



FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Kathy Manning
Status: Member
State/District: NCo6

FILING INFORMATION

Filing Type: New Filer Report
Filing Year: 2020
Filing Date: 08/11/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
BB&T Accounts [BA] DESCRIPTION: Checking & Savings	SP	\$1,001 - \$15,000	Interest	Not Applicable	\$1 - \$200
Bedford Properties, LLC ⇒ Investment in Industrial Rental Property [RP] LOCATION: Greensboro, NC, US	SP	Spouse/DC Over \$1,000,000	Partnership Income	Not Applicable	\$100,001 - \$1,000,000
Capsule Group ⇒ GT-TWAS, LLC ⇒ Investment in Tidal Wave Car Washes [OL] LOCATION: Greensboro, NC, US DESCRIPTION: Car wash operation	SP	None	Business Income	Not Applicable	Spouse/DC Over \$1,000,000
Certificate of Deposit Account Registry Service [BA]	SP	\$100,001 - \$250,000	Interest	Not Applicable	\$1,001 - \$2,500
Charles Schwab - Edgewood Management LLC ⇒ Adobe Inc. (ADBE) [ST]	JT	\$50,001 - \$100,000	None		
Charles Schwab - Edgewood Management LLC ⇒ Align Technology, Inc. (ALGN) [ST]	JT	\$50,001 - \$100,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Charles Schwab - Edgewood Management LLC ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	JT	\$50,001 - \$100,000	None		
Charles Schwab - Edgewood Management LLC ⇒ Amazon.com, Inc. (AMZN) [ST]	JT	\$50,001 - \$100,000	None		
Charles Schwab - Edgewood Management LLC ⇒ American Tower Corporation (AMT) [ST]	JT	\$50,001 - \$100,000	Dividends	Not Applicable	\$1,001 - \$2,500
Charles Schwab - Edgewood Management LLC ⇒ Charles Schwab - Cash [BA]	JT	\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
Charles Schwab - Edgewood Management LLC ⇒ Chipotle Mexican Grill, Inc. (CMG) [ST]	JT	\$50,001 - \$100,000	None		
Charles Schwab - Edgewood Management LLC ⇒ CME Group Inc. - Class A (CME) [ST]	JT	\$50,001 - \$100,000	Dividends	Not Applicable	\$201 - \$1,000
Charles Schwab - Edgewood Management LLC ⇒ Danaher Corporation (DHR) [ST]	JT	\$50,001 - \$100,000	None		
Charles Schwab - Edgewood Management LLC ⇒ Ecolab Inc. (ECL) [ST]	JT	\$15,001 - \$50,000	None		
Charles Schwab - Edgewood Management LLC ⇒ Equinix, Inc. (EQIX) [ST]	JT	\$50,001 - \$100,000	Dividends	Not Applicable	\$201 - \$1,000
Charles Schwab - Edgewood Management LLC ⇒ Estee Lauder Companies, Inc. (EL) [ST]	JT	\$50,001 - \$100,000	Dividends	Not Applicable	\$201 - \$1,000
Charles Schwab - Edgewood Management LLC ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	\$50,001 - \$100,000	None		
Charles Schwab - Edgewood Management LLC ⇒ IHS Markit Ltd. Common Shares (INFO) [ST]	JT	\$50,001 - \$100,000	Dividends	Not Applicable	\$201 - \$1,000
Charles Schwab - Edgewood Management LLC ⇒ Illumina, Inc. (ILMN) [ST]	JT	\$50,001 - \$100,000	None		
Charles Schwab - Edgewood Management LLC ⇒ Intuit Inc. (INTU) [ST]	JT	\$50,001 - \$100,000	Dividends	Not Applicable	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Charles Schwab - Edgewood Management LLC ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	JT	\$50,001 - \$100,000	None		
Charles Schwab - Edgewood Management LLC ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$50,001 - \$100,000	Dividends	Not Applicable	\$201 - \$1,000
Charles Schwab - Edgewood Management LLC ⇒ Netflix, Inc. (NFLX) [ST]	JT	\$50,001 - \$100,000	None		
Charles Schwab - Edgewood Management LLC ⇒ Nike, Inc. (NKE) [ST]	JT	\$50,001 - \$100,000	Dividends	Not Applicable	\$201 - \$1,000
Charles Schwab - Edgewood Management LLC ⇒ NVIDIA Corporation (NVDA) [ST]	JT	\$50,001 - \$100,000	None		
Charles Schwab - Edgewood Management LLC ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	\$100,001 - \$250,000	None		
Charles Schwab - Edgewood Management LLC ⇒ S&P Global Inc. (SPGI) [ST]	JT	\$50,001 - \$100,000	Dividends	Not Applicable	\$201 - \$1,000
Charles Schwab - Edgewood Management LLC ⇒ Snap Inc. Class A (SNAP) [ST]	JT	\$100,001 - \$250,000	None		
Charles Schwab - Edgewood Management LLC ⇒ Visa Inc. (V) [ST]	JT	\$50,001 - \$100,000	Dividends	Not Applicable	\$201 - \$1,000
DVS Limited Partnership ⇒ Investment in Residential Properties [RP] LOCATION: Greensboro, NC, US	SP	\$15,001 - \$50,000	None		
Ehrenkranz Partners, LP ⇒ Acquisition Fund 2006 L.P. [HE]	SP	\$15,001 - \$50,000	Partnership Income	Not Applicable	\$5,001 - \$15,000
Ehrenkranz Partners, LP ⇒ Acquisition Fund 2007 L.P. [HE]	SP	\$50,001 - \$100,000	Partnership Income	Not Applicable	\$15,001 - \$50,000
Ehrenkranz Partners, LP ⇒ Acquisition Fund III L.P. [HE]	SP	\$15,001 - \$50,000	None		
Ehrenkranz Partners, LP ⇒ Acquisition Fund IV L.P. [HE]	SP	\$1,001 - \$15,000	Partnership Income	Not Applicable	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ehrenkranz Partners, LP ⇒ Acquisition Fund V L.P. [HE]	SP	\$15,001 - \$50,000	Partnership Income	Not Applicable	\$5,001 - \$15,000
Ehrenkranz Partners, LP ⇒ Overseas Acquisition Fund I, L.P. [HE]	SP	\$1,001 - \$15,000	Partnership Income	Not Applicable	\$201 - \$1,000
Ehrenkranz Partners, LP ⇒ Overseas Acquisition Fund II L.P. [HE]	SP	\$1,001 - \$15,000	None		
Ehrenkranz Partners, LP ⇒ Overseas Acquisition Fund III L.P. [HE]	SP	\$1,001 - \$15,000	None		
Ehrenkranz Partners, LP ⇒ Overseas Acquisition Fund IV L.P. [HE]	SP	\$1,001 - \$15,000	None		
Ehrenkranz Partners, LP ⇒ Real Estate Acquisition Fund L.P. [HE]	SP	\$1,001 - \$15,000	None		
Ehrenkranz Partners, LP ⇒ Venture Fund L.P. [HE]	SP	\$1,001 - \$15,000	None		
Elm Street Hotel II ⇒ Elm Street Hotel, LLC [RP] LOCATION: Greensboro, NC, US DESCRIPTION: Commercial Real Estate Investment- hotel in downtown Greensboro.	SP	Spouse/DC Over \$1,000,000	None		
Elm Street Technology Holdings, LLC [OL] LOCATION: Greensboro, NC, US DESCRIPTION: Internet Software Company	SP	Spouse/DC Over \$1,000,000	Partnership Income	Not Applicable	Spouse/DC Over \$1,000,000
