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Financial Disclosure Report

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Filer Information

Name: Hon. Mary Gay Scanlon
Status: Member
State/District: PA05

Filing Information

Filing Type: Annual Report
Filing Year: 2020
Filing Date: 08/13/2021

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Annuity ⇒ Northwestern Mutual Annuity [FN]	JT	$500,001 - $1,000,000	None		☐
Ballard 401K ⇒ American Funds EuroPacific Growth Fund Class R-6 (RERGX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑
Ballard 401K ⇒ MFS Mid Cap Growth Fund Class R6 (OTCKX) [MF]	SP	$100,001 - $250,000	Tax-Deferred		☑
Ballard 401K ⇒ MIP CL 2 [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑
Ballard 401K ⇒ PIMCO Total Return (PTTRX) [MF]	SP	$250,001 - $500,000	Tax-Deferred		☐
Ballard 401K ⇒ PNC Stable Value [MF]	SP	None	Tax-Deferred		☑
Ballard 401K ⇒ Treasury Obligations Fund (TOIXX) [MF]	SP	$250,001 - $500,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Ballard 401K ⇒ Vanguard Federal Money Market Fund (VMFXX) [MF]	SP	$250,001 - $500,000	Tax-Deferred		☑
Ballard 401K ⇒ Vanguard Institutional Index (VINIX) [MF]	SP	$250,001 - $500,000	Tax-Deferred		☑
Ballard 401K ⇒ Vanguard Mid Cap Index Fd Inst. (VMCIX) [MF]	SP	$100,001 - $250,000	Tax-Deferred		☐
Ballard 401K ⇒ Vanguard Small Cap (VSCIX) [MF]	SP	$50,001 - $100,000	Tax-Deferred		☐
Ballard 401K ⇒ Vanguard Total Bond (VBTIX) [MF]	SP	$250,001 - $500,000	Tax-Deferred		☐
Ballard 401K ⇒ Vanguard Total International Stock Index Fd (VTSNX) [MF]	SP	$100,001 - $250,000	Tax-Deferred		☑
Ballard Spahr LLP [OT] DESCRIPTION: Partner Capital Account	SP	$500,001 - $1,000,000	Ordinary Income	Spouse/DC Over $1,000,000	☐
Ballard Spahr LLP Pension [PE]	SP	Undetermined	None		☐
Brokerage ⇒ Dreyfus Ins Deposit Program [BA]	JT	$15,001 - $50,000	Interest	$1 - $200	☐
Brokerage ⇒ Exelon Corporation (EXC) [ST]	JT	$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
Brokerage ⇒ Vanguard Wellington (VWELX) [MF]	JT	$50,001 - $100,000	Capital Gains, Dividends	$2,501 - $5,000	☑
Genworth Universal Life Insurance [WU]		$1,001 - $15,000	None		☐
Northwestern Mutual - Adjustable CompLife [WU] DESCRIPTION: Policy date 5/30/2019	SP	$50,001 - $100,000	None		☐
Northwestern Mutual - Adjustable CompLife [WU]	SP	$50,001 - $100,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DESCRIPTION: Policy date 10/13/1992					
Northwestern Mutual - Estate CompLife [WU]	SP	$15,001 - $50,000	None		☐
DESCRIPTION: Policy date 10/23/2014					
Northwestern Mutual - Estate CompLife [WU]	SP	$15,001 - $50,000	None		☐
DESCRIPTION: Policy date 10/23/2013					
Northwestern Mutual - Estate CompLife [WU]	SP	$15,001 - $50,000	None		☐
DESCRIPTION: Policy date 10/23/2011					
Northwestern Mutual - Estate CompLife [WU]	SP	$15,001 - $50,000	None		☐
DESCRIPTION: Policy date 10/23/2007					
