



FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Kathleen Rice
Status: Member
State/District: NY04

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2020
Filing Date: 08/2/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Chase Bank Account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200 <input type="checkbox"/>
IRA Rollover Account - American Portfolios ⇒ American Beacon Ark Disruptive Innovation Fund Class Y (ADNYX) [MF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
IRA Rollover Account - American Portfolios ⇒ Baron Growth Fund Institutional Class (BGRIX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
IRA Rollover Account - American Portfolios ⇒ Dreyfus Ins Deposit -Brokerage Money Market [IH]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
IRA Rollover Account - American Portfolios ⇒ Franklin Income Fund Advisor Class (FRIAX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
New York State and Local Retirement System [PE]		Undetermined	None	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA Rollover Account - American Portfolios ⇒ American Beacon Ark Disruptive Innovation Fund Class Y (ADNYX) [MF]	03/3/2020	P	\$1,001 - \$15,000	
IRA Rollover Account - American Portfolios ⇒ Baron Growth Fund Institutional Class (BGRIX) [MF]	03/3/2020	P	\$1,001 - \$15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	JP Morgan Chase NA	February 2011	Mortgage on Personal Residence	\$50,001 - \$100,000
	COMMENTS: Paid in full 1/6/2020 due to refinancing			
	American Express	December 2020	Revolving charge account	\$10,000 - \$15,000
	Quicken Loans LLC	January 2020	Mortgage on personal residence	\$100,001 - \$250,000
	COMMENTS: Refinanced JP Morgan Chase mortgage			

SCHEDULE E: POSITIONS

Position	Name of Organization
Member, Board of Governors	Touro Law Center

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2006	Kathleen Rice and New York State	Pension system participant - New York State and Local Retirement System

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- o IRA Rollover Account - American Portfolios

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

- Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

- Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

- Yes No

COMMENTS

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Kathleen Rice , 08/2/2021