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Financial Disclosure Report

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Filer Information

Name: Hon. Theodore P. Budd
Status: Member
State/District: NC13

Filing Information

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Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
2017 IRRV TR FBO 1 ⇒ Emerging Markets S [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	☑
Description: Asset was listed as a sub holding of 2017 IRRV TR FBO 2 (DC) last year in error. Now correctly listed.					
2017 IRRV TR FBO 1 ⇒ Fidelity Money Market [BA]	DC	None	None		☐
2017 IRRV TR FBO 1 ⇒ Russell Lifepoints Balanced Strategy S [MF]	DC	None	None		☑
Description: Transfer from AKB Individual TOD 18, then sold					
2017 IRRV TR FBO 1 ⇒ Russell Tax-Managed Real Assets CL S [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	☑
2017 IRRV TR FBO 1 ⇒ Tax Exempt Bond Fund Class S [MF]	DC	$15,001 - $50,000	Dividends	$1 - $200	☑
2017 IRRV TR FBO 1 ⇒ Tax Exempt High Yield Bond S [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	☑
2017 IRRV TR FBO 1 ⇒ Tax Managed International Equity S [MF]	DC	$15,001 - $50,000	Dividends	$201 - $1,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
2017 IRRV TR FBO 1 ⇒ Tax Managed US Large Cap Fund CL [MF]	DC	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☑
2017 IRRV TR FBO 1 ⇒ Tax Managed US Mid & Small CP S [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	☐
2017 IRRV TR FBO 2 ⇒ Emerging Markets S [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	☑
2017 IRRV TR FBO 2 ⇒ Fidelity Money Market [BA]	DC	None	None		☐
2017 IRRV TR FBO 2 ⇒ Russell Lifepoints Balanced Strategy S [MF] DESCRIPTION: Transfer from AKB Individual TOD 18, then sold	DC	None	None		☑
2017 IRRV TR FBO 2 ⇒ Russell Tax-Managed Real Assets CL S [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	☑
2017 IRRV TR FBO 2 ⇒ Tax Exempt Bond Fund Class S [MF]	DC	$15,001 - $50,000	Dividends	$1 - $200	☑
2017 IRRV TR FBO 2 ⇒ Tax Exempt High Yield Bond S [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	☑
2017 IRRV TR FBO 2 ⇒ Tax Managed International Equity S [MF]	DC	$15,001 - $50,000	Dividends	$201 - $1,000	☑
2017 IRRV TR FBO 2 ⇒ Tax Managed US Large Cap Fund CL [MF]	DC	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☑
2017 IRRV TR FBO 2 ⇒ Tax Managed US Mid & Small CP S [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	☐
2017 IRRV TR FBO 3 ⇒ Emerging Markets S [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	☑
2017 IRRV TR FBO 3 ⇒ Fidelity Money Market [BA]	DC	None	None		☐
2017 IRRV TR FBO 3 ⇒ Russell Lifepoints Balanced Strategy S [MF] DESCRIPTION: Transfer from AKB Individual TOD 18, then sold	DC	None	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
2017 IRRV TR FBO 3 ⇒ Russell Tax-Managed Real Assets CL S [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	☑
2017 IRRV TR FBO 3 ⇒ Tax Exempt Bond Fund Class S [MF]	DC	$15,001 - $50,000	Dividends	$1 - $200	☑
2017 IRRV TR FBO 3 ⇒ Tax Exempt High Yield Bond S [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	☑
