Filing ID #10055007

Financial Disclosure Report

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Filer Information

Name: Hon. Rick Larsen
Status: Member
State/District: WA02

Filing Information

Filing Type: Amendment Report
Filing Year: 2020
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Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Ameriprise Financial One Account/CNL Healthcare Inc (widely diversified property investment fund) [RE]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Ameriprise One Financial Account/Ameriprise Insured Money Market [BA] Description: Money market account within the Ameriprise One Financial Account	JT	$1,001 - $15,000	Interest	$.34	☐
Ameriprise One Financial Account/Corporate Property Associates 18 Global Inc Cl A (widely diversifie [RE]	JT	$1,001 - $15,000	None		☐
Ameriprise One Financial Account/Western Asset Intermediate Term Municipal Cl A [MF] Description: After sale of Western Asset Intermediate Class C (See Transactions), remainder of Western Asset Intemediate Class C transferred to Class A. At end of year, a total of 1.162 shares values at $7.59 held in this fund.	JT	$1 - $1,000	Dividends	None	☐
DC2/AK Freedom ADV 529 [5P] Location: AK Description: withdrawal to pay for tuition and other qualified expenses	DC	$1,001 - $15,000	None		☑
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ ACC Cash Reserve Certificate [BA] Description: Moved cash reserve certificate from non-trustee account, made it inactive, and opened a trustee account based cash reserve certificate, which Ameriprise labels ACC Cash Reserve Certificate (was AFI Cash Reserve Certificate)	JT	$15,001 - $50,000	Interest	$1 - $200	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Ameriprise Insured Money Market [BA] DESCRIPTION: Part of the Ameriprise SPS Advisor Fund within the RT.	JT	$1,001 - $15,000	Interest	$.11	☐
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Columbia Strategic Muni Income Institutional [MF] DESCRIPTION: Purchased in 2020.	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Eaton Vance Muni Opportunity Class I [MF] DESCRIPTION: Purchased in 2020	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/GS Dynamic Muni Income Investor [MF] DESCRIPTION: Purchased in 2020	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Invesco Steelpath MLP Alpha C [MF] DESCRIPTION: Purchased in 2020	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Lord Abbett National Tax Free Income CL F [MF] DESCRIPTION: Purchased in 2020	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Mainstay Cushing MLP Premier Class I [MF] DESCRIPTION: Purchased in 2020	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Mainstay Mackay Tax Free Bond Class I [MF] DESCRIPTION: Purchased in 2020	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/PGIM Jennison MLP Class Z [MF] DESCRIPTION: Purchased in 2020	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒	JT	$1,001 - $15,000	Dividends	$1 -	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Ameriprise Strategic Portfolio Service Advisor Fund 2/American Century Focused Dynamic Growth Cl I [MF]				$200	
DESCRIPTION: used 30k from ACC Cash Reserve certificate to create additional SPS managed account					
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 2/Clearbridge Select Cl I [MF]	JT	$1,001 - $15,000	Dividends	$1 - $200	✓
DESCRIPTION: used 30k from ACC Cash Reserve certificate to create additional SPS managed account					
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 2/Federated Hermes Kaufmann Small Cap CL I [MF]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	✓
DESCRIPTION: used 30k from ACC Cash Reserve certificate to create additional SPS managed account					
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 2/Fidelity Advisor Growth Opportunity CL I [MF]	JT	$1,001 - $15,000	Dividends	None	✓
DESCRIPTION: used 30k from ACC Cash Reserve certificate to create additional SPS managed account					
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 2/Invesco Global Opportunity CL Y [MF]	JT	$1,001 - $15,000	Dividends, Interest	None	✓
DESCRIPTION: used 30k from ACC Cash Reserve certificate to create additional SPS managed account					
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 2/JP Morgan Emerging Markets Equity Cl I [MF]	JT	$1,001 - $15,000	Dividends	$1 - $200	✓
DESCRIPTION: used 30k from ACC Cash Reserve certificate to create additional SPS managed account					
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 2/Virtus Kar International Small Cap Cl I [MF]	JT	$1,001 - $15,000	Dividends	$1 - $200	✓
DESCRIPTION: used 30k from ACC Cash Reserve certificate to create additional SPS managed account					
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Condo Property, Everett WA [RP]	JT	$250,001 - $500,000	None		
