/6	Qualified Dividend	HONEYWELL INTERNATIONAL INC		6.30
12/6	Qualified Dividend	HONEYWELL INTERNATIONAL INC		4.50
12/6	Qualified Dividend	HOYA CORP SPONS ADR		4.17
12/6	Qualified Dividend	BOEING CO		4.11
12/6	Dividend	HONDA MOTOR COMPANY LTD ADR ADJ GROSS DIV AMOUNT 1.33 FOREIGN TAX PAID IS 1.33		0.00
12/6	Dividend	HITACHI 10 COM NEW ADR ADJ GROSS DIV AMOUNT 2.77 FOREIGN TAX PAID IS 2.77		0.00
12/6	Dividend	HOYA CORP SPONS ADR ADJ GROSS DIV AMOUNT 0.76 FOREIGN TAX PAID IS 0.76		0.00
12/10	Qualified Dividend	INTL BUSINESS MACHINES CORP		12.96
12/10	Qualified Dividend	JOHNSON & JOHNSON		11.40
12/10	Qualified Dividend	EXXON MOBIL CORP		9.57
12/10	Qualified Dividend	JOHNSON & JOHNSON		9.50
12/10	Qualified Dividend	EXELON CORP		8.34
12/10	Qualified Dividend	UNITED TECHNOLOGIES CORP		6.62
12/12	LT Cap Gain Distribution	TOUCHSTONE MID CAP Y		305.42
12/12	ST Cap Gain Distribution	TOUCHSTONE MID CAP Y		16.87
12/12	Qualified Dividend	MICROSOFT CORP		8.16
12/12	Qualified Dividend	PPG INDUSTRIES INC		7.14
12/12	Qualified Dividend	3M COMPANY		5.76
12/12	Qualified Dividend	ITAU UNIBANCO MULTIPLE ADR		0.29
12/13	LT Cap Gain Distribution	ROYCE PREMIER INV		1,996.76
12/13	Dividend	ROYCE PREMIER INV DIV PAYMENT		41.24
12/13	Qualified Dividend	KEYCORP NEW		11.10
12/13	Qualified Dividend	ONEMAIN HLDGS INC		7.00
12/13	Qualified Dividend	METLIFE INCORPORATED		6.16
12/13	Qualified Dividend	ALLERGAN PLC SHS		3.70
12/13	Qualified Dividend	DUPONT DE NEMOURS INC		1.80
12/13	Qualified Dividend	DUPONT DE NEMOURS INC		1.20
12/16	Return of Principal	FHLMC 30 YR GOLD G08737	3.000% DUE2046-12-01 [3128MJZB9]	48.74

Account Detail

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

TAXABLE INCOME AND DISTRIBUTIONS (CONTINUED)