2017 IRRV TR FBO 3 ⇒ Tax Managed International Equity S [MF]	DC	$15,001 - $50,000	Dividends	$201 - $1,000	☑
2017 IRRV TR FBO 3 ⇒ Tax Managed US Large Cap Fund CL S [MF]	DC	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☑
2017 IRRV TR FBO 3 ⇒ Tax Managed US Mid & Small CP S [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	☐
AKB - ROTH IRA ⇒ DFA Global Real Estate SEC Portfolio [MF]	SP	None	Tax-Deferred		☑
AKB - ROTH IRA ⇒ DFA International Core Equity [MF]	SP	None	Tax-Deferred		☑
AKB - ROTH IRA ⇒ Emerging Markets S [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
AKB - ROTH IRA ⇒ Global Infrastructure CL S [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
AKB - ROTH IRA ⇒ Global Real Estate Securities S [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
AKB - ROTH IRA ⇒ International Developed Mrkt CL S [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑
AKB - ROTH IRA ⇒ Invesco Equally Weighted S&P 500 [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑
AKB - ROTH IRA ⇒ Opportunistic Credit Fund S [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
AKB - ROTH IRA ⇒ US Small Cap Equity Class S [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
AKB - ROTH IRA ⇒ US Strategic Equity Fund CLS [MF]	SP	None	Tax-Deferred		☑
AKB - ROTH IRA ⇒ Van Eck CM Commodity Index Fund [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
AKB Individual TOD 18 ⇒ DFA Global Real Estate SEC Portfolio [MF]	SP	None	None		☑
AKB Individual TOD 18 ⇒ Emerging Markets S [MF]	SP	None	Capital Gains	$1,001 - $2,500	☑
AKB Individual TOD 18 ⇒ Fidelity Gov Cash Reserves [BA]	SP	None	None		☐
AKB Individual TOD 18 ⇒ Fidelity Money Market [BA]	SP	None	None		☐
AKB Individual TOD 18 ⇒ Global Equity Class S [MF]	SP	None	Capital Gains	$1 - $200	☑
AKB Individual TOD 18 ⇒ Russell Lifepoints Balanced Strategy S [MF]	SP	None	Dividends	$1 - $200	☐
Description: Represents transfers from DC1 - UTMA (DC), DC2 - UTMA (DC) and DC3 - UTMA (DC) and transfer to 2017 IRRV TR FBO 1 (DC), 2017 IRRV TR FBO 2 (DC) and 2017 IRRV TR FBO 3 (DC).					
AKB Individual TOD 18 ⇒ Russell Multifactor International Equity S [MF]	SP	None	None		☑
AKB Individual TOD 18 ⇒ Russell Tax-Managed Real Assets CL S [MF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☑
AKB Individual TOD 18 ⇒ Sustainable Equity Fund Class S [MF]	SP	None	Capital Gains	$201 - $1,000	☑
AKB Individual TOD 18 ⇒ Tax Exempt High Yield Bond S [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
AKB Individual TOD 18 ⇒ Tax Managed International Equity S [MF]	SP	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☑
AKB Individual TOD 18 ⇒ Tax Managed US Large Cap Fund CL [MF]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☑
Description: Net of transfer to AKB Individual TOD 96 in the amount of $2,020.					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
AKB Individual TOD 18 ⇒ Tax Managed US Mid & Small CP S [MF] DESCRIPTION: Includes transfer from AKB Individual TOD 96 in the amount of $2,025.	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
AKB Individual TOD 96 ⇒ Emerging Markets S [MF]	SP	None	Capital Gains	$201 - $1,000	☑
