

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

| Name: | Hon. Dan Newhouse |
|-----------------|-------------------|
| Status: | Member |
| State/District: | WA04 |

FILING INFORMATION

| Filing Type: | Annual Report |
|--------------|---------------|
| Filing Year: | 2020 |
| Filing Date: | 08/6/2021 |

Schedule A: Assets and "Unearned" Income

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-------------------------------|-------------------|--------------------|-------------------|
| Capitol Connections LLC [OL] | SP | None | None | | |
| LOCATION: Longboat Key, FL, US DESCRIPTION: Consulting business | | | | | |
| Cottonwood Estates, 84% Interest [FA] | | \$5,000,001 - \$25,000,000 | Rent | \$5,001 - \$15,000 | |
| LOCATION: Sunnyside, WA, US DESCRIPTION: Fruit Farm Rental Income | | | | | |
| Craft Brew Alliance, Inc. (BREW) [ST] | | None | None | | V |
| Edward Jones IRA ⇒ American Bond Funds of America [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Edward Jones IRA ⇒ American Capital Income Builder Fund-C [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | V |
| Edward Jones IRA ⇒ American Fundamental Investors Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | V |
| Edward Jones IRA ⇒ American Intermediate Bond Fund of America | | \$1,001 - \$15,000 | Tax-Deferred | | \checkmark |

