



FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Carolyn B. Maloney
Status: Member
State/District: NY12

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2020
Filing Date: 08/12/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
BABYLON NY VARIOUS PURPOSES SER BDS 2018 G/O LTD B/E [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: BABYLON NY VARIOUS PURPOSES SER BDS 2018 G/O LTD B/E, COUPON 4.00%, DUE 11-01-2032, CUSIP 05620PLSo					
BEECHTREE PARK, INC., REAL ESTATE INVESTMENTS IN VIRGINIA BEACH AND CHESAPEAKE, VIRGINIA [PS]		\$500,001 - \$1,000,000	Capital Gains, Dividends, Interest, Rent	None	<input type="checkbox"/>
DESCRIPTION: NONE					
BIRDNECK COUNTRY CLUB APARTMENTS, INC. , LAND INVESTMENT IN VIRGINIA BEACH, VIRGINIA [PS]		\$500,001 - \$1,000,000	Interest, Rent	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: NONE					
BOSHER FAMILY PARTNERSHIP LP [RP]		None	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
LOCATION: VARIOUS LOCATIONS, N.C. US, VA, US DESCRIPTION: 1.TWO RESIDENTIAL LOTS IN EDENTON, N.C. 2. 2.76 ACRES OF LAND IN CHOWAN COUNTY, N.C. 3. 107.00 ACRES OF LAND IN ELIZABETH CITY, N.C. 4. 26 ACRES OF LAND IN ELIZABETH CITY, N.C.					
BRONXVILLE NY UN FREE SCH DIST BDS 2019 G/O UNLTD B/E [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: BRONXVILLE NY UN FREE SCH DIST BDS 2019 G/O B/E, COUPON 2.25%, DUE 09-15-2034, CUSIP 112439LJ1					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
CBM BLIND TRUST U/A DTD 12/07/2018 [EQ]		\$1,000,001 - \$5,000,000	Excepted/Blind Trust	Not Applicable	<input type="checkbox"/>
DESCRIPTION: CBM BLIND TRUST U/A DTD 12/07/2018					
CHASE CHECKING [BA]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
CHASE CHECKING ACCOUNT [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
CHASE SAVINGS ACCOUNT [BA]		\$250,001 - \$500,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
CITI BANK, RENTAL CHECKING FOR RENTAL HOUSE IN N.Y. [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
COLUMBIA CNTY NY PUB IMPT SER B G/O LTD B/E [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: COLUMBIA CNTY NY PUB IMPT SER B G/O LTD B/E, 3.625%, DUE 02-01-2032, CUSIP 197504RJ4					
CONGRESSIONAL CREDIT UNION, WASHINGTON D.C. [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
CORTLAND NY PUB IMPT BDS 2018 G/O LTD B/E B/Q MAC [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CORTLAND NY PUB IMPT BDS 2018 G/O LTD B/W B/Q MAC, COUPON 3.50%, DUE 11-15-2033, CUSIP 220633TT8					
EAST QUOGUE NY UN FREE S/D SUFFOLK CNTY G/O LTD B/E ST AID WITHLDG [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: EAST QUOGUE NY UN FREE S/D SUFFOLK CNTY G/O LTD B/E ST AID WITHLDG, DOUPONE 3.00%, DUE 06-15-2035, CUSIP 274667CX0					
FIDELITY FUNDS-FIDELITY TREASURY ONLY [MF]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: FIDELITY FUNDS FIDELITY TREASURY ONLY, CUSIP 31607A802					
GARDEN CITY NY PUB IMPT BDS 2018 A G/O LTD B/E [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: GARDEN CITY NY PUB IMPT BDS 2018 AS G/O LTD B/E, COUPON 3.00%, DUE 08-01-2033, CUSIP 365154RH8					
GARDEN CITY NY PUB IMPT BDS 2018 SER A G/O LTD B/E OID [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: GARDEN CITY NY PUB IMPT BDS 2018 SER A G/O LTD B/E OID, COUPON 3.00%, DUE 08-01-2034, CUSIP 365154RJ4					
HARRISON NY PUB IMPT BDS 2018 G/O LTD B/E [GS]		\$50,001 -	Interest	\$1,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$100,000		\$2,500	
DESCRIPTION: HARRISON NY PUB IMPT BDS 2018 G/O LTD B/E, COUPON 3.75%, DUE 11-01-2038, CUSIP 415361AU8					
JTP, INC. LAND AND RESIDENTAL RENTALS, JOHNSTOWN ROAD, CHESAPEAKE, VIRGINIA [PS]		\$15,001 - \$50,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: THIS IS A 10% INTEREST IN THE ENTITY					
