



Filing ID #10041218

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Michael John Gallagher
Status: Member
State/District: WI08

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2020
Filing Date: 08/13/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|-----------------------|----------------|-------------------|-------------------------------------|
| Alaska Air Group, Inc. (ALK) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| Amgen Inc. (AMGN) [ST] | SP | None | Capital Gains | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Anthem, Inc. (ANTM) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| Apple Inc. (AAPL) [ST] | SP | None | Capital Gains | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Arrow Financial Corporation (AROW) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| Atmos Energy Corporation (ATO) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| Bank of America Corporation (BAC) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| Barclays Bank savings account [BA] | SP | \$100,001 - \$250,000 | Interest | \$201 - \$1,000 | <input type="checkbox"/> |
| Chase Bank [BA] | JT | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|--------------------------|--------------------|-------------------------------------|
| Chevron Corporation (CVX) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| Clearbridge Large Cap Growth (SBLYX) [MF] | JT | \$100,001 - \$250,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Cognizant Technology Solutions Corporation - Class A (CTSH) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| CVS Health Corporation (CVS) [ST] | SP | None | Capital Gains | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Donaldson Company, Inc. (DCI) [ST] | SP | None | Capital Gains | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Fastenal Company (FAST) [ST] | SP | None | Capital Gains | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| FedEx Corporation (FDX) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| Ford Interest Advantage [BA] | SP | \$50,001 - \$100,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Freedom Bicentennial UL [WU] | | \$250,001 - \$500,000 | None | | <input type="checkbox"/> |
| Fresenius Medical Care AG Vorz AKT OHNE Stimmrecht (FMCMF) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| GCP Applied Technologies Inc. (GCP) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| Invesco Equal Weight S&P 500 (VADDX) [MF] | JT | \$100,001 - \$250,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| IVY Science and Technology (ISTIX) [MF] | JT | \$50,001 - \$100,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| John Hancock 401k ⇒ Institutional Index Fund [MF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| John Hancock 401k ⇒ New Horizons Fund [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|--------------------------|--------------------|-------------------------------------|
| John Hancock 401k ⇒ Retirement 2040 Fund [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| John Hancock 401k ⇒ Retirement 2050 Fund [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| John Hancock 401k ⇒ Small Cap Value Fund [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Johnson & Johnson (JNJ) [ST] | SP | None | Capital Gains | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Johnson Controls International plc Ordinary Share (JCI) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| Laboratory CP Amer Hldgs New [MF] | SP | None | Capital Gains | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Lockheed Martin Corporation (LMT) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| McDonald's Corporation (MCD) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| Merck & Company, Inc. Common Stock (MRK) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| MFS Value Fund (MEIIX) [MF] | JT | \$100,001 - \$250,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Microsoft Corporation (MSFT) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| Morgan Stanley Cash Equivalent Account [BA] | JT | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Occidental Petroleum Corporation (OXY) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| Pfizer, Inc. (PFE) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| Procter & Gamble Company (PG) [ST] | SP | None | Capital Gains | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Regions Financial Corporation (RF) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|--------------|-----------------------|-----------------------------|----------------------|-------------------------------------|
| Roth IRA ⇒ Apple Inc. (AAPL) [ST] | SP | None | Capital Gains, Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| Roth IRA ⇒ CVS Health Corporation (CVS) [ST] | SP | None | Capital Gains, Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Roth IRA ⇒ Franklin Income ADV (FRIAX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Roth IRA ⇒ Hartford Equity Inc (HQIIX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Roth IRA ⇒ Ishares Core Msci Eafe ETF [MF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Roth IRA ⇒ Ishares Core Msci Emerging [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Roth IRA ⇒ Ishares Inc Msci Japan ETF [MF] | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Roth IRA ⇒ Ishares Russell 1000 GRW [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Roth IRA ⇒ Ishares Russell 1000 Value ETF [MF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Roth IRA ⇒ Ishares Russell 2000 Grwth ETF [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Roth IRA ⇒ Ishares Russell 2000 Value ETF [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Roth IRA ⇒ Ishares Russell Midcap G ETF [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Roth IRA ⇒ Ishares Russell Midcap V ETF [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Roth IRA ⇒ Johnson & Johnson (JNJ) [ST] | SP | None | Capital Gains, Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Roth IRA ⇒ PGIM Jennison Gowth Z (PJFZX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|----------------------|--------------------------|--------------------|-------------------------------------|
