Filing ID #10041804

Financial Disclosure Report

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Filer Information

Name: Hon. Hakeem S. Jeffries
Status: Member
State/District: NY08

Filing Information

Filing Type: Annual Report
Filing Year: 2020
Filing Date: 08/10/2021

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Bank of America Accounts [BA]	JT	$1,001 - $15,000	Interest	$1 - $200	☐
HSBC Accounts [BA]		$100,001 - $250,000	Interest	$201 - $1,000	☐
HSBC Brokerage Account ⇒ Lord Abbett Floating Rate Fund Class C [OT]		$15,001 - $50,000	Dividends	$1,001 - $2,500	☑
Island Federal Credit Union Account [BA]	SP	$15,001 - $50,000	Interest	$1 - $200	☐
Lutheran Medical Center 401K ⇒ VALIC Fixed Interest Option [PE]	SP	$1,001 - $15,000	Tax-Deferred		☐
Lutheran Medical Center 401K ⇒ Vanguard Equity Income Adm [OT]	SP	$1,001 - $15,000	Tax-Deferred		☐
Lutheran Medical Center 401K ⇒ Vanguard Inst Tg Rtm 35 Inst [OT]	SP	$1,001 - $15,000	Tax-Deferred		☐
Lutheran Medical Center 401K ⇒	SP	$1,001 - $15,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Vanguard Ttl Bd Mkt Idx Ins [OT]					
Merrill Edge Guided Investing Traditional IRA ⇒ iShares Core S&P Small-Cap ETF (IJR) [ST]		$1,001 - $15,000	Dividends	$1 - $200	☐
Merrill Edge Guided Investing Traditional IRA ⇒ ISHARES Core S&P U.S. Growth ETF (IUSG) [EF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Merrill Edge Guided Investing Traditional IRA ⇒ ISHARES Core S&P U.S. Value ETF (IUSV) [EF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Merrill Edge Guided Investing Traditional IRA ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Merrill Edge Guided Investing Traditional IRA ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [ST]		$1,001 - $15,000	Dividends	$1 - $200	☐
Merrill Edge Investment Account-Non Retirement ⇒ ProShares S&P MidCap 400 Dividend Aristocrats ETF (REGL) [EF]	JT	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☑
Merrill Edge Investment Account-Non Retirement ⇒ SPDR US DVDND ARISTOCRAT ETF (SDY) [EF]	JT	$50,001 - $100,000	Dividends	$1,001 - $2,500	☐
Merrill Edge Investment Account-Non Retirement ⇒ Vanguard REIT ETF (VNQ) [EF]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
New York State Pension [PE]		$15,001 - $50,000	None		☐
Northwestern Mutual Whole Life Insurance Policy [WU]		$50,001 - $100,000	None		☐
Northwestern Mutual Whole Life Insurance Policy [WU]	SP	$15,001 - $50,000	None		☐
NY 529 Direct Plan (Dependent Child 1) Aggressive Age Based Option/Moderate Age Based Option/Conserv [OT]		$50,001 - $100,000	Tax-Deferred		☑
NY 529 Direct Plan (Dependent Child 2) Aggressive Age Based Option/Moderate Age Based Option/Conserv [OT]		$50,001 - $100,000	Tax-Deferred		☑
Transamerica Custodial Traditional IRA Money Market Account [BA]	SP	$1,001 - $15,000	None		☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit

