



Filing ID #10036073

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Carlton Aaron Swisher
Status: Congressional Candidate
State/District: ID02

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2020
Filing Date: 05/18/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
401K John Hancock ⇒ Anchor Account Fund [MF]		None	Tax-Deferred		
401K John Hancock ⇒ Blue Chip Growth Fund (TBCIX) [MF]		None	Tax-Deferred		
401K John Hancock ⇒ Extended Market Index Fund (VIEIX) [MF]		None	Tax-Deferred		
401K John Hancock ⇒ Income Fund (DODIX) [MF]		None	Tax-Deferred		
401K John Hancock ⇒ Int'l Core Equity Fund (IINCX) [MF]		None	Tax-Deferred		
401K John Hancock ⇒ LifePath Idx 2035 Port Fund (LLJKX) [MF]		None	Tax-Deferred		
401K John Hancock ⇒ Total Bond Index Fund (VBTIX) [MF]		None	Tax-Deferred		
401K John Hancock ⇒		None	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Total Int'l Stock Index Fund (VTSNX) [MF]					
401K Trans-America ⇒ BlackRock Large Cap Index K [MF]	SP	None	Tax-Deferred		
401K Trans-America ⇒ BlackRock Total Return K [MF]	SP	None	Tax-Deferred		
401K Trans-America ⇒ Fidelity Advisor Real Estate I [MF]	SP	None	Tax-Deferred		
401K Trans-America ⇒ Invesco Comstock R6 [MF]	SP	None	Tax-Deferred		
401K Trans-America ⇒ Ivy International Core Equity N [MF]	SP	None	Tax-Deferred		
401K Trans-America ⇒ John Hancock Bond R6 [MF]	SP	None	Tax-Deferred		
401K Trans-America ⇒ JPMorgan Equity Income R6 [MF]	SP	None	Tax-Deferred		
401K Trans-America ⇒ MFS International New Discovery R6 [MF]	SP	None	Tax-Deferred		
401K Trans-America ⇒ Oppenheimer Developing Markets I [MF]	SP	None	Tax-Deferred		
401K Trans-America ⇒ PIMCO Commodity Real Ret Strat Instl [MF]	SP	None	Tax-Deferred		
401K Trans-America ⇒ Pimco Income Instl [MF]	SP	None	Tax-Deferred		
401K Trans-America ⇒ Pimco Real Return Instl [MF]	SP	None	Tax-Deferred		
401K Trans-America ⇒ Standard Stable Asset Fund II [MF]	SP	None	Tax-Deferred		
401K Trans-America ⇒ Undiscovered Mgrs Behavioral Value R6 [MF]	SP	None	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
401K Trans-America ⇒ Vanguard Extended Market Idx I [MF]	SP	None	Tax-Deferred		
Advanced Cannabis Solutions, Inc. (CANN) [ST]	JT	None	Capital Gains	None	None
Aurora Cannabis Inc. Common Shares (ACB) [ST]	JT	\$1 - \$1,000	Capital Gains	None	None
Cannabis Sativa, Inc. (CBDS) [ST]		\$1,001 - \$15,000	Capital Gains	None	\$201 - \$1,000
Cannabis Science, Inc. (CBIS) [ST]		None	Capital Gains	None	None
Canopy Growth Corporation (CGC) [ST]	JT	\$1,001 - \$15,000	Capital Gains	None	None
Cara Therapeutics Feb 16 Call \$12.50 [OP] DESCRIPTION: Sold at a loss		None	Capital Gains	None	None
Cara Therapeutics Jul 21 Call \$30.00 [OP] DESCRIPTION: Sold covered call options that were never exercised by the buyer.		None	Capital Gains	None	None
Cara Therapeutics May 19 Call \$2.50 [OP] DESCRIPTION: Sold call options for a profit.		None	Capital Gains	None	None
Cara Therapeutics, Inc. (CARA) [ST]		\$1 - \$1,000	Capital Gains	None	\$2,501 - \$5,000
Cronos Group Inc. - Common Share (CRON) [ST]	JT	\$1,001 - \$15,000	Capital Gains	None	\$201 - \$1,000
Eco Science Solutions, Inc (ESSI) [ST]		None	Capital Gains	None	None
GreenGro Technologies, Inc. (GRNH) [ST]		None	Capital Gains	None	None
IDeal 529 ⇒ Conservative Age-Based Option; Blended Growth Portfolio [MF]	DC	\$1 - \$1,000	Tax-Deferred		
IDeal 529 ⇒ Income Portfolio [MF]	DC	\$1 - \$1,000	Tax-Deferred		
IDeal 529 ⇒ Interest Accumulation Portfolio [MF]	DC	\$1 - \$1,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
IDEAL 529 ⇒ Savings Portfolio [BA]	DC	\$1 - \$1,000	Tax-Deferred		
iShares MSCI Turkey ETF (TUR) [EF]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	None	\$1 - \$200
