Filing ID #10041705

Financial Disclosure Report

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Filer Information

Name: Hon. John P. Sarbanes
Status: Member
State/District: MD03

Filing Information

Filing Type: Annual Report
Filing Year: 2020
Filing Date: 08/10/2021

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Capital One Money Market Account [BA]	SP	$50,001 - $100,000	Interest	$201 - $1,000	☐
MD College Investment Plan Portfolio 2015 [5P] Location: MD	DC	$15,001 - $50,000	Tax-Deferred		☐
Morgan Stanley American Washington Mutual [MF]	JT	$50,001 - $100,000	Capital Gains, Dividends	$15,001 - $50,000	☑
Nationwide Retirement Vankamp Growth & Inc. FdA [MF]	SP	$15,001 - $50,000	Tax-Deferred		☐
Pappas Education Trust ⇒ Ithaka Group [EQ]		None	None		☐
Pappas Education Trust ⇒ Pappas Education Trust [EQ]		None	Education Reimbursement	$2,245.00	☐
Pappas Education Trust ⇒ Parametric Portfolio Associates [EQ]		None	None		☐
Pappas Education Trust ⇒		None	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
PCA LCV Dividend Focus [EQ]					
Pappas Education Trust ⇒ PNC [EQ]		None	None		☐
Pappas Education Trust ⇒ Principal Global Investors [EQ]		None	None		☐
Pappas Education Trust ⇒ Schafer Cullen Capital Mgmt. [EQ]		None	None		☐
Pappas Education Trust ⇒ Thematic Portfolio [EQ]		None	None		☐
Pappas Education Trust ⇒ Tributary Capital Mgmt. [EQ]		None	None		☐
Vanguard FTSE Social Index Fund Inv. Shares [MF]	JT	$100,001 - $250,000	Dividends	$1,001 - $2,500	☐
Vanguard Traditional IRA Tgt. Rtmt 2030 [IH]	SP	$100,001 - $250,000	Tax-Deferred		☑
Wells Fargo Bank Accounts [BA]	JT	$50,001 - $100,000	Interest	None	☐
Wells Fargo Traditional IRA Retirement Savings Certificate [IH]	SP	$1,001 - $15,000	Tax-Deferred		☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley American Washington Mutual [MF]	JT	01/31/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley American Washington Mutual [MF]	JT	07/16/2020	S (partial)	$15,001 - $50,000	☑
Vanguard Traditional IRA Tgt. Rtmt 2030 [IH]	SP	07/6/2020	P	$1,001 - $15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

None disclosed.

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Pittsfield Coop Bank	September 2019	Home Mortgage	$100,001 - $250,000

Schedule E: Positions

Position	Name of Organization
Board of Directors (uncompensated)	YMCA of Central Maryland

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Pappas Education Trust
  Description: The Pappas Education Trust (the "Trust") was established for the purpose of awarding education related grants and reimbursements that qualify as "educational expenses" as such term is defined under the Trust. All potential recipients of educational reimbursements from the Trust are contingent income beneficiaries only and retain no ownership or vested beneficiary interest in the principal or income from the trust. Potential recipients are provided an annual prospectus of the trust but are not provided specific information about the genesis of any educational reimbursement. Beneficiaries of the trust have no oversight or control over the Trustee.

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. John P. Sarbanes , 08/10/2021