



Filing ID #10042213

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Ed Perlmutter  
**Status:** Member  
**State/District:** CO07

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2020  
**Filing Date:** 08/6/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Alphabet Inc. - Class A (GOOGL) [ST]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
ALPS Clean Energy ETF (ACES) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Amazon.com, Inc. (AMZN) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Amazon.com, Inc. (AMZN) [ST]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Apple Inc. (AAPL) [ST]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Apple Inc. (AAPL) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Berkshire Hathaway Inc. New (BRK.B) [ST]	SP	\$1 - \$1,000	None		<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Blackrock Taxable Muni Fund [EF]	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Boeing Company (BA) [ST]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Congressional Federal Credit Union [BA]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Costco Wholesale Corporation (COST) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Deep Value ETF [EF]	SP	None	Dividends	\$1 - \$200	<input type="checkbox"/>
Doubleline Flexible Income [MF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Doubleline Total Return [MF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Facebook, Inc. - Class A (FB) [ST]	SP	None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
Fidelity MSCI Real Estate Fund [EF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Individual Retirement Account ⇒ Doubleline Flexible Income [MF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Individual Retirement Account ⇒ DoubleLine Total Return [EF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Individual Retirement Account ⇒ Invesco Powershares Dynamic Large Cap [EF]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Individual Retirement Account ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Individual Retirement Account ⇒ iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Individual Retirement Account ⇒ Ishares US Preferred Stock [EF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Individual Retirement Account ⇒ Medical Properties Trust, Inc. common stock (MPW) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Individual Retirement Account ⇒ PIMCO Active Bond Exchange-Traded Fund Exchange-Traded Fund (BOND) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Individual Retirement Account ⇒ PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Individual Retirement Account ⇒ Pimco Income Fund [MF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Individual Retirement Account ⇒ SPDR DoubleLine Total Return Tactical ETF (TOTL) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Individual Retirement Account ⇒ SPDR Series Trust S&P 500 [EF]	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Invesco China Technology ETF (CQQQ) [ST]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Invesco Dynamic Large Cap Growth ETF (PWB) [EF]	SP	None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
Invesco ETF SR LN [EF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Invesco KBW Bank ETF (KBWB) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Invesco QQQ Trust, Series 1 (QQQ) [ST]	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Invesco QQQ Trust, Series 1 (QQQ) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Invesco S&P Pure Value [EF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
iShares 1-5 Year Investment Grade Corporate Bond ETF (IGSB) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
iShares Core S&P Mid-Cap ETF (IJH) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD) [EF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
iShares Morningstar Mid-Cap ETF (JKG) [EF]	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
iShares S&P Global Clean Energy Index Fund (ICLN) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Ishares US Preferred Stock [EF]	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Kinder Morgan, Inc. (KMI) [ST]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
LAP, Inc ⇒ GlaxoSmithKline PLC (GSK) [ST]		\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LAP, Inc ⇒ Piper Jaffray Companies (PJC) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LAP, Inc ⇒ RBC Wealth Management Money Market [BA]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LAP, Inc ⇒ Stanley Black & Decker, Inc. (SWK) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LAP, Inc ⇒ US Bancorp Del DEPOSITARY SH S G (USB\$N) [ST]		\$50,001 - \$100,000	Capital Gains, Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LAP, Inc ⇒ Working Interest in 7 oil and gas wells [OL]		\$1,001 - \$15,000	Oil and Gas revenue	\$2,501 - \$5,000	<input type="checkbox"/>
LOCATION: Weld County, CO, US					
DESCRIPTION: Seven oil and gas wells in Weld County Colorado					
Microsoft Corporation (MSFT) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Newmont Corporation (NEM) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
PIMCO Active Bond Exchange-Traded Fund Exchange-Traded Fund (BOND) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Pimco Income Fund [MF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