AKB Individual TOD 96 ⇒ Ishares Core S&P Small - Cap F [MF]	SP	None	None		☑
AKB Individual TOD 96 ⇒ Russell Tax-Managed Real Assets CL S [MF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☑
AKB Individual TOD 96 ⇒ Sustainable Equity Fund Class S [MF]	SP	None	Capital Gains	$201 - $1,000	☑
AKB Individual TOD 96 ⇒ Tax Exempt High Yield Bond S [MF]	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
AKB Individual TOD 96 ⇒ Tax Managed International Equity S [MF]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☑
AKB Individual TOD 96 ⇒ Tax Managed US Large Cap Fund CL [MF] DESCRIPTION: Net of transfer from AKB Individual TOD 18 in the amount of $2,020	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☑
AKB Individual TOD 96 ⇒ Tax Managed US Mid & Small CP S [MF] DESCRIPTION: Includes transfer to AKB Individual TOD 18 in the amount $2,025	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
Budd Family LLC (1) ⇒ Budd Family LLC [FA] LOCATION: Advance/Davie County, NC, US DESCRIPTION: Net activity was a loss.	DC	$250,001 - $500,000	Rent	$2,501 - $5,000	☐
Budd Family LLC (1) ⇒ Budd Family LLC [OT] DESCRIPTION: Notes Receivable	DC	$1,001 - $15,000	Interest	$201 - $1,000	☐
Budd Family LLC (1) ⇒ Budd Family LLC [RP] LOCATION: Wilmington/New Hanover, NC, US DESCRIPTION: Net activity was a loss.	DC	$250,001 - $500,000	Rent	$5,001 - $15,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Budd Family LLC (2) ⇒ Budd Family LLC [FA] Location: Advance/Davie County, NC, US Description: Net activity was a loss.	DC	$250,001 - $500,000	Rent	$2,501 - $5,000	
Budd Family LLC (2) ⇒ Budd Family LLC [OT] Description: Notes Receivable	DC	$1,001 - $15,000	Interest	$201 - $1,000	
Budd Family LLC (2) ⇒ Budd Family LLC [RP] Location: Wilmington/New Hanover, NC, US Description: Net activity was a loss.	DC	$250,001 - $500,000	Rent	$5,001 - $15,000	
Budd Family LLC (3) ⇒ Budd Family LLC [FA] Location: Advance/Davie County, NC, US Description: Net activity was a loss.	DC	$250,001 - $500,000	Rent	$2,501 - $5,000	
Budd Family LLC (3) ⇒ Budd Family LLC [OT] Description: Notes Receivable	DC	$1,001 - $15,000	Interest	$201 - $1,000	
Budd Family LLC (3) ⇒ Budd Family LLC [RP] Location: Wilmington/New Hanover, NC, US Description: Net activity was a loss.	DC	$250,001 - $500,000	Rent	$5,001 - $15,000	
Citibank Client Services [BA]		$100,001 - $250,000	Interest	$1,001 - $2,500	
DC1 - UTMA ⇒ Fidelity Gov Cash Reserves [BA]	DC	None	None		
DC1 - UTMA ⇒ Fidelity Money Market [BA]	DC	None	None		
DC1 - UTMA ⇒ Russell Lifepoints Balanced Strategy S [MF] Description: Transferred to AKB Individual TOD 18.	DC	None	Dividends	$1 - $200	
DC2 - UTMA ⇒ Fidelity Gov Cash Reserves [BA]	DC	None	None		
DC2 - UTMA ⇒ Fidelity Money Market [BA]	DC	None	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DC2 - UTMA ⇒ Russell Lifepoints Balanced Strategy S [MF] DESCRIPTION: Transferred to AKB Individual TOD 18.	DC	None	Dividends	$201 - $1,000	☐
DC3 - UTMA ⇒ Cash [BA]	DC	None	None		☐
DC3 - UTMA ⇒ Fidelity Money Market [BA]	DC	None	None		☐
DC3 - UTMA ⇒ Russell Lifepoints Balanced Strategy S [MF] DESCRIPTION: Transferred to AKB Individual TOD 18.	DC	None	Dividends	$1 - $200	☐
