



# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Michael Waltz  
**Status:** Member  
**State/District:** FL06

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2020  
**Filing Date:** 08/13/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
BoodleAI [OT]		\$50,001 - \$100,000	None		<input type="checkbox"/>
DESCRIPTION: Simple Agreement For Future Equity (SAFE)					
Candidate Loan [DO]		\$250,001 - \$500,000	None		<input type="checkbox"/>
Fidelity Brokerage ⇒ Akre Focus Fund (AKRIX) [MF]		\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
Fidelity Brokerage ⇒ Cliffwater Corporate Lending Fund (CCLFX) [MF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Fidelity Brokerage ⇒ Cresset Quality Dividend Fund [MF]		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Fidelity Brokerage ⇒ Fidelity Money Market [BA]		\$1,000,001 - \$5,000,000	Interest	\$1 - \$200	<input type="checkbox"/>
Fidelity Brokerage ⇒ Fidelity Sweep Account [BA]		\$250,001 - \$500,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fidelity Brokerage ⇒ JP Morgan Equity Income Fund Cl A (OIEIX) [MF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ Schwab US Large Cap Value (SCHV) [EF]		\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
Fidelity Brokerage ⇒ SPDR S&P 500 ETF (SPY) [EF]		\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
Fidelity Brokerage ⇒ Vanguard Intermediate Term Tax Exempt (VWIUX) [MF]		\$250,001 - \$500,000	None		<input checked="" type="checkbox"/>
Fidelity Brokerage ⇒ Vanguard Long Term Tax Exempt Admiral (VWLUX) [MF]		\$500,001 - \$1,000,000	None		<input checked="" type="checkbox"/>
Fidelity Brokerage ⇒ Vanguard Short Term Tax Exempt Admiral (VWSUX) [MF]		\$250,001 - \$500,000	None		<input checked="" type="checkbox"/>
Fidelity Brokerage ⇒ Vanguard Short Term Tax Exempt Admiral (VWSUX) [MF]		\$1,000,001 - \$5,000,000	None		<input checked="" type="checkbox"/>
Fidelity Brokerage ⇒ Variant Alternative Income Fund (NICHX) [MF]		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Metis Solutions Corporation [PS]		None	Capital Gains	Over \$5,000,000	<input checked="" type="checkbox"/>
DESCRIPTION: Training and analysis services provider					
Metis Solutions Corporation - Options [OP]		None	Capital Gains	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
DESCRIPTION: Options are pursuant to a stock option plan described in Schedule F in Metis Solutions Corporation, strike price at \$0.05, expiration dates by tranche at 12/19/2026 and 08/03/2027					
Money Market Savings [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
Principal 401k ⇒ Principal LifeTime Hybrid 2045 [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Promissory Note from Askari Associates, LLC [DO]		\$250,001 - \$500,000	Interest, Repayment	\$50,001 - \$100,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Publishing Agreement with Potomac Books for "Warrior Diplomat: A Green Beret's Battles from Washingt [IP]		Undetermined	Royalties	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Royalties are paid to filer directly via University of Nebraska Press					
Raymond James Investment Acct ⇒ DFA COMMODITY PORTFOLIO INSTITUTIONAL CLASS N/L (DCMSX) [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Raymond James Investment Acct ⇒ DFA EMERGING MARKETS CORE EQUITY PORTFOLIO INST CLS N/L (DFCEX) [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Raymond James Investment Acct ⇒ DFA INTERNATIONAL SMALL COMPANY PORT INST CLASS N/L (DFISX) [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Raymond James Investment Acct ⇒ DFA LARGE CAP INTERNATIONAL PORT INSTITUTIONAL CLASS N/L (DFALX) [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Raymond James Investment Acct ⇒ DFA REAL ESTATE SECURITIES PORT INSTITUTIONAL CLASS N/L (DFREX) [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Raymond James Investment Acct ⇒ DFA U.S. LARGE CAP VALUE PORT INSTITUTIONAL CLASS N/L (DFLVX) [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Raymond James Investment Acct ⇒ DFA U.S. SMALL CAP VALUE PORT INSTITUTIONAL CLASS N/L (DFS VX) [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Raymond James Investment Acct ⇒ JP Morgan Money Market Sweep Account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Raymond James Investment Acct ⇒ VANGUARD MEGA CAP GROWTH ETF (MGK) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Raymond James Investment Acct ⇒ Vanguard Russell 1000 