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Financial Disclosure Report

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Filer Information

Name: Hon. Anthony Brown
Status: Member
State/District: MD04

Filing Information

Filing Type: Annual Report
Filing Year: 2020
Filing Date: 05/19/2021

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
College Investment Plan ⇒ Portfolio for Education Today [5P] Location: MD		None	Tax-Deferred		✓
College Investment Plan ⇒ Portfolio for Education Today [5P] Location: MD		None	Tax-Deferred		✓
Fidelity 500 Index Fund [MF]		$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	✓
Fidelity Education Savings Plan ⇒ NH College Port. (Fidelity Index) [5P] Location: NH		$100,001 - $250,000	Tax-Deferred		✓
Fidelity Education Savings Plan ⇒ NH College Port. (Fidelity Index) [5P] Location: NH		None	Tax-Deferred		✓
Fidelity IRA Account ⇒ Fidelity 500 Index Fund [MF]		$1,001 - $15,000	Tax-Deferred		✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Fidelity IRA Account ⇒ Invesco QQQ TR Unit Ser 1 [EF]		$15,001 - $50,000	Tax-Deferred		☑
Fidelity IRA Account ⇒ USAA NASDAQ-100 Index Fund [MF]		None	Capital Gains	$201 - $1,000	☑
Fidelity IRA Account ⇒ USAA S&P 500 Index Fund Member Shares [MF]		None	Capital Gains	None	☑
Invesco QQQ TR Unit Ser 1 [EF]		$50,001 - $100,000	Capital Gains, Dividends	$201 - $1,000	☑
iShares Core S&P Total US Stock [EF]		$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☑
Kaiser Permanente Salaried Retirement Plan [DB]		Undetermined	None		☐
Maryland Employees' Pension System - Alternate Contributory Benefit [DB]		Undetermined	None		☐
Maryland Legislative Pension [DB] DESCRIPTION: Pension for service in Maryland General Assembly		Undetermined	None		☐
Prepaid College Trust ⇒ University Plan - 4 Years [5P] LOCATION: MD		None	Tax-Deferred		☑
USAA Checking and Savings Accounts [BA]		$1,001 - $15,000	Interest	$1 - $200	☐
USAA IRA Account ⇒ USAA NASDAQ-100 Index Fund [MF]		None	Tax-Deferred		☑
USAA IRA Account ⇒ USAA S&P 500 Index Fund Member Shares [MF]		None	Tax-Deferred		☑
USAA NASDAQ-100 Index Fund [MF]		None	Capital Gains	$1,001 - $2,500	☑
USAA S&P 500 Index Fund Member Shares [MF]		None	Capital Gains	None	☑