NC 529 Plan - Interest Accum Portfolio [5P] LOCATION: NC	DC	$1,001 - $15,000	Tax-Deferred		☐
NC 529 VG Stocks Bonds [5P] LOCATION: NC	DC	$15,001 - $50,000	Tax-Deferred		☐
NEST 529 Age-Based Aggressive 15-16 [5P] LOCATION: NE DESCRIPTION: Assets transferred to NEST 529 Age-Based Aggressive 15-16 D on 12/4/2020.	DC	None	Tax-Deferred		☑
NEST 529 Age-Based Aggressive 15-16 D [5P] LOCATION: NE DESCRIPTION: Transfer of assets from NEST 529 Age-Based Aggressive 15-16 on 12/4/2020.	DC	$15,001 - $50,000	Tax-Deferred		☑
NEST 529 Age-Based Aggressive 17-18 [5P] LOCATION: NE DESCRIPTION: Qualified Education Withdrawal made. Balance of assets transferred to NEST 529 Age-Based Aggressive 19+ on 8/5/2020.	DC	None	Tax-Deferred		☑
NEST 529 Age-Based Aggressive 19+ [5P] LOCATION: NE DESCRIPTION: Assets transferred from NEST 529 Age-Based Aggressive 17-18 on 8/5/2020. Qualified Education Withdrawal made, funds now 100% withdrawn	DC	None	Tax-Deferred		☑
PS1 Rural Hall LLC, 100% Interest [OL] LOCATION: Rural Hall, Forsyth, NC, US DESCRIPTION: Retail sporting goods, indoor range and training facility. Doing business as ProShots.		$1,000,001 - $5,000,000	Form 1040, Sch C	$100,001 - $1,000,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
The Lincoln National Life Insurance Company ⇒ LVIP Dim/Vanguard Total Bond [MF]		$100,001 - $250,000	Tax-Deferred		☐
The Lincoln National Life Insurance Company ⇒ LVIP Dim/Vanguard Total Bond [MF]		$1,001 - $15,000	Tax-Deferred		☐
The Lincoln National Life Insurance Company ⇒ LVIP SSGA S&P 500 Index SC [MF]		$100,001 - $250,000	Tax-Deferred		☐
The Lincoln National Life Insurance Company ⇒ LVIP SSGA S&P 500 Index SC [MF]		$1,001 - $15,000	Tax-Deferred		☐
TPB ROTH IRA ⇒ DFA Global Real Estate SEC Portfolio [MF]		None	Tax-Deferred		☑
TPB ROTH IRA ⇒ DFA International Core Equity [MF]		None	Tax-Deferred		☑
TPB ROTH IRA ⇒ Emerging Markets S [MF]		$1,001 - $15,000	Tax-Deferred		☑
TPB ROTH IRA ⇒ Global Infrastructure CL S [MF]		$1,001 - $15,000	Tax-Deferred		☐
TPB ROTH IRA ⇒ Global Real Estate Securities S [MF]		$1,001 - $15,000	Tax-Deferred		☑
TPB ROTH IRA ⇒ International Developed Mrkt CL S [MF]		$15,001 - $50,000	Tax-Deferred		☑
TPB ROTH IRA ⇒ Invesco Equally Weighted S&P 500 [MF]		$15,001 - $50,000	Tax-Deferred		☑
TPB ROTH IRA ⇒ Opportunistic Credit Fund S [MF]		$1,001 - $15,000	Tax-Deferred		☑
TPB ROTH IRA ⇒ US Small Cap Equity Class S [MF]		$1,001 - $15,000	Tax-Deferred		☑
TPB ROTH IRA ⇒ US Strategic Equity Fund CLS [MF]		None	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
TPB ROTH IRA ⇒ Van Eck CM Commodity Index Fund [MF]		$1,001 - $15,000	Tax-Deferred		☑
Truist Bank (formerly BB&T) [BA]		$50,001 - $100,000	Interest	$1 - $200	☐
Description: Total comprised of joint, single and DC accounts.					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
2017 IRRV TR FBO 1 ⇒ Emerging Markets S [MF]	DC	04/6/2020	S (partial)	$1,001 - $15,000	☐
2017 IRRV TR FBO 1 ⇒ Russell Lifepoints Balanced Strategy S [MF]	DC	10/16/2020	S (partial)	$1,001 - $15,000	☐
2017 IRRV TR FBO 1 ⇒ Russell Lifepoints Balanced Strategy S [MF]	DC	10/16/2020	S (partial)	$1,001 - $15,000	☐
