



# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Diana DeGette  
**Status:** Member  
**State/District:** CO01

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2020  
**Filing Date:** 07/26/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Charles Schwab Bank [BA] <small>COMMENTS: This is a money market fund.</small>	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Colorado PERA [DB]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DeGette IRA ⇒ Charles Schwab Bank [BA] <small>COMMENTS: This is a money market fund.</small>		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DeGette IRA ⇒ DFA Emerging Markets Core Equity [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DeGette IRA ⇒ DFA Global Real Estate Securities [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DeGette IRA ⇒ DFA International Core Equity [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DeGette IRA ⇒ DFA US Core Equity 1 [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DeGette IRA ⇒ iShares Core US Aggregate Bond EFT [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DeGette IRA ⇒ Vanguard Short-Term Bond Index [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse IRA ⇒ Charles Schwab Bank [BA]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: This is a money market fund.					
Spouse IRA ⇒ DFA Emerging Markets Core Equity [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse IRA ⇒ DFA Global Real Estate Securities [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse IRA ⇒ DFA International Core Equity [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse IRA ⇒ DFA International Small Co. [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse IRA ⇒ DFA Investment Grade Institutional [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse IRA ⇒ DFA Two-Year Global Fixed [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse IRA ⇒ iShares Core S&P 500 Index [MF]	SP	\$500,001 - \$1,000,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse IRA ⇒ Vanguard Inflation Protected Securities [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse IRA ⇒ Vanguard Short-Term Investment Grade [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse IRA ⇒ Vanguard Small Cap ETF [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse IRA ⇒ Vanguard Small Cap Value [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse IRA ⇒ Vanguard Value [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TIAA-CREF Intelligent Life VUL ⇒ DFA VA Global Bond Portfolio [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF Intelligent Life VUL ⇒ DFA VA International Small Portfolio [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF Intelligent Life VUL ⇒ DFA VA International Value Portfolio [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF Intelligent Life VUL ⇒ DFA VA Short-Term Fixed Income [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF Intelligent Life VUL ⇒ DFA VA US Large Value Portfolio [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF Intelligent Life VUL ⇒ DFA VA US Targeted Value [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF Intelligent Life VUL ⇒ Templeton Developing Markets Securities Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF Intelligent Life VUL ⇒ TIAA-CREF Fixed Rate Account [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF Intelligent Life VUL ⇒ TIAA-CREF Life International Equity Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF Intelligent Life VUL ⇒ TIAA-CREF Life Stock Index Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo Bank West, N.A. [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Colorado PERA [OT]	SP	Monthly	P	\$1,001 - \$15,000	
LOCATION: US					
DESCRIPTION: Spouse's State of Colorado retirement account.					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DeGette IRA ⇒ DFA International Core Equity [MF]		03/19/2020	P	\$1,001 - \$15,000	
DeGette IRA ⇒ DFA International Core Equity [MF]		12/21/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DeGette IRA ⇒ DFA US Core Equity 1 [MF]		03/19/2020	P	\$1,001 - \$15,000	
DeGette IRA ⇒ DFA US Core Equity 1 [MF]		05/12/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DeGette IRA ⇒ DFA US Core Equity 1 [MF]		12/21/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DeGette IRA ⇒ iShares Core US Aggregate Bond EFT [MF]		03/17/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DeGette IRA ⇒ iShares Core US Aggregate Bond EFT [MF]		05/12/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DeGette IRA ⇒ iShares Core US Aggregate Bond EFT [MF]		12/23/2020	P	\$1,001 - \$15,000	
DeGette IRA ⇒ Vanguard Short-Term Bond Index [MF]		05/14/2020	P	\$1,001 - \$15,000	
DeGette IRA ⇒ Vanguard Short-Term Bond Index [MF]		12/23/2020	P	\$1,001 - \$15,000	
Spouse IRA ⇒ DFA Emerging Markets Core Equity [MF]	SP	03/20/2020	P	\$1,001 - \$15,000	
Spouse IRA ⇒ DFA Emerging Markets Core Equity [MF]	SP	07/14/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Spouse IRA ⇒ DFA Emerging Markets Core Equity [MF]	SP	12/22/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Spouse IRA ⇒ DFA Global Real Estate Securities [MF]	SP	03/20/2020	P	\$1,001 - \$15,000	