LOCATION: Everett, WA, US DESCRIPTION: Created Revocable Living Trust on March 18, 2018. Moved Everett property to the RLT on March 27, 2018					
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Federal Congressional Credit Union/Money Market Account [BA]	JT	$1,001 - $15,000	Dividends	$1 - $200	
DESCRIPTION: Established May 22, 2019, within the Revocable Living Trust because it gets a slightly higher return than the checking account					
Richard R Larsen IRA ⇒ Black Creek Industrial REIT IV [RE]		$15,001 - $50,000	None		✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DESCRIPTION: Sold RRL IRA/Industrial Property Trust and purchased this asset					
Richard R Larsen IRA ⇒ NextEra Energy, Inc. (NEE) [ST]		$1,001 - $15,000	None		✓
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ PNC Financial Services Group, Inc. (PNC) [ST]		$1,001 - $15,000	None		✓
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ Prudential Financial, Inc. (PRU) [ST]		$1 - $1,000	None		✓
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Abbott Laboratories (ABT) [ST]		$1 - $1,000	None		✓
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ American Water Works Company, Inc. (AWK) [ST]		$1 - $1,000	None		✓
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Amgen Inc. (AMGN) [ST]		$1 - $1,000	None		✓
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Analog Devices, Inc. (ADI) [ST]		$1,001 - $15,000	None		✓
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Apple Inc. (AAPL) [ST]		$1 - $1,000	None		✓
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ AvalonBay Communities, Inc. (AVB) [ST]		$1 - $1,000	None		✓
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Best Buy Co., Inc. (BBY) [ST] DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.		$1 - $1,000	None		✓
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Booz Allen Hamilton Holding Corporation (BAH) [ST] DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.		$1 - $1,000	None		✓
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Bristol-Myers Squibb Company (BMY) [ST] DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.		$1,001 - $15,000	None		✓
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Broadcom Inc. (AVGO) [ST] DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.		$1 - $1,000	None		✓
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Comcast Corporation - Class A (CMCSA) [ST] DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.		$1 - $1,000	None		✓
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ CVS Health Corporation (CVS) [ST] DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.		$1 - $1,000	None		✓
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ DBA Sempra (SRE) [ST] DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.		$1 - $1,000	None		✓
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Duke Realty Corporation (DRE) [ST] DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.		$1 - $1,000	None		✓
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Fastenal Company (FAST) [ST] DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of		$1 - $1,000	None		✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Home Depot, Inc. (HD) [ST]		$1 - $1,000	None		✓
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Intel Corporation (INTC) [ST]		$1 - $1,000	None		✓
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ JP Morgan Chase & Co. (JPM) [ST]		$1 - $1,000	None		✓
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Kroger Company (KR) [ST]		$1 - $1,000	None		✓
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]		$1 - $1,000	None		✓
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Microsoft Corporation (MSFT) [ST]		$1 - $1,000	None		✓
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Pepsico, Inc. (PEP) [ST]		$1 - $1,000	None		✓
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Public Service Enterprise Group Incorporated (PEG) [ST]		$1 - $1,000	None		✓
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Texas Instruments Incorporated (TXN) [ST]		$1 - $1,000	None		✓
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Total SE (TOT) [ST]		$1 - $1,000	None		
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Tyson Foods, Inc. (TSN) [ST]		$1 - $1,000	None		
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Union Pacific Corporation (UNP) [ST]		$1,001 - $15,000	None		
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ United Parcel Service, Inc. (UPS) [ST]		$1 - $1,000	None		
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Visa Inc. (V) [ST]		$1 - $1,000	None		
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Waste Management, Inc. (WM) [ST]		$1 - $1,000	None		
DESCRIPTION: Sold two assets in RRL IRA to purchase new assets in a new SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets.					
