



# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Deborah K. Ross  
**Status:** Member  
**State/District:** NC02

## FILING INFORMATION

**Filing Type:** New Filer Report  
**Filing Year:** 2020  
**Filing Date:** 08/12/2021  
**Period Covered:** 01/01/2019– 12/31/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
AMG Managers Special Equity (IRA) [MF]		\$15,001 - \$50,000	Tax-Deferred		
AMG Managers Special Equity (Roth) [MF]		\$100,001 - \$250,000	Tax-Deferred		
Columbia Acorn Fund [MF]		None	Capital Gains, Dividends	Not Applicable	None
Duke Retirement Plan ⇒ Vanguard Institutional Target 2030 Retirement Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity Brokerage Account ⇒ Fidelity Money Market [BA]	JT	\$1,001 - \$15,000	Interest	Not Applicable	\$1 - \$200
Fidelity Brokerage Account ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$15,001 - \$50,000	Dividends	Not Applicable	\$201 - \$1,000
Fidelity IRA 1 ⇒ Fidelity Money Market [BA]	SP	\$100,001 - \$250,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Fidelity IRA 2 ⇒ Fidelity Money Market [BA]	SP	\$250,001 - \$500,000	Tax-Deferred		
Fidelity IRA 2 ⇒ Gilead Sciences, Inc. (GILD) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Fidelity IRA 2 ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Fidelity IRA 2 ⇒ iShares MSCI Emerging Index Fund (EEM) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Fidelity IRA 2 ⇒ Ishares NASDAQ Biotechnology Fund [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Fidelity IRA 2 ⇒ iShares Russell 2000 ETF (IWM) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Fidelity IRA 2 ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Fidelity IRA 2 ⇒ SPDR MidCap Trust Series I (MDY) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Fidelity IRA 2 ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Fidelity IRA 2 ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Fidelity Roth IRA ⇒ Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Fidelity Roth IRA ⇒ Direxion Moonshot Innovators ETF (MOON) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Fidelity Roth IRA ⇒ Fidelity Money Market [BA]	SP	\$15,001 - \$50,000	Tax-Deferred		
Fidelity Roth IRA ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Invesco Dynamic Leisure and Entertainment ETF (PEJ) [EF]					
Fidelity Roth IRA ⇒ SoFi Social 50 ETF (SFYF) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Fidelity Select Biotechnology Fund [MF]		None	Capital Gains, Dividends	Not Applicable	\$50,001 - \$100,000
Fox Rothschild RSP ⇒ Vanguard Institutional Target 2030 Retirement Fund [MF]		\$100,001 - \$250,000	Tax-Deferred		
IAB Brokerage Account (IRA) ⇒ Money Market [BA]	SP	\$100,001 - \$250,000	Tax-Deferred		
Mountain Rental [RP]  LOCATION: Lansing, NC, US	SP	\$250,001 - \$500,000	Rent	Not Applicable	\$15,001 - \$50,000
Pension - State of North Carolina [PE]		Undetermined	Pension	Not Applicable	None
Pension - The American Civil Liberties Union [PE]		Undetermined	Pension	Not Applicable	None
Primary Residence [RP]  LOCATION: RALEIGH, NC, US	JT	\$500,001 - \$1,000,000	Rent	Not Applicable	\$15,001 - \$50,000
RS Growth Fund Class A (IRA) [MF]		\$15,001 - \$50,000	Tax-Deferred		
State 401k ⇒ NC Fixed Income Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
State 401k ⇒ NC International Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		
State 401k ⇒ NC Large Cap Core Fund [MF]		\$100,001 - \$250,000	Tax-Deferred		
State 401k ⇒ NC Large Cap Index Fund [MF]		\$100,001 - \$250,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
State 401k ⇒ NC Small Mid Cap Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		
State 401k ⇒ NC Small Mid Cap Index Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		
State Employees Credit Union Accounts [BA]	JT	\$50,001 - \$100,000	Interest	Not Applicable	\$1 - \$200
TTA 401a ⇒ Vantagepoint Growth R1 [MF]		\$1,001 - \$15,000	Tax-Deferred		
TTA 401a ⇒ Vantagepoint Plus Fund R1 [MF]		\$1,001 - \$15,000	Tax-Deferred		
TTA 401a ⇒ VT Clearbridge Intl Value [MF]		None	Tax-Deferred		
TTA 401a ⇒ VT Diversified International [MF]		\$1,001 - \$15,000	Tax-Deferred		
TTA 457(b) ⇒ Fidelity OTC [MF]		\$50,001 - \$100,000	Tax-Deferred		
TTA 457(b) ⇒ Invesco Opp Global A [MF]		\$15,001 - \$50,000	Tax-Deferred		
TTA 457(b) ⇒ Nationwide MidCap Mkt Index A [MF]		\$15,001 - \$50,000	Tax-Deferred		
TTA 457(b) ⇒ Nationwide Small Cap Index A [MF]		\$15,001 - \$50,000	Tax-Deferred		
Unimproved Lot [RP] LOCATION: Avon, NC, US	SP	\$15,001 - \$50,000	None		
Vacation Rental [RP] LOCATION: Salvo, NC, US	JT	\$500,001 - \$1,000,000	Rent	Not Applicable	\$15,001 - \$50,000
Vanguard 500 Index Fund Admiral (IRA) [MF]		\$50,001 - \$100,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Vanguard Growth Index Fund Admiral (Roth) [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Fox Rothschild LLP	Salary	N/A	\$114,249.44
National Financial Services (Fidelity Investments)	Spouse IRA distribution/Roth Conversi	N/A	N/A

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo	October 2010	Mortgage-Raleigh	\$100,001 - \$250,000
JT	First National Bank	September 2012	Mortgage-Salvo	\$100,001 - \$250,000
JT	Caliber Home Loans	December 2020	Mortgage for DC residence	\$500,001 - \$1,000,000
JT	Amerisave Mortgage	June 2020	Mortgage for Raleigh Residence	\$250,001 - \$500,000
SP	Citibank	November/December 2020	Credit Card debt	\$10,000 - \$15,000

### SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	Brown Alumni Association
Advisory Board Member	Lightner Y Achievers

### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2003	Myself and State of North Carolina	Continued participation in employee benefit plan. Vested in legislative pension plan after 5 years of legislative service, serving from 2003 to 2013
January 2003	Myself and State of North Carolina	Continued participation in employee health plan, with lifetime medical benefits upon attaining the age of 60.
September 1994	Myself and American Civil Liberties Union	Continued participation in employee pension plan, vesting after 7 years of service.

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A ASSET CLASS DETAILS

- o Duke Retirement Plan
- o Fidelity Brokerage Account (Owner: JT)  
LOCATION: US
- o Fidelity IRA 1 (Owner: SP)
- o Fidelity IRA 2 (Owner: SP)
- o Fidelity Roth IRA (Owner: SP)
- o Fox Rothschild RSP
- o IAB Brokerage Account (IRA) (Owner: SP)
- o State 401k
- o TTA 401a
- o TTA 457(b)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Deborah K. Ross , 08/12/2021