



# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Brian Mast  
**Status:** Member  
**State/District:** FL18

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2020  
**Filing Date:** 07/30/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Brian J Mast Roth IRA ⇒ JP Morgan Income Builder A [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ AQR Mngd Futures Strat I [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Blackrock Low Duration BD INST [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Blackstone Alt Multi-Strat Inst [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Cambiar Intl Equity Inv [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Causeway Emerging Mkts Inst [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Center Coast MLP Focus I [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Davis Opportunity Y [MF]					
Morgan Stanley Magnum Mast UTMA/FL ⇒ Delaware Value INSTL [MF]		\$719.56	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Edgewood Growth INSTL [MF]		\$971.73	Capital Gains	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Harding Loevner Intl Eqty Inst [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ ISHARES S&P 500 GRWTH ETF [EF]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ John Hancock Seaport I [MF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ JP Morgan Value Advantage I [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Lazard Intl Strat EQ PTF Inst [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Loomis Growth Y [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Metropolitan West TOT Ret BD I [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Vanguard Growth ETF [EF]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Cambiar Intl Equity Inv [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Causeway Emerging Mkts Inst [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Harding Loevner Intl Eqty Inst [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Lazard Intl Strat EQ PTF Inst [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley Maverick Mast UTMA/FL ⇒ Loomis Growth Y [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
MS Joint A/C ⇒ Expedia Group, Inc. (EXPE) [ST]		\$50,001 - \$100,000	Capital Gains	None	<input type="checkbox"/>
MS Joint A/C ⇒ Walt Disney Company (DIS) [ST]		\$50,001 - \$100,000	Capital Gains	None	<input type="checkbox"/>
USAA Checking and Savings Accounts [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Antero Midstream Corporation (AM) [ST]		01/8/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Beam Global (BEEM) [ST]		09/15/2020	P	\$15,001 - \$50,000	
Beam Global (BEEM) [ST]		11/5/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Big 5 Sporting Goods Corporation (BGFV) [ST]		02/14/2020	P	\$1,001 - \$15,000	
Big 5 Sporting Goods Corporation (BGFV) [ST]		08/7/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Big 5 Sporting Goods Corporation (BGFV) [ST]		08/7/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Davis Select U.S. Equity ETF (DUSA) [ST]		07/13/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Ideal Power Inc. (IPWR) [ST]		12/24/2020	P	\$15,001 - \$50,000	
NIO Inc. American depositary shares, each representing one Class A ordinary share (NIO) [ST]		07/2/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
NIO Inc. American depositary shares, each representing one Class A ordinary share (NIO) [ST]		07/6/2020	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Personalis, Inc. (PSNL) [ST]		02/14/2020	P	\$15,001 - \$50,000	
Personalis, Inc. (PSNL) [ST]		03/16/2020	P	\$1,001 - \$15,000	
Personalis, Inc. (PSNL) [ST]		04/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Personalis, Inc. (PSNL) [ST]		05/19/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Rackspace Technology, Inc. (RXT) [ST]		08/18/2020	P	\$15,001 - \$50,000	
Rackspace Technology, Inc. (RXT) [ST]		09/1/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SmileDirectClub, Inc. (SDC) [ST]		02/14/2020	P	\$1,001 - \$15,000	
SmileDirectClub, Inc. (SDC) [ST]		03/16/2020	P	\$1,001 - \$15,000	
Tilray, Inc. - Class 2 (TLRY) [ST]		11/6/2020	P	\$15,001 - \$50,000	
Uber Technologies, Inc. (UBER) [ST]		01/21/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Uber Technologies, Inc. (UBER) [ST]		02/7/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Zuora, Inc. Class A (ZUO) [ST]		09/3/2020	P	\$15,001 - \$50,000	
Zuora, Inc. Class A (ZUO) [ST]		12/11/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

None disclosed.

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	PNC Bank	January 2019	Auto Loan	\$10,000 - \$15,000
JT	USAA	February 2020	Auto Loan	\$15,001 - \$50,000

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Pennymac	September 2016	Mortgage	\$500,001 - \$1,000,000

### SCHEDULE E: POSITIONS

Position	Name of Organization
Manager	4M Properties of South Florida, LLC

### SCHEDULE F: AGREEMENTS

None disclosed.

### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### SCHEDULE A AND B ASSET CLASS DETAILS

- o Brian J Mast Roth IRA
- o Morgan Stanley Magnum Mast UTMA/FL  
LOCATION: US  
DESCRIPTION: UTMA - Magnum Mast
- o Morgan Stanley Maverick Mast UTMA/FL  
LOCATION: US  
DESCRIPTION: UTMA - Maverick Mast
- o MS Joint A/C  
LOCATION: US  
DESCRIPTION: Morgan Stanley Joint Advisory Account

### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Brian Mast , 07/30/2021