



# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Garland "Andy" Barr  
**Status:** Member  
**State/District:** KY06

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2020  
**Filing Date:** 08/5/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Baird After-tax holdings ⇒ Baird Core Intermed. mun bond instl Cl BNMIX [MF]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Baird After-tax holdings ⇒ Baird Mid Cap Fund BMDIX [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Baird After-tax holdings ⇒ Baird Sm/Mid Cap Growth Fund BSGIX [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Baird After-tax holdings ⇒ Chautauqua Global Growth Fund CCGIX [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Baird After-tax holdings ⇒ iShares Gold Trust Shares of the iShares Gold Trust (IAU) [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Baird After-tax holdings ⇒ iShares MSCI EAFE Value ETF (EFV) [EF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Baird After-tax holdings ⇒ ishares Russell mid cap ETF [EF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Baird After-tax holdings ⇒ iShares S&P Core Small Cap ETF [EF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Baird After-tax holdings ⇒ iShares TIPS Bond ETF [EF]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Baird After-tax holdings ⇒ MFS Intl Intrinsic Value CL I MINIX [MF]		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Baird After-tax holdings ⇒ Principal Mid Cap Instl CL PCBIX [MF]		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Baird After-tax holdings ⇒ Vanguard intermed. term tax bonds VWIUX [MF]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Baird After-tax holdings ⇒ WCM Focused Intl Growth WCMIX [MF]		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Eleanor Barr 529 ⇒ The Growth Fund of America 529A [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Eleanor Barr Trust ⇒ Eleanor Barr Trust [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Fidelity Targeted 2045 [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Targeted 2045 [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Garland Barr IRA ⇒ Baird Mid Cap Fund BMDIX [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Garland Barr IRA ⇒ Baird Sm/Mid Cap Growth Fund BSGIX [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Garland Barr IRA ⇒ Cash [BA]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Garland Barr IRA ⇒ Chautauqua Global Growth Fund CCGIX [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
iShares Core S&P Mid-Cap ETF (IJH) [EF]		\$15,001 - \$50,000	Dividends	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
iShares Core S&P Small-Cap ETF (IJR) [EF]		\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
iShares Inc Msci Eurzone ETF [EF]		\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
iShares Inc MSCI Frontier [EF]		\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
Ishares TR National MUN ETF [EF]		\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
KeyCorp (KEY) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
KeyCorp (KEY) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
KY Employees Retirement System [DB]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Mary Clay Barr 529 ⇒ New Perspective Fund 529A [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Mary Clay Barr 529 ⇒ The Growth Fund of America 529A [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Mary Clay Barr Trust ⇒ Mary Clay Barr Trust [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
NW Mutual 65 Life [WU]		\$1,001 - \$15,000	None		<input type="checkbox"/>
NW Mutual 65 Life Supplemental [WU]		\$1,001 - \$15,000	None		<input type="checkbox"/>
NW Mutual 90 Whole Life [WU]		\$1,001 - \$15,000	None		<input type="checkbox"/>
NW Mutual Money Market [BA]		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
Pfizer, Inc. (PFE) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Pfizer, Inc. (PFE) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SPDR S&P 500 (SPY) [MF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SPDR SER TR Nuveen BLMBRG SR (SHM) [EF]		\$15,001 - \$50,000	Dividends	None	<input type="checkbox"/>
SPDR SER TR Nuveen BRC MUNIC [EF]		\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
SPDR Series Trust SPDR Portfolio S&P 500 Value ETF (SPYV) [EF]		\$15,001 - \$50,000	Dividends	None	<input type="checkbox"/>
Vanguard FTSE Developed Market ETF [EF]		\$15,001 - \$50,000	Dividends	None	<input type="checkbox"/>
Vanguard Growth [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
American Funds Growth [IR]		11/1/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>
DESCRIPTION: Closed Account, IRA rollover, moved to new firm					
Baird After-tax holdings ⇒ Baird Core Intermed. Mun bond instl CL BNMIX [MF]		11/1/2020	P	\$15,001 - \$50,000	
Baird After-tax holdings ⇒ Baird Mid Cap Fund BMDIX [MF]		11/16/2020	P	\$50,001 - \$100,000	
Baird After-tax holdings ⇒ Baird Sm/Mid Cap Growth Fund BSGIX [MF]		11/16/2020	P	\$50,001 - \$100,000	
Baird After-tax holdings ⇒ Chautauqua Global Growth Fund CCGIX [MF]		11/1/2020	P	\$50,001 - \$100,000	
Baird After-tax holdings ⇒ iShares Russell mid cap ETF [EF]		10/1/2020	P	\$15,001 - \$50,000	
Baird After-tax holdings ⇒ iShares S&P Core Small Cap ETF [EF]		10/1/2020	P	\$15,001 - \$50,000	
Baird After-tax holdings ⇒ MFS Intl Intrinsic Value CL I MINIX [MF]		10/1/2020	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Baird After-tax holdings ⇒ Principal Mid Cap Instl CL PCBIX [MF]		10/15/2020	P	\$15,001 - \$50,000	
Baird After-tax holdings ⇒ Vanguard Intermed. term tax bonds VWIUX [MF]		10/15/2020	P	\$15,001 - \$50,000	
Baird After-tax holdings ⇒ WCM Focused Intl Growth WCMIX [MF]		10/15/2020	P	\$1,001 - \$15,000	
Eleanor Barr Trust ⇒ Eleanor Barr Trust [BA]  DESCRIPTION: account opened		10/1/2020	P	\$15,001 - \$50,000	
Fidelity Targeted 2045 [IR]  DESCRIPTION: rolled over to new firm		11/1/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>
Garland Barr IRA ⇒ Baird Mid Cap Fund BMDIX [IR]		11/1/2020	P	\$15,001 - \$50,000	
Garland Barr IRA ⇒ Baird Sm/Mid Cap Growth Fund BSGIX [IR]		11/1/2020	P	\$15,001 - \$50,000	
Garland Barr IRA ⇒ Cash [IH]		11/16/2020	P	\$1,001 - \$15,000	
Garland Barr IRA ⇒ Chautauqua Global Growth Fund CCGIX [IR]		11/1/2020	P	\$15,001 - \$50,000	
Hilliard Money Market [BA]  DESCRIPTION: Closed Account		10/1/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
iShares Core S&P Mid-Cap ETF (IJH) [EF]		11/16/2020	P	\$15,001 - \$50,000	
iShares Core S&P Small-Cap ETF (IJR) [EF]		11/16/2020	P	\$1,001 - \$15,000	
iShares Inc Msci Eurzone ETF [EF] [EF]		11/16/2020	P	\$1,001 - \$15,000	
iShares Inc MSCI Frontier [EF] [EF]		11/16/2020	P	\$1,001 - \$15,000	
iShares TR National MUN ETF [EF] [EF]		11/16/2020	P	\$1,001 - \$15,000	