| Asset | Owner Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|----------------------|-------------------|--------|-------------------|
| [MF] | | | | |
| Edward Jones IRA \Rightarrow American New World Fund [MF] | \$1,001 - \$15,000 | Tax-Deferred | | V |
| Edward Jones IRA ⇒ American SMALLCAP World [MF] | \$1,001 - \$15,000 | Tax-Deferred | | V |
| Edward Jones IRA ⇒ Hartford Capital Appreciation Fund-C [MF] | \$15,001 - \$50,000 | Tax-Deferred | | V |
| Edward Jones IRA ⇒ Hartford International Growth Fund [MF] | \$15,001 - \$50,000 | Tax-Deferred | | |
| Edward Jones IRA ⇒ Hartford Midcap [MF] | \$1,001 - \$15,000 | Tax-Deferred | | V |
| Edward Jones IRA ⇒ Hartford Small Company [MF] | \$1,001 - \$15,000 | Tax-Deferred | | M |
| Edward Jones IRA ⇒ Hartford Total Return Bond Fund [MF] | \$1,001 - \$15,000 | Tax-Deferred | | V |
| Edward Jones IRA ⇒ JP Morgan Growth Advantage [MF] | \$1,001 - \$15,000 | Tax-Deferred | | V |
| Edward Jones IRA ⇒ T Rowe Price US Treasury [MF] | \$1,001 - \$15,000 | Tax-Deferred | | M |
| Edward Jones Traditional IRA ⇒ American Bond Funds of America [MF] | \$1,001 - \$15,000 | Tax-Deferred | | |
| Edward Jones Traditional IRA ⇒ American Growth Fund of America [MF] | \$1,001 - \$15,000 | Tax-Deferred | | |
| Edward Jones Traditional IRA ⇒ American Income Fund of America [MF] | \$1,001 - \$15,000 | Tax-Deferred | | |
| Edward Jones Traditional IRA ⇒ American International Growth & Income [MF] | \$1,001 - \$15,000 | Tax-Deferred | | |
| Edward Jones Traditional IRA ⇒ Hartford Dividend & Growth [MF] | \$1,001 - \$15,000 | Tax-Deferred | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000 |
|--|-------------|----------------------------|-------------------|----------------------------|------------------|
| Edward Jones Traditional IRA ⇒ Hartford Growth Opportunities [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | Γ |
| Edward Jones Traditional IRA ⇒ Hartford Mid Cap Value [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Edward Jones Traditional IRA ⇒ Hartford Small Company [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Edward Jones Traditional IRA ⇒ Hartford Total Return Bond Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Edward Jones Traditional IRA ⇒ John Hancock Emerging Markets [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | Γ |
| Edward Jones Traditional IRA ⇒ JP Morgan Growth Advantage [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | V |
| Edward Jones Traditional IRA ⇒ T Rowe Price US Treasury [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | Γ |
| Newhouse Farms, 69% Interest [FA] LOCATION: Sunnyside, WA, US DESCRIPTION: Fruit Farm Income | | \$500,001 - \$1,000,000 | Farm Income | \$15,001 - \$50,000 | |
| Rental Property [RP] | SP | None | Capital Gains | \$100,001 - \$1,000,000 | \checkmark |
| DESCRIPTION: Property is jointly owned by filer's spouse (5 | ;0%) and ar | nother person. | | | |
| Sun Trust Bank Accounts [BA] | SP | \$15,001 - \$50,000 | Interest | \$1 - \$200 | |
| T Rowe Price Capitol Connections 401k ⇒ T Rowe Price Balanced Fund [MF] | SP | \$100,001 - \$250,000 | Tax-Deferred | | V |
| T Rowe Price Capitol Connections 401k ⇒ T Rowe Price Capital Appreciation [MF] | SP | \$50,001 - \$100,000 | Tax-Deferred | | V |
| T Rowe Price Capitol Connections 401k ⇒ T Rowe Price Communications & Technology Fund [MF] | SP | \$250,001 - \$500,000 | Tax-Deferred | | \checkmark |
| T Rowe Price Capitol Connections 401k ⇒ T Rowe Price Dividend Growth Fund [MF] | SP | \$50,001 - \$100,000 | Tax-Deferred | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|--------------------------|-------------------|-------------|-------------------------|
| T Rowe Price Capitol Connections 401k ⇒ T Rowe Price Equity Income Fund [MF] | SP | \$50,001 - \$100,000 | Tax-Deferred | | |
| T Rowe Price Capitol Connections 401k ⇒ T Rowe Price Government Money Fund [MF] | SP | \$50,001 - \$100,000 | Tax-Deferred | | |
| T Rowe Price Capitol Connections 401k ⇒ T Rowe Price Growth & Income Fund [MF] | SP | \$100,001 - \$250,000 | Tax-Deferred | | \checkmark |
| T Rowe Price Capitol Connections 401k ⇒ T Rowe Price International Stock Fund [MF] | SP | \$50,001 - \$100,000 | Tax-Deferred | | |
| T Rowe Price Capitol Connections 401k ⇒ T Rowe Price New Asia Fund [MF] | SP | \$100,001 - \$250,000 | Tax-Deferred | | $\overline{\checkmark}$ |
| T Rowe Price Capitol Connections 401k ⇒ T Rowe Price Science & Technology Fund [MF] | SP | \$100,001 - \$250,000 | Tax-Deferred | | \checkmark |
| T Rowe Price Capitol Connections 401k ⇒ T Rowe Price Small Cap Value Fund [MF] | SP | \$50,001 - \$100,000 | Tax-Deferred | | Γ |
| Washington State Public Employees' Retirement System Plan 2 [PE] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Wright Patman Congressional Federal Credit Union accounts [BA] | SP | \$1,001 - \$15,000 | Interest | \$1 - \$200 | |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