Northwestern Mutual - Whole Life [WU]		$15,001 - $50,000	None		☐
DESCRIPTION: Policy date 1/23/2013					
Northwestern Mutual - Whole Life [WU]		$50,001 - $100,000	None		☐
DESCRIPTION: Policy date 10/14/1993					
Northwestern Mutual - Whole Life [WU]		$50,001 - $100,000	None		☐
DESCRIPTION: Policy date 10/13/1992					
Northwestern Mutual - Whole Life [WU]	SP	$15,001 - $50,000	None		☐
DESCRIPTION: Policy date 10/23/2012					
Northwestern Mutual - Whole Life [WU]	SP	$15,001 - $50,000	None		☐
DESCRIPTION: Policy date 10/23/2004					
Northwestern Mutual - Whole Life [WU]	SP	$15,001 - $50,000	None		☐
DESCRIPTION: Policy date 10/23/2002					
Northwestern Mutual - Whole Life [WU]	SP	$15,001 - $50,000	None		☐
DESCRIPTION: Policy date 10/23/2000					
Northwestern Mutual - Whole Life [WU]	SP	$15,001 - $50,000	None		☐
DESCRIPTION: Policy date 10/23/1998					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Northwestern Mutual Insurance ⇒ Growth Stock (MSA/The Boston Company) [MF] DESCRIPTION: Variable CompLife	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Northwestern Mutual Insurance ⇒ Index 500 Stock (MSA) [MF] DESCRIPTION: Variable CompLife	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☐
Northwestern Mutual Insurance ⇒ International Equity (MSA/Franklin Tmpl) [MF] DESCRIPTION: Variable CompLife	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Northwestern Mutual Insurance ⇒ Mid Cap Growth Stock (MSA/Wellington) [MF] DESCRIPTION: Variable CompLife	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☐
Northwestern Mutual IRA # 2 ⇒ American Balanced Fund Class A (ABALX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Northwestern Mutual IRA # 2 ⇒ Chemours Company (CC) [ST]	SP	$1 - $1,000	Tax-Deferred		☐
Northwestern Mutual IRA # 2 ⇒ Corteva, Inc. (CTVA) [ST] DESCRIPTION: Appreciated over $1,000	SP	$1,001 - $15,000	Tax-Deferred		☐
Northwestern Mutual IRA # 2 ⇒ Dow Inc. (DOW) [ST] DESCRIPTION: Appreciated over $1,000	SP	$1,001 - $15,000	Tax-Deferred		☐
Northwestern Mutual IRA # 2 ⇒ Dreyfus Ins Deposit Program [BA]	SP	$1,001 - $15,000	Tax-Deferred		☐
Northwestern Mutual IRA # 2 ⇒ DuPont de Nemours, Inc. (DD) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☐
Northwestern Mutual IRA # 2 ⇒ Oracle Corporation (ORCL) [ST]	SP	$15,001 - $50,000	Tax-Deferred		☐
Northwestern Mutual IRA #1 ⇒ American Century Short Duration Inf (APOHX) [MF] DESCRIPTION: Share class exchange, formerly American Century Short Duration Inf (APOIX)		$15,001 - $50,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Northwestern Mutual IRA #1 ⇒ Baron Asset Fund Inst (BARIX) [MF]		$15,001 - $50,000	Tax-Deferred		✓
Northwestern Mutual IRA #1 ⇒ Deutsche Enhanced Commodity (SKIRX) [MF]		$15,001 - $50,000	Tax-Deferred		✓
Northwestern Mutual IRA #1 ⇒ Dodge & Cox (DODIX) [MF]		$50,001 - $100,000	Tax-Deferred		✓
Northwestern Mutual IRA #1 ⇒ Dreyfus Ins Deposit Program [BA]		$1,001 - $15,000	Tax-Deferred		