Richard R Larsen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/American Century Mid Cap Value Cl I [MF]		$1,001 - $15,000	None		
DESCRIPTION: purchase of mutual funds with Richard R Larsen IRA to diversify; purchased with sale of existing assets with same Roth IRA					
Richard R Larsen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Artisan Small Cap Investor Cl I [MF]		$1,001 - $15,000	None		
DESCRIPTION: purchase of mutual funds with Richard R Larsen IRA to diversify; purchased with sale of existing assets with same Roth IRA					
Richard R Larsen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Delaware Small Cap Core Cl I [MF]		$1,001 - $15,000	None		
DESCRIPTION: purchase of mutual funds with Richard R Larsen IRA to diversify; purchased with sale of existing assets with same Roth IRA					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Richard R Larsen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Value Line Mid Cap Focused Institutional [MF] DESCRIPTION: purchase of mutual funds with Richard R Larsen IRA to diversify; purchased with sale of existing assets with same Roth IRA		$1,001 - $15,000	None		☑
Richard R Larsen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Virtus Kar Mid Cap Growth Cl I [MF] DESCRIPTION: purchase of mutual funds with Richard R Larsen IRA to diversify; purchased with sale of existing assets with same Roth IRA		$1,001 - $15,000	None		☑
Richard R Larsen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Wells Fargo Special Small Cap Value Institutiona [MF] DESCRIPTION: purchase of mutual funds with Richard R Larsen IRA to diversify; purchased with sale of existing assets with same Roth IRA		$1,001 - $15,000	None		☑
Riversource indexed universal life insurance/S&P 500 Indexed Account [WU] DESCRIPTION: $350 monthly premium for life insurance totalling $4200 annually		$100,001 - $250,000	None		☑
Riversource RAVA 5 Advantage Variable Annuity/VP Moderate Portfolio Class 2 [MF] DESCRIPTION: Annuity that holds mutual fund. Retirement asset		$1,001 - $15,000	None		☐
Tiia Ingrid Karlen Roth IRA ⇒ Ameriprise Insured Money Market Account [MF]		$1 - $1,000	None		☐
Tiia Ingrid Karlen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Clearbridge Intl Growth Cl I [MF] DESCRIPTION: sale or mutual fund in Roth IRA to create more diverse set of mutual funds in Roth		$1,001 - $15,000	None		☑
Tiia Ingrid Karlen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Fidelity Advisor Intl Small Cap Cl I [MF] DESCRIPTION: sale or mutual fund in Roth IRA to create more diverse set of mutual funds in Roth		$1,001 - $15,000	None		☑
Tiia Ingrid Karlen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Invesco Itnl Small Mid Company Cl Y [MF] DESCRIPTION: sale of mutual fund in Roth IRA to purchase more diverse set of mutual funds		$1,001 - $15,000	None		☑
Tiia Ingrid Karlen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Janus Henderson Global Equity Income Class I [MF] DESCRIPTION: sale or mutual fund in Roth IRA to create more diverse set of mutual funds in Roth		$1,001 - $15,000	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Tiia Ingrid Karlen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/PGIM Jennison Intl Opportunities Cl Z [MF] DESCRIPTION: sale or mutual fund in Roth IRA to create more diverse set of mutual funds in Roth		$1,001 - $15,000	None		☑
Tiia Ingrid Karlen TSCA/Columbia Contrarian Core Class A [MF]	SP	$15,001 - $50,000	None		☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Ameriprise One Financial Account/ACC Cash Reserve Certificate [BA] DESCRIPTION: Moved the Cash Reserve Certificate to the Revocable Trust and made the Certificate in the One Financial Account inactive	JT	07/3/2020	E	$50,001 - $100,000	
Ameriprise One Financial Account/Wells Fargo Wealthbuilder Growth Balanced [MF] DESCRIPTION: Sale of this mututal fund on advice from advisor; proceeds used to open Ameriprise Strategic Portfolio Service Advisor Fund within the Revocable Trust. Western Asset Intermediate Class C sold as well and proceeds used for same purpose (see separate entry)	JT	07/15/2020	S	$15,001 - $50,000	☐
Ameriprise One Financial Account/Western Asset Intermediate Term Municipal Cl C [MF] DESCRIPTION: Sale of this mututal fund on advice from advisor; proceeds used to open Ameriprise Strategic Portfolio Service Advisor Fund within the Revocable Trust. Wealthbuilder Growth Balanced Fund sold as well and proceeds used for same purpose (see separate entry)	JT	07/15/2020	S	$1,001 - $15,000	☐
DC2/AK Freedom ADV 529 [5P] LOCATION: AK DESCRIPTION: withdrawal to pay for tuition and eligible expenses	DC	12/10/2020	S	$1,001 - $15,000	☐
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ ACC Cash Reserve Certificate [BA] DESCRIPTION: Opened a Trustee-based cash reserve certificate, moved non-trustee cash reserve certificate cash to trustee-based one and made the non-trustee cash reserve certificate INACTIVE	JT	07/3/2020	E	$50,001 - $100,000	
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ ACC Cash Reserve Certificate [BA] DESCRIPTION: $20k was used to purchase additional security shares in proportion to the existing securites within the Revocable Trust/SPS Managed Account 1.	JT	11/18/2020	S	$15,001 - $50,000	☐
