



Filing ID #10042760

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. John A. Yarmuth
Status: Member
State/District: KY03

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2020
Filing Date: 08/11/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
3M Company (MMM) [ST]		None	None		<input checked="" type="checkbox"/>
Air Products and Chemicals, Inc. (APD) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Alphabet Inc. - Class A (GOOGL) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Alphabet Inc. - Class A (GOOGL) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Altria Group, Inc. (MO) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Amazon.com, Inc. (AMZN) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Amazon.com, Inc. (AMZN) [ST]		\$50,001 - \$100,000	None		<input type="checkbox"/>
DESCRIPTION: Increase from estate transaction					
Amer Funds American Balance Fund [MF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
American Tower Corporation (AMT) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Amgen Inc. (AMGN) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Aphria Inc. - Common Shares (APHA) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Apple Inc. (AAPL) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Arena Pharmaceuticals, Inc. (ARNA) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
AT&T Inc. (T) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Aurora Cannabis Inc. - Common Shares (ACB) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Bank of America Corporation (BAC) [ST]		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Berkshire Hathaway Inc. New (BRK.B) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
BlackRock, Inc. (BLK) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Boeing Company (BA) [ST]		None	None		<input checked="" type="checkbox"/>
Bristol-Myers Squibb Company (BMY) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Broadcom Inc. (AVGO) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Canopy Growth Corporation - Common Shares (CGC) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Caterpillar, Inc. (CAT) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Chubb Limited (CB) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Cisco Systems, Inc. (CSCO) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Citigroup, Inc. (C) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
CME Group Inc. - Class A (CME) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
CMS Energy Corporation (CMS) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Coca-Cola Company (KO) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Comcast Corporation - Class A (CMCSA) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
ConocoPhillips (COP) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Made two purchases of this stock in 2017, both purchases were for amounts under \$1,000.					
ConocoPhillips (COP) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Costco Wholesale Corporation (COST) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Cronos Group Inc. - Common Share (CRON) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Crown Castle International Corp. (CCI) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
CSX Corporation (CSX) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Dana Large Cap Equity [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Danaher Corporation (DHR) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Delta Air Lines, Inc. (DAL) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Doonbeg Property [RP]		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Doonbeg, IE					
DowDuPont Inc. (DWDP) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Duke Energy Corporation (DUK) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
E*TRADE Financial Corporation (ETFC) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
eBay Inc. (EBAY) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Ecolab Inc. (ECL) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Entrustpermal Alternative Core [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
EOG Resources, Inc. (EOG) [ST]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Estee Lauder Companies, Inc. (EL) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Europacific Growth FD CL F-2 [MF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Exxon Mobil Corporation (XOM) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Facebook, Inc. - Class A (FB) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Federated Treasury Obligation Fund [GS]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Federated Treasury Obligation Fund [GS]		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
FedEx Corporation (FDX) [ST]		\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
General Dynamics Corporation (GD) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
General Motors Company (GM) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Goldman Sachs ABS Return Tracker [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Goldman Sachs Capital I [CS]		\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: n/a					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Goldman Sachs Group, Inc. (GS) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
GW Pharmaceuticals Plc - American Depositary Shares (GWPH) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Home Depot, Inc. (HD) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Honeywell International Inc. (HON) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Humana Inc. (HUM) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Illinois St ASSUR A-AGM [GS]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Intel Corporation (INTC) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Intercontinental Exchange Inc. (ICE) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Ishares Barclays 1-3 year Credit BD FD [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Ishares Barclays TIPS Bond Fund [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Ishares National Muni Bond ETF [EF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
ISHARES Short Mat Bond EFT [EF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Johnson & Johnson (JNJ) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
JP Morgan Chase & Co. (JPM) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Kentucky PPTY BLD [GS]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Kimberly-Clark Corporation (KMB) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LHC Group - common stock (LHCG) [ST]		\$500,001 - \$1,000,000	Capital Gains	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Linde plc Ordinary Share (LIN) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Litman Gregory Masters Alt [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Lowe's Companies, Inc. (LOW) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Mairs & Power Small Cap [MF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Marathon Petroleum Corporation (MPC) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Mastercard Incorporated (MA) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
McDonald's Corporation (MCD) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Merck & Company, Inc. Common Stock (MRK) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Microsoft Corporation (MSFT) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Mondelez International, Inc. - Class A (MDLZ) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Monster Beverage Corporation (MNST) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley (MS) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Netflix, Inc. (NFLX) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