2017 IRRV TR FBO 1 ⇒ Russell Lifepoints Balanced Strategy S [MF]	DC	10/16/2020	S (partial)	$1,001 - $15,000	☐
2017 IRRV TR FBO 1 ⇒ Russell Tax-Managed Real Assets CL S [MF]	DC	03/26/2020	P	$1,001 - $15,000	
2017 IRRV TR FBO 1 ⇒ Russell Tax-Managed Real Assets CL S [MF]	DC	04/6/2020	P	$1,001 - $15,000	
2017 IRRV TR FBO 1 ⇒ Russell Tax-Managed Real Assets CL S [MF]	DC	10/16/2020	P	$1,001 - $15,000	
2017 IRRV TR FBO 1 ⇒ Tax Exempt Bond Fund Class S [MF]	DC	03/26/2020	S (partial)	$1,001 - $15,000	☐
2017 IRRV TR FBO 1 ⇒ Tax Exempt Bond Fund Class S [MF]	DC	10/16/2020	P	$1,001 - $15,000	
2017 IRRV TR FBO 1 ⇒ Tax Exempt Bond Fund Class S [MF]	DC	11/13/2020	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
2017 IRRV TR FBO 1 ⇒ Tax Exempt High Yield Bond S [MF]	DC	10/16/2020	P	$1,001 - $15,000	
2017 IRRV TR FBO 1 ⇒ Tax Managed International Equity S [MF]	DC	04/6/2020	P	$1,001 - $15,000	
2017 IRRV TR FBO 1 ⇒ Tax Managed US Large Cap Fund CL [MF]	DC	11/13/2020	S (partial)	$1,001 - $15,000	☑
2017 IRRV TR FBO 2 ⇒ Emerging Markets S [MF]	DC	04/6/2020	S (partial)	$1,001 - $15,000	☐
2017 IRRV TR FBO 2 ⇒ Russell Lifepoints Balanced Strategy S [MF]	DC	10/16/2020	S (partial)	$1,001 - $15,000	☐
2017 IRRV TR FBO 2 ⇒ Russell Lifepoints Balanced Strategy S [MF]	DC	10/16/2020	S (partial)	$1,001 - $15,000	☐
2017 IRRV TR FBO 2 ⇒ Russell Lifepoints Balanced Strategy S [MF]	DC	10/16/2020	S (partial)	$1,001 - $15,000	☐
2017 IRRV TR FBO 2 ⇒ Russell Lifepoints Balanced Strategy S [MF]	DC	10/16/2020	S (partial)	$1,001 - $15,000	☐
2017 IRRV TR FBO 2 ⇒ Russell Tax-Managed Real Assets CL S [MF]	DC	03/26/2020	P	$1,001 - $15,000	
2017 IRRV TR FBO 2 ⇒ Russell Tax-Managed Real Assets CL S [MF]	DC	04/6/2020	P	$1,001 - $15,000	
2017 IRRV TR FBO 2 ⇒ Russell Tax-Managed Real Assets CL S [MF]	DC	10/16/2020	P	$1,001 - $15,000	
2017 IRRV TR FBO 2 ⇒ Tax Exempt Bond Fund Class S [MF]	DC	03/26/2020	S (partial)	$1,001 - $15,000	☐
2017 IRRV TR FBO 2 ⇒ Tax Exempt Bond Fund Class S [MF]	DC	10/16/2020	P	$1,001 - $15,000	
2017 IRRV TR FBO 2 ⇒ Tax Exempt Bond Fund Class S [MF]	DC	11/13/2020	P	$1,001 - $15,000	
2017 IRRV TR FBO 2 ⇒	DC	10/16/2020	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Tax Exempt High Yield Bond S [MF]					
2017 IRRV TR FBO 2 ⇒ Tax Managed International Equity S [MF]	DC	10/16/2020	P	$1,001 - $15,000	
2017 IRRV TR FBO 2 ⇒ Tax Managed US Large Cap Fund CL [MF]	DC	11/13/2020	S (partial)	$1,001 - $15,000	☑
2017 IRRV TR FBO 3 ⇒ Emerging Markets S [MF]	DC	04/6/2020	S (partial)	$1,001 - $15,000	☐
2017 IRRV TR FBO 3 ⇒ Russell Lifepoints Balanced Strategy S [MF]	DC	10/16/2020	S (partial)	$1,001 - $15,000	☐
2017 IRRV TR FBO 3 ⇒ Russell Lifepoints Balanced Strategy S [MF]	DC	10/16/2020	S (partial)	$1,001 - $15,000	☐
2017 IRRV TR FBO 3 ⇒ Russell Lifepoints Balanced Strategy S [MF]	DC	10/16/2020	S (partial)	$1,001 - $15,000	☐
2017 IRRV TR FBO 3 ⇒ Russell Lifepoints Balanced Strategy S [MF]	DC	10/16/2020	S (partial)	$1,001 - $15,000	☐
2017 IRRV TR FBO 3 ⇒ Russell Tax-Managed Real Assets CL S [MF]	DC	03/26/2020	P	$1,001 - $15,000	
2017 IRRV TR FBO 3 ⇒ Russell Tax-Managed Real Assets CL S [MF]	DC	04/6/2020	P	$1,001 - $15,000	
