



FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Chip Roy
Status: Member
State/District: TX21

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2020
Filing Date: 08/14/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|----------------------|--------------------------|-----------------|--------------------------|
| AT&T Inc. (T) [ST] | JT | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Atlas Sand Management Company LLC [OL] | SP | \$50,001 - \$100,000 | None | | <input type="checkbox"/> |
| LOCATION: Austin, TX, US DESCRIPTION: Atlas Sand Company was formed to acquire, process, develop and market frac sand. | | | | | |
| Bank of America [BA] | JT | \$50,001 - \$100,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Bank of America UTMA Education Savings [BA] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Bank of America UTMA Education Savings [BA] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Chevron Corporation (CVX) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Energy Transfer LP Common Units (ET) [ST] | JT | \$1,001 - \$15,000 | Partnership Distribution | \$201 - \$1,000 | <input type="checkbox"/> |
| Exxon Mobil Corporation (XOM) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|-----------------------|--------------------------|-----------------|-------------------------------------|
| Fidelity Education Account - NH Portfolio 2027 [OT] | DC | \$100,001 - \$250,000 | Tax-Deferred | | <input type="checkbox"/> |
| DESCRIPTION: This is a 529 Education Savings Account with a dependent child as beneficiary. | | | | | |
| Fidelity Education Account - NH Portfolio 2030 [OT] | DC | \$100,001 - \$250,000 | Tax-Deferred | | <input type="checkbox"/> |
| DESCRIPTION: This is a 529 Education Savings Account with a dependent child as beneficiary. | | | | | |
| GNMA Investor [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| GNMA Investor [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Invesco OFi Portfolio Series Moderate Investor Fund [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| DESCRIPTION: This is a Coverdell Education Savings Account held for the benefit of a minor child. | | | | | |
| Kinder Morgan, Inc. (KMI) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Nustar Energy L.P. Common Units (NS) [ST] | JT | \$1,001 - \$15,000 | Partnership Distribution | \$201 - \$1,000 | <input type="checkbox"/> |
| TexaSaver 401(k) [OT] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| DESCRIPTION: State of Texas retirement account | | | | | |
| Texas County Retirement [PE] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Texas ERS [PE] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| US Senate Federal Credit Union [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Vanguard Equity Income [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Vanguard Federal Money Market Fund [MF] | JT | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Vanguard Federal Money Market Fund [MF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| DESCRIPTION: Spouse deposited funds into this account as SEP IRA contribution. | | | | | |
| Vanguard High Dividend Yield (VYM) [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|---------------------|----------------|-------------|--------------------------|
| Vanguard Index 500 [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Vanguard Intermediate Term Investment Grade [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Vanguard Intermediate Term Investment Grade [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Vanguard Short Term Investment Grade [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Vanguard SPDR Gold Trust [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Vanguard Wellesley [MF] | JT | \$1 - \$1,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Vanguard Wellesley Income [MF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Vanguard Wellesley Income [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Vanguard Wellington Income [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Vanguard Wellington Investor [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------|---------------------|---------------------|
| Vanguard Federal Money Market Fund [MF] | SP | 05/15/2021 | P | \$15,001 - \$50,000 | |
| DESCRIPTION: Spouse contribution to SEP IRA | | | | | |

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|--------------------------------|---------------|--------|
| Public Interest Strategies LLC | Spouse income | N/A |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|-------------|---------------|----------------------------------|-------------------------|
| SP | Navient | 12/2003 | Student Loan | \$15,001 - \$50,000 |
| JT | Primis Bank | 04/2018 | residence mortgage, Hays Co., TX | \$500,001 - \$1,000,000 |

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|------------|----------------------|
| Proprietor | Roy Strategies LLC |

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Chip Roy , 08/14/2021