



FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Michael Fq San Nicolas
Status: Member
State/District: GU00

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2020
Filing Date: 08/13/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|-----------------------|----------------|-----------------|--------------------------|
| 3M Company (MMM) [ST] | JT | \$1 - \$1,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| 401a KKSNN ⇒ T. Rowe Price Large Cap Growth I [MF] DESCRIPTION: 401a Employer Sponsored Retirement Plan | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| 401a KKSNN ⇒ Vanguard Institutional Index Fund [MF] DESCRIPTION: 401a Employer Sponsored Retirement Plan | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| 401a KKSNN ⇒ Vanguard Windsor II Adm [MF] DESCRIPTION: 401a Employer Sponsored Retirement Plan | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| 401a KKSNN ⇒ William Blair Small Mid Cap Growth [MF] DESCRIPTION: 401a Employer Sponsored Retirement Plan | SP | \$100,001 - \$250,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| 457g KKSNN ⇒ DFA Emerging Markets Core Equity I [MF] DESCRIPTION: 457G Employer Sponsored Retirement Plan | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|----------------|---------------------|--------------------------|
| 457g KKSNS ⇒ Grandeur Peak International Stalwarts [MF] DESCRIPTION: 457G Employer Sponsored Retirement Plan | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| 457g KKSNS ⇒ Principal Global Real Estate Sec [MF] DESCRIPTION: 457G Employer Sponsored Retirement Plan | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| 457g KKSNS ⇒ T. Rowe Price Large Cap Growth I [MF] DESCRIPTION: 457G Employer Sponsored Retirement Plan | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| 457g KKSNS ⇒ Vanguard Institutional Index Fund [MF] DESCRIPTION: 457G Employer Sponsored Retirement Plan | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| 457g KKSNS ⇒ Vanguard Windsor II Adm [MF] DESCRIPTION: 457G Employer Sponsored Retirement Plan | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Ameritrade Money Market Account [BA] | JT | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| BankGuam Holding Company Common Stock (BKGMF) [ST] | JT | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Cash [OT] DESCRIPTION: Cash on hand | JT | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Chalan Pago SFD [RP] LOCATION: Chalan Pago, GU, US | JT | \$250,001 - \$500,000 | Rent | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Dededo SFD [RP] LOCATION: Dededo, GU, US | JT | \$250,001 - \$500,000 | Rent | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Kalebs 529 [5P] LOCATION: GU | DC | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Katelyns 529 [5P] LOCATION: GU | DC | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Latte Heights SFD [RP] | JT | \$250,001 - | Rent | \$15,001 - | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|----------------|---------------------|-------------------------------------|
| | | \$500,000 | | \$50,000 | |
| LOCATION: Mangilao, GU, US | | | | | |
| Livent Corporation (LTHM) [ST] | JT | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| DESCRIPTION: 200 shares purchased 10/07/2020 @ 11.85/shr | | | | | |
| Midland National Life Policy KKS [WU] | DC | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Midland National Life Policy MSN [WU] | JT | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Receivables from Campaign [DO] | JT | \$15,001 - \$50,000 | Loan Proceeds | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Talofoto SFD [RP] | JT | \$250,001 - \$500,000 | Rent | \$5,001 - \$15,000 | <input type="checkbox"/> |
| LOCATION: Talofoto, GU, US | | | | | |
| Various Depository Accounts [BA] | JT | \$15,001 - \$50,000 | Interest | \$201 - \$1,000 | <input type="checkbox"/> |
| Walmart Inc. (WMT) [ST] | JT | \$1 - \$1,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|---------------------|--------------------------|
| Receivables from Campaign [DO] | JT | 11/30/2020 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| DESCRIPTION: Amounts paid back to candidate by campaign for campaign loan made by candidate to campaign. Check #276 /277 | | | | | |
| Receivables from Campaign [DO] | JT | 02/18/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| DESCRIPTION: Amounts paid back to candidate by campaign for campaign loan made by candidate to campaign. Check #178 | | | | | |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|----------------------------------|----------|-------------|
| Montgomery County Public Schools | Teaching | \$26,477.00 |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|-----------------------|---------------|---------------------------|-----------------------|
| JT | Bank of Guam | October 2010 | Dededo Home Mortgage | \$100,001 - \$250,000 |
| JT | Bank of Guam | January 2018 | Signature Loan | \$15,001 - \$50,000 |
| JT | Bank of Guam | February 2011 | Chalan Pago Home Mortgage | \$100,001 - \$250,000 |
| | Congressional Federal | August 2019 | Auto Loan | \$15,001 - \$50,000 |
| JT | Bank of Hawaii | October 2016 | Dededo HELOC | \$15,001 - \$50,000 |
| JT | Bank of Hawaii | October 2016 | Latte Heights HELOC | \$50,001 - \$100,000 |
| JT | Bank of Hawaii | October 2016 | Talofofu HELOC | \$10,000 - \$15,000 |
| JT | Bank of Guam | Revolving | Revolving (Credit Card) | \$10,000 - \$15,000 |

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- o 401a KKS (Owner: SP)
DESCRIPTION: Kathryn K. San Nicolas Government of Guam Retirement Fund 401a

- o 457g KKSX (Owner: SP)

DESCRIPTION: Kathryn K. San Nicolas Government of Guam Retirement Fund Deferred Compensation Plan 457g

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Michael Fq San Nicolas , 08/13/2021