2017 IRRV TR FBO 3 ⇒ Russell Tax-Managed Real Assets CL S [MF]	DC	10/16/2020	P	$1,001 - $15,000	
2017 IRRV TR FBO 3 ⇒ Tax Exempt Bond Fund Class S [MF]	DC	03/26/2020	S (partial)	$1,001 - $15,000	☐
2017 IRRV TR FBO 3 ⇒ Tax Exempt Bond Fund Class S [MF]	DC	10/16/2020	P	$1,001 - $15,000	
2017 IRRV TR FBO 3 ⇒ Tax Exempt Bond Fund Class S [MF]	DC	11/13/2020	P	$1,001 - $15,000	
2017 IRRV TR FBO 3 ⇒ Tax Exempt High Yield Bond S [MF]	DC	10/16/2020	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
2017 IRRV TR FBO 3 ⇒ Tax Managed International Equity S [MF]	DC	04/6/2020	P	$1,001 - $15,000	
2017 IRRV TR FBO 3 ⇒ Tax Managed International Equity S [MF]	DC	10/16/2020	P	$1,001 - $15,000	
2017 IRRV TR FBO 3 ⇒ Tax Managed US Large Cap Fund CL [MF]	DC	11/13/2020	S (partial)	$1,001 - $15,000	☑
AKB - ROTH IRA ⇒ DFA Global Real Estate SEC Portfolio [MF]	SP	03/25/2020	S	$15,001 - $50,000	☐
AKB - ROTH IRA ⇒ DFA International Core Equity [MF]	SP	03/25/2020	S	$1,001 - $15,000	☐
AKB - ROTH IRA ⇒ Emerging Markets S [MF]	SP	07/7/2020	S (partial)	$1,001 - $15,000	☐
AKB - ROTH IRA ⇒ Emerging Markets S [MF]	SP	11/27/2020	S (partial)	$1,001 - $15,000	☐
AKB - ROTH IRA ⇒ Global Real Estate Securities S [MF]	SP	03/25/2020	P	$1,001 - $15,000	
AKB - ROTH IRA ⇒ Global Real Estate Securities S [MF]	SP	03/26/2020	P	$1,001 - $15,000	
AKB - ROTH IRA ⇒ Global Real Estate Securities S [MF]	SP	07/7/2020	S (partial)	$1,001 - $15,000	☐
AKB - ROTH IRA ⇒ Global Real Estate Securities S [MF]	SP	11/27/2020	S (partial)	$1,001 - $15,000	☐
AKB - ROTH IRA ⇒ International Developed Mrkt CL S [MF]	SP	03/25/2020	P	$1,001 - $15,000	
AKB - ROTH IRA ⇒ International Developed Mrkt CL S [MF]	SP	07/7/2020	S (partial)	$1,001 - $15,000	☐
AKB - ROTH IRA ⇒ International Developed Mrkt CL S [MF]	SP	11/27/2020	P	$1,001 - $15,000	
AKB - ROTH IRA ⇒ Invesco Equally Weighted S&P 500 [MF]	SP	11/27/2020	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
AKB - ROTH IRA ⇒ Invesco Equally Weighted S&P 500 [MF]	SP	11/30/2020	P	$1,001 - $15,000	
AKB - ROTH IRA ⇒ Opportunistic Credit Fund S [MF]	SP	11/27/2020	P	$1,001 - $15,000	
AKB - ROTH IRA ⇒ US Small Cap Equity Class S [MF]	SP	11/27/2020	P	$1,001 - $15,000	
AKB - ROTH IRA ⇒ US Strategic Equity Fund CLS [MF]	SP	07/7/2020	P	$15,001 - $50,000	
AKB - ROTH IRA ⇒ US Strategic Equity Fund CLS [MF]	SP	11/27/2020	S	$15,001 - $50,000	☐
AKB - ROTH IRA ⇒ Van Eck CM Commodity Index Fund [MF]	SP	03/25/2020	P	$1,001 - $15,000	
AKB - ROTH IRA ⇒ Van Eck CM Commodity Index Fund [MF]	SP	07/7/2020	S (partial)	$1,001 - $15,000	☐
AKB - ROTH IRA ⇒ Van Eck CM Commodity Index Fund [MF]	SP	11/27/2020	S (partial)	$1,001 - $15,000	☐
AKB Individual TOD 18 ⇒ DFA Global Real Estate SEC Portfolio [MF]	SP	03/24/2020	S	$1,001 - $15,000	☐
AKB Individual TOD 18 ⇒ Emerging Markets S [MF]	SP	07/7/2020	S	$1,001 - $15,000	☑
AKB Individual TOD 18 ⇒ Global Equity Class S [MF]	SP	03/11/2020	S	$1,001 - $15,000	☐
AKB Individual TOD 18 ⇒ Russell Multifactor International Equity S [MF]	SP	03/16/2020	S	$1,001 - $15,000	☐
AKB Individual TOD 18 ⇒ Russell Tax-Managed Real Assets CL S [MF]	SP	03/24/2020	P	$1,001 - $15,000	