GT-TWAS, LLC [OL] LOCATION: Thomaston, GA, US DESCRIPTION: Owns and operates 3 Tidal Wave Car washes located in Greensboro, High Point and Kernersville.	SP	None	Business Income	Not Applicable	Spouse/DC Over \$1,000,000
Little Santee-Sandy Ridge, LLC ⇒ Investment in Commercial Real Estate [RP] LOCATION: Greensboro, NC, US DESCRIPTION: Sandy Ridge Road	SP	\$250,001 - \$500,000	None		
Manning Family LP ⇒ Investment Property [RP]		\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LOCATION: San Antonio, TX, US					
MV Capital - GST Exempt Trust #1 ⇒ Clearbridge Large Cap Growth I (SBLYX) [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	Not Applicable	\$15,001 - \$50,000
MV Capital - GST Exempt Trust #1 ⇒ Consumer Staples Select Sector SPDR (XLP) [EF]	SP	\$50,001 - \$100,000	Dividends	Not Applicable	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #1 ⇒ Ecolab Inc. (ECL) [ST]	SP	\$100,001 - \$250,000	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ Fidelity Blue Chip Growth (FBGRX) [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	Not Applicable	\$2,501 - \$5,000
MV Capital - GST Exempt Trust #1 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	\$15,001 - \$50,000	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ Health Care SPDR (XLV) [EF]	SP	\$50,001 - \$100,000	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ Industrial Select Sector SPDR Fund (XLI) [EF]	SP	\$15,001 - \$50,000	None		
MV Capital - GST Exempt Trust #1 ⇒ Invesco Preferred ETF (PGX) [ST]	SP	\$15,001 - \$50,000	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [ST]	SP	\$15,001 - \$50,000	None		
MV Capital - GST Exempt Trust #1 ⇒ iShares Core S&P 500 ETF (IVV) [ST]	SP	\$100,001 - \$250,000	Dividends	Not Applicable	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #1 ⇒ iShares Edge MSCI Min Vol USA ETF (USMV) [ST]	SP	\$100,001 - \$250,000	Dividends	Not Applicable	\$2,501 - \$5,000
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2020 Term Corporate ETF (IBDL) [ST]	SP	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2021 Term Corporate ETF (IBDM) [ST]	SP	\$15,001 - \$50,000	Dividends	Not Applicable	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2022 Term Corporate ETF (IBDN) [ST]	SP	\$50,001 - \$100,000	Dividends	Not Applicable	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2023 Term Corporate ETF (IBDO) [ST]	SP	\$50,001 - \$100,000	Dividends	Not Applicable	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #1 ⇒ iShares MSCI China ETF (MCHI) [EF]	SP	\$15,001 - \$50,000	None		
MV Capital - GST Exempt Trust #1 ⇒ iShares Russell 1000 Value ETF (IWD) [ST]	SP	\$50,001 - \$100,000	None		
MV Capital - GST Exempt Trust #1 ⇒ iShares Russell Mid-Cap Growth ETF (IWP) [EF]	SP	\$15,001 - \$50,000	None		
MV Capital - GST Exempt Trust #1 ⇒ iShares S&P 500 Growth ETF (IVW) [ST]	SP	\$100,001 - \$250,000	Dividends	Not Applicable	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #1 ⇒ iShares S&P 500 Value ETF (IVE) [ST]	SP	\$100,001 - \$250,000	Dividends	Not Applicable	\$2,501 - \$5,000
MV Capital - GST Exempt Trust #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [ST]	SP	\$15,001 - \$50,000	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ iShares Trust United States Treasury (TIP) [EF]	SP	\$100,001 - \$250,000	Dividends	Not Applicable	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #1 ⇒ iShares Ultra Short-Term Bond ETF (ICSH) [ST]	SP	\$100,001 - \$250,000	Dividends	Not Applicable	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #1 ⇒ Materials Select Sector SPDR (XLB) [EF]	SP	\$15,001 - \$50,000	None		
MV Capital - GST Exempt Trust #1 ⇒ SPDR Bloomberg Barclays Convertible Securities ETF (CWB) [ST]	SP	\$15,001 - \$50,000	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]	SP	\$50,001 - \$100,000	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF]	SP	\$50,001 - \$100,000	Dividends	Not Applicable	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MV Capital - GST Exempt Trust #2 ⇒ Abbott Laboratories (ABT) [ST]	SP	\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #2 ⇒ AbbVie Inc. (ABBV) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	\$15,001 - \$50,000	Dividends	Not Applicable	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #2 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	SP	\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #2 ⇒ Allergan plc Ordinary Shares (AGN) [ST]	SP	None	Capital Gains, Dividends	Not Applicable	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #2 ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	\$15,001 - \$50,000	Capital Gains	Not Applicable	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #2 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Amgen Inc. (AMGN) [ST]	SP	\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #2 ⇒ Aon plc Class A Ordinary Shares (AON) [ST]	SP	\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #2 ⇒ Apple Inc. (AAPL) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	Not Applicable	\$5,001 - \$15,000
MV Capital - GST Exempt Trust #2 ⇒ Caterpillar, Inc. (CAT) [ST]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #2 ⇒ Centene Corporation (CNC) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Chubb Limited (CB) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Citizens Financial Group, Inc. (CFG) [ST]	SP	\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #2 ⇒	SP	\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
CME Group Inc. - Class A (CME) [ST]					