Value ETF (VONV) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Raymond James Investment Acct ⇒ Vanguard Russell 2000 Value ETF (VTWV) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Raymond James Investment Acct ⇒ VANGUARD SMALL-CAP GROWTH ETF (VBK) [EF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Raymond James IRA ⇒ DFA COMMODITY PORTFOLIO INSTITUTIONAL CLASS N/L (DCMSX) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA ⇒ DFA EMERGING MARKETS CORE EQUITY PORTFOLIO INST CLS N/L (DFCEX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA ⇒ DFA INTERNATIONAL SMALL COMPANY PORT INST CLASS N/L (DFISX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA ⇒ DFA LARGE CAP INTERNATIONAL PORT INSTITUTIONAL CLASS N/L (DFALX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA ⇒ DFA REAL ESTATE SECURITIES PORT INSTITUTIONAL CLASS N/L (DFREX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA ⇒ DFA U.S. LARGE CAP VALUE PORT INSTITUTIONAL CLASS N/L (DFLVX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA ⇒ DFA U.S. SMALL CAP VALUE PORT INSTITUTIONAL CLASS N/L (DFSVX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA ⇒ JPMorgan Money Market Sweep Account [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA ⇒ VANGUARD MEGA CAP GROWTH ETF (MGK) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA ⇒ VANGUARD SMALL-CAP GROWTH ETF (VBK) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James Roth IRA ⇒ DFA INTERNATIONAL SMALL COMPANY PORT INST CLASS N/L (DFISX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James Roth IRA ⇒ DFA REAL ESTATE SECURITIES PORT INSTITUTIONAL CLASS N/L (DFREX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James Roth IRA ⇒		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DFA U.S. LARGE CAP VALUE PORT INSTITUTIONAL CLASS N/L (DFLVX) [MF]					
Raymond James Roth IRA ⇒ DFA U.S. SMALL CAP VALUE PORT INSTITUTIONAL CLASS N/L (DFSVX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James Roth IRA ⇒ JPMorgan Money Market Sweep Account [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James Roth IRA ⇒ VANGUARD MEGA CAP GROWTH ETF (MGK) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Raymond James Roth IRA ⇒ VANGUARD SMALL-CAP GROWTH ETF (VBK) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James SEP IRA ⇒ DFA COMMODITY PORTFOLIO INSTITUTIONAL CLASS N/L (DCMSX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Raymond James SEP IRA ⇒ DFA EMERGING MARKETS CORE EQUITY PORTFOLIO INST CLS N/L (DFCEX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Raymond James SEP IRA ⇒ DFA INTERNATIONAL SMALL COMPANY PORT INST CLASS N/L (DFISX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James SEP IRA ⇒ DFA LARGE CAP INTERNATIONAL PORT INSTITUTIONAL CLASS N/L (DFALX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Raymond James SEP IRA ⇒ DFA REAL ESTATE SECURITIES PORT INSTITUTIONAL CLASS N/L (DFREX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James SEP IRA ⇒ DFA U.S. LARGE CAP VALUE PORT INSTITUTIONAL CLASS N/L (DFLVX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Raymond James SEP IRA ⇒ DFA U.S. SMALL CAP VALUE PORT INSTITUTIONAL CLASS N/L (DFSVX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Raymond James SEP IRA ⇒ JPMorgan Money Market Sweep Account [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Raymond James SEP IRA ⇒ VANGUARD MEGA CAP GROWTH ETF (MGK) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James SEP IRA ⇒ VANGUARD SMALL-CAP GROWTH ETF (VBK) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity Brokerage ⇒ Akre Focus Fund (AKRIX) [MF]		12/29/2020	P	\$100,001 - \$250,000	
Fidelity Brokerage ⇒ Cliffwater Corporate Lending Fund (CCLFX) [MF]		12/29/2020	P	\$100,001 - \$250,000	
Fidelity Brokerage ⇒ Cliffwater Corporate Lending Fund (CCLFX) [MF]		12/30/2020	P	\$1,001 - \$15,000	
Fidelity Brokerage ⇒ Cresset Quality Dividend Fund [MF]		12/21/2020	P	\$250,001 - \$500,000	
Fidelity Brokerage ⇒ Cresset Quality Dividend Fund [MF]		12/28/2020	S	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Fidelity Brokerage ⇒ JP Morgan Equity Income Fund Cl A (OIEIX) [MF]		12/29/2020	P	\$100,001 - \$250,000	
Fidelity Brokerage ⇒ Nuveen Preferred Securities & Income Fund Cl I (NPSRX) [MF]		12/29/2020	P	\$100,001 - \$250,000	
Fidelity Brokerage ⇒ Nuveen Preferred Securities & Income Fund Cl I (NPSRX) [MF]		12/29/2020	P	\$100,001 - \$250,000	