https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
HSBC Brokerage Account ⇒ Lord Abbett Floating Rate Fund Class C [OT] Location: US		01/2/2020	S (partial)	$1,001 - $15,000	☐
HSBC Brokerage Account ⇒ Lord Abbett Floating Rate Fund Class C [OT] Location: US		03/17/2020	S (partial)	$15,001 - $50,000	☐
Merrill Edge Investment Account-Non Retirement ⇒ ProShares S&P MidCap 400 Dividend Aristocrats ETF (REGL) [OT] Location: US Description: This asset is an ETF.	JT	11/25/2020	S (partial)	$1,001 - $15,000	☑
NY 529 (Dependant Child 1) Moderate Age Based Option/Conservative Age Based Option [OT] Location: US Description: Partial withdrawal in connection with college tuition, room & board.		08/26/2020	S (partial)	$15,001 - $50,000	☐
NY 529 Direct Plan (Dependant Child 1) Aggressive Age Based Option/Moderate Age Based Option/Conservative Age Based Option [OT] Location: US Description: Additional contribution to existing NY 529 Plan, allocated to Aggressive Age Based Option: Conservative Portfolio and Moderate Age Based Option: Income Portfolio.		12/28/2020	P	$15,001 - $50,000	
NY 529 Direct Plan (Dependant Child 1) Moderate Age Based Option/Conservative Age Based Option [OT] Location: US Description: Additional contribution to existing NY 529 Plan.		04/14/2020	P	$1,001 - $15,000	
NY 529 Direct Plan (Dependant Child 1) Moderate Age Based Option/Conservative Age Based Option [OT] Location: US Description: Partial withdrawal in connection with college tuition, room & board.		02/4/2020	S (partial)	$1,001 - $15,000	☐
NY 529 Direct Plan (Dependant Child 1) Moderate Age Based Option/Conservative Age Based Option [OT] Location: US Description: Partial withdrawal in connection with college tuition, room & board.		12/15/2020	S (partial)	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
NY 529 Direct Plan (Dependant Child 1) Moderate Age Based Option/Conservative Age Based Option [OT] LOCATION: US DESCRIPTION: Reallocation of 4% of the portfolio from Conservative Age Based Option: Income Portfolio to Moderate Age Based Option: Income Portfolio		03/12/2020	E	$1,001 - $15,000	
NY 529 Direct Plan (Dependant Child 2) Aggresive Age Based Option/Moderate Age Based Option/Conservative Age Based Option [OT] LOCATION: US DESCRIPTION: Reallocation of 14% of the portfolio from Aggressive Age Based Option: Disciplined Growth Portfolio to Moderate Age Based Option: Conservative Portfolio and Conservative Age Based Option: Balanced Income Portfolio. Reallocation of 19% of the portfolio from Moderate Age Based Option: Conservative Portfolio to Conservative Age Based Option: Balanced Income Portfolio. Reallocation of 7% of the portfolio from Conservative Age Based Option to Moderate Age Based Option: Conservative Portfolio.		03/17/2020	E	$15,001 - $50,000	
NY 529 Direct Plan (Dependant Child 2) Aggressive Age Based Option/Moderate Age Based Option/Conservative Age Based Option [OT] LOCATION: US DESCRIPTION: Reallocation of 18% of the portfolio from Conservative Age Based Option: Balanced Income Portfolio to Aggressive Age Based Option: Disciplined Growth Portfolio and Moderate Age Based Portfolio: Conservative Portfolio. Reallocation of 57% of the portfolio from Moderate Age Based Option: Conservative Portfolio to Aggressive Age Based Option: Disciplined Growth Portfolio and Conservative Age Based Option: Balanced Income Portfolio.		12/15/2020	E	$50,001 - $100,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
Worksite Medical Service PC	Spouse Salary	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	NewRez LLC	July 2015	Primary Residence Mortgage	$250,001 - $500,000
	BB&T	January 2017	Mortgage on Washington, DC Residence	$50,001 - $100,000
	Capitol Hill Tower Housing Corporation	January 2017	Share of Coop Mortgage on Washington, DC Residence	$100,001 - $250,000
	HSBC	August 2016	Home Equity Line of Credit	$15,001 - $50,000

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Bank of America Visa	February 2020	Transferred and consolidated credit card debt	$15,001 - $50,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

Date	Parties To	Terms of Agreement
January 2007	New York State Common Retirement Fund/ Hakeem S. Jeffries	Continued participation in a New York State pension plan.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- HSBC Brokerage Account
  Location: US
- Lutheran Medical Center 401K (Owner: SP)
- Merrill Edge Guided Investing Traditional IRA
- Merrill Edge Investment Account-Non Retirement (Owner: JT)
  Location: US

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Hakeem S. Jeffries , 08/10/2021