



FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Jake Auchincloss
Status: Congressional Candidate
State/District: MA04

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2020
Filing Date: 07/29/2021
Period Covered: 01/01/2019– 07/31/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
377 Commonwealth Ave LLC [OL]		\$100,001 - \$250,000	Capital Gains	None	\$15,001 - \$50,000
LOCATION: Boston/Suffolk, MA, US DESCRIPTION: Ownership share in LLC holding building of condominiums					
529 DC1 ⇒ American balanced fund-529F1 [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		
529 DC1 ⇒ Capital world growth and income-529F1 (FCWGX) [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		
529 DC1 ⇒ Fundamental investors-529F1 (FFXFX) [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		
529 DC1 ⇒ The New Economy Fund-529F1 (FNEEX) [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		
529 DC1 ⇒ Washington Mutual Investors Fund-529F1 (FWMMX) [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Bain 401k ⇒ Vanguard Target Retirement 2050 [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		
Bank of America Savings - MG [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$201 - \$1,000
Flipside Crypto Investor Holdings, LLC, 100% Interest [OT] DESCRIPTION: Investment in blockchain / crypto LLC		\$1,001 - \$15,000	None		
Geraldine Glimcher Excepted Trust [EQ]		Undetermined	Blind to trust holdings	None	\$15,001 - \$50,000
Liberty Mutual 401k ⇒ Target Date 2055 [MF]		None	Tax-Deferred		
Newton Retirement System [DB]		\$1,001 - \$15,000	Tax-Deferred		
Savings USAA TA [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	None
SFP/MMLIS Individual Acct - JA ⇒ BlackRock Strategic Municipal Opportunity Fund (MKMTX) [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	None
SFP/MMLIS Individual Acct - JA ⇒ Calvert Flexible Bond (CUBIX) [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	None
SFP/MMLIS Individual Acct - JA ⇒ Calvert Responsible Muni Income (CTTIX) [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	None
SFP/MMLIS Individual Acct - JA ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]		\$1,001 - \$15,000	Interest	\$1 - \$200	None
SFP/MMLIS Individual Acct - JA ⇒ First Trust Water (FIW) [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	None
SFP/MMLIS Individual Acct - JA ⇒ Hartford Municipal Opportunities (HHMIX) [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	None
SFP/MMLIS Individual Acct - JA ⇒ Hartford Schroders International Stock (SCIEX) [MF]		\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
SFP/MMLIS Individual Acct - JA ⇒ iShares ESG MSCI EAFE (ESGD) [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	None
SFP/MMLIS Individual Acct - JA ⇒ iShares ESG MSCI EM ETF (ESGE) [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	None
SFP/MMLIS Individual Acct - JA ⇒ iShares ESG MSCI USA Small Cap (ESML) [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	None
SFP/MMLIS Individual Acct - JA ⇒ iShares MSCI USA ESG SLC (SUSA) [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	None
SFP/MMLIS Individual Acct - JA ⇒ JPMorgan Hedged Equity (JHEQX) [MF]		None	Capital Gains, Dividends	\$201 - \$1,000	None
SFP/MMLIS Individual Acct - JA ⇒ JPMorgan High Yield Municipal Fund (JTISX) [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	None
SFP/MMLIS Individual Acct - JA ⇒ JPMorgan Short-Intermediate Muni Bond (JIMIX) [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	None
SFP/MMLIS Individual Acct - JA ⇒ SPDR MSCI Emerging Markets Fossil Fuel Free (EEMX) [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	None
SFP/MMLIS Individual Acct - JA ⇒ SPDR S&P 500 Fossil Fuel Reserves Free (SPYX) [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	None
SFP/MMLIS Individual Acct - JA ⇒ Vanguard Total International Bond Index ETF (BNDX) [EF]		None	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
SFP/MMLIS Individual Acct - JA ⇒ Vanguard Total Stock Market ETF (VTI) [EF]		None	Capital Gains, Dividends	\$5,001 - \$15,000	\$1,001 - \$2,500
SFP/MMLIS Individual Acct - MG ⇒ BlackRock Strategic Municipal Opportunity Fund (MKMTX) [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	None
SFP/MMLIS Individual Acct - MG ⇒ Calvert Flexible Bond (CUBIX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
SFP/MMLIS Individual Acct - MG ⇒ Calvert Responsible Muni Income (CTTIX) [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	None
SFP/MMLIS Individual Acct - MG ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	None
SFP/MMLIS Individual Acct - MG ⇒ First Trust Water (FIW) [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	None
SFP/MMLIS Individual Acct - MG ⇒ Hartford Municipal Opportunities (HHMIX) [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	None
SFP/MMLIS Individual Acct - MG ⇒ Hartford Schroders International Stock (SCIEX) [MF]	SP	\$15,001 - \$50,000	None		
SFP/MMLIS Individual Acct - MG ⇒ iShares ESG MSCI EAFE (ESGD) [EF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	None