Northwestern Mutual IRA #1 ⇒ Europacific Growth (AEPFX) [MF]		$50,001 - $100,000	Tax-Deferred		
Northwestern Mutual IRA #1 ⇒ Fidelity Adviser Insights (FINSX) [MF]		$50,001 - $100,000	Tax-Deferred		✓
Northwestern Mutual IRA #1 ⇒ Goldman Sachs Absolute Return Tracker (GJRTX) [MF]		$15,001 - $50,000	Tax-Deferred		✓
Northwestern Mutual IRA #1 ⇒ Goldman Sachs ActiveBeta US Large Cap Equity ETF (GSLC) [EF]		$50,001 - $100,000	Tax-Deferred		✓
Northwestern Mutual IRA #1 ⇒ Hartford World Bond (HWDIX) [MF]		$15,001 - $50,000	Tax-Deferred		✓
Northwestern Mutual IRA #1 ⇒ iShares Gold Trust (IAU) [EF]		$15,001 - $50,000	Tax-Deferred		✓
Northwestern Mutual IRA #1 ⇒ iShares MSCI USA Value Factor ETF (VLUE) [EF]		$15,001 - $50,000	Tax-Deferred		✓
Northwestern Mutual IRA #1 ⇒ iShares TR ESG Aware US Aggregate Bond ETF (EAGG) [EF]		$1,001 - $15,000	Tax-Deferred		✓
Northwestern Mutual IRA #1 ⇒ John Hancock Discipline Value (JVMIX) [MF]		$15,001 - $50,000	Tax-Deferred		✓
Northwestern Mutual IRA #1 ⇒ MFS Value (MEIIX) [MF]		$50,001 - $100,000	Tax-Deferred		✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Northwestern Mutual IRA #1 ⇒ New World (NFFFX) [MF]		$50,001 - $100,000	Tax-Deferred		☐
Northwestern Mutual IRA #1 ⇒ Northern Small Cap (NOSGX) [MF]		$15,001 - $50,000	Tax-Deferred		☑
Northwestern Mutual IRA #1 ⇒ Oakmark International (OAYIX) [MF]		$50,001 - $100,000	Tax-Deferred		☑
DESCRIPTION: Share class exchange, formerly Oakmark International (OAKIX)					
Northwestern Mutual IRA #1 ⇒ PIMCO Income (PONPX) [MF]		$15,001 - $50,000	Tax-Deferred		☑
Northwestern Mutual IRA #1 ⇒ Principal Global Multi-Strategy Fund Inst. (PSMIX) [MF]		$15,001 - $50,000	Tax-Deferred		☑
Northwestern Mutual IRA #1 ⇒ Principal Real Estate Securities Fund Inst (PIREX) [MF]		$15,001 - $50,000	Tax-Deferred		☑
Northwestern Mutual IRA #1 ⇒ TIAA-CREF Bond Fund Advisor Class (TIBHX) [MF]		$50,001 - $100,000	Tax-Deferred		☑
Northwestern Mutual IRA #1 ⇒ Vanguard Short Term (VFSUX) [MF]		None	Tax-Deferred		☑
Northwestern Mutual IRA #1 ⇒ Western Asset Core Bond Fund Class I (WATFX) [MF]		$50,001 - $100,000	Tax-Deferred		☑
Northwestern Mutual Variable Annuity ⇒ Domestic Equity (MSA/Delaware) [MF]	SP	$1,001 - $15,000	None		☐
Northwestern Mutual Variable Annuity ⇒ Fidelity VIP Mid Cap [MF]	SP	$1,001 - $15,000	None		☐
Northwestern Mutual Variable Annuity ⇒ Global Real Estate Securities (RIF) [MF]	SP	$1,001 - $15,000	None		☐
Northwestern Mutual Variable Annuity ⇒ Index 500 Stock (MSA) [MF]	SP	$1,001 - $15,000	None		☐
Northwestern Mutual Variable Annuity ⇒ International Developed Markets (RIF) [MF]	SP	$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Northwestern Mutual Variable Annuity ⇒ Multi Sector Bond (MSA/PIMCO) [MF]	SP	$1,001 - $15,000	None		☐
Northwestern Mutual Variable Annuity ⇒ Small Cap Value (MSA/T Rowe Price) [MF]	SP	$1,001 - $15,000	None		☐