Spouse IRA ⇒	SP	05/15/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DFA Global Real Estate Securities [MF]					
Spouse IRA ⇒ DFA Global Real Estate Securities [MF]	SP	07/14/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Spouse IRA ⇒ DFA Global Real Estate Securities [MF]	SP	12/24/2020	P	\$1,001 - \$15,000	
Spouse IRA ⇒ DFA International Core Equity [MF]	SP	03/20/2020	P	\$15,001 - \$50,000	
Spouse IRA ⇒ DFA International Core Equity [MF]	SP	05/13/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Spouse IRA ⇒ DFA International Core Equity [MF]	SP	07/14/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Spouse IRA ⇒ DFA International Core Equity [MF]	SP	12/22/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Spouse IRA ⇒ DFA International Small Co. [MF]	SP	03/20/2020	P	\$1,001 - \$15,000	
Spouse IRA ⇒ DFA International Small Co. [MF]	SP	05/13/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Spouse IRA ⇒ DFA International Small Co. [MF]	SP	07/14/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Spouse IRA ⇒ DFA International Small Co. [MF]	SP	12/22/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Spouse IRA ⇒ DFA Investment Grade Institutional [MF]	SP	05/15/2020	P	\$250,001 - \$500,000	
Spouse IRA ⇒ DFA Investment Grade Institutional [MF]	SP	07/14/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Spouse IRA ⇒ DFA Investment Grade Institutional [MF]	SP	12/24/2020	P	\$50,001 - \$100,000	
Spouse IRA ⇒ DFA Two-Year Global Fixed [MF]	SP	03/18/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Spouse IRA ⇒ DFA Two-Year Global Fixed [MF]	SP	05/15/2020	P	\$15,001 - \$50,000	
Spouse IRA ⇒ DFA Two-Year Global Fixed [MF]	SP	12/24/2020	P	\$15,001 - \$50,000	
Spouse IRA ⇒ DFA US Large Cap Value [MF]	SP	03/18/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Spouse IRA ⇒ DFA US Small Cap Value [MF]	SP	03/18/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
Spouse IRA ⇒ iShares Core US Aggregate Bond Index [MF]	SP	03/19/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Spouse IRA ⇒ iShares Core US Aggregate Bond Index [MF]	SP	05/14/2020	S	\$250,001 - \$500,000	<input type="checkbox"/>
Spouse IRA ⇒ iShares Core US Aggregate Bond Index [MF]	SP	07/30/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Spouse IRA ⇒ iShares S&P 500 Index [MF]	SP	05/14/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Spouse IRA ⇒ iShares S&P 500 Index [MF]	SP	07/15/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Spouse IRA ⇒ iShares S&P 500 Index [MF]	SP	12/23/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Spouse IRA ⇒ Vanguard Inflation Protected Securities [MF]	SP	03/18/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Spouse IRA ⇒ Vanguard Inflation Protected Securities [MF]	SP	05/15/2020	P	\$1,001 - \$15,000	
Spouse IRA ⇒ Vanguard Inflation Protected Securities [MF]	SP	12/24/2020	P	\$1,001 - \$15,000	
Spouse IRA ⇒ Vanguard Short-Term Investment Grade [MF]	SP	03/18/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Spouse IRA ⇒ Vanguard Short-Term Investment Grade [MF]	SP	05/15/2020	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Spouse IRA ⇒ Vanguard Short-Term Investment Grade [MF]	SP	12/24/2020	P	\$15,001 - \$50,000	
Spouse IRA ⇒ Vanguard Small Cap ETF [MF]	SP	03/23/2020	P	\$15,001 - \$50,000	
Spouse IRA ⇒ Vanguard Small Cap ETF [MF]	SP	05/14/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Spouse IRA ⇒ Vanguard Small Cap ETF [MF]	SP	07/15/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Spouse IRA ⇒ Vanguard Small Cap ETF [MF]	SP	12/23/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Spouse IRA ⇒ Vanguard Small Cap Value [MF]	SP	03/23/2020	P	\$50,001 - \$100,000	
Spouse IRA ⇒ Vanguard Small Cap Value [MF]	SP	05/18/2020	P	\$1,001 - \$15,000	
Spouse IRA ⇒ Vanguard Small Cap Value [MF]	SP	12/23/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Spouse IRA ⇒ Vanguard Value [MF]	SP	03/23/2020	P	\$50,001 - \$100,000	
Spouse IRA ⇒ Vanguard Value [MF]	SP	05/18/2020	P	\$1,001 - \$15,000	
Spouse IRA ⇒ Vanguard Value [MF]	SP	12/23/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

Source	Type	Amount
State of Colorado	Spouse salary	N/A

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo Home Mortgage	May 2017	Mortgage on Denver home	\$250,001 - \$500,000
JT	Wells Fargo Home Mortgage	January 2015	Mortgage on D.C. townhouse	\$250,001 - \$500,000

### SCHEDULE E: POSITIONS

None disclosed.

### SCHEDULE F: AGREEMENTS

None disclosed.

### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Source	Trip Details				Inclusions		
	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
U.S. Association for Former Members of Congress	02/15/2020	02/24/2020	New York, NY - Tokyo - Kyoto - Washington, D.C.	2	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### SCHEDULE A AND B ASSET CLASS DETAILS

- o DeGette IRA  
DESCRIPTION: Diana DeGette's IRA
- o Spouse IRA (Owner: SP)  
DESCRIPTION: Lino Lipinsky's IRA
- o TIAA-CREF Intelligent Life VUL (Owner: SP)

### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not



be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Diana DeGette , 07/26/2021