LINKHORN PLACE ASSOCIATES, LP. INVESTMENT IN REAL ESTATE IN VIRGINIA BEACH, VIRGINIA [OL]		\$50,001 - \$100,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: VIRGINIA BEACH, VA, US DESCRIPTION: 4.785625% INTEREST IN PARTNERSHIP INHERITED IN SETTLEMENT OF THE ESTATE OF RALPH G. BOSHER ON DECEMBER 31, 2013					
LOCKPORT TOWN NY PUB IMPT BDS 2018 G/O LTD B/E BAM [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: LOCKPORT TOWN NY PUB IMPT BDS 2018 G/O LTD B/E BAM, COUPON 4.00%, DUE 12-01-2036, CUSIP 540057RDo					
MANHASSET NY UN FREE SCH DIST SER C G/O UNLTD B/E [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: MANHASSET NY UN FREE SCH DIST SER C G/O UNLTD B/E, 3.00%, DUE 12-15-2029, CUSIP 562745KX6					
NEW ROCHELLE NY PUB IMPT G/O LTD B/E [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: NEW ROCHELLE NY PUB IMPT G/O LTD B/E, COUPON 3.00%, DUE 04/01-1932, CUSIP 6485165Mo					
NEW YORK NY CITY MUN WTR FIN ATH WTR SWR SYS SEC GEN RESOL SER FF REV B/E [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: NEW YORK NY CITY MUN WTR FIN ATH WTR SWR SYS SEC GEN RESOL SER FF REV B/E, COUPON 5.00%, DUE 06-15-2039, CUSIP 64972GFU3					
NEW YORK NY CITY TRANSITIONAL FIN AUTH REV SUBSER B-1 [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: NEW YORK NY CITY TRANSITIONAL FIN AUTH REV SUBSER B-1, COUPON 5.00%, DUE 08-01-2042, CUSIP 64971WNM8					
NEW YORK NY SUBSER A-1 G/O UNLTD OID B/E [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: NEW YORK NY SUBSER A-1 G/O UNLTD OID B/E, COUPON 4.00%, DUE 08/01/2027, CUSIP 64966KP56					
NEW YORK ST DORM AUTH REVS NON ST SUPPORTED DEBT 12 SEE 649906TD [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: NEW YORK ST DORM AUTH REVS NON ST SUPPORTED DEBT 12 SEE 649906TD, COUPON 5.00%, DUE 07-01-2043, CUSIP 6499077A4					
NEW YORK ST DORM AUTH REVS NON ST SUPPORTED DEBT RFDG NY UNIV SER A [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: NEW YORK ST DORM AUTH REVS NON ST SUPPORTED DEBT RFDG NY UNIV SER A, COUPON 4.00%, DUE 07/01/2039, CUSIP 64999CEJ6					
NEW YORK ST DORM AUTH SALES TAX REV ST SUPPORTED SER B GRP A [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: NEW YORK ST DORM AUTH SALES TAX REV ST SUPPORTED SER B GRP A, COUPON 5.00%, DUE 03-15-2033, CUSIP 64990AEB7					
NEW YORK ST DORM AUTH SLS TAX REV ST SPRTD DEBT BDS 2013A B/E UNREF [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: NEW YORK ST DORM AUTH SLS TAX REV ST SPRTD DEBT BDS 2013A B/E UNREF, COUPON 5.00%, DUE 03-15-2043, CUSIP 64990APN9					
NEW YORK ST DORM AUTH ST PERS INCOME TAX REV FOR REF GEN PURP SER A B/E [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: NEW YORK ST DORM AUTH ST PERS INCOME TAX REV FOR REF GEN PURP SER A B/E, COUPON 5.00%, DUE 03-15-2033, CUSIP 64990EYN1					
NEW YORK ST DORM AUTH ST PERS INCOME TAX REV FOR SER C GRP C PRIOR TO [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: NEW YORK ST DORM AUTH ST PERS INCOME TAX REV FOR SER C GRP C PRIOR TO, COUPON 5.00%, DUE 03-15-2036, CUSIP 64990EQX8					
NEW YORK ST DORM AUTH ST PERS INCOME TAX REV RFDG GEN PURP SER A B/E [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: NEW YORK ST DORM AUTH ST PERS INCOME TAX REV RFDG GEN PURP SER A B/E, COUPON 5.00%, DUE 12/15/2028, CUSIP 54990ECK1					
NEW YORK ST DORM AUTH ST PERS INCOME TAX REV SER A B/E [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: NEW YORK ST DORM AUTH ST PERS INCOME TAX REV SER A B/E, COUPON 5.00%, DUE 02-15-2043, CUSIP 64990EKW6					
NEW YORK ST. DORM AUTH ST PERS INCOME TAX REV SER G-B/E [GS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: NEW YORK ST. DORM AUTH ST PERS INCOME TAX REV SER G-B/E, COUPON 5.25%, DUE 08/15/2036, CUSIP 64990EAT4					
NORTH HEMPSTEAD NY PUB IMPT BDS 2019 B G/O LTD B/E [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: NORTH HEMPSTEAD NY PUB IMPT BDS 2019 B G/O LTD B/E, COUPON 2.50%, DUE 09-15-2036, CUSIP 659666D24					
PORT AUTH NY & NJ CONS ONE HUNDRED EIGHTY FOURTH DB B\E [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: PORT AUTH NY & NJ CONS ONE HUNDRED EIGHTY FOURTH DB B\E, COUPON 5.00%, DUE 09-01-2036, CUSIP 73358WTD6					
