



FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Sean Patrick Maloney
Status: Member
State/District: NY18

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2020
Filing Date: 08/13/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Citibank Accounts [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Inherited IRA ⇒ Apple Inc. (AAPL) [ST]		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Inherited IRA ⇒ BlackRock, Inc. (BLK) [ST]		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Inherited IRA ⇒ Fastenal Company (FAST) [ST]		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Inherited IRA ⇒ Microsoft Corporation (MSFT) [ST]		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Inherited IRA ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Inherited IRA ⇒ Roche Holdings AG Basel Ordinary Shares (RHHBF) [ST]		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Inherited IRA ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
M&T Bank Account [EQ]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Scotiabank, N.A. Account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
The Rural Connection, Inc. [OL]	SP	\$1,001 - \$15,000	Partnership Income	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: New York, NY, US					
DESCRIPTION: S-corp; real estate; consulting; development					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Inherited IRA ⇒ AIA Group, Ltd. Sponsored American Depository Receipt [MF]		06/26/2020	S	\$995.66	<input type="checkbox"/>
Inherited IRA ⇒ Apple Inc. (AAPL) [ST]		06/26/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Actual amount of transaction: \$2446.86					
COMMENTS: Note regarding all transactions on this report: Filer and his brothers inherited their mother's IRA upon her death. After completion of probate, the estate's financial advisors liquidated the assets in June 2020. During preparations for filer's 2020 FD report, it was determined that these 8 transactions totaling \$11k should have been reported on a PTR. As soon as this oversight was discovered, this filing was submitted to provide full transparency into that sale.					
Inherited IRA ⇒ AQR Managed Futures Strategy [MF]		06/26/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Blackrock Hi Yield Bond [MF]		06/26/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ BlackRock, Inc. (BLK) [ST]		06/26/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Actual amount of transaction: \$1031.86					
Inherited IRA ⇒ Calamos Market Neutral Inc. [MF]		06/26/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Inherited IRA ⇒ Carnival Corporation (CCL) [ST]	06/26/2020	S	\$311.88	<input type="checkbox"/>
Inherited IRA ⇒ Cincinnati Financial Corporation (CINF) [ST]	06/26/2020	S	\$599.44	<input type="checkbox"/>
Inherited IRA ⇒ Diageo plc (DEO) [ST] DESCRIPTION: Actual amount of transaction: \$1044.32	06/26/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Fastenal Company (FAST) [ST] DESCRIPTION: Actual amount of transaction: \$1038.27	06/26/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Inherited IRA ⇒ Gateway Fund [MF]	06/26/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Intel Corporation (INTC) [ST]	06/26/2020	S	\$955.07	<input type="checkbox"/>
Inherited IRA ⇒ iShares North American Natural Resources ETF [MF]	06/26/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ iShares TIPS Bond ETF [MF]	06/26/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Lord Abbett Short Duration [MF]	06/26/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]	06/26/2020	S	\$877.40	<input type="checkbox"/>
Inherited IRA ⇒ Microsoft Corporation (MSFT) [ST] DESCRIPTION: Actual amount of transaction: \$1150.31	06/26/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Inherited IRA ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST] DESCRIPTION: Actual amount of transaction: \$1722.28	06/26/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Inherited IRA ⇒ PACCAR Inc. (PCAR) [ST]	06/26/2020	S	\$986.86	<input type="checkbox"/>

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Inherited IRA ⇒ Principal MidCap [MF]	06/26/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Roche Holdings AG Basel American Depository Shares (RHHBY) [ST] DESCRIPTION: Actual amount of transaction: \$1236.32	06/26/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Inherited IRA ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST] DESCRIPTION: Actual amount of transaction: \$1381.13	06/26/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Inherited IRA ⇒ Vanguard FTSE Emerging Markets ETF [MF]	06/26/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Vanguard Real Estate ETF [MF]	06/26/2020	S	\$969.86	<input type="checkbox"/>
Inherited IRA ⇒ Vanguard Small Cap Value ETF [MF]	06/26/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Vanguard Total Bond Market ETF [MF]	06/26/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Wells Fargo & Company (WFC) [ST]	06/26/2020	S	\$491.56	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
The Rural Connection, Inc.	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Credit Union Mortgage Association	June 2013	Mortgage on Personal Residence, Cold Spring, NY	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Chair	Democratic Congressional Campaign Committee

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- o Inherited IRA
DESCRIPTION: Inherited in 2019

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

- Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

- Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

- Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Sean Patrick Maloney , 08/13/2021