



# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. C. A. Dutch Ruppertsberger  
**Status:** Member  
**State/District:** MD02

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2020  
**Filing Date:** 06/16/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Cash Deposits ⇒ Bank of America [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cash Deposits ⇒ M&T Bank [BA]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Cash Deposits ⇒ Summit Community Bank [BA]		\$50,001 - \$100,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Cash Deposits ⇒ TDAM FDIC Insured Deposits ⇒ TDAM FDIC Insured Deposit [IH]		\$250,001 - \$500,000	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: RMD = required minimum distribution					
Compass 2 ⇒ Compass 2 [IH]	SP	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: General Account (No specific holdings) RMD = required minimum distribution					
Compass 2 Variable Annuity ⇒ Compass 2 [IH]		\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000	<input type="checkbox"/>
DESCRIPTION: General Account (No specific holdings) RMD = required minimum distribution					
Exchange Traded Funds ⇒ ISHARES Trust 1-3 YR. (SHY) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Exchange Traded Funds ⇒ ISHARES US Prfrd (PFF) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ Market Vectors (GDV) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Portfolio LgCap (SPLG) [EF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Trust S&P 500 (SPYD) [EF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Trust Sm EFT (SPSM) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard Short Corp Bd. (VCSH) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Federated TRSRY OBLG (TOSXX) [GS]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Full sale					
Nationwide Annuity ⇒ Nationwide Fixed Account [IH]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: RMD = required minimum distribution					
Rupp& Assoc., Inc (Collections)Timonium, Md. [EQ]	SP	\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Sandy Shores Equity Group, LLC (Land) [RP]	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>
LOCATION: Baltimore, MD, US					
Stocks (IRA) ⇒ BlackRock Energy and Resources Trust (BGR) [ST]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Stocks (IRA) ⇒ Nuveen Quality Preferred Income Fund Shares of Beneficial Interest (JTP) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Cash Deposits ⇒ Federated TRSRY TOSXX [IH]	02/3/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Full sale				
Exchange Traded Funds ⇒ ISHARES Trust 1-3YR Treas Bd (SHY) [EF]	02/3/2020	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ ISHARES Trust 1-3YR Treas Bd (SHY) [EF]	01/2/2020	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒ ISHARES Trust 1-3YR Treas Bd (SHY) [EF]	04/29/2020	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒ ISHARES US Preferred Stock (PFF) [EF]	04/29/2020	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒ Market Vectors (GDX) [EF]	04/29/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Portfolio Lg Cap (SPLG) [EF]	02/3/2020	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ SPDR Portfolio Lg Cap (SPLG) [EF]	03/3/2020	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒ SPDR Portfolio Lg Cap (SPLG) [EF]	03/17/2020	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ SPDR Portfolio Lg Cap (SPLG) [EF]	03/12/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Portfolio Lg Cap (SPLG) [EF]	03/29/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Portfolio Lg Cap (SPLG) [EF]	11/12/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Trust S&P 500(SP500) [EF]	03/2/2020	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ SPDR Trust S&P 500(SP500) [EF]	03/3/2020	P	\$1,001 - \$15,000	

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Exchange Traded Funds ⇒ SPDR Trust S&P 500(SPYD) [EF]	03/17/2020	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ SPDR Trust S&P 500(SPYD) [EF]	03/25/2020	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ SPDR Trust S&P 500(SPYD) [EF]	03/11/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Trust S&P 500(SPYD) [EF]	11/12/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Trust Sm ETF (SPSM) [EF]	01/24/2020	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Trust Sm ETF (SPSM) [EF]	02/3/2020	P	\$100,001 - \$250,000	
Exchange Traded Funds ⇒ SPDR Trust Sm ETF (SPSM) [EF]	03/3/2020	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒ SPDR Trust Sm ETF (SPSM) [EF]	03/11/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Trust Sm ETF (SPSM) [EF]	03/17/2020	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ SPDR Trust Sm ETF (SPSM) [EF]	03/25/2020	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒ SPDR Trust Sm ETF (SPSM) [EF]	04/3/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Trust Sm ETF (SPSM) [EF]	04/8/2020	P	\$50,001 - \$100,000	
Exchange Traded Funds ⇒ SPDR Trust Sm ETF (SPSM) [EF]	11/12/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Trust Sm ETF (SPSM) [EF]	12/8/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard Short Corp Bond (VCSH) [EF]	03/3/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Exchange Traded Funds ⇒ Vanguard Short Corp Bond (VCSH) [EF]		03/9/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Full sale.					
Stocks (IRA) ⇒ BlackRock Energy and Resources Trust (BGR) [ST]		03/3/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Full sale.					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

Source	Type	Amount
Baltimore County Maryland	Retirement Pension	\$93,073.00
Maryland State Teachers	Retirement Pension	\$6,099.00

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Provident Funding	July, 2012	Mortgage on Primary Residence	\$50,001 - \$100,000

### SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	U. of Md. Medical Systems Shock Trauma
Chairman of Board	United States Naval Academy

### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
August 1975	Baltimore Co. Employees Retirement System	Baltimore Co. Pension & Deferred Comp. Plan
August 1988	Md. State Retirement Agency	Md. State Retirement & Pension System

### SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Source	Trip Details				Inclusions		
	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
German Marshall Fund of US/ Robert Bosch Stiftung	02/15/2020	02/20/2020	Washington, D.C. - Elmau, Germany - Munich, Germany	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- o Cash Deposits
- o Cash Deposits ⇒ TDAM FDIC Insured Deposits  
DESCRIPTION: Required minimum distribution
- o Compass 2 (Owner: SP)
- o Compass 2 Variable Annuity
- o Exchange Traded Funds
- o Nationwide Annuity
- o Stocks (IRA)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. C. A. Dutch Ruppertsberger , 06/16/2021