Pennsylvania State Employee Credit Union [BA]		$15,001 - $50,000	Interest	$1 - $200	☐
PNC [BA]	SP	$15,001 - $50,000	Interest	$1 - $200	☐
Residential property located in Chaumont, NY [RP] LOCATION: Chaumont, NY, US	JT	$250,001 - $500,000	None		☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Ballard 401K ⇒ American Funds EuroPacific Growth Fund Class R-6 (RERGX) [MF]	SP	04/8/2020	P	$1,001 - $15,000	
Ballard 401K ⇒ MFS Mid Cap Growth Fund Class R6 (OTCKX) [MF]	SP	06/8/2020	P	$100,001 - $250,000	
Ballard 401K ⇒ MIP CL 2 [MF]	SP	09/15/2020	P	$15,001 - $50,000	
Ballard 401K ⇒ PNC Stable Value [MF]	SP	09/15/2020	S	$15,001 - $50,000	☐
Ballard 401K ⇒ PNC Stable Value [MF]	SP	04/8/2020	S (partial)	$15,001 - $50,000	☐
Ballard 401K ⇒ Treasury Obligations Fund (TOIXX) [MF]	SP	07/2/2020	P	$250,001 - $500,000	
Ballard 401K ⇒ Vanguard Federal Money Market Fund (VMFXX) [MF]	SP	06/8/2020	P	$250,001 - $500,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Ballard 401K ⇒ Vanguard Institutional Index (VINIX) [MF]	SP	07/2/2020	S (partial)	$15,001 - $50,000	☐
Ballard 401K ⇒ Vanguard Total International Stock Index Fd (VTSNX) [MF]	SP	06/18/2020	S (partial)	$50,001 - $100,000	☐
Brokerage ⇒ Vanguard Wellington (VWELX) [MF]	JT	12/30/2020	P	$1,001 - $15,000	
Northwestern Mutual IRA #1 ⇒ American Century Short Duration Inf (APOIX) [MF]		04/13/2020	P	$1,001 - $15,000	
Northwestern Mutual IRA #1 ⇒ American Century Short Duration Inf (APOIX) [MF]		03/24/2020	S (partial)	$1,001 - $15,000	☐
Northwestern Mutual IRA #1 ⇒ Baron Asset Fund Inst (BARIX) [MF]		04/13/2020	P	$1,001 - $15,000	
Northwestern Mutual IRA #1 ⇒ Deutsche Enhanced Community (SKIRX) [MF]		08/31/2020	S (partial)	$1,001 - $15,000	☐
Northwestern Mutual IRA #1 ⇒ Deutsche Enhanced Community (SKIRX) [MF]		04/13/2020	S (partial)	$1,001 - $15,000	☐
Northwestern Mutual IRA #1 ⇒ Deutsche Enhanced Community (SKIRX) [MF]		03/24/2020	P	$1,001 - $15,000	
Northwestern Mutual IRA #1 ⇒ Dodge & Cox (DODIX) [MF]		12/22/2020	P	$1,001 - $15,000	
Northwestern Mutual IRA #1 ⇒ Dodge & Cox (DODIX) [MF]		03/25/2020	S (partial)	$1,001 - $15,000	☐
Northwestern Mutual IRA #1 ⇒ Fidelity Adviser Insights (FINSX) [MF]		12/14/2020	P	$1,001 - $15,000	
Northwestern Mutual IRA #1 ⇒ Fidelity Adviser Insights (FINSX) [MF]		08/31/2020	S (partial)	$50,001 - $100,000	☐
Northwestern Mutual IRA #1 ⇒ Fidelity Adviser Insights (FINSX) [MF]		04/13/2020	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Northwestern Mutual IRA #1 ⇒ Goldman Sachs Absolute Return Tracker (GJRTX) [MF]		03/25/2020	S (partial)	$1,001 - $15,000	☐
Northwestern Mutual IRA #1 ⇒ Goldman Sachs ActiveBeta US Large Cap Equity ETF (GSLC) [EF]		08/31/2020	P	$50,001 - $100,000	
Northwestern Mutual IRA #1 ⇒ Hartford World Bond (HWDIX) [MF]		08/31/2020	S (partial)	$1,001 - $15,000	☐
Northwestern Mutual IRA #1 ⇒ Hartford World Bond (HWDIX) [MF]		03/24/2020	S (partial)	$1,001 - $15,000	☐