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒	JT	12/10/2020	S	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
ACC Cash Reserve Certificate [BA] DESCRIPTION: Use of 30k within Revocable Trust ACC Cash Reserve Certificate to create a Ameriprise Strategic Portfolio Service Advisor Fund 2. Fund 2 securities purchases are listed out separate in Schedule B (distinguished from Fund 1 purchases made from funds from same Cash Reserve Certificate within the Revocable Trust)					
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Advisor Fund 1/Columbia Strategic Muni Income Institutional [MF] DESCRIPTION: purchase of additional securities within SPS Advisor Fund 1	JT	11/24/2020	P	$1,001 - $15,000	
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Columbia Strategic Muni Income Institutional [OT] LOCATION: US DESCRIPTION: Purchased in 2020 with proceeds of sale of Western Intermediate Asset Fund and WF Wealthbuilder Balanced Growth funds in Ameriprise ONE Financial Account	JT	07/15/2020	P	$1,001 - $15,000	
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Eaton Vance Muni Opportunity Class I [OT] LOCATION: US DESCRIPTION: Purchased with proceeds from sale of Western Intermediate Asset and WF Wealthbuilder Balanced Growth Fund	JT	07/15/2020	P	$1,001 - $15,000	
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Eaton Vance Muni Opportunity Class I [MF] DESCRIPTION: purchase of additional securities within SPS Advisor Fund 1	JT	11/24/2020	P	$1,001 - $15,000	
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/GS Dynamic Muni Income Investor [OT] LOCATION: US DESCRIPTION: Purchased with proceeds from sale of Western Intermediate Asset Fund and WF Wealthbuilder Blanced Growth Fund	JT	07/15/2020	P	$1,001 - $15,000	
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/GS Dynamic Muni Income Investor [MF] DESCRIPTION: purchase of additional securities within SPS Advisor Fund 1	JT	11/24/2020	P	$1,001 - $15,000	
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Invesco Steelpath MLP Alpha C [MF] DESCRIPTION: Purchased in 2020 with proceeds of sale of Western Intermediate Asset Fund and WF Wealthbuilder Balanced Growth funds in Ameriprise ONE Financial Account	JT	07/15/2020	P	$1,001 - $15,000	
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒	JT	11/24/2020	P	$923.14	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Ameriprise Strategic Portfolio Service Advisor Fund 1/Invesco Steelpath MLP Alpha Cl Y [MF] DESCRIPTION: purchase of additional securities within SPS Advisor Fund 1					
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Lord Abbett National Tax Free Income CL F [OT] LOCATION: US DESCRIPTION: Purchase from proceeds of sale of Western Intermediate Asset Fund and WF Wealthbuilder Balanced Growth Fund	JT	07/15/2020	P	$1,001 - $15,000	
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Lord Abbett National Tax Free Income CL F [MF] DESCRIPTION: purchase of additional securities within SPS Advisor Fund 1	JT	11/24/2020	P	$1,001 - $15,000	
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Mackay Tax Free Bond [OT] LOCATION: US DESCRIPTION: Purchased with proceeds from sale of Western Intermediate Asset Fund and WF Wealthbuilder Balanced Growth Fund	JT	07/15/2020	P	$1,001 - $15,000	
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Mainstay Cushing MLP Premier Class I [OT] LOCATION: US DESCRIPTION: Purchased with proceeds from sale of Western Intermediate Asset Fund and WF Wealthbuilder Balanced Growth Fund	JT	07/15/2020	P	$1,001 - $15,000	
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Mainstay Cushing MLP Premier Class I [MF] DESCRIPTION: purchase of additional securities within SPS Advisor Fund 1	JT	11/24/2020	P	$945.61	
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Mainstay Mackay Tax Free Bond Cl I [MF] DESCRIPTION: purchase of additional securities within SPS Advisor Fund 1	JT	11/24/2020	P	$1,001 - $15,000	
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/PGIM Jennison MLP Class Z [OT] LOCATION: US DESCRIPTION: Purchased with proceeds from sale of Western Intermediate Asset Fund and WF Wealthbuilder Balanced Growth Fund	JT	07/15/2020	P	$1,001 - $15,000	
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/PGIM Jennison MLP Class Z [MF]	JT	11/24/2020	P	$981.79	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DESCRIPTION: purchase of additional securities within SPS Advisor Fund 1					
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 2/American Century Focused Dynamic Growth Cl I [OT] LOCATION: US DESCRIPTION: purchased with part of $30k with ACC Cash Reserve Certificate	JT	12/10/2020	P	$1,001 - $15,000	
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 2/Clearbridge Select Cl I [MF] DESCRIPTION: purchased with part of $30k with ACC Cash Reserve Certificate	JT	12/10/2020	P	$1,001 - $15,000	
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 2/Federated Hermes Kaufmann Small Cap CL I [MF] DESCRIPTION: purchased with part of $30k with ACC Cash Reserve Certificate	JT	12/10/2020	P	$1,001 - $15,000	
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 2/Fidelity Advisor Growth Opportunity CL I [MF] DESCRIPTION: purchased with part of $30k with ACC Cash Reserve Certificate	JT	12/10/2020	P	$1,001 - $15,000	