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
College Investment Plan ⇒ Portfolio for Education Today [5P] Location: MD Description: Rollover from Prepaid College Trust, University Plan - 4 Years		04/27/2020	P	$15,001 - $50,000	
College Investment Plan ⇒ Portfolio for Education Today [5P] Location: MD Description: Trustee-to-Trustee Rollover from Maryland529 to Fidelity Investments Education Accounts		05/11/2020	S	$50,001 - $100,000	☑
College Investment Plan ⇒ Portfolio for Education Today [5P] Location: MD Description: Trustee-to-trustee rollover from Maryland 529 to Fidelity Investments Education Accounts		05/19/2020	S	$50,001 - $100,000	☑
College Investment Plan ⇒ Portfolio for Education Today [5P] Location: MD		01/23/2020	S (partial)	$1,001 - $15,000	☑
Fidelity 500 Index Fund [MF]		05/11/2020	P	$15,001 - $50,000	
Fidelity Education Savings Plan ⇒ NH College Port. (Fidelity Index) [5P] Location: NH		04/20/2020	P	$1,001 - $15,000	
Fidelity Education Savings Plan ⇒ NH College Port. (Fidelity Index) [5P] Location: NH Description: Trustee-to-trustee rollover from Maryland 529 to Fidelity Investments Education Accounts		05/26/2020	P	$50,001 - $100,000	
Fidelity Education Savings Plan ⇒ NH College Port. (Fidelity Index) [5P] Location: NH		07/10/2020	S (partial)	$1,001 - $15,000	☐
Fidelity Education Savings Plan ⇒ NH College Port. (Fidelity Index) [5P] Location: NH Description: Exchange between Fidelity Investments Education Accounts		07/13/2020	E	$50,001 - $100,000	
Fidelity Education Savings Plan ⇒ NH College Port. (Fidelity Index) [5P] Location: NH		09/4/2020	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Fidelity IRA Account ⇒ Fidelity 500 Index Fund [MF]		05/11/2020	P	$1,001 - $15,000	
Fidelity IRA Account ⇒ Invesco QQQ TR Unit Ser 1 [EF]		05/13/2020	P	$1,001 - $15,000	
Fidelity IRA Account ⇒ Invesco QQQ TR Unit Ser 1 [EF]		09/4/2020	P	$1,001 - $15,000	
Fidelity IRA Account ⇒ iShares Core S&P Total US Stock [EF]		09/4/2020	S (partial)	$1,001 - $15,000	☐
Fidelity IRA Account ⇒ USAA NASDAQ-100 Index Fund [MF]		05/8/2020	S	$15,001 - $50,000	☑
Fidelity IRA Account ⇒ USAA S&P 500 Index Fund Member Shares [MF]		05/8/2020	S	$15,001 - $50,000	☐
Invesco QQQ TR Unit Ser 1 [EF]		10/7/2020	P	$1,001 - $15,000	
Invesco QQQ TR Unit Ser 1 [EF]		09/2/2020	P	$15,001 - $50,000	
Invesco QQQ TR Unit Ser 1 [EF]		09/4/2020	P	$1,001 - $15,000	
Invesco QQQ TR Unit Ser 1 [EF]		05/13/2020	P	$15,001 - $50,000	
iShares Core S&P Total US Stock [EF]		06/9/2020	P	$1,001 - $15,000	
iShares Core S&P Total US Stock [EF]		06/10/2020	P	$1,001 - $15,000	
Prepaid College Trust ⇒ University Plan - 4 Years [5P] Location: MD Description: Rollover to College Investment Plan, Portfolio for Education Today		04/27/2020	S	$15,001 - $50,000	☑
USAA IRA Account ⇒ USAA NASDAQ-100 Index Fund [MF] Description: Exchanged asset out of USAA IRA Account into Fidelity IRA Account		04/20/2020	E	$1,001 - $15,000	
USAA IRA Account ⇒ USAA S&P 500 Index Fund Member Shares [MF] Description: Exchanged asset out of USAA IRA Account into Fidelity IRA Account		04/20/2020	E	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
USAA NASDAQ-100 Index Fund [MF]		05/8/2020	S	$15,001 - $50,000	☑
USAA S&P 500 Index Fund Member Shares [MF]		05/8/2020	S	$15,001 - $50,000	☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
Adventist Healthcare	Spouse salary	N/A
Prince George's County Retirees Pension	Spouse salary	N/A
EB&A LLC	Spouse salary	N/A
YOH Services LLC	Spouse salary	N/A

Schedule D: Liabilities

None disclosed.

Schedule E: Positions

None disclosed.

Schedule F: Agreements

Date	Parties To	Terms of Agreement
January 2007	State of Maryland	Participation in Legislative Pension System
January 2015	State of Maryland	Participation in Employees' Pension System - Alternate Contributory Benefit
September 2009	Kaiser Permanente	Alternative Beneficiary in Defined Benefit Pension

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- College Investment Plan
  Location: MD
- Fidelity Education Savings Plan
  Location: NH
- Fidelity IRA Account
- Prepaid College Trust
  Location: MD
- USAA IRA Account

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

◉ Yes ○ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Anthony Brown , 05/19/2021