



# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Katherine Porter  
**Status:** Member  
**State/District:** CA45

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2020  
**Filing Date:** 08/4/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameritas Life Insurance Variable Life Insurance ⇒ Calvert NASDAQ 100 Index Fund [WU]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Ameritas Life Insurance Variable Life Insurance ⇒ Calvert S&P 500 Index Fund [WU]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Ameritas Life Insurance Variable Life Insurance ⇒ Fidelity Contrafund [WU]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Ameritas Life Insurance Variable Life Insurance ⇒ Fidelity Equity Income Fund [WU]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Ameritas Life Insurance Variable Life Insurance ⇒ Fidelity Growth [WU]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Bankers Trust Checking Account [BA] [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
College Savings 529 Dependent Child 3 ⇒ Vanguard Institutional Total Stock Market Index Fund [5P]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: IA					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
College Savings 529 Dependent Child 3 ⇒ Vanguard Total International Stock Index Fund [5P]  LOCATION: IA	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
College Savings Iowa 529 Dependent Child 1 ⇒ Vanguard Institutional Total Stock Market Index Fund [5P]  LOCATION: IA	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
College Savings Iowa 529 Dependent Child 1 ⇒ Vanguard Total International Stock Index Fund [5P]  LOCATION: IA	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
College Savings Iowa 529 Dependent Child 2 ⇒ Bond Index Portfolio [5P]  LOCATION: IA	DC	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
College Savings Iowa 529 Dependent Child 2 ⇒ Growth Portfolio [5P]  LOCATION: IA	DC	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
College Savings Iowa 529 Dependent Child 2 ⇒ Income Portfolio [5P]  LOCATION: IA	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
College Savings Iowa 529 Dependent Child 2 ⇒ Total Domestic Stock Index Portfolio [5P]  LOCATION: IA	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
College Savings Iowa 529 Dependent Child 2 ⇒ Vanguard Total International Stock Index Fund [DB]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA ⇒ Bank of the Ozarks (OZRK) [ST]  DESCRIPTION: Stock held in brokerage account for IRA		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [IH]  DESCRIPTION: Individual stock held in brokerage account as part of IRA		\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
IRA ⇒ Capital One Financial Corporation (COF) [ST]  DESCRIPTION: Individual security held in brokerage account in IRA		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
IRA ⇒ Caterpillar, Inc. (CAT) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Individual security held as part of brokerage account in IRA					
IRA ⇒ FDIC Insured Bank Deposit [IH]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Cash held in IRA					
IRA ⇒ Heartland Express, Inc. (HTLD) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Individual security held in brokerage account as part of IRA					
Pacific Life TSA/403b ⇒ Optimal Aggressive Growth Fund [OT]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Optimal Aggressive Growth Fund					
Pennsylvania 529 Dependent Child 1 ⇒ Pennsylvania Guaranteed Savings Plan [5P]	DC	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: PA					
Pennsylvania 529 for Dependent Child 2 ⇒ Pennsylvania Guaranteed Savings Plan [5P]	DC	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: PA					
Pennsylvania 529 for Dependent Child 3 ⇒ Pennsylvania Guaranteed Savings Plan [5P]	DC	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: PA					
Roth IRA ⇒ FDIC insured Bank Deposit [PE]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Roth IRA ⇒ Pepsico, Inc. (PEP) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Stock held in Roth IRA					
Royalty payments on law textbooks [IP]		Undetermined	Royalties to book author	\$2,501 - \$5,000	<input type="checkbox"/>
DESCRIPTION: Royalty payments from Wolters Kluwer on two academic law textbooks					
SEP (self-employed) IRA ⇒ TIAA-Cref Lifecycle 2040--Retirement [PE]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ NSHE Defined Contribution Retirement - Vanguard 2040 [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Tx. &gt; \$1,000?</b>
Retirement Plan ⇒ Cref Bond Market [PE]				
TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan ⇒ Cref Equity Index [PE]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan ⇒ Cref Stock Fund [PE]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan ⇒ TIAA Real Estate [PE]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ Cref Bond Market [PE]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ Cref Global Equities [PE]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ Cref Inflation-Linked Bond [PE]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ CREF Stock [PE]		\$50,001 - \$100,000	Tax-Deferred	<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ CREF-Equity Index [PE]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA Real Estate Fund [PE]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Cref International Equity Fund [PE]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Cref Midcap Growth Fund [PE]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Cref Midcap Value Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Cref Real Estate Securities Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Traditional [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ Vanguard Institutional Index Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
University of California Retirement Program ⇒ UC Bond [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
University of California Retirement Program ⇒ UC Domestic Equity Index Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
University of California Retirement Program ⇒ UC Emerging Markets Equity [PE]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
University of California Retirement Program ⇒ UC International Equity Index [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
University of California Retirement Program ⇒ UC Pathway 2035 Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
University of California Retirement Program ⇒ UC Savings Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
University of California Retirement Program ⇒ UC TIPS Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
University of California Retirement Program ⇒ University of California Retirement Plan [PE]		Undetermined	None		<input type="checkbox"/>
DESCRIPTION: UC required pension					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

None disclosed.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	UCOP Mortgage	July 2011	Mortgage on primary residence	\$250,001 - \$500,000
	Elizabeth Porter	2014	Personal loan secured by home	\$50,001 - \$100,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
Professor of Law	University of California Irvine
Book Author	CCH Incorporated dba Wolters Kluwer

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2019	University of California Irvine and Katie Porter	Unpaid leave pursuant to University of California written policy for two years.
January 2020	University of California Irvine and Katie Porter	Pursuant to University of California policy, Katie Porter is paying the full cost of life insurance benefit and the dental benefit (COBRA).

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- o Ameritas Life Insurance Variable Life Insurance

- College Savings 529 Dependent Child 3 (Owner: DC)  
LOCATION: IA
- College Savings Iowa 529 Dependent Child 1 (Owner: DC)  
LOCATION: IA
- College Savings Iowa 529 Dependent Child 2 (Owner: DC)  
LOCATION: IA
- IRA
- Pacific Life TSA/403b
- Pennsylvania 529 Dependent Child 1 (Owner: DC)  
LOCATION: PA
- Pennsylvania 529 for Dependent Child 2 (Owner: DC)  
LOCATION: PA
- Pennsylvania 529 for Dependent Child 3 (Owner: DC)  
LOCATION: PA
- Roth IRA
- SEP (self-employed) IRA
- TIAA-Cref retirement plans
- TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan
- TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan
- University of California Retirement Program

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

- Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

- Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

- Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Katherine Porter , 08/4/2021