| Roth IRA ⇒ Prudential Global Real Estate (PURZX) [MF] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Roth IRA ⇒ Prudential Jennison Growth (PJFZX) [MF] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Roth IRA ⇒ Vanguard Large-Cap ETF - DNQ (VV) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Roth IRA ⇒ Vanguard Utilities ETF - DNQ (VPU) [ST] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Roth IRA ⇒ Wisdomtree US Largecap Div Fnd (DLN) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Sealed Air Corporation (SEE) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| Sherwin-Williams Company (SHW) [ST] | SP | None | Capital Gains | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| USAA Bank Account [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Vanguard Consumer Dsc ETC (VCR) [MF] | JT | \$50,001 - \$100,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Vanguard Financials ETF (VFH) [MF] | JT | \$50,001 - \$100,000 | Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Vmware, Inc. Common stock, Class A (VMW) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| Voya Age 0-4 OP C (INGWC) [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| W.R. Grace & Co. (GRA) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| WEC Energy Group, Inc. (WEC) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| Wells Fargo & Company (WFC) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| Xcel Energy Inc. (XEL) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|----------------------|-------------------------------------|
| Alaska Air Group, Inc. (ALK) [ST] | SP | 01/8/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Amgen Inc. (AMGN) [ST] | SP | 01/9/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Anthem, Inc. (ANTM) [ST] | SP | 01/9/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Apple Inc. (AAPL) [ST] | SP | 01/13/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Arrow Financial Corporation (AROW) [ST] | SP | 01/13/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Atmos Energy Corporation (ATO) [ST] | SP | 01/13/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Bank of America Corporation (BAC) [ST] | SP | 01/9/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Chevron Corporation (CVX) [ST] | SP | 01/9/2020 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Clearbridge Large Cap Gwth (SBLYX) [MF] | JT | 03/20/2020 | P | \$50,001 - \$100,000 | |
| Cognizant Technology Solutions Corporation - Class A (CTSH) [ST] | SP | 01/8/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| CVS Health Corporation (CVS) [ST] | SP | 01/9/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Donaldson Company, Inc. (DCI) [ST] | SP | 01/13/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Donaldson Company, Inc. (DCI) [ST] | SP | 01/31/2020 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Fastenal Company (FAST) [ST] | SP | 01/13/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| FedEx Corporation (FDX) [ST] | SP | 01/8/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Fresenius Medical Care AG Vorz AKT OHNE Stimmrecht | SP | 01/13/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|----------------------|-------------------------------------|
| (FMCMF) [ST] | | | | | |
| GCP Applied Technologies Inc. (GCP) [ST] | SP | 01/9/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Invesco Equal Weight S&P 500 Y (VADDX) [MF] | JT | 03/20/2020 | P | \$50,001 - \$100,000 | |
| Invesco Equal Weight S&P 500 Y (VADDX) [MF] | JT | 12/31/2020 | P | \$1,001 - \$15,000 | |
| Invesco Equal Wghtd S&P 500 Y [MF] | JT | 12/31/2020 | P | \$1,001 - \$15,000 | |
| Ivy Sci & Tech I (ISTIX) [MF] | JT | 03/20/2020 | P | \$15,001 - \$50,000 | |
| Johnson & Johnson (JNJ) [ST] | SP | 01/13/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Johnson Controls International plc Ordinary Share (JCI) [ST] | SP | 01/8/2020 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Laboratory Corporation of America Holdings (LH) [ST] | SP | 01/9/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Lockheed Martin Corporation (LMT) [ST] | SP | 01/9/2020 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| McDonald's Corporation (MCD) [ST] | SP | 01/13/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Merck & Company, Inc. Common Stock (MRK) [ST] | SP | 01/13/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| MFS Value I [MF] | JT | 12/31/2020 | P | \$1,001 - \$15,000 | |
| Microsoft Corporation (MSFT) [ST] | SP | 01/13/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Occidental Petroleum Corporation (OXY) [ST] | SP | 01/8/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Pfizer, Inc. (PFE) [ST] | SP | 01/13/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Procter & Gamble Company (PG) [ST] | SP | 01/13/2020 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Regions Financial Corporation (RF) [ST] | SP | 01/9/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------|---------------------|-------------------------------------|
| Roth IRA ⇒ Prudential Global Real Estate [MF] | | 03/11/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Roth IRA ⇒ Prudential Jennison Growth (PJFZX) [MF] | | 07/16/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Roth IRA ⇒ Vanguard Utilities ETF - DNQ [MF] | | 03/11/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Sealed Air Corporation (SEE) [ST] | SP | 01/9/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Sherwin-Williams Company (SHW) [ST] | SP | 01/13/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Vanguard Consumer DSC ETF (VCR) [MF] | JT | 03/20/2020 | P | \$15,001 - \$50,000 | |
| Vanguard Financials ETF (VFH) [MF] | JT | 03/20/2020 | P | \$15,001 - \$50,000 | |
| Vanguard Financials ETF (VFH) [MF] | JT | 12/15/2020 | P | \$1,001 - \$15,000 | |
| Vanguard Financials ETF (VFH) [MF] | JT | 12/22/2020 | P | \$1,001 - \$15,000 | |
| Vmware, Inc. Common stock, Class A (VMW) [ST] | SP | 01/13/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Voya Age 0-4 OP C (INGWC) [MF] | DC | 12/11/2020 | P | \$1,001 - \$15,000 | |
| W.R. Grace & Co. (GRA) [ST] | SP | 01/13/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| WEC Energy Group, Inc. (WEC) [ST] | SP | 01/13/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Wells Fargo & Company (WFC) [ST] | SP | 01/9/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Xcel Energy Inc. (XEL) [ST] | SP | 01/13/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|-------------------------|--------------------------------|--------|
| Force Residuals CA Inc. | Performance Residuals - spouse | N/A |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|-----------------------|---------------|-------------------------------|-----------------------|
| JT | Nicolet National Bank | July 2019 | Mortgage on Primary Residence | \$100,001 - \$250,000 |

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- o John Hancock 401k (Owner: SP)
- o Roth IRA
- o Roth IRA (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Michael John Gallagher , 08/13/2021