Activity Date	Activity Type	Description	Comments	Credits/(Debits)
12/16	Qualified Dividend	DUKE ENERGY CORPORATION		12.29
12/16	Interest Income	FHLMC 30 YR GOLD G08737	3.000% DUE2046-12-01 [3128MJZB9]	9.50
12/16	Qualified Dividend	FIRST AMERICAN FINL CORP		2.52
12/17	Qualified Dividend	UNITEDHEALTH GP INC		2.16
12/17	Qualified Dividend	STANLEY BLACK & DECKER INC		2.07
12/18	Qualified Dividend	CEMEX SAB DE CV		7.49
12/18	Qualified Dividend	ANHEUSER BUSCH INBEV SA SPON		6.20
12/18	Qualified Dividend	CORTEVA INC		1.43
12/18	Qualified Dividend	CORTEVA INC		1.17
12/18	Dividend	ANHEUSER BUSCH INBEV SA SPON ADJ GROSS DIV AMOUNT 2.66 FOREIGN TAX PAID IS 2.66		0.00
12/19	Qualified Dividend	QUALCOMM INC		2.48
12/20	Qualified Dividend	BP PLC ADS		17.22
12/20	Dividend	WEYERHAEUSER CO		6.46
12/20	Qualified Dividend	WASTE MGMT INC (DELA)		5.13
12/23	ST Cap Gain Distribution	BLACKROCK BATS: SERIES C PTF		73.94
12/23	LT Cap Gain Distribution	BLACKROCK BATS: SERIES C PTF		19.88
12/23	ST Cap Gain Distribution	BLACKROCK BATS: SERIES M PTF		8.40
12/24	Qualified Dividend	SUNCOR ENERGY INC		8.95
12/24	Dividend	SUNCOR ENERGY INC ADJ GROSS DIV AMOUNT 1.58 FOREIGN TAX PAID IS 1.58		0.00
12/26	Return of Principal	FNMA POOL AE0113 4000 40JL01	4.000% DUE2040-07-01 [31419ADT1]	18.37
12/26	Qualified Dividend	AMER INTL GP INC NEW		6.72
12/26	Interest Income	FNMA POOL AE0113 4000 40JL01	4.000% DUE2040-07-01 [31419ADT1]	3.60
12/27	Qualified Dividend	VOYA FINL INC		1.80
12/27	Qualified Dividend	CME GROUP INC		1.50
12/30	Dividend	THORNBURG INV INC BUILDER I DIV PAYMENT		194.97
12/30	Dividend	TOUCHSTONE MID CAP Y DIV PAYMENT		106.60
12/30	Qualified Dividend	VISTRA ENERGY CORP		2.38
12/31	Qualified Dividend	TRAVELERS COMPANIES INC COM		10.66
12/31	Qualified Dividend	SERVICE CORP INTL		2.70
12/31	Qualified Dividend	BECTON DICKINSON & CO		2.37
12/31	Qualified Dividend	GATX CORP		2.30
12/31	Qualified Dividend	ENCANA CORP		1.40
12/31	Qualified Dividend	ENCANA CORP		1.04
12/31	Interest Income	MORGAN STANLEY BANK N.A.	(Period 12/01-12/31)	0.16
12/31	Dividend	ENCANA CORP ADJ GROSS DIV AMOUNT 0.25 FOREIGN TAX PAID IS 0.25		0.00

Account Detail

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

TAXABLE INCOME AND DISTRIBUTIONS (CONTINUED)

Activity Date	Activity Type	Description	Comments	Credits/(Debits)
12/31	Dividend	ENCANA CORP ADJ GROSS DIV AMOUNT 0.18 FOREIGN TAX PAID IS 0.18		0.00

TOTAL TAXABLE INCOME AND DISTRIBUTIONS	**$3,358.85**
TOTAL QUALIFIED DIVIDENDS	$322.28
TOTAL OTHER DIVIDENDS	$591.01
TOTAL LONG TERM CAPITAL GAINS DISTRIBUTIONS	$2,322.06
TOTAL INTEREST	$56.39
TOTAL RETURN OF CAPITAL/RETURN OF PRINCIPAL	$67.11

CASH RELATED ACTIVITY

ELECTRONIC TRANSFERS

Check disbursements from branch offices are displayed as Electronic Transfers.

Activity Date	Activity Type	Description	Comments	Credits/(Debits)
12/16	Withdrawal	FUNDS PAID	PRESIDENTIAL BA XX-8111	$(1,000.00)

TOTAL ELECTRONIC TRANSFERS	**$(1,000.00)**
TOTAL ELECTRONIC TRANSFERS-DEBITS	$(1,000.00)

OTHER CREDITS AND DEBITS

Activity Date	Activity Type	Description	Comments	Credits/(Debits)
12/3	Service Fee	TURKIYE GARANTI BANKASI A S	AGENT CUSTODY FEE $0.0100/SH	$(1.71)
12/3	Service Fee	ROCHE HOLDINGS ADR	AGENT CUSTODY FEE $0.0300/SH	(0.60)
12/4	Service Fee	UNILEVER PLC (NEW) ADS	AGENT CUSTODY FEE $0.0050/SH	(0.10)
12/6	Service Fee	HITACHI 10 COM NEW ADR	AGENT CUSTODY FEE $0.0240/SH	(0.53)
12/6	Service Fee	HOYA CORP SPONS ADR	AGENT CUSTODY FEE $0.0250/SH	(0.30)
12/6	Service Fee	HONDA MOTOR COMPANY LTD ADR	AGENT CUSTODY FEE $0.0045/SH	(0.15)
12/18	Service Fee	ANHEUSER BUSCH INBEV SA SPON	AGENT CUSTODY FEE $0.0885/SH	(0.89)
12/20	Service Fee	BP PLC ADS	AGENT CUSTODY FEE $0.0050/SH	(0.14)