NextEra Energy, Inc. (NEE) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Nike, Inc. (NKE) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual annuity [FN]		\$100,001 - \$250,000	None		<input type="checkbox"/>
Oppenheimer DVLPING MKTS-Y [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Oppenheimer Intl Bond Fund I [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Oracle Corporation (ORCL) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Oshares US Quality Divid ETF [EF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Penn Mutual whole life insurance policy [OT]		\$100,001 - \$250,000	None		<input type="checkbox"/>
DESCRIPTION: This is a whole life insurance policy.					
Pepsico, Inc. (PEP) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Pfizer, Inc. (PFE) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
PNC Checking Account [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Principal Midcap Blend Fund [MF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Procter & Gamble Company (PG) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
ProLogis, Inc. (PLD) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
ProLogis, Inc. (PLD) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Ross Stores, Inc. (ROST) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
S&P Global Inc. (SPGI) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Sameul Klein Trust [OT]		Undetermined	Excepted/Blind Trust	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Beneficiary of trust established by grandparents for education					
Seafarer Overseas GR & Income Institutional Shares [MF]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Sherwin-Williams Company (SHW) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Signet Jewelers Limited Common Shares (SIG) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Sonny's Barbeque, Orlando, Florida [PS]		\$5,000,001 - \$25,000,000	Royalties and income	\$100,001 - \$1,000,000	<input type="checkbox"/>
DESCRIPTION: Interest in subchapter S corporation					
Southwest Airlines Company (LUV) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Starbucks Corporation (SBUX) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
T Rowe Price High Yield FD-INV [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Target Corporation (TGT) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Texas Instruments Incorporated (TXN) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
The Priceline Group Inc. (PCLN) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Thermo Fisher Scientific Inc (TMO) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Tilray, Inc. - Class 2 (TLRY) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
T-Mobile US, Inc. (TMUS) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Touchstone Small Cap Core Fund Class Y [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
U.S. Bancorp (USB) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
UBS Bank USA MMF Yield Account [BA]		\$500,001 - \$1,000,000	Interest	\$1 - \$200	<input type="checkbox"/>
UBS E-Tracs Alerian MLP Infrastr [EF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
UBS Prime Investor Fund [MF]		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
Union Pacific Corporation (UNP) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
United Parcel Service, Inc. (UPS) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
UnitedHealth Group Incorporated Common Stock (UNH) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
USB Bank USA Deposit [BA]		\$500,001 - \$1,000,000	Interest	\$1 - \$200	<input type="checkbox"/>
Vanguard FTSE Developed Markets ETF (VEA) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard FTSE Emerging Markets ETF (VWO) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard REIT ETF [RE]		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Vanguard Utilities ETF (VPU) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Verizon Communications Inc. (VZ) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Verizon MD MW +30BP [CS]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: n/a					
Visa Inc. (V) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Walmart Inc. (WMT) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Walt Disney Company (DIS) [ST]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
Waste Management, Inc. (WM) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wells Fargo & Company (WFC) [ST]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
William Blair FDS Alloc I [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WisdomTree Europe Hedged Equity Fund (HEDJ) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Yum! Brands, Inc. (YUM) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
3M Company (MMM) [ST]		03/31/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Air Products and Chemicals, Inc. (APD) [ST]		05/29/2020	P	\$1,001 - \$15,000	
Air Products and Chemicals, Inc. (APD) [ST]		07/1/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Alphabet Inc. - Class A (GOOGL) [ST]		06/24/2020	P	\$1,001 - \$15,000	
Altria Group, Inc. (MO) [ST]		03/24/2020	P	\$1,001 - \$15,000	
Amgen Inc. (AMGN) [ST]		05/29/2020	P	\$1,001 - \$15,000	
Aphria Inc. - Common Shares (APHA) [ST]		11/5/2020	P	\$1,001 - \$15,000	
Apple Inc. (AAPL) [ST]		06/24/2020	P	\$1,001 - \$15,000	
Apple Inc. (AAPL) [ST]		06/1/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Apple Inc. (AAPL) [ST]		09/4/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Arena Pharmaceuticals, Inc. (ARNA) [ST]		11/5/2020	P	\$1,001 - \$15,000	
Aurora Cannabis Inc. Common Shares (ACB) [ST]		11/5/2020	P	\$1,001 - \$15,000	
Bank of America Corporation (BAC) [ST]		03/31/2020	P	\$1,001 - \$15,000	
Berkshire Hathaway Inc. New (BRK.B) [ST]		03/10/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Boeing Company (BA) [ST]	03/31/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Canopy Growth Corporation (CGC) [ST]	03/10/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Canopy Growth Corporation (CGC) [ST]	11/5/2020	P	\$1,001 - \$15,000	
Chubb Limited (CB) [ST]	07/1/2020	P	\$1,001 - \$15,000	
Cisco Systems, Inc. (CSCO) [ST]	03/11/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Cisco Systems, Inc. (CSCO) [ST]	09/10/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Cisco Systems, Inc. (CSCO) [ST]	08/27/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Citigroup, Inc. (C) [ST]	03/31/2020	P	\$1,001 - \$15,000	
Citigroup, Inc. (C) [ST]	05/29/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
CME Group Inc. - Class A (CME) [ST]	06/8/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
CME Group Inc. - Class A (CME) [ST]	07/1/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
CMS Energy Corporation (CMS) [ST]	08/27/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Comcast Corporation - Class A (CMCSA) [ST]	06/24/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
ConocoPhillips (COP) [ST]	03/31/2020	P	\$1,001 - \$15,000	
ConocoPhillips (COP) [ST]	09/15/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Costco Wholesale Corporation (COST) [ST]	03/19/2020	P	\$1,001 - \$15,000	
Cronos Group Inc. - Common Share (CRON) [ST]	11/5/2020	P	\$1,001 - \$15,000	