AKB Individual TOD 18 ⇒ Russell Tax-Managed Real Assets CL S [MF]	SP	05/12/2020	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
AKB Individual TOD 18 ⇒ Sustainable Equity Fund Class S [MF]	SP	03/11/2020	S	$1,001 - $15,000	☑
AKB Individual TOD 18 ⇒ Tax Exempt High Yield Bond S [MF]	SP	03/24/2020	P	$1,001 - $15,000	
AKB Individual TOD 18 ⇒ Tax Managed International Equity S [MF]	SP	03/11/2020	P	$1,001 - $15,000	
AKB Individual TOD 18 ⇒ Tax Managed International Equity S [MF]	SP	03/16/2020	P	$1,001 - $15,000	
AKB Individual TOD 18 ⇒ Tax Managed International Equity S [MF]	SP	05/12/2020	S (partial)	$1,001 - $15,000	☐
AKB Individual TOD 18 ⇒ Tax Managed International Equity S [MF]	SP	06/26/2020	S (partial)	$1,001 - $15,000	☐
AKB Individual TOD 18 ⇒ Tax Managed International Equity S [MF]	SP	10/2/2020	S (partial)	$1,001 - $15,000	☐
AKB Individual TOD 18 ⇒ Tax Managed International Equity S [MF]	SP	11/16/2020	S (partial)	$1,001 - $15,000	☑
AKB Individual TOD 18 ⇒ Tax Managed US Large Cap Fund CL [MF]	SP	03/11/2020	P	$1,001 - $15,000	
AKB Individual TOD 18 ⇒ Tax Managed US Large Cap Fund CL [MF]	SP	05/12/2020	P	$1,001 - $15,000	
AKB Individual TOD 18 ⇒ Tax Managed US Large Cap Fund CL [MF]	SP	07/7/2020	P	$1,001 - $15,000	
AKB Individual TOD 18 ⇒ Tax Managed US Mid & Small CP S [MF]	SP	11/16/2020	P	$1,001 - $15,000	
AKB Individual TOD 96 ⇒ Emerging Markets S [MF]	SP	05/12/2020	S (partial)	$1,001 - $15,000	☐
AKB Individual TOD 96 ⇒ Emerging Markets S [MF]	SP	07/7/2020	S (partial)	$1,001 - $15,000	☑
AKB Individual TOD 96 ⇒ Ishares Core S&P Small - Cap F [MF]	SP	03/25/2020	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
AKB Individual TOD 96 ⇒ Russell Tax-Managed Real Assets CL S [MF]	SP	03/24/2020	P	$1,001 - $15,000	
AKB Individual TOD 96 ⇒ Russell Tax-Managed Real Assets CL S [MF]	SP	05/12/2020	P	$1,001 - $15,000	
AKB Individual TOD 96 ⇒ Sustainable Equity Fund Class S [MF]	SP	03/11/2020	S	$1,001 - $15,000	☑
AKB Individual TOD 96 ⇒ Tax Exempt High Yield Bond S [MF]	SP	03/24/2020	P	$1,001 - $15,000	
AKB Individual TOD 96 ⇒ Tax Exempt High Yield Bond S [MF]	SP	11/16/2020	S (partial)	$1,001 - $15,000	☑
AKB Individual TOD 96 ⇒ Tax Managed International Equity S [MF]	SP	05/12/2020	S (partial)	$1,001 - $15,000	☐
AKB Individual TOD 96 ⇒ Tax Managed US Large Cap Fund CL [MF]	SP	03/11/2020	P	$1,001 - $15,000	
AKB Individual TOD 96 ⇒ Tax Managed US Large Cap Fund CL [MF]	SP	05/12/2020	P	$1,001 - $15,000	
AKB Individual TOD 96 ⇒ Tax Managed US Large Cap Fund CL [MF]	SP	05/12/2020	P	$1,001 - $15,000	
AKB Individual TOD 96 ⇒ Tax Managed US Large Cap Fund CL [MF]	SP	07/7/2020	P	$1,001 - $15,000	
AKB Individual TOD 96 ⇒ Tax Managed US Large Cap Fund CL [MF]	SP	11/16/2020	P	$1,001 - $15,000	
AKB Individual TOD 96 ⇒ Tax Managed US Mid & Small CP S [MF]	SP	05/12/2020	S (partial)	$1,001 - $15,000	☐
NEST 529 Age-Based Aggressive 19+ [5P] LOCATION: NE DESCRIPTION: Qualified Education Withdrawal, Funds are now 100% withdrawn.		08/19/2020		$1,001 - $15,000	
Nest 529 Age-Based Agressive 17-18 [5P] LOCATION: NE		01/23/2020		$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DESCRIPTION: Qualified Education Withdrawal					