RBC Wealth Management Money Market [BA]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SPDR DoubleLine Total Return Tactical ETF (TOTL) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SPDR S&P 500 (SPY) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SPDR S&P 500 (SPY) [EF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SPDR S&P Dividend ETF (SDY) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SPDR S&P GBLINF ETF [EF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SPDR Series Trust SPDR Portfolio S&P 500 Value ETF (SPYV) [EF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Stanley Black & Decker, Inc. (SWK) [ST]		\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TD Ameritrade Money Market (Scottrade) [BA]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
U.S. Bancorp (USB) [ST]		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard Health Care ETF [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard Large-Cap ETF (VV) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Vanguard Mega Cap Growth ETF (MGK) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Vanguard Mid-Cap ETF (VO) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Vanguard REIT [MF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Vanguard Total Bond Market ETF (BND) [EF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Verizon Communications Inc. (VZ) [ST]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Wells Fargo Checking [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Checking [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Money Market Savings [BA]	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Savings [BA]		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
ZLDR, LLC [OT]		\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: 25% interest in ZLDR partnership owning 95 acres +/- in Evanston, Wyoming					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]	SP	11/9/2020	P	\$1,001 - \$15,000	
Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	04/22/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
ALPS Clean Energy ETF (ACES) [EF]	SP	10/13/2020	P	\$1,001 - \$15,000	
Amazon.com, Inc. (AMZN) [ST]	SP	04/21/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Amazon.com, Inc. (AMZN) [ST]	SP	11/18/2020	P	\$1,001 - \$15,000	
Apple Inc. (AAPL) [ST]	SP	07/24/2020	P	\$1,001 - \$15,000	
Apple Inc. (AAPL) [ST]	SP	02/23/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Apple Inc. (AAPL) [ST]	SP	04/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Berkshire Hathaway Inc. New (BRK.B) [ST]	SP	09/1/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
BlackRock Taxable Municipal Bond Trust Common Shares of Beneficial Interest (BBN) [ST]	SP	04/27/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Boeing Company (BA) [ST]	SP	04/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Costco Wholesale Corporation (COST) [ST]	SP	03/18/2020	P	\$1,001 - \$15,000	
Costco Wholesale Corporation (COST) [ST]	SP	04/22/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Costco Wholesale Corporation (COST) [ST]	SP	10/7/2020	P	\$1,001 - \$15,000	
Deep Value ETF (DVP) [EF]	SP	04/27/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Doubleline Flexible Income [MF]	SP	05/11/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Doubleline Total Return [MF]	SP	08/28/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Facebook, Inc. - Class A (FB) [ST]	SP	02/23/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Facebook, Inc. - Class A (FB) [ST]	SP	04/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity MSCI Real Estate Fund [EF]	SP	04/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Individual Retirement Account ⇒ Doubleline Flexible Income [MF]	SP	05/11/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Individual Retirement Account ⇒ Doubleline Total Return [MF]	SP	08/28/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Individual Retirement Account ⇒ Invesco Dynamic Large Cap Growth ETF (PWB) [ST]	SP	04/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Individual Retirement Account ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]	SP	04/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Individual Retirement Account ⇒ iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD) [EF]	SP	09/1/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Individual Retirement Account ⇒ iShares Preferred and Income Securities ETF (PFF) [ST]	SP	07/14/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Individual Retirement Account ⇒ Medical Properties Trust, Inc. common stock (MPW) [ST]	SP	09/1/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Individual Retirement Account ⇒ PIMCO Active Bond Exchange-Traded Fund Exchange-Traded Fund (BOND) [EF]	SP	09/1/2020	P	\$1,001 - \$15,000	
Individual Retirement Account ⇒ PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT) [EF]	SP	09/23/2020	P	\$1,001 - \$15,000	
Individual Retirement Account ⇒ Pimco Income Fund [MF]	SP	08/28/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Individual Retirement Account ⇒ SPDR DoubleLine Total Return Tactical ETF (TOTL) [EF]	SP	09/1/2020	P	\$1,001 - \$15,000	
Individual Retirement Account ⇒ SPDR DoubleLine Total Return Tactical ETF (TOTL) [EF]	SP	09/23/2020	P	\$1,001 - \$15,000	
Individual Retirement Account ⇒ SPDR S&P 500 (SPY) [EF]	SP	02/27/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Invesco China Technology Fund [EF]	SP	01/17/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Invesco Dynamic Large Cap Growth ETF (PWB) [EF]	SP	04/22/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Invesco KBW Bank ETF (KBWB) [EF]	SP	11/12/2020	P	\$1,001 - \$15,000	