Northwestern Mutual IRA #1 ⇒ iShares Gold Trust (IAU) [EF]		08/31/2020	P	$1,001 - $15,000	
Northwestern Mutual IRA #1 ⇒ iShares Gold Trust (IAU) [EF]		04/13/2020	P	$1,001 - $15,000	
Northwestern Mutual IRA #1 ⇒ iShares MSCI USA Value Factor ETF (VLUE) [EF]		08/31/2020	P	$15,001 - $50,000	
Northwestern Mutual IRA #1 ⇒ iShares TR ESG Aware US Aggregate Bond ETF (EAGG) [EF]		08/31/2020	P	$1,001 - $15,000	
Northwestern Mutual IRA #1 ⇒ John Hancock Discipline Value (JVMIX) [MF]		04/13/2020	S (partial)	$1,001 - $15,000	☐
Northwestern Mutual IRA #1 ⇒ John Hancock Discipline Value (JVMIX) [MF]		03/24/2020	P	$1,001 - $15,000	
Northwestern Mutual IRA #1 ⇒ MFS Value (MEIIX) [MF]		08/31/2020	S (partial)	$15,001 - $50,000	☐
Northwestern Mutual IRA #1 ⇒ MFS Value (MEIIX) [MF]		03/24/2020	P	$1,001 - $15,000	
Northwestern Mutual IRA #1 ⇒ Northern Small Cap (NOSGX) [MF]		03/25/2020	P	$1,001 - $15,000	
Northwestern Mutual IRA #1 ⇒ Oakmark International (OAKIX) [MF]		03/24/2020	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Northwestern Mutual IRA #1 ⇒ PIMCO Income (PONPX) [MF]		03/24/2020	S (partial)	$1,001 - $15,000	☐
Northwestern Mutual IRA #1 ⇒ Principal Global Multi-Strategy Fund Inst. (PSMIX) [MF]		03/25/2020	S (partial)	$1,001 - $15,000	☐
Northwestern Mutual IRA #1 ⇒ Principal Real Estate Securities Fund Inst (PIREX) [MF]		03/25/2020	P	$1,001 - $15,000	
Northwestern Mutual IRA #1 ⇒ TIAA-CREF Bond Fund Advisor Class (TIBHX) [MF]		08/31/2020	S (partial)	$1,001 - $15,000	☐
Northwestern Mutual IRA #1 ⇒ TIAA-CREF Bond Fund Advisor Class (TIBHX) [MF]		03/24/2020	S (partial)	$1,001 - $15,000	☐
Northwestern Mutual IRA #1 ⇒ Vanguard Short Term (VFSUX) [MF]		04/13/2020	S	$15,001 - $50,000	☐
Northwestern Mutual IRA #1 ⇒ Vanguard Short Term (VFSUX) [MF]		03/25/2020	S (partial)	$1,001 - $15,000	☐
Northwestern Mutual IRA #1 ⇒ Western Asset Core Bond Fund Class I (WATFX) [MF]		09/1/2020	P	$15,001 - $50,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
Ballard Spahr LLP	Spouse Salary	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	PNC	June 2016	Residential Mortgage	$50,001 - $100,000
JT	PNC	June 2018	Home Equity Line of Credit	$100,001 - $250,000
JT	Mr. Cooper	January 2011	Residential Mortgage	$50,001 - $100,000

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Citizens Bank NA	September 2017	Mortgage on Chaumont, NY rental property	$50,001 - $100,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Annuity (Owner: JT)
  Location: US
- Ballard 401K (Owner: SP)
- Brokerage (Owner: JT)
  Location: US
- Northwestern Mutual Insurance (Owner: SP)
- Northwestern Mutual IRA # 2 (Owner: SP)
- Northwestern Mutual IRA #1
- Northwestern Mutual Variable Annuity (Owner: SP)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

◯ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Mary Gay Scanlon , 08/13/2021