TPB ROTH IRA ⇒ DFA Global Real Estate SEC Portfolio [MF]		03/25/2020	S	$15,001 - $50,000	☐
TPB ROTH IRA ⇒ DFA International Core Equity [MF]		03/25/2020	S	$1,001 - $15,000	☐
TPB ROTH IRA ⇒ Emerging Markets S [MF]		07/7/2020	S (partial)	$1,001 - $15,000	☐
TPB ROTH IRA ⇒ Emerging Markets S [MF]		11/27/2020	S (partial)	$1,001 - $15,000	☐
TPB ROTH IRA ⇒ Global Real Estate Securities S [MF]		03/25/2020	P	$1,001 - $15,000	
TPB ROTH IRA ⇒ Global Real Estate Securities S [MF]		03/26/2020	P	$1,001 - $15,000	
TPB ROTH IRA ⇒ Global Real Estate Securities S [MF]		07/7/2020	S (partial)	$1,001 - $15,000	☐
TPB ROTH IRA ⇒ Global Real Estate Securities S [MF]		11/27/2020	S (partial)	$1,001 - $15,000	☐
TPB ROTH IRA ⇒ International Developed Mrkt CL S [MF]		03/25/2020	P	$1,001 - $15,000	
TPB ROTH IRA ⇒ International Developed Mrkt CL S [MF]		07/7/2020	S (partial)	$1,001 - $15,000	☐
TPB ROTH IRA ⇒ International Developed Mrkt CL S [MF]		11/27/2020	P	$1,001 - $15,000	
TPB ROTH IRA ⇒ Invesco Equally Weighted S&P 500 [MF]		11/27/2020	P	$15,001 - $50,000	
TPB ROTH IRA ⇒ Invesco Equally Weighted S&P 500 [MF]		11/30/2020	P	$1,001 - $15,000	
TPB ROTH IRA ⇒ Opportunistic Credit Fund S [MF]		11/27/2020	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
TPB ROTH IRA ⇒ US Small Cap Equity Class S [MF]		11/27/2020	P	$1,001 - $15,000	
TPB ROTH IRA ⇒ US Strategic Equity Fund CLS [MF]		07/7/2020	P	$15,001 - $50,000	
TPB ROTH IRA ⇒ US Strategic Equity Fund CLS [MF]		11/27/2020	S	$15,001 - $50,000	☐
TPB ROTH IRA ⇒ Van Eck CM Commodity Index Fund [MF]		03/25/2020	P	$1,001 - $15,000	
TPB ROTH IRA ⇒ Van Eck CM Commodity Index Fund [MF]		07/7/2020	S (partial)	$1,001 - $15,000	☐
TPB ROTH IRA ⇒ Van Eck CM Commodity Index Fund [MF]		11/27/2020	S (partial)	$1,001 - $15,000	☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

None disclosed.

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Truist (formerly BB&T)	November, 2017	Business Line of Credit	$50,001 - $100,000
	Citibank	December, 2020	Credit Card	$15,001 - $50,000
	Congressional Federal Credit Union	December, 2019	Home Mortgage	$250,001 - $500,000
	Truist (formerly BB&T)	May, 2020	Personal Credit Line	$10,000 - $15,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details					Inclusions		
Source	**Start Date**	**End Date**	**Itinerary**	**Days at Own Exp.**	**Lodging?**	**Food?**	**Family?**
Club for Growth	02/28/2020	03/1/2020	Washington, DC - Palm Beach, FL - Charlotte, NC	0	✓	✓	✓

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- 2017 IRRV TR FBO 1 (Owner: DC)
- 2017 IRRV TR FBO 2 (Owner: DC)
- 2017 IRRV TR FBO 3 (Owner: DC)
- AKB - ROTH IRA (Owner: SP)
- AKB Individual TOD 18 (Owner: SP)
  Location: US
- AKB Individual TOD 96 (Owner: SP)
  Location: US
- Budd Family LLC (1) (Owner: DC)
  Location: US
- Budd Family LLC (2) (Owner: DC)
  Location: US
- Budd Family LLC (3) (Owner: DC)
  Location: US
- DC1 - UTMA (Owner: DC)
  Location: US
- DC2 - UTMA (Owner: DC)
  Location: US
- DC3 - UTMA (Owner: DC)
  Location: US
- The Lincoln National Life Insurance Company
- TPB ROTH IRA

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Theodore P. Budd , 07/30/2021