MV Capital - GST Exempt Trust #2 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Expeditors International of Washington, Inc. (EXPD) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Fidelity Treasury Money Market Fund (FZFXX) [MF]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ General Mills, Inc. (GIS) [ST]	SP	\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #2 ⇒ Home Depot, Inc. (HD) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Intel Corporation (INTC) [ST]	SP	\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #2 ⇒ Intuit Inc. (INTU) [ST]	SP	\$1,001 - \$15,000	Capital Gains	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #2 ⇒ Johnson & Johnson (JNJ) [ST]	SP	\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #2 ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #2 ⇒ Lockheed Martin Corporation (LMT) [ST]	SP	\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #2 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #2 ⇒ Micron Technology, Inc. (MU) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Microsoft Corporation (MSFT) [ST]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	Not Applicable	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MV Capital - GST Exempt Trust #2 ⇒ Nike, Inc. (NKE) [ST]	SP	\$15,001 - \$50,000	None		
MV Capital - GST Exempt Trust #2 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	SP	\$1,001 - \$15,000	Capital Gains	Not Applicable	\$2,501 - \$5,000
MV Capital - GST Exempt Trust #2 ⇒ Pfizer, Inc. (PFE) [ST]	SP	\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #2 ⇒ Procter & Gamble Company (PG) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ QUALCOMM Incorporated (QCOM) [ST]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #2 ⇒ SPDR S&P 500 (SPY) [ST]	SP	None	Capital Gains, Dividends	Not Applicable	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #2 ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$15,001 - \$50,000	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #2 ⇒ Sysco Corporation (SYY) [ST]	SP	\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - GST Exempt Trust #2 ⇒ TJX Companies, Inc. (TJX) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Visa Inc. (V) [ST]	SP	\$15,001 - \$50,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Walmart Inc. (WMT) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Walt Disney Company (DIS) [ST]	SP	\$15,001 - \$50,000	None		
MV Capital - Joint Account #1 ⇒ Bank of America Corporation (BAC) [ST]	JT	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #1 ⇒ Fidelity Government Money Market (SPAXX) [MF]	JT	None	Dividends	Not Applicable	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MV Capital - Joint Account #1 ⇒ iShares Ultra Short-Term Bond ETF (ICSH) [ST]	JT	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #2 ⇒ Clearbridge Large Cap Growth I (SBLYX) [MF]	JT	None	Capital Gains, Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #2 ⇒ Fidelity Government Money Market (SPAXX) [MF]	JT	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #2 ⇒ iShares Core MSCI EAFE ETF (IEFA) [ST]	JT	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #2 ⇒ iShares Edge MSCI Min Vol USA ETF (USMV) [ST]	JT	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #2 ⇒ iShares Floating Rate Bond ETF (FLOT) [ST]	JT	None	Dividends	Not Applicable	\$1,001 - \$2,500
MV Capital - Joint Account #2 ⇒ iShares iBonds Dec 2020 Term Corporate ETF (IBDL) [ST]	JT	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #2 ⇒ iShares iBonds Dec 2021 Term Corporate ETF (IBDM) [ST]	JT	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #2 ⇒ iShares iBonds Dec 2022 Term Corporate ETF (IBDN) [ST]	JT	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #2 ⇒ iShares iBonds Dec 2023 Term Corporate ETF (IBDO) [ST]	JT	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #2 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [ST]	JT	None	Capital Gains, Dividends	Not Applicable	\$1,001 - \$2,500
MV Capital - Joint Account #2 ⇒ iShares U.S. Preferred Stock ETF (PFF) [ST]	JT	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #2 ⇒ iShares Ultra Short-Term Bond ETF (ICSH) [ST]	JT	None	Dividends	Not Applicable	\$1,001 - \$2,500
MV Capital - Joint Account #2 ⇒ Pinnacle Financial Partners, Inc. (PNFP) [ST]	JT	None	Capital Gains, Dividends	Not Applicable	\$2,501 - \$5,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MV Capital - Joint Account #3 ⇒ Caterpillar, Inc. (CAT) [ST]	JT	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Centene Corporation (CNC) [ST]	JT	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Citizens Financial Group, Inc. (CFG) [ST]	JT	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ CME Group Inc. - Class A (CME) [ST]	JT	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Fidelity Treasury Money Market Fund (FZFX) [MF]	JT	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ General Mills, Inc. (GIS) [ST]	JT	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ JP Morgan Chase & Co. (JPM) [ST]	JT	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Pfizer, Inc. (PFE) [ST]	JT	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Procter & Gamble Company (PG) [ST]	JT	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ QUALCOMM Incorporated (QCOM) [ST]	JT	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Schlumberger N.V. (SLB) [ST]	JT	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ SPDR S&P 500 (SPY) [ST]	JT	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Starbucks Corporation (SBUX) [ST]	JT	None	Dividends	Not Applicable	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Sysco Corporation (SYY) [ST]	JT	None	Dividends	Not Applicable	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Procter & Gamble Company (PG) [ST]	JT	None	Capital Gains	Not Applicable	\$201 - \$1,000