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Crown Castle International Corp. (CCI) [ST]	03/23/2020	P	\$1,001 - \$15,000	
Crown Castle International Corp. (CCI) [ST]	07/1/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
eBay Inc. (EBAY) [ST]	07/1/2020	P	\$1,001 - \$15,000	
eBay Inc. (EBAY) [ST]	09/3/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ecolab Inc. (ECL) [ST]	07/1/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
EOG Resources, Inc. (EOG) [ST]	05/14/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Exxon Mobil Corporation (XOM) [ST]	03/31/2020	P	\$1,001 - \$15,000	
Exxon Mobil Corporation (XOM) [ST]	05/5/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Exxon Mobil Corporation (XOM) [ST]	05/18/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Facebook, Inc. - Class A (FB) [ST]	10/15/2020	P	\$1,001 - \$15,000	
Goldman Sachs Group, Inc. (GS) [ST]	07/1/2020	P	\$1,001 - \$15,000	
GW Pharmaceuticals Plc - American Depositary Shares (GWPH) [ST]	11/5/2020	P	\$1,001 - \$15,000	
Honeywell International Inc. (HON) [ST]	03/31/2020	P	\$1,001 - \$15,000	
Humana Inc. (HUM) [ST]	10/29/2020	P	\$1,001 - \$15,000	
Intercontinental Exchange Inc. (ICE) [ST]	09/17/2020	P	\$1,001 - \$15,000	
JP Morgan Chase & Co. (JPM) [ST]	05/5/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LHC Group - common stock (LHCG) [ST]	04/6/2020	S	\$100,001 -	<input checked="" type="checkbox"/>

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
		(partial)	\$250,000	
LHC Group - common stock (LHCG) [ST]	07/2/2020	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
LHC Group - common stock (LHCG) [ST]	07/6/2020	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
LHC Group - common stock (LHCG) [ST]	07/8/2020	S (partial)	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Linde plc Ordinary Share (LIN) [ST]	07/1/2020	P	\$1,001 - \$15,000	
Lowe's Companies, Inc. (LOW) [ST]	05/5/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
McDonald's Corporation (MCD) [ST]	07/1/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Monster Beverage Corporation (MNST) [ST]	10/15/2020	P	\$1,001 - \$15,000	
Netflix, Inc. (NFLX) [ST]	07/1/2020	P	\$1,001 - \$15,000	
Northrop Grumman Corporation (NOC) [ST]	06/18/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Pfizer, Inc. (PFE) [ST]	05/29/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
ProLogis, Inc. (PLD) [ST]	10/5/2020	P	\$1,001 - \$15,000	
Ross Stores, Inc. (ROST) [ST]	09/8/2020	P	\$1,001 - \$15,000	
S&P Global Inc. (SPGI) [ST]	07/1/2020	P	\$1,001 - \$15,000	
Southwest Airlines Company (LUV) [ST]	09/8/2020	P	\$1,001 - \$15,000	
Target Corporation (TGT) [ST]	06/18/2020	P	\$1,001 - \$15,000	
Thermo Fisher Scientific Inc (TMO) [ST]	03/19/2020	P	\$1,001 - \$15,000	
Tilray, Inc. - Class 2 (TLRY) [ST]	11/5/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
T-Mobile US, Inc. (TMUS) [ST]		05/26/2020	P	\$1,001 - \$15,000	
U.S. Bancorp (USB) [ST]		03/10/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Verizon Communications Inc. (VZ) [ST]		05/26/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Walmart Inc. (WMT) [ST]		09/8/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Walt Disney Company (DIS) [ST]		05/5/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Wells Fargo & Company (WFC) [ST]		07/1/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Fifth Third Bank	March 2012	Demand note	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Director	First Tee of Louisville
Director Emeritus	Kentucky Golf Association

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. John A. Yarmuth , 08/11/2021