TOTAL OTHER CREDITS AND DEBITS	**$(4.42)**
TOTAL OTHER DEBITS	$(4.42)

MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY

Activity Date	Activity Type	Description	Credits/(Debits)
12/2	Automatic Investment	BANK DEPOSIT PROGRAM	$78.65
12/3	Automatic Investment	BANK DEPOSIT PROGRAM	147.69
12/4	Automatic Investment	BANK DEPOSIT PROGRAM	16.73
12/5	Automatic Investment	BANK DEPOSIT PROGRAM	8.30
12/6	Automatic Investment	BANK DEPOSIT PROGRAM	40.74
12/10	Automatic Investment	BANK DEPOSIT PROGRAM	58.39
12/12	Automatic Investment	BANK DEPOSIT PROGRAM	21.35

Account Detail

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
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MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY (CONTINUED)

Activity Date	Activity Type	Description	Credits/(Debits)
12/13	Automatic Investment	BANK DEPOSIT PROGRAM	352.95
12/16	Automatic Investment	BANK DEPOSIT PROGRAM	1,101.55
12/17	Automatic Investment	BANK DEPOSIT PROGRAM	13.73
12/18	Automatic Investment	BANK DEPOSIT PROGRAM	15.40
12/19	Automatic Investment	BANK DEPOSIT PROGRAM	2.48
12/20	Automatic Investment	BANK DEPOSIT PROGRAM	28.67
12/24	Automatic Investment	BANK DEPOSIT PROGRAM	102.22
12/26	Automatic Investment	BANK DEPOSIT PROGRAM	34.04
12/27	Automatic Investment	BANK DEPOSIT PROGRAM	6.90
12/30	Automatic Investment	BANK DEPOSIT PROGRAM	2.38
12/31	Automatic Investment	BANK DEPOSIT PROGRAM	322.04
12/31	Automatic Investment	BANK DEPOSIT PROGRAM	0.16
NET ACTIVITY FOR PERIOD			**$2,354.37**

TRANSFERS, CORPORATE ACTIONS AND ADDITIONAL ACTIVITY

CORPORATE ACTIONS

Activity Date	Activity Type	Description	Comments	Quantity
12/5	Name Change From	CBS CORP NEW CL B SHRS		
12/5	Name Change To	VIACOMCBS INC CL B		

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
FHLMC 30G G08737 3000 46DE01	12/12/16	12/01/19		$48.74	$48.23	$0.51	
FNMA POOL AE0113 4000 40JL01	03/18/11	12/01/19		18.37	18.32	0.05	
Long-Term This Period				**$67.11**	**$66.55**	**$0.56**	
Long-Term Year to Date				**$32,954.22**	**$24,685.63**	**$8,268.59**	
Net Realized Gain/(Loss) This Period				**$67.11**	**$66.55**	**$0.56**	
Net Realized Gain/(Loss) Year to Date				**$127,835.36**	**$118,744.98**	**$9,090.38**	

Account Detail

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

Disallowed Loss Based On Wash Sale Year to Date: $65.59

Treasury regulations require that we report on Form 1099-B a) adjusted cost basis on the sale of covered securities acquired on or after 1/1/11 (or the applicable date for the type of security), b) the gain or loss as either long-term or short-term, and c) basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance. This section may not reflect all the basis adjustments required when filing your tax return. Refer to the Expanded Disclosures.

MESSAGES

Senior Investor Helpline

For any inquiries or potential concerns, senior investors or someone acting on their behalf may contact our Firm by calling (800) 280-4534.

Important Tax Information Related To Your International Securities Holdings

You may be eligible to benefit from a reduction of the amount of foreign taxes you pay on dividends on international securities in your account. These taxes are withheld by foreign tax authorities. Contact us to determine qualification eligibility and requirements.

Important Information About Advisory Accounts

Please contact us if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your Investment Advisory accounts, or to reasonably modify existing restrictions.