Schedule B: Transactions

| Asset | Owner Date | Тх. Туре | Amount | Cap. Gains > \$200? |
|---|------------|----------------|--------------------|---------------------------|
| Craft Brew Alliance, Inc. (BREW) [ST] DESCRIPTION: Cashout as a result of merger with Anheuser Busch | 10/1/2020 | S | \$1,001 - \$15,000 | |
| Edward Jones Roth IRA ⇒ American Bond Funds of America [MF] | 10/28/2020 | S (partial) | \$1,001 - \$15,000 | Γ |
| Edward Jones Roth IRA ⇒ American Capital Income Builder Fund C [MF] | 06/3/2020 | Р | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------------|--------------------|---------------------------|
| Edward Jones Roth IRA ⇒ American Capital Income Builder Fund C [MF] | | 10/12/2020 | Р | \$1,001 - \$15,000 | |
| Edward Jones Roth IRA ⇒ American Capital Income Builder Fund C [MF] | | 10/28/2020 | S (partial) | \$1,001 - \$15,000 | |
| Edward Jones Roth IRA ⇒ American Fundamental Investors Fund [MF] | | 10/28/2020 | S (partial) | \$1,001 - \$15,000 | |
| Edward Jones Roth IRA ⇒ American Intermediate Bond Fund of America [MF] | | 10/28/2020 | S (partial) | \$1,001 - \$15,000 | |
| Edward Jones Roth IRA ⇒ American New World Fund [MF] | | 10/28/2020 | S (partial) | \$1,001 - \$15,000 | Γ |
| Edward Jones Roth IRA ⇒ American SMALLCAP World [MF] | | 10/28/2020 | S (partial) | \$1,001 - \$15,000 | |
| Edward Jones Roth IRA ⇒ Hartford Capital Appreciation Fund C [MF] | | 10/28/2020 | S (partial) | \$1,001 - \$15,000 | Γ |
| Edward Jones Roth IRA ⇒ Hartford International Growth Fund [MF] | | 10/12/2020 | S (partial) | \$1,001 - \$15,000 | |
| Edward Jones Roth IRA ⇒ Hartford International Growth Fund [MF] | | 10/28/2020 | S (partial) | \$1,001 - \$15,000 | Γ |
| Edward Jones Roth IRA ⇒ Hartford Midcap [MF] | | 10/28/2020 | S (partial) | \$1,001 - \$15,000 | |
| Edward Jones Roth IRA ⇒ Hartford Small Company [MF] | | 10/12/2020 | S (partial) | \$1,001 - \$15,000 | |
| Edward Jones Roth IRA ⇒ Hartford Small Company [MF] | | 10/28/2020 | S (partial) | \$1,001 - \$15,000 | |
| Edward Jones Roth IRA ⇒ Hartford Total Return Bond Fund [MF] | | 10/12/2020 | Р | \$1,001 - \$15,000 | |
| Edward Jones Roth IRA ⇒ Hartford Total Return Bond Fund [MF] | | 10/28/2020 | S (partial) | \$1,001 - \$15,000 | |
| Edward Jones Roth IRA \Rightarrow | | 06/3/2020 | S | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------------|--------------------------|---------------------------|
| JP Morgan Growth Advantage [MF] | | | (partial) | | |
| Edward Jones Roth IRA ⇒ JP Morgan Growth Advantage [MF] | | 10/12/2020 | S (partial) | \$1,001 - \$15,000 | |
| Edward Jones Roth IRA ⇒ JP Morgan Growth Advantage [MF] | | 10/28/2020 | S (partial) | \$1,001 - \$15,000 | |
| Edward Jones Roth IRA ⇒ T Rowe Price US Treasury [MF] | | 06/3/2020 | Р | \$1,001 - \$15,000 | |
| Edward Jones Roth IRA ⇒ T Rowe Price US Treasury [MF] | | 10/12/2020 | Р | \$1,001 - \$15,000 | |
| Edward Jones Roth IRA ⇒ T Rowe Price US Treasury [MF] | | 10/28/2020 | S (partial) | \$1,001 - \$15,000 | |
| Edward Jones Traditional IRA ⇒ JP Morgan Growth Advantage [MF] | | 06/3/2020 | S (partial) | \$1,001 - \$15,000 | Γ |
| Edward Jones Traditional IRA ⇒ JP Morgan Growth Advantage [MF] | | 10/12/2020 | S (partial) | \$1,001 - \$15,000 | |
| Rental Property [RP] LOCATION: washington, DC, US | SP | 03/1/2020 | S | \$250,001 - \$500,000 | \checkmark |
| Location: washington, DC, US | | | | | |
| T Rowe Price Capitol Connections 401k ⇒ T Rowe Price Balanced Fund [MF] | SP | 12/11/2020 | Р | \$1,001 - \$15,000 | |
| T Rowe Price Capitol Connections 401k ⇒ T Rowe Price Balanced Fund [MF] | SP | 10/12/2020 | Р | \$1,001 - \$15,000 | |
| T Rowe Price Capitol Connections 401k ⇒ T Rowe Price Capital Appreciation [MF] | SP | 10/12/2020 | Р | \$1,001 - \$15,000 | |
| T Rowe Price Capitol Connections 401k ⇒ T Rowe Price Capital Appreciation [MF] | SP | 12/14/2020 | Р | \$1,001 - \$15,000 | |
| T Rowe Price Capitol Connections 401k ⇒ T Rowe Price Communications & Technology Fund [MF] | SP | 10/12/2020 | Р | \$1,001 - \$15,000 | |
| T Rowe Price Capitol Connections 401k ⇒ T Rowe Price Communications & Technology Fund [MF] | SP | 12/15/2020 | Р | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Тх. Туре | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|---------------------|---------------------------|
| T Rowe Price Capitol Connections 401k ⇒ T Rowe Price Growth & Income [MF] | SP | 10/12/2020 | Р | \$1,001 - \$15,000 | |
| T Rowe Price Capitol Connections 401k ⇒ T Rowe Price Growth & Income [MF] | SP | 12/11/2020 | Р | \$1,001 - \$15,000 | |
| T Rowe Price Capitol Connections 401k ⇒ T Rowe Price New Asia Fund [MF] | SP | 10/12/2020 | Р | \$1,001 - \$15,000 | |
| T Rowe Price Capitol Connections 401k ⇒ T Rowe Price Science & Technology [MF] | SP | 10/12/2020 | Р | \$1,001 - \$15,000 | |
| T Rowe Price Capitol Connections 401k ⇒ T Rowe Price Science & Technology [MF] | SP | 12/15/2020 | Р | \$15,001 - \$50,000 | |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