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Mary Clay Barr Trust ⇒ Mary Clay Barr Trust [BA] DESCRIPTION: Account opened	11/1/2020	P	\$15,001 - \$50,000	
Northwest Mutual Money Market [BA]	09/1/2020	P	\$1,001 - \$15,000	
SPDR Nuveen Bloomberg Barclays Short Term Municipal Bond ETF (SHM) [EF]	11/16/2020	P	\$15,001 - \$50,000	
SPDR S&P 500 (SPY) [MF]	11/16/2020	P	\$15,001 - \$50,000	
SPDR SER TR Nuveen BRC Munic [EF] [EF]	11/16/2020	P	\$1,001 - \$15,000	
SPDR Series Trust SPDR Portfolio S&P 500 Value ETF [EF]	11/16/2020	P	\$15,001 - \$50,000	
Vanguard FTSE Developed Market ETF [EF]	11/16/2020	P	\$15,001 - \$50,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

Source	Type	Amount
Pfizer, Inc.	spouse salary	N/A

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	PNC Bank	08/2020	Refinance of mortgage on personal residence	\$250,001 - \$500,000

### SCHEDULE E: POSITIONS

None disclosed.

### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2004	KY employees Retirement System	KY state pension, cash value \$25,500 (12/31/20), benefits payable beginning 8/1/38

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
U.S. Association of Former Members of Congress	02/15/2020	02/22/2020	WDC - Tokyo, Japan - WDC	1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- o Baird After-tax holdings  
LOCATION: US
- o Eleanor Barr 529  
LOCATION: KY
- o Eleanor Barr Trust
- o Garland Barr IRA
- o Mary Clay Barr 529  
LOCATION: KY
- o Mary Clay Barr Trust

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Garland "Andy" Barr , 08/5/2021