For a copy of the applicable ADV Brochure for Morgan Stanley Smith Barney LLC, or for any investment adviser with whom we contract to manage your investment advisory account, please visit www.morganstanley.com/ADV. These ADV Brochures contain important information about our advisory programs.

FINRA BrokerCheck

FINRA has established the public disclosure program, known as BrokerCheck, to provide certain information regarding the disciplinary history of FINRA members and their associated persons. The BrokerCheck Hotline Number is 1-800-289-9999. The FINRA web site address is www.finra.org. An investor brochure that includes information describing FINRA BrokerCheck may be obtained from FINRA.

2019 Recap of Cash Management Activity

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

We are pleased to enclose your Recap of Cash Management Activity. This section includes a summary of selected account activity for the preceding 12 months; including your electronic transfers, checking and card activity for the year (including ATM transactions, automated payments and Billpay), and security transfers. As part of the Recap, Debit Card activity is organized by spending category; and checks are organized by expense code.

Information related to Income, Distributions, Purchases, Sales, and Redemptions will be provided to accounts subject to IRS reporting on Forms 1099 in the Consolidated Tax Package.

For your convenience, this Recap is also available as a separately retrievable document on Morgan Stanley Online under Statements within the Account Documents tab.

If yours is a reportable account, we recommend that you wait for your IRS Form(s) 1099 before completing your tax returns. This Recap is not a substitute for the official account statements that you have received from us throughout the year; and is for informational purposes only to provide you with a recap of your cash management activity. If there are any discrepancies between your account statement(s) and the information in this Recap, you should rely on the account statement(s) you have previously received.

CASH RELATED ACTIVITY

ELECTRONIC TRANSFERS (CREDITS)

Activity Date	Activity Type	Description	Comments	Inflows/(Outflows)
4/12	Deposit	FUNDS RECEIVED	PRESIDENTIAL BA XX-8111	$2,000.00
TOTAL ELECTRONIC TRANSFERS (CREDITS)				**$2,000.00**

ELECTRONIC TRANSFERS (DEBITS)

Activity Date	Activity Type	Description	Comments	Inflows/(Outflows)
2/13	Withdrawal	FUNDS PAID	PRESIDENTIAL BA XX-8111	$(2,000.00)
3/18	Withdrawal	FUNDS PAID	PRESIDENTIAL BA XX-8111	(2,000.00)
4/16	Funds Transferred	WIRED FUNDS SENT	BENE: ANDREW LEE HAVIS ACCT: XXXXX3345	(2,000.00)
4/17	Withdrawal	TRANSFER RETURNED	ACH AS OF 04/12/2019	(2,000.00)
4/25	Cash Transfer - Debit	ACH REVERSAL	ACH A/O 04/12	(2,000.00)
7/1	Withdrawal	FUNDS PAID	PRESIDENTIAL BA XX-8111	(2,000.00)
8/22	Withdrawal	FUNDS PAID	PRESIDENTIAL BA XX-8111	(2,000.00)
9/11	Withdrawal	FUNDS PAID	PRESIDENTIAL BA XX-8111	(1,000.00)
10/11	Withdrawal	FUNDS PAID	PRESIDENTIAL BA XX-8111	(1,000.00)
11/1	Withdrawal	FUNDS PAID	PRESIDENTIAL BA XX-8111	(1,000.00)
11/19	Withdrawal	FUNDS PAID	PRESIDENTIAL BA XX-8111	(1,000.00)
12/16	Withdrawal	FUNDS PAID	PRESIDENTIAL BA XX-8111	(1,000.00)
TOTAL ELECTRONIC TRANSFERS (DEBITS)				**$(19,000.00)**

OTHER DEBITS

Activity Date	Activity Type	Description	Comments	Inflows/(Outflows)
1/7	Service Fee	IMPERIAL BRANDS PLC SPD ADR	AGENT CUSTODY FEE $0.0037/SH	$(0.05)
1/9	Service Fee	CHOW TAI FOOK JEWLLERY GRP LTD	AGENT CUSTODY FEE $0.0200/SH	(0.38)
1/15	Service Fee	1ST QTR ADVISORY FEE		(913.53)

2019 Recap of Cash Management Activity

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

OTHER DEBITS (CONTINUED)