SCHEDULE C: EARNED INCOME

| Source | Туре | Amount |
|--------------------------|---------------|--------|
| Kelley Drye & Warren LLP | Spouse Salary | N/A |
| Capitol Connections LLC | Spouse Salary | N/A |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Туре | Amount of Liability | | | |
|-------|--|------------------------|-----------------------------|------------------------------|--|--|--|
| | Banner Bank, Kennewick, WA | March 2016 | Farm loan | \$1,000,001 - \$5,000,000 | | | |
| | COMMENTS: Loan used to buy out family me | ember in 3 land/farm e | entities. | | | | |
| SP | National Capital Bank | November 2011 | Mortgage on rental property | \$100,001 - \$250,000 | | | |
| | COMMENTS: Debt was extinguished with sale of rental property in March 2020 | | | | | | |

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|-------------------------|----------------------|
| Ex-officio Board Member | State Fair Park |

SCHEDULE F: AGREEMENTS

| Date | Parties To | Terms of Agreement | | | |
|--------------|------------------------------|--|--|--|--|
| January 2015 | Member & State of Washington | Continued participation in Washington State Public Employees' Retirement System Plan 2. | | | |

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Trip Details | | | | | Inclusions | | |
|--|---------------|-----------|--|---------------------|-------------------------|--------------|---------|
| Source | Start Date | End Date | Itinerary | Days at Own Exp. | Lodging? | Food? | Family? |
| Int'l Conservation Caucus Fdn & White Oak Conservation | | 02/2/2020 | Washington, DC - Jacksonville, FL - Washington, DC | 1 | $\overline{\checkmark}$ | \checkmark | V |

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Edward Jones IRA
- Edward Jones Roth IRA
- Edward Jones Traditional IRA
- T Rowe Price Capitol Connections 401k (Owner: SP)
 DESCRIPTION: This was incorrectly categorizes as an investment account on prior reports, but is actually a tax-deferred 401k account

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

• Yes • No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Ves
No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or

dependent child because they meet all three tests for exemption?

€ Yes € No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Dan Newhouse , 08/6/2021