PORT AUTH NY & NJ CONS ONE HUNDRED SEVENTY		\$1,001 - \$15,000	Interest	\$201 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
NINTH REV B/E [GS]				\$1,000	
DESCRIPTION: PORT AUTH NY & NJ CONS ONE HUNDRED SEVENTY NINTH REV B/E, COUPON 5.00%, DUE 12-01-2038, CUSIP 73358WQY3					
RESIDENTIAL RENTAL PROPERTY AND RESIDENCE, EAST 92ND ST., HELD IN NAME ATHENA ASTORIA REALTY LLC [RP]		\$1,000,001 - \$5,000,000	Rent	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: NEW YORK, NY, US DESCRIPTION: NONE					
RESIDENTIAL RENTALS, 206 D. STREET SE, WASHINGTON, D.C. [RP]		\$1,000,001 - \$5,000,000	Rent	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: WASHINGTON, DC, US DESCRIPTION: NONE					
SARATOGA SPRINGS NY PUB IMPT SERIAL BDS 2019 G/O LTD B/E B/Q [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: SARATOGA SPRINGS NY PUB IMPT SERIAL BDS 2019 G/O LTD B/E B/Q, COUPON 2.75%, DUE 06-15-2036, CUSIP 803531ZM7					
SCARSDALE NY PUB IMPT BDS 2018 G/O LTD B/E OID [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: SCARSDALE, NY PUB IMPT BDS 2018 G/O LTD B/E OID, COUPON 3.375%, DUE 10-15-2034, CUSIP 806143MT7					
SUFFOLK CNTY NY WTR AUTH WTRWKS REV RFDG B\E [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: SUFFOLK CNTY NY WTR AUTH WTRWKS REV RFDG B\E, COUPON 5.00%, DUE 06/01/2023, CUSIP 864784DG5					
SUFFOLK CNTY NY WTR AUTH WTRWKS REV SER A B/E [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: SUFFOLK CNTY NY WTR AUTH WTRWKS REV SER A B/E, COUPON 5.00%, DUE 06-01-2038, CUSIP 864784FA6					
TD BANK, RENTAL CHECKING ACCOUNT FOR WASHINGTON D.C. [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
TRIBOROUGH BRDG TUNL AUTH NY REVS GEN SER C B/E [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: TRIBOROUGH BRDG & TUNL AUTH NY REVS GEN SER C B/E, COUPON 5.00% DUE 11-15-2038, CUSIP 89602NS96					
UNDIVIDED INTEREST IN 96 ACRES , ROUTE 64, SOUTHAMPTON COUNTY VA [RP]		None	Capital Gains	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: SOUTHAMPTON COUNTY, VA, US					
WELLS FARGO MONEY MARKET FUND [MF]		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: WELLS FARGO MONEY MARKET AND SWEEP FUNDS					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WHITE PLAINS NY PUB IMPT BDS 2018 G/O LTD B/E [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: WHITE PLAINS NY PUB IMPT BDS 2018 G/O LTD B/E. COUPON 3.25%, DUE 09-15-2035, CUSIP 9643898A1					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
FIDELITY FUNDS - FIDELITY TREASURY ONLY [GS]		01/9/2020	P	\$500,001 - \$1,000,000	
DESCRIPTION: FIDELITY FUNDS-FIDELITY TREASURY ONLY					
FIDELITY FUNDS FEDERAL TREASURY ONLY MUTUAL FUND [GS]		05/20/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: PARTIAL SALE OF FIDELITY FUNDS - FEDERAL TREASURY ONLY, OPEN END MUTUAL FUND, SYMBOL FRSSXX					
FIDELITY FUNDS-FIDELITY TREASURY ONLY [GS]		06/2/2020	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
DESCRIPTION: PARTIAL SALE OF FIDELITY FUNDS-FIDELITY TREASURY ONLY, OPEN END MUTUAL FUND, SYMBOL FRSSXX					
FIDELITY FUNDS-FIDELITY TREASURY ONLY [GS]		06/4/2020	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
DESCRIPTION: PARTIAL SALE OF FIDELITY FUNDS-FIDELITY TREASURY ONLY, OPEN END MUTUAL FUND, SYMBOL FRSSXX					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	CONGRESSIONAL FEDERAL CREDIT UNION	OCTOBER 2012	MORTGAGE OF 206 D. STREET, WASHINGTON, D.C.	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
SINGLE MEMBER LLC/MANAGER	ANTHENA ASTORIA REALTY, LLC

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Source	Trip Details				Inclusions		
	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
CODEL	02/16/2020	02/18/2020	New York, NY - San Juan PR USA - New York, NY	2	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Carolyn B. Maloney , 08/12/2021