Activity Date	Activity Type	Description	Comments	Inflows/(Outflows)
1/15	Service Fee	NET PLATFORM FEE	PLATFORM FEE ($27.69) PLATFORM CREDIT $26.13	(1.56)
1/16	Service Fee	HYPERA SA ADR	AGENT CUSTODY FEE $0.0114/SH	(0.63)
1/17	Service Fee	HYPERA SA ADR	AGENT CUSTODY FEE $0.0106/SH	(0.59)
1/18	Service Fee	HYPERA SA ADR	AGENT CUSTODY FEE $0.0180/SH	(0.99)
1/23	Service Fee	HYPERA SA ADR	AGENT CUSTODY FEE $0.0065/SH	(0.36)
2/1	Service Fee	AKZO NOBEL NV ADR	AGENT CUSTODY FEE $0.0100/SH	(0.17)
2/1	Service Fee	VODAFONE GROUP PLC	AGENT CUSTODY FEE $0.0150/SH	(0.15)
2/8	Service Fee	BANCO SANTANDER S.A.	AGENT CUSTODY FEE $0.0025/SH	(0.57)
2/13	Service Fee	THYSSENKRUPP AG SPONSORED ADR	AGENT CUSTODY FEE $0.0180/SH	(0.45)
3/4	Service Fee	AKZO NOBEL NV ADR	AGENT CUSTODY FEE $0.0100/SH	(0.15)
3/7	Service Fee	HONDA MOTOR COMPANY LTD ADR	AGENT CUSTODY FEE $0.0045/SH	(0.09)
3/18	Service Fee	ROCHE HOLDINGS ADR	AGENT CUSTODY FEE $0.0200/SH	(0.40)
3/19	Service Fee	ITAU UNIBANCO MULTIPLE ADR	AGENT CUSTODY FEE $0.0187/SH	(1.53)
3/19	Service Fee	CIELO SA SPONSORED ADR NEW	AGENT CUSTODY FEE $0.0120/SH	(0.76)
3/20	Service Fee	ITAU UNIBANCO MULTIPLE ADR	AGENT CUSTODY FEE $0.0113/SH	(0.93)
3/20	Service Fee	UNILEVER PLC (NEW) ADS	AGENT CUSTODY FEE $0.0050/SH	(0.10)
3/29	Service Fee	NEWCREST MINING LTD SPONS ADR	AGENT CUSTODY FEE $0.0090/SH	(0.20)
3/29	Service Fee	BP PLC ADS	AGENT CUSTODY FEE $0.0050/SH	(0.14)
4/2	Service Fee	RYANAIR HLDGS PLC ADR	AGENT CUSTODY FEE $0.0100/SH	(0.06)
4/4	Service Fee	IMPERIAL BRANDS PLC SPD ADR	AGENT CUSTODY FEE $0.0037/SH	(0.05)
4/12	Service Fee	2ND QTR ADVISORY FEE		(977.59)
4/12	Service Fee	TOTAL S A SPON ADR	AGENT CUSTODY FEE $0.0100/SH	(0.19)
4/12	Service Fee	BARCLAYS PLC ADR	AGENT CUSTODY FEE $0.0012/SH	(0.05)
4/16	Service Fee Adj	NET PLATFORM CREDIT	PLATFORM FEE ($27.30) PLATFORM CREDIT $27.79	0.49
4/22	Service Fee	AP MOLLAR-MAERSK A/S ADR	AGENT CUSTODY FEE $0.0098/SH	(0.86)
4/30	Service Fee	ROYAL BK SCOTLAND GROUP SP ADR	AGENT CUSTODY FEE $0.0067/SH	(0.92)
5/3	Service Fee	AP MOLLAR-MAERSK A/S ADR	AGENT CUSTODY FEE $0.0500/SH	(4.35)
5/3	Service Fee	KT CORP SPON ADR	AGENT CUSTODY FEE $0.0050/SH	(0.01)
5/9	Service Fee	BANCO SANTANDER S.A.	AGENT CUSTODY FEE $0.0070/SH	(1.60)
5/9	Service Fee	CONTL AG SPONS ADR	AGENT CUSTODY FEE $0.0213/SH	(1.17)
5/9	Service Fee	ADECCO GROUP AG ADR	AGENT CUSTODY FEE $0.0500/SH	(0.70)
5/13	Service Fee	BAYER AG SPON ADR	AGENT CUSTODY FEE $0.0300/SH	(1.68)
5/13	Service Fee	AKZO NOBEL NV ADR	AGENT CUSTODY FEE $0.0100/SH	(0.15)
5/20	Service Fee	BASF SE SP ADR	AGENT CUSTODY FEE $0.0200/SH	(0.62)
5/21	Service Fee	CATHAY PCFC ARWYS LTD NW S ADR	AGENT CUSTODY FEE $0.0152/SH	(0.96)
5/21	Service Fee	WIENERBERGER AG SPON ADR	AGENT CUSTODY FEE $0.0097/SH	(0.92)
5/24	Service Fee	SCHNEIDER ELEC SA UNSP ADR	AGENT CUSTODY FEE $0.0500/SH	(1.80)
5/28	Service Fee	AXA ADS	AGENT CUSTODY FEE $0.0400/SH	(1.76)
5/28	Service Fee	SAP AG	AGENT CUSTODY FEE $0.0300/SH	(0.09)
5/29	Service Fee	HEIDELBERG CEMENT ADR	AGENT CUSTODY FEE $0.0415/SH	(0.91)
5/31	Service Fee	VOLKSWAGEN AG RP PRF ADR	AGENT CUSTODY FEE $0.0500/SH	(2.20)