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 2/Invesco Global Opportunity CL Y [OT] LOCATION: US DESCRIPTION: purchased with part of $30k with ACC Cash Reserve Certificate	JT	12/10/2020	P	$1,001 - $15,000	
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 2/JP Morgan Emerging Markets Equity Cl I [MF] DESCRIPTION: purchased with part of $30k with ACC Cash Reserve Certificate	JT	12/10/2020	P	$1,001 - $15,000	
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 2/Virtus Kar International Small Cap Cl I [MF] DESCRIPTION: purchased with part of $30k with ACC Cash Reserve Certificate	JT	12/10/2020	P	$1,001 - $15,000	
Richard R Larsen IRA ⇒ Black Creek Industrial REIT IV [RE]		03/2/2020	P	$15,001 - $50,000	
Richard R Larsen IRA ⇒ FS KKR Capitol Corp II [MF] DESCRIPTION: sale of this asset to purchase SPS Advisor Fund managed account assets		11/18/2020	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Richard R Larsen IRA ⇒ Industrial Property Trust [RE]		03/2/2020	S	$15,001 - $50,000	☐
Richard R Larsen IRA ⇒ United Parcel Service, Inc. (UPS) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$855.55	
Richard R Larsen IRA ⇒ Wells Fargo Wealthbuilder Growth Allocation Class C [MF] DESCRIPTION: sale of this asset to purchase RRL IRA-Ameriprise SPS Advisor Fund managed account assets		11/18/2020	S	$15,001 - $50,000	☐
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Abbott Laboratories (ABT) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$954.67	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ American Water Works Company, Inc. (AWK) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$919.31	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Amgen Inc. (AMGN) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$877.97	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Analog Devices, Inc. (ADI) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$953.96	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Apple Inc. (AAPL) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$930.52	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ AvalonBay Communities, Inc. (AVB) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$853.05	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Best Buy Co., Inc. (BBY) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$910.24	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Booz Allen Hamilton Holding Corporation (BAH) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$965.25	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒		11/25/2020	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Bristol-Myers Squibb Company (BMY) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Broadcom Inc. (AVGO) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$782.38	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Comcast Corporation - Class A (CMCSA) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$978.82	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ CVS Health Corporation (CVS) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$946.12	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ DBA Sempra (SRE) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$921.20	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Duke Realty Corporation (DRE) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$964.43	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Fastenal Company (FAST) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$962.50	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Home Depot, Inc. (HD) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$821.63	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Intel Corporation (INTC) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$939.13	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ JP Morgan Chase & Co. (JPM) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$977.18	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Kroger Company (KR) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$934.67	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒		11/25/2020	P	$909.43	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Medtronic plc. Ordinary Shares (MDT) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Microsoft Corporation (MSFT) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$856.51	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ NextEra Energy, Inc. (NEE) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$983.76	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Pepsico, Inc. (PEP) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$865.59	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ PNC Financial Services Group, Inc. (PNC) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$983.36	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Prudential Financial, Inc. (PRU) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$942.33	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Public Service Enterprise Group Incorporated (PEG) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$956.06	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Texas Instruments Incorporated (TXN) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$947.24	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Total SE (TOT) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$942.48	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Tyson Foods, Inc. (TSN) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$975.21	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Union Pacific Corporation (UNP) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$1,001 - $15,000	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒		11/25/2020	P	$842.39	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Visa Inc. (V) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Waste Management, Inc. (WM) [ST] DESCRIPTION: purchase of this RRL-IRA asset from sale of CY2020 assets in RRL IRA		11/25/2020	P	$954.40	