Itron, Inc. (ITRI) [ST]	JT	None	Capital Gains	None	\$201 - \$1,000
Lincoln Financial Group ⇒ Cohen & Steers Real Estate Securities Z [MF]	JT	\$1,001 - \$15,000	Capital Gains, Interest	None	None
Lincoln Financial Group ⇒ John Hancock Bond [MF]	JT	\$1,001 - \$15,000	Capital Gains, Interest	None	None
Lincoln Financial Group ⇒ Lincoln Stable Value Account [MF]	JT	\$1,001 - \$15,000	Interest	None	None
Lincoln Financial Group ⇒ T. Rowe Price QM US Small-Cap Growth [MF]	JT	\$1,001 - \$15,000	Capital Gains	None	None
Lincoln Financial Group ⇒ Vanguard 500 Index [MF]	JT	\$1,001 - \$15,000	Capital Gains	None	None
Lincoln Financial Group ⇒ Vanguard Developed Markets Indx [MF]	JT	\$15,001 - \$50,000	Capital Gains	None	None
Lincoln Financial Group ⇒ Vanguard Equity Income [MF]	JT	\$1,001 - \$15,000	Capital Gains	None	None
Lincoln Financial Group ⇒ Vanguard Inflation-Protected Secs Adm [MF]	JT	\$1,001 - \$15,000	Capital Gains	None	None
Lincoln Financial Group ⇒ Vanguard International Growth [MF]	JT	\$1,001 - \$15,000	Capital Gains	None	None
Lincoln Financial Group ⇒ Vanguard Intmed Term Bond Index [MF]	JT	\$15,001 - \$50,000	Capital Gains	None	None
Lincoln Financial Group ⇒ Vanguard Midcap Indx [MF]	JT	\$1,001 - \$15,000	Capital Gains	None	None
Lincoln Financial Group ⇒	JT	\$1,001 - \$15,000	Capital Gains	None	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Vanguard Midcap Value Indx [MF]					
Lincoln Financial Group ⇒ Vanguard Small-Cap Value Indx [MF]	JT	\$1,001 - \$15,000	Capital Gains	None	None
mCig, Inc. (MCIG) [ST]		\$1 - \$1,000	Capital Gains	None	None
Nutranomics, Inc. (NNRX) [ST]		\$1 - \$1,000	Capital Gains	None	None
Palo Alto Networks, Inc. (PANW) [ST]		None	Capital Gains	None	None
Roth IRA with E*Trade ⇒ Erin Energy Corp. (ERN) [ST]	SP	None	Tax-Deferred		
Roth IRA with E*Trade ⇒ Tilray, Inc. - Class 2 (TLRY) [ST]	SP	\$1 - \$1,000	Capital Gains	None	None
Roth IRA with E*Trade ⇒ VanEck Vectors Egypt Index ETF (EGPT) [EF]	SP	\$1 - \$1,000	Capital Gains, Dividends	None	\$1 - \$200
Seagate Technology PLC - Ordinary Shares (STX) [ST]		None	Capital Gains	None	None
Seagate Technology PLC - Ordinary Shares (STX) [ST]		None	Dividends	None	\$1 - \$200
SPDR Gold Trust (GLD) [EF]		None	Capital Gains	None	\$1 - \$200
Tilray, Inc. - Class 2 (TLRY) [ST]	JT	\$1,001 - \$15,000	Capital Gains	None	None
UltraPro Short Dow30 (SDOW) [ST]	JT	\$1 - \$1,000	Capital Gains	None	None
US Bank [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
US Ecology, Inc. (ECOL) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
VanEck Vectors Egypt Index ETF (EGPT) [EF]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	None	\$1 - \$200
Washington Federal Savings Account [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Whole Life Insurance ⇒ Ameritas Whole Life Policy [WU]		\$100,001 - \$250,000	None		
Zynerba Pharmaceuticals, Inc. (ZYNE) [ST]	JT	\$1,001 - \$15,000	Capital Gains	None	None

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Clarivate Analytics	My salary	\$21,667.00	\$59,584.00
US Ecology	Spouse Salary	\$38,352.00	\$89,543.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Cornerstone	September 2004	Student Loan	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

401K John Hancock DESCRIPTION: Aaron's 401K 401K Trans-America (Owner: SP) DESCRIPTION: Elizabeth's 401K - IDeal 529 (Owner: DC) LOCATION: ID DESCRIPTION: Cormac's College Fund - Lincoln Financial Group (Owner: JT)
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- Roth IRA with E*Trade (Owner: SP)
DESCRIPTION: Elizabeth's Roth IRA
- Whole Life Insurance
LOCATION: US
DESCRIPTION: Aaron's Whole Life Policy

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Carlton Aaron Swisher , 05/18/2020