Invesco QQQ Trust, Series 1 (QQQ) [EF]	SP	04/22/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Invesco QQQ Trust, Series 1 (QQQ) [EF]	SP	11/12/2020	P	\$1,001 - \$15,000	
Invesco QQQ Trust, Series 1 (QQQ) [EF]	SP	01/29/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Invesco QQQ Trust, Series 1 (QQQ) [EF]	SP	03/26/2020	P	\$1,001 - \$15,000	
Invesco QQQ Trust, Series 1 (QQQ) [EF]	SP	04/15/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
				(partial)	
Invesco QQQ Trust, Series 1 (QQQ) [EF]	SP	06/18/2020	P	\$1,001 - \$15,000	
Invesco QQQ Trust, Series 1 (QQQ) [EF]	SP	09/14/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Invesco QQQ Trust, Series 1 (QQQ) [EF]	SP	09/23/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Invesco S&P 500 Pure Value ETF (RPV) [ST]	SP	04/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Invesco Senior Loan ETF (BKLN) [EF]	SP	04/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
iShares 1-5 Year Investment Grade Corporate Bond ETF (IGSB) [EF]	SP	09/1/2020	P	\$1,001 - \$15,000	
iShares Core S&P Mid-Cap ETF (IJH) [EF]	SP	11/9/2020	P	\$1,001 - \$15,000	
iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD) [ST]	SP	09/1/2020	P	\$1,001 - \$15,000	
iShares Morningstar Mid-Cap ETF (JKG) [EF]	SP	02/28/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
iShares Preferred and Income Securities ETF (PFF) [ST]	SP	07/14/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
iShares S&P Global Clean Energy Index Fund (ICLN) [ST]	SP	10/13/2020	P	\$1,001 - \$15,000	
Kinder Morgan, Inc. (KMI) [ST]	SP	04/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
LAP, Inc ⇒ U.S. Bancorp (USB) [ST]		07/16/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Microsoft Corporation (MSFT) [ST]	SP	06/10/2020	P	\$1,001 - \$15,000	
Microsoft Corporation (MSFT) [ST]	SP	09/23/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Microsoft Corporation (MSFT) [ST]	SP	11/12/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Newmont Corporation (NEM) [ST]	SP	06/29/2020	P	\$1,001 - \$15,000	
Newmont Corporation (NEM) [ST]	SP	11/12/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Newmont Corporation (NEM) [ST]	SP	11/18/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NVIDIA Corporation (NVDA) [ST]	SP	09/3/2020	P	\$1,001 - \$15,000	
NVIDIA Corporation (NVDA) [ST]	SP	09/23/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Pfizer, Inc. (PFE) [ST]	SP	06/10/2020	P	\$1,001 - \$15,000	
Pfizer, Inc. (PFE) [ST]	SP	09/1/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PIMCO Active Bond Exchange-Traded Fund Exchange-Traded Fund (BOND) [EF]	SP	09/1/2020	P	\$1,001 - \$15,000	
PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT) [EF]	SP	09/23/2020	P	\$1,001 - \$15,000	
Pimco Income Fund [MF]	SP	08/28/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
SPDR DoubleLine Total Return Tactical ETF (TOTL) [EF]	SP	09/1/2020	P	\$1,001 - \$15,000	
SPDR DoubleLine Total Return Tactical ETF (TOTL) [EF]	SP	09/23/2020	P	\$1,001 - \$15,000	
SPDR DoubleLine Total Return Tactical ETF (TOTL) [EF]	SP	11/5/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SPDR S&P 500 (SPY) [EF]	SP	02/27/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
SPDR S&P 500 (SPY) [EF]	SP	06/10/2020	P	\$1,001 - \$15,000	
SPDR S&P 500 (SPY) [EF]	SP	09/14/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SPDR S&P 500 (SPY) [EF]	SP	11/15/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SPDR S&P Dividend ETF (SDY) [EF]	SP	10/14/2020	P	\$1,001 - \$15,000	
SPDR S&P Global Infrastructure ETF (GII) [EF]	SP	04/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
SPDR Series Trust SPDR Portfolio S&P 500 Value ETF (SPYV) [EF]	SP	04/15/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Square, Inc. Class A (SQ) [ST]	SP	09/18/2020	P	\$1,001 - \$15,000	
Square, Inc. Class A (SQ) [ST]	SP	09/23/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Stanley Black & Decker, Inc. (SWK) [ST]		04/9/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Stanley Black & Decker, Inc. (SWK) [ST]		06/10/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
U.S. Bancorp (USB) [ST]		04/9/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
U.S. Bancorp (USB) [ST]		06/10/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard Health Care ETF (VHT) [EF]	SP	09/1/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard Large-Cap ETF (VV) [EF]	SP	10/13/2020	P	\$1,001 - \$15,000	
Vanguard Mega Cap Growth ETF (MGK) [EF]	SP	10/14/2020	P	\$1,001 - \$15,000	
Vanguard Mid-Cap ETF (VO) [EF]	SP	10/13/2020	P	\$1,001 - \$15,000	
Vanguard Real Estate ETF (VNQ) [EF]	SP	04/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard Total Bond Market ETF (BND) [EF]	SP	09/1/2020	P	\$1,001 - \$15,000	
Verizon Communications Inc. (VZ) [ST]	SP	06/10/2020	P	\$1,001 - \$15,000	
Verizon Communications Inc. (VZ) [ST]	SP	09/1/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
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\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

Source	Type	Amount
Jefferson County Schools	Spouse Salary	N/A
Scratch Catering	Spouse Salary	N/A

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	BSI Financial	August 2020	Home Mortgage	\$250,001 - \$500,000

### SCHEDULE E: POSITIONS

Position	Name of Organization
Member	ZLDR Ventures, LLC
Shareholder-officer	Lap, Inc

### SCHEDULE F: AGREEMENTS

None disclosed.

### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### SCHEDULE A AND B ASSET CLASS DETAILS

- o Individual Retirement Account (Owner: SP)

- o LAP, Inc (10% Interest)  
LOCATION: US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Ed Perlmutter , 08/6/2021