Richard R Larsen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/American Century Mid Cap Value Cl I [MF] DESCRIPTION: purchase of mutual funds with Richard R Larsen IRA to diversify; purchased with sale of existing assets with same Roth IRA		11/11/2020	P	$1,001 - $15,000	
Richard R Larsen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Artisan Small Cap Investor Cl I [MF] DESCRIPTION: purchase of mutual funds with Richard R Larsen IRA to diversify; purchased with sale of existing assets with same Roth IRA		11/18/2020	P	$1,001 - $15,000	
Richard R Larsen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Delaware Small Cap Core Cl I [MF] DESCRIPTION: purchase of mutual funds with Richard R Larsen IRA to diversify; purchased with sale of existing assets with same Roth IRA		11/18/2020	P	$1,001 - $15,000	
Richard R Larsen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Value Line Mid Cap Focused Institutional [MF] DESCRIPTION: purchase of mutual funds with Richard R Larsen IRA to diversify; purchased with sale of existing assets with same Roth IRA		11/18/2020	P	$1,001 - $15,000	
Richard R Larsen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Virtus Kar Mid Cap Growth Cl I [MF] DESCRIPTION: purchase of mutual funds with Richard R Larsen IRA to diversify; purchased with sale of existing assets with same Roth IRA		11/18/2020	P	$1,001 - $15,000	
Richard R Larsen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Wells Fargo Special Small Cap Value Institutional [MF] DESCRIPTION: purchase of mutual funds with Richard R Larsen IRA to diversify; purchased with sale of existing assets with same Roth IRA		11/18/2020	P	$1,001 - $15,000	
Richard R Larsen Roth IRA ⇒ Wells Fargo Wealthbuilder Growth Allocation Class C [MF] DESCRIPTION: sale of mutual funds with Richard R Larsen IRA to purchase more diverse portfolio within same Roth IRA		11/18/2020	S	$1,001 - $15,000	☐
Richard R Larsen Roth IRA ⇒ WP Carey Inc [MF] DESCRIPTION: Sale of asset within Roth IRA to purchase more diverse portfolio within same IRA		11/18/2020	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Riversource indexed universal life insurance/S&P 500 Indexed Account [WU] DESCRIPTION: Monthly premium		Monthly	P	$350.00	
Tiia Ingrid Karlen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Clearbridge Intl Growth Cl I [MF] DESCRIPTION: purchase of mutual fund in Roth IRA to create more diverse set of mutual funds in Roth		11/18/2020	P	$1,001 - $15,000	
Tiia Ingrid Karlen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Fidelity Advisor Intl Small Cap Cl I [MF] DESCRIPTION: purchase of mutual fund in Roth IRA to create more diverse set of mutual funds in Roth		11/18/2020	P	$1,001 - $15,000	
Tiia Ingrid Karlen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Invesco Itnl Small Mid Company Cl Y [MF] DESCRIPTION: purchase of mutual fund in Roth IRA to create more diverse set of mutual funds in Roth		11/18/2020	P	$1,001 - $15,000	
Tiia Ingrid Karlen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Janus Henderson Global Equity Income Class I [MF] DESCRIPTION: purchase of mutual fund in Roth IRA to create more diverse set of mutual funds in Roth		11/18/2020	P	$1,001 - $15,000	
Tiia Ingrid Karlen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/PGIM Jennison Intl Opportunities Cl Z [MF] DESCRIPTION: purchase of mutual fund in Roth IRA to create more diverse set of mutual funds in Roth		11/18/2020	P	$1,001 - $15,000	
Tiia Ingrid Karlen Roth IRA ⇒ FS KKR Capitol Corp II [OT] LOCATION: US DESCRIPTION: Sale of this asset within Roth IRA to purchase more diverse set of mutual funds		11/18/2020	S	$1,001 - $15,000	☐
Tiia Ingrid Karlen Roth IRA ⇒ Wells Fargo Wealthbuilder Growth Allocation Class C [MF] DESCRIPTION: sale of mutual fund within Roth IRA to purchase more diverse set of mutual funds within this Roth IRA		11/18/2020	S	$1,001 - $15,000	☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

None disclosed.

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	BAC Loans	December 2011	Mortgage for secondary residence	$250,001 - $500,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Richard R Larsen and Tiia I Karlen Revocable Living Trust (Owner: JT)
  Description: Created Revocable Living Trust on March 18, 2018.
- Richard R Larsen IRA
- Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account
- Richard R Larsen Roth IRA
- Tiia Ingrid Karlen Roth IRA

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Rick Larsen , 07/7/2023