Fidelity Brokerage ⇒ Schwab US Large Cap Value (SCHV) [EF]		12/30/2020	P	\$100,001 - \$250,000	
Fidelity Brokerage ⇒ SPDR S&P 500 ETF (SPY) [MF]		12/30/2020	P	\$100,001 - \$250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity Brokerage ⇒ Vanguard Intermediate Term Tax Exempt (VWIUX) [MF]		12/29/2020	P	\$250,001 - \$500,000	
Fidelity Brokerage ⇒ Vanguard Long Term Tax Exempt Admiral (VWLUX) [MF]		12/31/2020	P	\$500,001 - \$1,000,000	
Fidelity Brokerage ⇒ Vanguard Short Term Tax Exempt Admiral (VWSUX) [MF]		12/31/2020	P	\$1,000,001 - \$5,000,000	
Fidelity Brokerage ⇒ Vanguard Short Term Tax Exempt Admiral (VWSUX) [MF]		12/29/2020	P	\$250,001 - \$500,000	
Fidelity Brokerage ⇒ Variant Alternative Income Fund (NICHX) [MF]		12/29/2020	P	\$100,001 - \$250,000	
Fidelity Brokerage ⇒ Variant Alternative Income Fund (NICHX) [MF]		12/30/2020	P	\$1,001 - \$15,000	
Metis Solutions Corporation [PS]  LOCATION: US DESCRIPTION: Training and analysis services provider		11/23/2020	S	\$5,000,001 - \$25,000,000	<input checked="" type="checkbox"/>
Metis Solutions Corporation - Options [PS]  LOCATION: US DESCRIPTION: Options are pursuant to a stock option plan described in Schedule F in Metis Solutions Corporation, strike price at \$0.05, expiration dates by tranche at 12/19/2026 and 08/03/2027		11/23/2020	S	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>
Raymond James Investment Acct ⇒ DFA U.S. Large Cap Value Port Institutional Class (DFLVX) [MF]		03/4/2020	P	\$1,001 - \$15,000	
Raymond James Investment Acct ⇒ DFA U.S. Large Cap Value Port Institutional Class (DFLVX) [MF]		04/27/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Raymond James Investment Acct ⇒ DFA U.S. Large Cap Value Port Institutional Class (DFLVX) [MF]		07/16/2020	P	\$1,001 - \$15,000	
Raymond James Investment Acct ⇒ DFA U.S. Small Cap Value Port Institutional Class (DFSVX) [MF]		04/27/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Raymond James Investment Acct ⇒ Vanguard Russell 1000 Value ETF (VONV) [EF]		04/28/2020	P	\$15,001 - \$50,000	
Raymond James Investment Acct ⇒ Vanguard Russell 2000 Value ETF (VTWV) [EF]		04/28/2020	P	\$15,001 - \$50,000	
Raymond James Investment Acct ⇒ Vanguard Small-Cap Growth ETF (VBK) [EF]		07/16/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Raymond James IRA ⇒ DFA Commodity Portfolio Institutional Class (DCMSX) [MF]		03/4/2020	P	\$1,001 - \$15,000	
Raymond James IRA ⇒ DFA U.S. Small Cap Value Port Institutional Class (DFSVX) [EF]		03/6/2020	P	\$1,001 - \$15,000	
Raymond James IRA ⇒ Vanguard Mega Cap Growth ETF (MGK) [EF]		03/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Raymond James Roth IRA ⇒ DFA U.S. Small Cap Value Port Institutional Class (DFSVX) [MF]		03/4/2020	P	\$1,001 - \$15,000	
Raymond James Roth IRA ⇒ DFA U.S. Small Cap Value Port Institutional Class (DFSVX) [MF]		07/16/2020	P	\$1,001 - \$15,000	
Raymond James Roth IRA ⇒ Vanguard Mega Cap Growth ETF (MGK) [EF]		07/16/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Raymond James SEP IRA ⇒ DFA International Small Company Port Inst Class (DFISX) [MF]		03/4/2020	P	\$1,001 - \$15,000	
Raymond James SEP IRA ⇒ DFA U.S. Small Cap Value Port Institutional Class (DFSVX) [MF]		03/4/2020	P	\$1,001 - \$15,000	
Raymond James SEP IRA ⇒ Vanguard Mega Cap Growth ETF (MGK) [EF]		03/5/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.



## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	JP Morgan Chase	2017	Mortgage (no longer held)	\$500,001 - \$1,000,000
	COMMENTS: No longer on mortgage			
	Village Capital	August 2020	Mortgage	\$500,001 - \$1,000,000
	Wells Fargo	2015	Mortgage (paid off)	\$500,001 - \$1,000,000
	COMMENTS: Paid off (refinance)			

## SCHEDULE E: POSITIONS

Position	Name of Organization
Directors	Arms Wide Open Foundation
Member	Warrior Diplomat, LLC

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
June 2012	Potomac Books, Filer	Publishing agreement to earn royalties from sales of "Warrior Diplomat" - also described on Schedule A

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- o Fidelity Brokerage

LOCATION: US

- o Principal 401k
- o Raymond James Investment Acct  
LOCATION: US
- o Raymond James IRA
- o Raymond James Roth IRA
- o Raymond James SEP IRA

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

- Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

- Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

- Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Michael Waltz , 08/13/2021