2019 Recap of Cash Management Activity

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

OTHER DEBITS (CONTINUED)

Activity Date	Activity Type	Description	Comments	Inflows/(Outflows)
5/31	Service Fee	VOLKSWAGEN AG RP PRF ADR	AGENT CUSTODY FEE $0.0500/SH	(0.95)
5/31	Service Fee	BAYERISCHE MOTOREN WERKE ADR	AGENT CUSTODY FEE $0.0300/SH	(0.87)
5/31	Service Fee	DANONE SPONSORED ADR	AGENT CUSTODY FEE $0.0200/SH	(0.62)
6/4	Service Fee	STANDARD LIFE ABERDEEN PLC ADR	AGENT CUSTODY FEE $0.0500/SH	(1.35)
6/5	Service Fee	AVIVA PLC ADR	AGENT CUSTODY FEE $0.0200/SH	(0.70)
6/5	Service Fee	UNILEVER PLC (NEW) ADS	AGENT CUSTODY FEE $0.0050/SH	(0.10)
6/6	Service Fee	ANHEUSER BUSCH INBEV SA SPON	AGENT CUSTODY FEE $0.1121/SH	(1.01)
6/6	Service Fee	POSCO ADS	AGENT CUSTODY FEE $0.0150/SH	(0.14)
6/7	Service Fee	GLENCORE PLC ADR	AGENT CUSTODY FEE $0.0300/SH	(2.64)
6/7	Service Fee	HITACHI 10 COM NEW ADR	AGENT CUSTODY FEE $0.0240/SH	(0.36)
6/10	Service Fee	INTESA SANPAOLO S.P.A. ADR	AGENT CUSTODY FEE $0.0200/SH	(1.42)
6/10	Service Fee	HONDA MOTOR COMPANY LTD ADR	AGENT CUSTODY FEE $0.0045/SH	(0.15)
6/11	Service Fee	HOYA CORP SPONS ADR	AGENT CUSTODY FEE $0.0250/SH	(0.30)
6/14	Service Fee	HENGAN INTL GROUP CO LTD ADR	AGENT CUSTODY FEE $0.0500/SH	(0.55)
6/18	Service Fee	ATOS ORIGIN SA ADR	AGENT CUSTODY FEE $0.0455/SH	(1.69)
6/21	Service Fee	BP PLC ADS	AGENT CUSTODY FEE $0.0050/SH	(0.14)
6/27	Service Fee	INFOSYS LIMITED ADR	AGENT CUSTODY FEE $0.0100/SH	(0.62)
7/1	Service Fee	G4S PLC UNSPONS ADR	AGENT CUSTODY FEE $0.0463/SH	(1.81)
7/2	Service Fee	TOTAL S A SPON ADR	AGENT CUSTODY FEE $0.0100/SH	(0.19)
7/3	Service Fee	ATOS ORIGIN SA ADR	AGENT CUSTODY FEE $0.0500/SH	(1.85)
7/5	Service Fee	CIELO SA SPONSORED ADR NEW	AGENT CUSTODY FEE $0.0020/SH	(0.13)
