



# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Brian Higgins  
**Status:** Member  
**State/District:** NY26

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2020  
**Filing Date:** 08/11/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Congressional FCU [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
M&T Bank [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
M&T Bank [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
NVIDIA Corporation (NVDA) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
NY State & Local Employees Retirement Fund (not self-directed) [PE]		Undetermined	None		<input type="checkbox"/>
DESCRIPTION: Pension at retirement. COMMENTS: The New York State & Local Employees' Retirement System is administered by a sole trustee -- the elected New York State Comptroller. Investments are not self-directed. For more information please see <a href="http://www.osc.state.ny.us/pension.index.htm">http://www.osc.state.ny.us/pension.index.htm</a>					
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Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Schwab S&P 500 Index FC [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Sphere 3D Corp. - Common Shares (ANY) [ST]		\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Micron Technology, Inc. (MU) [ST]		09/4/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
NVIDIA Corporation (NVDA) [ST]		09/4/2020	P	\$15,001 - \$50,000	
Sphere 3D Corp. - Common Shares (ANY) [ST]		09/4/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Buffalo Board of Education	Spouse salary	\$82,536.00

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	First Reliance Mortgage	September 2020	Mortgage on personal residence	\$100,001 - \$250,000
	COMMENTS: This mortgage was a refinance of the prior mortgage			

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
1985	NY State & Local Employees' Retirement System	Pension at retirement
COMMENTS: The New York State & Local Employees' Retirement System is administered by a sole trustee -- the elected New York State Comptroller. Investments are not self-directed. For more information please see <a href="http://www.osc.state.ny.us/pension.index.htm">http://www.osc.state.ny.us/pension.index.htm</a>		

**SCHEDULE G: GIFTS**

None disclosed.

**SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

**SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

**EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

**CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Brian Higgins , 08/11/2021