MV Capital - KEM Roth IRA #1 ⇒ Clearbridge Large Cap Growth I (SBLYX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ Consumer Staples Select Sector SPDR (XLP) [EF]		\$50,001 - \$100,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ Delaware Value Fund Institutional (DDVIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ Fidelity Blue Chip Growth (FBGRX) [MF]		\$100,001 - \$250,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ Franklin Convertible Securities Advisor (FCSZX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ Health Care Select Sector SPDR (XLV) [EF]		\$50,001 - \$100,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ Invesco Exchange - Traded FD TR II PFD ETF (PGX) [EF]		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Core S&P 500 ETF (IVV) [ST]		\$100,001 - \$250,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Edge MSCI Min Vol USA ETF (USMV) [ST]		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 1000 Growth ETF (IWF) [ST]		\$250,001 - \$500,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares S&P 500 Value ETF (IVE) [ST]		\$50,001 - \$100,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares TR MSCI China ETF (MCHI) [EF]		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MV Capital - KEM Roth IRA #1 ⇒ iShares TR Rus Mid Cap GR ETF (IWP) [EF]		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [ST]		\$50,001 - \$100,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Trust United States Treasury (TIP) [EF]		\$50,001 - \$100,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ Select Sector SPDR Trust Technology (XLK) [EF]		\$50,001 - \$100,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ SPDR Bloomberg Barclays Convertible Securities ETF (CWB) [ST]		\$1,001 - \$15,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ Utilities Select Sector SPDR Fund (XLU) [EF]		\$50,001 - \$100,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ iShares S&P 500 Growth ETF (IVW) [ST]		\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ Alger Mid Cap Focus CL I (AFOIX) [EF]	SP	\$50,001 - \$100,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ Blue Ridge Bankshares, Inc. (BRBS) [ST]	SP	\$100,001 - \$250,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ Clearbridge Large Cap Growth I (SBLYX) [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ Consumer Staples Select Sector SPDR (XLP) [EF]	SP	\$50,001 - \$100,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ Delaware Value Fund Institutional (DDVIX) [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ Fidelity Blue Chip Growth (FBGRX) [EF]	SP	\$100,001 - \$250,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒	SP	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Franklin Convertible Securities Advisor (FCSZX) [MF]					
MV Capital - RRK Roth IRA #1 ⇒ Health Care SPDR (XLV) [EF]	SP	\$50,001 - \$100,000		Tax-Deferred	
MV Capital - RRK Roth IRA #1 ⇒ Invesco Exchange - Traded FD TR II PFD ETF (PGX) [EF]	SP	\$50,001 - \$100,000		Tax-Deferred	
MV Capital - RRK Roth IRA #1 ⇒ iShares Core S&P 500 ETF (IVV) [ST]	SP	\$100,001 - \$250,000		Tax-Deferred	
MV Capital - RRK Roth IRA #1 ⇒ iShares Edge MSCI Min Vol USA ETF (USMV) [ST]	SP	\$50,001 - \$100,000		Tax-Deferred	
MV Capital - RRK Roth IRA #1 ⇒ iShares S&P 500 Growth ETF (IVW) [ST]	SP	\$100,001 - \$250,000		Tax-Deferred	
MV Capital - RRK Roth IRA #1 ⇒ iShares S&P 500 Value ETF (IVE) [ST]	SP	\$100,001 - \$250,000		Tax-Deferred	
MV Capital - RRK Roth IRA #1 ⇒ iShares S&P Small-Cap 600 Growth ETF (IJT) [ST]	SP	\$1,001 - \$15,000		Tax-Deferred	
MV Capital - RRK Roth IRA #1 ⇒ iShares TR MSCI China ETF (MCHI) [EF]	SP	\$15,001 - \$50,000		Tax-Deferred	
MV Capital - RRK Roth IRA #1 ⇒ iShares Treasury Floating Rate (TFLO) [EF]	SP	\$15,001 - \$50,000		Tax-Deferred	
MV Capital - RRK Roth IRA #1 ⇒ iShares Trust United States Treasury (TIP) [EF]	SP	\$100,001 - \$250,000		Tax-Deferred	
MV Capital - RRK Roth IRA #1 ⇒ Select Sector SPDR Trust Technology (XLK) [EF]	SP	\$100,001 - \$250,000		Tax-Deferred	
MV Capital - RRK Roth IRA #1 ⇒ SPDR Bloomberg Barclays Convertible Securities ETF (CWB) [ST]	SP	\$15,001 - \$50,000		Tax-Deferred	
MV Capital - RRK Roth IRA #1 ⇒ Upper90 Fund II LP [HE]	SP	\$15,001 - \$50,000		Tax-Deferred	
MV Capital - RRK Roth IRA #1 ⇒	SP	\$50,001 -		Tax-Deferred	

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Utilities Select Sector SPDR Fund (XLU) [EF]		\$100,000			
MV Capital - RRK Roth IRA #1 ⇒ Vanguard REIT ETF (VNQ) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Ally Financial Inc. (ALLY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Ameriprise Financial, Inc. (AMP) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Amgen Inc. (AMGN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Bank of America Corporation (BAC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Best Buy Co., Inc. (BBY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Caterpillar, Inc. (CAT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Citigroup, Inc. (C) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Eli Lilly and Company (LLY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Lam Research Corporation (LRCX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ MetLife, Inc. (MET) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ NXP Semiconductors N.V. (NXPI) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Pfizer, Inc. (PFE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MV Capital - RRK Roth IRA #3 ⇒ QUALCOMM Incorporated (QCOM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Union Pacific Corporation (UNP) [ST]	SP	\$1 - \$1,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Voya Financial, Inc. (VOYA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Western Union Company (WU) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Neuberger Berman Brokerage # 1 ⇒ Alaska St HSG Fin Corp Rev BDS ST Cap 5.0%; Due 12/1/20 [GS]	SP	None	Capital Gains, Interest	Not Applicable	\$1,001 - \$2,500