7/5	Service Fee	IMPERIAL BRANDS PLC SPD ADR	AGENT CUSTODY FEE $0.0037/SH	(0.05)
7/11	Service Fee	SUMITOMO MITSUI FINL GROUP INC	AGENT CUSTODY FEE $0.0035/SH	(0.36)
7/15	Service Fee	3RD QTR ADVISORY FEE		(998.60)
7/16	Service Fee Adj	NET PLATFORM CREDIT	PLATFORM FEE ($27.91) PLATFORM CREDIT $28.68	0.77
7/30	Service Fee	GREEK ORGANISATION OF FOOTBALL	AGENT CUSTODY FEE $0.0364/SH	(3.50)
8/2	Service Fee	VODAFONE GROUP PLC	AGENT CUSTODY FEE $0.0100/SH	(0.36)
8/2	Service Fee	AKZO NOBEL NV ADR	AGENT CUSTODY FEE $0.0100/SH	(0.15)
8/2	Service Fee	BAIDU INC ADS	AGENT CUSTODY FEE $0.0200/SH	(0.12)
8/13	Service Fee	CHINA CONSTRUCTION BANK CORP	AGENT CUSTODY FEE $0.0500/SH	(2.40)
8/22	Service Fee	PJSC GAZPROM SPON ADR	AGENT CUSTODY FEE $0.0200/SH	(2.20)
8/23	Service Fee	POSCO ADS	AGENT CUSTODY FEE $0.0150/SH	(0.18)
9/5	Service Fee	CHOW TAI FOOK JEWLLERY GRP LTD	AGENT CUSTODY FEE $0.0450/SH	(2.84)
9/9	Service Fee	HONDA MOTOR COMPANY LTD ADR	AGENT CUSTODY FEE $0.0045/SH	(0.15)
9/11	Service Fee	UNILEVER PLC (NEW) ADS	AGENT CUSTODY FEE $0.0050/SH	(0.10)
9/20	Service Fee	ROYAL BK SCOTLAND GROUP SP ADR	AGENT CUSTODY FEE $0.0080/SH	(1.09)
9/20	Service Fee	BP PLC ADS	AGENT CUSTODY FEE $0.0050/SH	(0.14)
9/23	Service Fee	BARCLAYS PLC ADR	AGENT CUSTODY FEE $0.0037/SH	(0.33)
10/1	Service Fee	NEWCREST MINING LTD SPONS ADR	AGENT CUSTODY FEE $0.0174/SH	(0.38)
10/2	Service Fee	AVIVA PLC ADR	AGENT CUSTODY FEE $0.0200/SH	(0.70)
10/4	Service Fee	IMPERIAL BRANDS PLC SPD ADR	AGENT CUSTODY FEE $0.0037/SH	(0.11)
10/7	Service Fee	TRAVELSKY TECH LTD SPONS ADR	AGENT CUSTODY FEE $0.0200/SH	(0.36)

2019 Recap of Cash Management Activity

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
FBO ANDREW LEE HAVIS LIVING TR

OTHER DEBITS (CONTINUED)