SFP/MMLIS Individual Acct - MG ⇒ iShares ESG MSCI USA Small Cap (ESML) [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	None
SFP/MMLIS Individual Acct - MG ⇒ iShares MSCI USA ESG SLC (SUSA) [EF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	None
SFP/MMLIS Individual Acct - MG ⇒ JPMorgan Hedged Equity (JHEQX) [MF]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	None
SFP/MMLIS Individual Acct - MG ⇒ JPMorgan High Yield Municipal Fund (JTISX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	None
SFP/MMLIS Individual Acct - MG ⇒ JPMorgan Short-Intermediate Muni Bond (JIMIX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	None
SFP/MMLIS Individual Acct - MG ⇒ SPDR MSCI Emerging Markets Fossil Fuel Free ETC (EEMX) [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	None
SFP/MMLIS Individual Acct - MG ⇒ SPDR S&P 500 Fossil Fuel Reserves Free (SPYX) [EF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
SFP/MMLIS Individual Acct - MG ⇒ Vanguard Total Bond Market ETF (BND) [EF]	SP	None	Capital Gains, Interest	\$201 - \$1,000	\$201 - \$1,000
SFP/MMLIS Individual Acct - MG ⇒ Vanguard Total International Bond Index ETF (BNDX) [EF]	SP	None	Capital Gains, Interest	\$201 - \$1,000	\$201 - \$1,000
SFP/MMLIS Individual Acct - MG ⇒ Vanguard Total International Stock Index ETF (VXUS) [EF]	SP	None	Capital Gains, Interest	None	\$1,001 - \$2,500
SFP/MMLIS Individual Acct - MG ⇒ Vanguard Total Stock Market ETF (VTI) [EF]	SP	None	Capital Gains, Dividends	\$5,001 - \$15,000	\$201 - \$1,000
SFP/MMLIS Roth IRA - JA ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
SFP/MMLIS Roth IRA - JA ⇒ iShares ESG MSCI USA Small Cap ETF (ESML) [EF]		\$1,001 - \$15,000	Tax-Deferred		
SFP/MMLIS Roth IRA - JA ⇒ iShares TR ESC MSCI USA ETF (ESGU) [EF]		\$1,001 - \$15,000	Tax-Deferred		
SFP/MMLIS Roth IRA - JA ⇒ iShares TR ESG MSCI EAFE (ESGD) [EF]		\$1,001 - \$15,000	Tax-Deferred		
SFP/MMLIS Roth IRA - JA ⇒ iShares TR MSCI KLD400 SOC (DSI) [EF]		\$1,001 - \$15,000	Tax-Deferred		
SFP/MMLIS Roth IRA - JA ⇒ iShares TR MSCI USA ESG SLC (USXF) [EF]		\$1,001 - \$15,000	Tax-Deferred		
SFP/MMLIS Roth IRA - MG ⇒ Ariel Fund (ARAIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
SFP/MMLIS Roth IRA - MG ⇒ Calvert Small Cap (CSVIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
SFP/MMLIS Roth IRA - MG ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
SFP/MMLIS Roth IRA - MG ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
First Trust Water (FIW) [EF]					
SFP/MMLIS Roth IRA - MG ⇒ Hartford Schroders International Stock (SCIEX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
SFP/MMLIS Roth IRA - MG ⇒ iShares ESG MSCI EAFE (ESGD) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		
SFP/MMLIS Roth IRA - MG ⇒ iShares ESG MSCI EM ETF (ESGE) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		
SFP/MMLIS Roth IRA - MG ⇒ iShares ESG MSCI USA Small Cap (ESML) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		
SFP/MMLIS Roth IRA - MG ⇒ iShares MSCI USA ESG SLC (SUSA) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		
SFP/MMLIS Roth IRA - MG ⇒ SPDR S&P 500 Fossil Fuel Reserves Free (SPYX) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		
USAA checking [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	\$1 - \$200
USAA Checking - Joint [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
USAA Individual Account - JA ⇒ USAA Science & Technology Retail (USSCX) [MF]		None	Capital Gains, Dividends	None	\$2,501 - \$5,000
USAA Individual Account - JA ⇒ USAA Treasury Money Market (UATXX) [BA]		None	Interest	None	\$1,001 - \$2,500
USAA Individual Account - JA ⇒ Vanguard Total International Bond Index ETF (BNDX) [EF]		None	Dividends	None	\$201 - \$1,000
USAA Individual Account - MG ⇒ Vanguard Total Bond Market ETF (BND) [EF]	SP	None	Dividends	None	\$201 - \$1,000
USAA Individual Account - MG ⇒ Vanguard Total International Stock Index ETF (VXUS) [EF]	SP	None	Dividends	None	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
USAA Individual Account - MG ⇒ Vanguard Total Stock Market ETF (VTI) [EF]	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000
USAA Savings - Joint [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
City of Newton	Salary	\$9,042.00	\$9,750.00
Liberty Mutual	Salary	N/A	\$170,950.00
Bain & Company	Spouse salary	N/A	N/A

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- 529 DC1 (Owner: DC)
LOCATION: MA
- Bain 401k (Owner: SP)
- Liberty Mutual 401k
- SFP/MMLIS Individual Acct - JA
LOCATION: US
- SFP/MMLIS Individual Acct - MG (Owner: SP)
LOCATION: US
- SFP/MMLIS Roth IRA - JA

- SFP/MMLIS Roth IRA - MG (Owner: SP)
- USAA Individual Account - JA
LOCATION: US
- USAA Individual Account - MG (Owner: SP)
LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

COMMENTS

Portfolio rebalanced during period due to planning and birth of first child.

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Jake Auchincloss , 07/29/2021