Neuberger Berman Brokerage # 1 ⇒ Allegheny Valley PA Sch Dist Go BDS Ser 5.0%; Due 11/1/24 [GS]	SP	None	Capital Gains, Interest	Not Applicable	\$5,001 - \$15,000
Neuberger Berman Brokerage # 1 ⇒ Arlington Tex Spl Tax Rev SR Lien Bds 3.133%; Due 2/15/22 [GS]	SP	None	Capital Gains, Interest	\$1,001 - \$2,500	\$201 - \$1,000
Neuberger Berman Brokerage # 1 ⇒ Boone & Winnebago CNTYS ILL CM NTV 0%; Due 1/1/20 [GS]	SP	None	Capital Gains	\$201 - \$1,000	None
Neuberger Berman Brokerage # 1 ⇒ Colorado St BRD Governors Univ 4.9%; Due 3/1/21 [GS]	SP	None	Capital Gains, Interest	Not Applicable	\$5,001 - \$15,000
Neuberger Berman Brokerage # 1 ⇒ Connecticut St Taxable BDS Ser 3.482%; Due 4/15/2029 [GS]	SP	None	Capital Gains, Interest	\$5,001 - \$15,000	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ Dreyfus Treasury Sec Cash Mgmt Admin (DARXX) [MF]	SP	\$1 - \$1,000	Dividends	Not Applicable	\$201 - \$1,000
Neuberger Berman Brokerage # 1 ⇒ Georgia Mun Elec Auth Power Rev BDS 3.78%; Due	SP	None	Capital Gains, Interest	\$1,001 - \$2,500	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
1/1/20 [GS]					
Neuberger Berman Brokerage # 1 ⇒ Illinois St GO BDS Ser 2017D 5.0%; Due 11/1/2023 [GS]	SP	None	Capital Gains, Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
Neuberger Berman Brokerage # 1 ⇒ Lake Zurich ILL Taxable Go Ref BDS 2.85%; Due 2/1/27 [GS]	SP	None	Capital Gains, Interest	Not Applicable	\$5,001 - \$15,000
Neuberger Berman Brokerage # 1 ⇒ New York NY City Transitional Fin 4.137%; Due 8/1/20 [GS]	SP	None	Capital Gains, Interest	Not Applicable	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ Oregon St Dept Administrative Services 2.78%; Due 4/1/24 [GS]	SP	None	Capital Gains, Interest	Not Applicable	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ Pennsylvania St TPK Common Rev BDS 5.0%; Due 12/1/2031 [GS]	SP	None	Capital Gains, Interest	Not Applicable	\$1,001 - \$2,500
Neuberger Berman Brokerage # 1 ⇒ Port Seattle Wash Rev Intermediate 2.836%; Due 5/1/24 [GS]	SP	None	Capital Gains, Interest	Not Applicable	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ Portland Oregon Community College Dist Taxable 4.16%; Due 6/1/2029 [GS]	SP	None	Capital Gains, Interest	Not Applicable	\$1,001 - \$2,500
Neuberger Berman Brokerage # 1 ⇒ Regional Transn Auth ILL Go Taxable 3.013%; Due 5/29/20 [GS]	SP	None	Interest	Not Applicable	\$201 - \$1,000
Neuberger Berman Brokerage # 1 ⇒ Rhode Island St TPK 2.124%; Due 12/1/2021 [GS]	SP	None	Capital Gains, Interest	Not Applicable	\$201 - \$1,000
Neuberger Berman Brokerage # 1 ⇒ Riverside California Pension Oblig 3.0%; Due 6/1/25 [GS]	SP	None	Capital Gains, Interest	Not Applicable	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ San Jose California Redevel AGY Successor AGY 2.958%; Due 8/1/24 [GS]	SP	None	Capital Gains, Interest	\$5,001 - \$15,000	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒	SP	None	Capital Gains,	Not	\$201 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Southwest Higher Ed Auth Inc. Tex Rev 2.262%; Due 10/1/23 [GS]			Interest	Applicable	\$1,000
Neuberger Berman Brokerage # 1 ⇒ Texas A& Univ Rev Fin Svs BDS 2.986%; Due 5/15/28 [GS]	SP	None	Capital Gains, Interest	Not Applicable	\$5,001 - \$15,000
Neuberger Berman Brokerage # 1 ⇒ Vanguard Bond Index Fund Inc Short Term Bond ETF (BSV) [EF]	SP	None	Capital Gains, Dividends	Not Applicable	\$15,001 - \$50,000
Neuberger Berman Brokerage # 1 ⇒ Vineland NJ Electric Utility Ref BDS 2.497%; Due 4/15/2020 [GS]	SP	None	Capital Gains, Interest	\$201 - \$1,000	None
Neuberger Berman Brokerage # 2 ⇒ Vanguard Short Term Invmt Grade Investor (VFSTX) [MF]		None	Capital Gains, Dividends	Not Applicable	\$2,501 - \$5,000
Northern Trust Company [DB]	SP	Undetermined	Tax-Deferred		
OurCrowd ⇒ Consumer Physics [OL] LOCATION: Herzliya, IL DESCRIPTION: Advanced optical spectrometer	SP	\$1,001 - \$15,000	None		
Piedmont Angel Networks ⇒ AvidXchange [OL] LOCATION: Charlotte, NC, US DESCRIPTION: Accounting Solutions	SP	\$50,001 - \$100,000	None		
Piedmont Angel Networks ⇒ OptiVia Medical, LLC [OL] LOCATION: Winston-Salem, NC, US DESCRIPTION: Medical Supply Store	SP	\$1,001 - \$15,000	None		
Piedmont Angel Networks ⇒ Piedmont Pharmaceuticals [OL] LOCATION: Greensboro, NC, US DESCRIPTION: Pharmaceutical company	SP	\$15,001 - \$50,000	None		
Piedmont Angel Networks ⇒ Sensory Analytics [OL] LOCATION: Greensboro, NC, US DESCRIPTION: Development of Sensory Solutions	SP	\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Piedmont Angel Networks ⇒ SpermCheck f/k/a ContraVac [OL] LOCATION: Charlottesville, VA, US DESCRIPTION: Medical Research	SP	\$1,001 - \$15,000	None		
Piedmont Angel Networks II ⇒ Protochips [OL] LOCATION: Morrisville, NC, US DESCRIPTION: Electronics Research Company	SP	\$50,001 - \$100,000	None		
Pinnacle Bank Accounts [BA] DESCRIPTION: Cash & Equivalents- Checking & Savings	JT	\$100,001 - \$250,000	Interest	Not Applicable	\$201 - \$1,000
Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Fidelity VIP Government Money Market Portfolio [BA]		\$500,001 - \$1,000,000	None		
Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Emerging Markets Fund Series [OL] LOCATION: New York, NY, US DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics.		\$500,001 - \$1,000,000	None		
Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Long/Short Equity Fund Series [OL] LOCATION: New York, NY, US DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics.		\$5,000,001 - \$25,000,000	None		
Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Multi-Strategy Fund Series [OL] LOCATION: New York, NY, US DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics.		\$1,000,001 - \$5,000,000	None		
Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Strategic Equity Fund Series [OL] LOCATION: New York, NY, US DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics.		\$1,000,001 - \$5,000,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Randall Robert Kaplan Alaska LLC ⇒ Fidelity VIP Government Money Market Portfolio [BA]		\$100,001 - \$250,000	None		
Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Emerging Markets Fund Series [OL] LOCATION: New York, NY, US DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics.		\$100,001 - \$250,000	None		
Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Long/Short Equity Fund Series [OL] LOCATION: New York, NY, US DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics.		\$1,000,001 - \$5,000,000	None		
Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Multi-Strategy Fund Series [OL] LOCATION: New York, NY, US DESCRIPTION: Investment Company. Fund manager letter on file with the Committee on Ethics.		\$1,000,001 - \$5,000,000	None		
Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Strategic Equity Fund Series [OL] LOCATION: New York, NY, US DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics.		\$250,001 - \$500,000	None		
Real Estate Software Solutions, LLC ⇒ Elm Street Technology LLC [OL] LOCATION: Greensboro, NC, US DESCRIPTION: Internet Software Company and investment in Real Estate Software Patent	SP	Spouse/DC Over \$1,000,000	Partnership Income	Not Applicable	Spouse/DC Over \$1,000,000
Regent Holdings, LLC ⇒ Investment in Commercial Property [RP] LOCATION: Greensboro, NC, US	SP	\$500,001 - \$1,000,000	None		
Reservoir Capital Partners, LP [OL] LOCATION: New York, NY, US DESCRIPTION: Private Equity Fund. Fund manager letter on file with the Committee on Ethics.	SP	Spouse/DC Over \$1,000,000	Partnership Income	Not Applicable	\$50,001 - \$100,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Clearbridge Large Cap Growth I (SBLYX) [MF]	SP	None	Capital Gains, Dividends	Not Applicable	\$15,001 - \$50,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Delaware Value Fund Institutional (DDVIX) [MF]	SP	None	Dividends	Not Applicable	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Core High Dividend ETF (HDV) [ST]	SP	None	Dividends	Not Applicable	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Edge MSCI Min Vol USA ETF (USMV) [ST]	SP	None	Dividends	Not Applicable	\$2,501 - \$5,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares iBonds Dec 2020 Term Corporate ETF (IBDL) [ST]	SP	None	Capital Gains, Dividends	Not Applicable	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares iBonds Dec 2021 Term Corporate ETF (IBDM) [ST]	SP	None	Dividends	Not Applicable	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares iBonds Dec 2022 Term Corporate ETF (IBDN) [ST]	SP	None	Dividends	Not Applicable	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares iBonds Dec 2023 Term Corporate ETF (IBDO) [ST]	SP	None	Dividends	Not Applicable	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Preferred and Income Securities ETF (PFF) [ST]	SP	None	Capital Gains, Dividends	Not Applicable	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Russell 1000 Growth ETF (IWF) [ST]	SP	None	Dividends	Not Applicable	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Russell 1000 Value ETF (IWD) [ST]	SP	None	Dividends	Not Applicable	\$1,001 - \$2,500
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Russell 2000 Growth ETF (IWO) [ST]	SP	None	Dividends	Not Applicable	\$5,001 - \$15,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Russell 2000 Value ETF (IWN) [ST]	SP	None	Capital Gains, Dividends	Not Applicable	\$1,001 - \$2,500
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Russell Midcap Growth ETF (IWP) [ST]	SP	None	Capital Gains	Not Applicable	\$5,001 - \$15,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Ultra Short-Term Bond ETF (ICSH) [ST]	SP	None	Capital Gains, Dividends	Not Applicable	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Seven Bridges Long/Short Equity Fund Ltd. [OL]	SP	None	Partnership Income	Not Applicable	\$100,001 - \$1,000,000
LOCATION: New York, NY, US DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics.					
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Seven Bridges Multi-Strategy Fund Ltd. [OL]	SP	None	Partnership Income	Not Applicable	\$201 - \$1,000
LOCATION: New York, NY, US DESCRIPTION: Investment Company. Fund manager letter on file with the Committee on Ethics.					
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Vanguard Real Estate ETF (VNQ) [ST]	SP	None	Capital Gains, Dividends	Not Applicable	\$1,001 - \$2,500
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [ST]	SP	\$1,001 - \$15,000	None		
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ SPDR Select Sector Fund - Technology (XLK) [ST]	SP	None	Capital Gains, Dividends	Not Applicable	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #2 ⇒ Seven Bridges Long/Short Equity Fund Ltd. [OL]	SP	Spouse/DC Over \$1,000,000	Partnership Income	Not Applicable	\$100,001 - \$1,000,000
LOCATION: New York, NY, US DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics.					
RRK Charitable Trust U/A 11/28/00 #2 ⇒ Seven Bridges Multi-Strategy Fund Ltd. [OL]	SP	Spouse/DC Over \$1,000,000	Partnership Income	Not Applicable	\$50,001 - \$100,000
LOCATION: New York, NY, US DESCRIPTION: Investment Company. Fund manager letter on file with the Committee on Ethics.					