Activity Date	Activity Type	Description	Comments	Inflows/(Outflows)
10/8	Service Fee	GLENCORE PLC ADR	AGENT CUSTODY FEE $0.0300/SH	(2.70)
10/14	Service Fee	ADV FEE 10/01-12/31		(989.84)
10/15	Service Fee	CATHAY PCFC ARWYS LTD NW S ADR	AGENT CUSTODY FEE $0.0137/SH	(0.87)
10/16	Service Fee Adj	NET PLATFORM CREDIT	PLATFORM FEE ($27.97) PLATFORM CREDIT $28.70	0.73
10/18	Service Fee	TOTAL S A SPON ADR	AGENT CUSTODY FEE $0.0100/SH	(0.19)
10/21	Service Fee	HENGAN INTL GROUP CO LTD ADR	AGENT CUSTODY FEE $0.0500/SH	(0.55)
10/28	Service Fee	G4S PLC UNSPONS ADR	AGENT CUSTODY FEE $0.0272/SH	(1.06)
11/1	Service Fee	INFOSYS LIMITED ADR	AGENT CUSTODY FEE $0.0100/SH	(0.62)
11/8	Service Fee	BANCO SANTANDER S.A.	AGENT CUSTODY FEE $0.0075/SH	(1.71)
11/14	Service Fee	AKZO NOBEL NV ADR	AGENT CUSTODY FEE $0.0200/SH	(0.30)
11/20	Service Fee	HSBC HOLDINGS PLC SPON ADR NEW	AGENT CUSTODY FEE $0.0050/SH	(0.11)
11/29	Service Fee	POSCO ADS	AGENT CUSTODY FEE $0.0150/SH	(0.18)
12/3	Service Fee	TURKIYE GARANTI BANKASI A S	AGENT CUSTODY FEE $0.0100/SH	(1.71)
12/3	Service Fee	ROCHE HOLDINGS ADR	AGENT CUSTODY FEE $0.0300/SH	(0.60)
12/4	Service Fee	UNILEVER PLC (NEW) ADS	AGENT CUSTODY FEE $0.0050/SH	(0.10)
12/6	Service Fee	HITACHI 10 COM NEW ADR	AGENT CUSTODY FEE $0.0240/SH	(0.53)
12/6	Service Fee	HOYA CORP SPONS ADR	AGENT CUSTODY FEE $0.0250/SH	(0.30)
12/6	Service Fee	HONDA MOTOR COMPANY LTD ADR	AGENT CUSTODY FEE $0.0045/SH	(0.15)
12/18	Service Fee	ANHEUSER BUSCH INBEV SA SPON	AGENT CUSTODY FEE $0.0885/SH	(0.89)
12/20	Service Fee	BP PLC ADS	AGENT CUSTODY FEE $0.0050/SH	(0.14)
TOTAL OTHER DEBITS				$(3,954.64)

TOTAL CASH RELATED ACTIVITY **$(20,954.64)**

CORPORATE ACTIONS

Activity Date	Activity Type	Description	Comments	Quantity
1/28	Exchange Delivered Out	AKZO NOBEL NV ADR		(17.000)
1/28	Exchange Received In	AKZO NOBEL NV ADR		15.000
4/4	Stock Spin-Off	DOW INC	DISTRIBUTION FROM DWDP	3.000
4/4	Stock Spin-Off	DOW INC	DISTRIBUTION FROM DWDP	2.000
4/11	Stock Spin-Off	ALCON INC	DISTRIBUTION FROM NVS	2.000
6/3	Exchange Delivered Out	DOWDUPONT INC		(8.000)
6/3	Exchange Delivered Out	DOWDUPONT INC		(11.000)
6/3	Exchange Received In	DUPONT DE NEMOURS INC		3.000
6/3	Exchange Received In	DUPONT DE NEMOURS INC		2.000
6/5	Stock Spin-Off	CORTEVA INC	DISTRIBUTION FROM DWDP	3.000
6/5	Stock Spin-Off	CORTEVA INC	DISTRIBUTION FROM DWDP	2.000
8/20	Exchange Delivered Out	CVS HEALTH CORP	3.350% DUE2021-03-09 [126650DC1] TENDER	(4,000.000)
8/20	Exchange Received In	CVS HEALTH CORP	3.350% DUE2021-03-09 [12699LCJ1] TENDER	4,000.000

2019 Recap of Cash Management Activity

Select UMA Active Assets Account [redacted]

ANDREW LEE HAVIS TTEE
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CORPORATE ACTIONS (CONTINUED)

Activity Date	Activity Type	Description	Comments	Quantity
8/23	Exchange Delivered Out	CVS HEALTH CORP	3.350% DUE2021-03-09 [12699LCJ1] UNACCEPTED SHARES	(3,000.000)
8/23	Exchange Received In	CVS HEALTH CORP	3.350% DUE2021-03-09 [126650DC1] UNACCEPTED SHARES	3,000.000
12/5	Name Change From	CBS CORP NEW CL B SHRS		—
12/5	Name Change To	VIACOMCBS INC CL B		—

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