



# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Nicole Malliotakis  
**Status:** Member  
**State/District:** NY11

## FILING INFORMATION

**Filing Type:** New Filer Report  
**Filing Year:** 2020  
**Filing Date:** 08/12/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Bank of America Corporation (BAC) [ST]		\$15,001 - \$50,000	Dividends	Not Applicable	\$201 - \$1,000
Boeing Company (BA) [ST]		\$15,001 - \$50,000	None		
Caterpillar, Inc. (CAT) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	Not Applicable	\$5,001 - \$15,000
Chase Bank Account [BA]		\$250,001 - \$500,000	Interest	Not Applicable	\$1 - \$200
Columbia Floating Rate [MF]		\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
Con Edison Thrift Savings Account ⇒ Vanguard Primecap Fund Admiral [OT]		\$100,001 - \$250,000	Tax-Deferred		
DESCRIPTION: Vanguard Primecap Fund admiral					
Consolidated Edison, Inc. (ED) [ST]		\$15,001 - \$50,000	Dividends	Not Applicable	\$201 - \$1,000

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Dollar General Corporation (DG) [ST]		\$1,001 - \$15,000	Dividends	Not Applicable	\$1 - \$200
Exxon Mobil Corporation (XOM) [ST]		\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
Franklin Dynatech [MF]		\$50,001 - \$100,000	None		
Franklin Income [MF]		\$15,001 - \$50,000	Dividends	Not Applicable	\$1,001 - \$2,500
General Electric Company (GE) [ST]		\$15,001 - \$50,000	Dividends	Not Applicable	\$1 - \$200
Icahn Enterprises LP [CS]		\$1,001 - \$15,000	Interest	Not Applicable	\$201 - \$1,000
DESCRIPTION: Note					
Investors MLP ALPH [MF]		None	Dividends	Not Applicable	\$1,001 - \$2,500
Johnson & Johnson (JNJ) [ST]		\$15,001 - \$50,000	Dividends	Not Applicable	\$201 - \$1,000
Mainstay Mackay High Yield Corp Bond [MF]		\$15,001 - \$50,000	Dividends	Not Applicable	\$1,001 - \$2,500
Mastercard Incorporated (MA) [ST]		\$15,001 - \$50,000	Dividends	Not Applicable	\$1 - \$200
Nikola Corporation (NKLA) [ST]		\$1,001 - \$15,000	None		
Pfizer, Inc. (PFE) [ST]		\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
Pitney Bowes inc [CS]		\$1,001 - \$15,000	Interest	Not Applicable	\$201 - \$1,000
DESCRIPTION: Note					
Procter & Gamble Company (PG) [ST]		\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
Roth IRA ⇒ Consumer Discretionary Select Sector [EF]		\$1,001 - \$15,000	Dividends	Not Applicable	\$1 - \$200

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Roth IRA ⇒ ISHARES 3-7yr Treasury Bond [EF]		\$1,001 - \$15,000	Interest	Not Applicable	\$1 - \$200
Roth IRA ⇒ ISHARES IBOXX INVESTMENT GRADE CORP BOND [EF]		\$1,001 - \$15,000	Interest	Not Applicable	\$1 - \$200
Roth IRA ⇒ IShares MSCI ALL COUNTRY ASIA EX JAPAN [EF]		\$1,001 - \$15,000	Dividends	Not Applicable	\$1 - \$200
Roth IRA ⇒ IShares Russell 2000 [EF]		\$1,001 - \$15,000	Dividends	Not Applicable	\$1 - \$200
Roth IRA ⇒ Janet Advisory Retirement Insured Sweep [IH]		\$1,001 - \$15,000	None		
Roth IRA ⇒ Sector Energy Select [EF]		\$1,001 - \$15,000	Dividends	Not Applicable	\$1 - \$200
Roth IRA ⇒ Sector Financial Select [EF]		\$1,001 - \$15,000	Dividends	Not Applicable	\$1 - \$200
Roth IRA ⇒ Sector Healthcare Select [EF]		\$1,001 - \$15,000	Dividends	Not Applicable	\$1 - \$200
Roth IRA ⇒ Sector Industrial Select [EF]		\$1,001 - \$15,000	Dividends	Not Applicable	\$1 - \$200
Roth IRA ⇒ Sector Technology [EF]		\$1,001 - \$15,000	Dividends	Not Applicable	\$1 - \$200
Roth IRA ⇒ Select Sector Communication Services [EF]		\$1,001 - \$15,000	Dividends	Not Applicable	\$1 - \$200
Roth IRA ⇒ SPDR PORTFOLIO SHORT TERM CORP BOND [EF]		\$1,001 - \$15,000	Interest	Not Applicable	\$201 - \$1,000
Roth IRA ⇒ Vanguard FTSE All World EX US [EF]		\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
Roth IRA ⇒ Vanguard FTSE Pacific [EF]		\$1,001 - \$15,000	Dividends	Not Applicable	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Roth IRA ⇒ Vanguard Intermediate Term Corp Bond [EF]		\$15,001 - \$50,000	Interest	Not Applicable	\$201 - \$1,000
Verizon Communications Inc. (VZ) [ST]		\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
Visa Inc. (V) [ST]		\$15,001 - \$50,000	Dividends	Not Applicable	\$1 - \$200

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
State of New York	Salary	N/A	\$108,451.00

### SCHEDULE D: LIABILITIES

None disclosed.

### SCHEDULE E: POSITIONS

Position	Name of Organization
Member	Richmond County Republican Committee
Member	New York Republican Committee
Assembly Member	New York State Assembly
COMMENTS: Service completed December 31, 2020	
Member, Alternate Board Member	World Hellenic Inter-parliamentary Association
COMMENTS: Membership concluded December 31, 2020	
New York State Director	National Foundation of Women Legislators
COMMENTS: Membership concluded December 31, 2020	
Member	National Hispanic Caucus of State Legislators

### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
2006	Nicole Malliotakis and Consolidated Edison, Inc.	Thrift Savings Plan
2002	Nicole Malliotakis and State of New York	Employee Pension Plan

**SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

None disclosed.

**SCHEDULE A ASSET CLASS DETAILS**

- o Con Edison Thrift Savings Account
- o Roth IRA

**EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

- Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

- Yes  No

**CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Nicole Malliotakis , 08/12/2021