Scientific Associates, LLC ⇒ Patents [IP]	SP	\$15,001 - \$50,000	None		
DESCRIPTION: Water Purification & Oxygenation Patents					
Stonefield Cellars Winery [OL]	SP	\$15,001 - \$50,000	None		
LOCATION: Stokesdale, NC, US DESCRIPTION: Winery					
Tarco Realty, LLC ⇒ Elm Street Center, LLC [RP]	SP	\$500,001 - \$1,000,000	None		
LOCATION: Greensboro, NC, US DESCRIPTION: Owns and operates Commercial Real Estate.					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Clearbridge Large Cap Growth I (SBLYX) [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	Not Applicable	\$5,001 - \$15,000
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Consumer Staples Select Sector SPDR (XLP) [EF]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]		\$500,001 - \$1,000,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Health Care Select Sector SPDR (XLV) [EF]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Invesco Exchange - Traded FD TR II PFD ETF (PGX) [EF]		\$15,001 - \$50,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Blackrock Ultra (ICSH) [EF]		\$500,001 - \$1,000,000	Dividends	Not Applicable	\$1,001 - \$2,500
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core MSCI Emerging Markets Index (IEMG) [EF]		\$50,001 - \$100,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2021 Term Corporate ETF (IBDM) [EF]		\$100,001 - \$250,000	Dividends	None	\$1,001 - \$2,500
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2022 Term Corporate ETF (IBDN) [EF]		\$100,001 - \$250,000	Dividends	Not Applicable	\$1,001 - \$2,500
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2023 Term Corporate ETF (IBDO) [EF]		\$100,001 - \$250,000	Dividends	Not Applicable	\$1,001 - \$2,500
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒		\$500,001 - \$1,000,000	Dividends	Not Applicable	\$5,001 - \$15,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
iShares MSCI USA Min Vol (USMV) [EF]					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 Index Fund (IWB) [EF]		\$250,001 - \$500,000	Dividends	Not Applicable	\$1,001 - \$2,500
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF]		\$250,001 - \$500,000	Dividends	Not Applicable	\$2,501 - \$5,000
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 2000 Growth ETF (IWO) [EF]		\$15,001 - \$50,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell Mid-Cap Growth ETF (IWP) [EF]		\$50,001 - \$100,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 (IVV) [EF]		\$50,001 - \$100,000	Dividends	Not Applicable	\$201 - \$1,000
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF]		\$250,001 - \$500,000	Dividends	Not Applicable	\$1,001 - \$2,500
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Treasury Floating Rate (TFLO) [EF]		\$500,001 - \$1,000,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Trust United States Treasury (TIP) [EF]		\$250,001 - \$500,000	Dividends	Not Applicable	\$1,001 - \$2,500
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Pinnacle Financial Partners (PNFP) [EF]		\$500,001 - \$1,000,000	Dividends	Not Applicable	\$1,001 - \$2,500
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Select Sector SPDR Trust Technology (XLK) [EF]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ SPDR Bloomberg Barclays (CWB) [EF]		\$50,001 - \$100,000	Dividends	Not Applicable	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Utilities Select Sector SPDR Fund (XLU) [EF]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Abbott Laboratories (ABT) [ST]		\$50,001 - \$100,000	Dividends	Not Applicable	\$201 - \$1,000
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ AbbVie Inc. (ABBV) [ST]		\$15,001 - \$50,000	Dividends	Not Applicable	\$201 - \$1,000
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]		\$50,001 - \$100,000	Capital Gains, Dividends	Not Applicable	\$201 - \$1,000
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Air Products and Chemicals, Inc. (APD) [ST]		\$15,001 - \$50,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		\$50,001 - \$100,000	Dividends	Not Applicable	\$2,501 - \$5,000
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		\$15,001 - \$50,000	Capital Gains	Not Applicable	\$1,001 - \$2,500
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Amgen Inc. (AMGN) [ST]		\$15,001 - \$50,000	Dividends	Not Applicable	\$201 - \$1,000
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Aon plc Class A Ordinary Shares (AON) [ST]		\$15,001 - \$50,000	Dividends	Not Applicable	\$1,001 - \$2,500
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Apple Inc. (AAPL) [ST]		\$100,001 - \$250,000	Capital Gains, Dividends	Not Applicable	\$5,001 - \$15,000
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Caterpillar, Inc. (CAT) [ST]		\$15,001 - \$50,000	Dividends	Not Applicable	\$201 - \$1,000
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒		\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Centene Corporation (CNC) [ST]					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Chubb Limited (CB) [ST]		\$15,001 - \$50,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Citizens Financial Group, Inc. (CFG) [ST]		\$15,001 - \$50,000	Dividends	Not Applicable	\$201 - \$1,000
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ CME Group Inc. - Class A (CME) [ST]		\$15,001 - \$50,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Estee Lauder Companies, Inc. (EL) [ST]		\$50,001 - \$100,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Expeditors International of Washington, Inc. (EXPD) [ST]		\$15,001 - \$50,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]		\$15,001 - \$50,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Fidelity Treasury Money Market Fund (FZFX) [MF]		\$50,001 - \$100,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ General Mills, Inc. (GIS) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	Not Applicable	\$201 - \$1,000
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Home Depot, Inc. (HD) [ST]		\$15,001 - \$50,000	Dividends	Not Applicable	\$201 - \$1,000
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Intel Corporation (INTC) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	Not Applicable	\$1,001 - \$2,500
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Intuit Inc. (INTU) [ST]		\$15,001 - \$50,000	Capital Gains	Not Applicable	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Johnson & Johnson (JNJ) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Johnson & Johnson (JNJ) [ST]		\$15,001 - \$50,000	Dividends	Not Applicable	\$201 - \$1,000
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$50,001 - \$100,000	Dividends	Not Applicable	\$201 - \$1,000
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Lockheed Martin Corporation (LMT) [ST]		\$15,001 - \$50,000	Dividends	Not Applicable	\$201 - \$1,000
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	Not Applicable	\$201 - \$1,000
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Micron Technology, Inc. (MU) [ST]		\$50,001 - \$100,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Microsoft Corporation (MSFT) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	Not Applicable	\$201 - \$1,000
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Nike, Inc. (NKE) [ST]		\$50,001 - \$100,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ PayPal Holdings, Inc. (PYPL) [ST]		\$15,001 - \$50,000	Dividends	Not Applicable	\$2,501 - \$5,000
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Pfizer, Inc. (PFE) [ST]		\$15,001 - \$50,000	Dividends	Not Applicable	\$201 - \$1,000
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Procter & Gamble Company (PG) [ST]		\$15,001 - \$50,000	Dividends	Not Applicable	\$201 - \$1,000
The 2011 Kathy E Manning Living Trust ⇒ MV Capital		\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
- Brokerage #2 ⇒ QUALCOMM Incorporated (QCOM) [ST]					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Starbucks Corporation (SBUX) [ST]		\$50,001 - \$100,000	Dividends	Not Applicable	\$201 - \$1,000
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Sysco Corporation (SYY) [ST]		\$15,001 - \$50,000	Dividends	Not Applicable	\$201 - \$1,000
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ TJX Companies, Inc. (TJX) [ST]		\$15,001 - \$50,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Viatris Inc. (VTRS) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Visa Inc. (V) [ST]		\$50,001 - \$100,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Walmart Inc. (WMT) [ST]		\$15,001 - \$50,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Walt Disney Company (DIS) [ST]		\$50,001 - \$100,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Amgen Inc. (AMGN) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Apple Inc. (AAPL) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Bank of America Corporation (BAC) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Best Buy Co., Inc. (BBY) [ST]		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Biogen Inc. (BIIB) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Bristol-Myers Squibb Company (BMY) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Cardinal Health, Inc. (CAH) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Church & Dwight Company, Inc. (CHD) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Cisco Systems, Inc. (CSCO) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Citigroup, Inc. (C) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Eli Lilly and Company (LLY) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ General Mills, Inc. (GIS) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Kimberly-Clark Corporation (KMB) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Kroger Company (KR) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
- Brokerage #3 ⇒ Leidos Holdings, Inc. (LDOS) [ST]					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Mastercard Incorporated (MA) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Microsoft Corporation (MSFT) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Morgan Stanley (MS) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Pfizer, Inc. (PFE) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Procter & Gamble Company (PG) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ QUALCOMM Incorporated (QCOM) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Southwest Airlines Company (LUV) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Starbucks Corporation (SBUX) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Sysco Corporation (SYY) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Target Corporation (TGT) [ST]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Visa Inc. (V) [ST]		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #4 ⇒ Bank of America Corporation (BAC) [ST]		\$15,001 - \$50,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #4 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]		\$1,001 - \$15,000	None		
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #4 ⇒ iShares Blackrock Ultra (ICSH) [EF]		\$15,001 - \$50,000	None		
TIC Fincastle Building ⇒ Investment in Commercial Building [RP] LOCATION: Greensboro, NC, US	SP	\$50,001 - \$100,000	Rent	\$201 - \$1,000	\$2,501 - \$5,000
Wells Fargo Brokerage ⇒ Ecolab Inc. (ECL) [ST]	SP	\$50,001 - \$100,000	Dividends	Not Applicable	\$201 - \$1,000
WLC, LLC (Learning Together) ⇒ Notes Receivable [DO] DESCRIPTION: Greensboro, NC	SP	\$250,001 - \$500,000	None		

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Elm Street Technology	Spouse Health Care Premiums	N/A	N/A
Northern Trust Company	Spouse Pension Payout	N/A	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Pinnacle Financial Partners	August 2006	Line of Credit	\$500,001 - \$1,000,000
	COMMENTS: Paid in full 2/3/2020			

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	BB&T	October 2012	Line of Credit	Spouse/DC Over \$1,000,000
COMMENTS: Paid in full 2/3/2020				

SCHEDULE E: POSITIONS

Position	Name of Organization
Board of Trustees	University of North Carolina at Greensboro
Board of Directors	Greensboro Symphony Orchestra
Board of Directors	Greensboro Chamber of Commerce
Director & Officer	Kaplan Manning Family Foundation

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- o Bedford Properties, LLC (Owner: SP)
LOCATION: US
- o Capsule Group (Owner: SP)
LOCATION: US
- o Capsule Group ⇒ GT-TWAS, LLC (Owner: SP)
LOCATION: US
- o Charles Schwab - Edgewood Management LLC (Owner: JT)
LOCATION: US
- o DVS Limited Partnership (Owner: SP)
LOCATION: US
- o Ehrenkranz Partners, LP (Owner: SP)
LOCATION: New York, NY, US
- o Elm Street Hotel II (Owner: SP)
LOCATION: US
- o Little Santee-Sandy Ridge, LLC (Owner: SP)
LOCATION: US
- o Manning Family LP
LOCATION: US
- o MV Capital - GST Exempt Trust #1 (Owner: SP)

- MV Capital - GST Exempt Trust #2 (Owner: SP)
- MV Capital - Joint Account #1 (Owner: JT)
LOCATION: US
- MV Capital - Joint Account #2 (Owner: JT)
LOCATION: US
- MV Capital - Joint Account #3 (Owner: JT)
LOCATION: US
- MV Capital - Joint Account #4 (Owner: JT)
LOCATION: US
- MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC (Owner: JT)
LOCATION: US
- MV Capital - KEM Roth IRA #1
- MV Capital - KEM Roth IRA #2
- MV Capital - RRK Roth IRA #1 (Owner: SP)
- MV Capital - RRK Roth IRA #3 (Owner: SP)
- Neuberger Berman Brokerage # 1 (Owner: SP)
LOCATION: US
- Neuberger Berman Brokerage # 2
LOCATION: US
- OurCrowd (Owner: SP)
- Piedmont Angel Networks (Owner: SP)
- Piedmont Angel Networks II (Owner: SP)
- Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants
- Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC
- Randall Robert Kaplan Alaska LLC
- Real Estate Software Solutions, LLC (Owner: SP)
LOCATION: Greensboro, NC, US
- Regent Holdings, LLC (Owner: SP)
LOCATION: US
- RRK Charitable Trust U/A 11/28/00 #1 (Owner: SP)
- RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 (Owner: SP)
LOCATION: US
- RRK Charitable Trust U/A 11/28/00 #2 (Owner: SP)
- Scientific Associates, LLC (Owner: SP)
LOCATION: US
- Tarco Realty, LLC (Owner: SP)
LOCATION: US
- The 2011 Kathy E Manning Living Trust
- The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1
LOCATION: US
- The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2
LOCATION: US

- The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3
LOCATION: US
- The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #4
LOCATION: US
- TIC Fincastle Building (Owner: SP)
LOCATION: US
- Wells Fargo Brokerage (Owner: SP)
LOCATION: US
- WLC, LLC (Learning Together) (Owner: SP)
LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Kathy Manning , 08/11/2021