



Filing ID #10035835

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Tom Phillips
Status: Congressional Candidate
State/District: NJ07

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2020
Filing Date: 05/15/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
ADP 401k ⇒ ADP 401K Plan [MF]		\$100,001 - \$250,000	Withdrawal from 401k Plan	None	\$100,001 - \$1,000,000
DESCRIPTION: Withdrawal of full 401k Plan, with partial rollover to Wells Fargo IRA.					
AMC Entertainment Holdings, Inc. Class A (AMC) [ST]	JT	\$1 - \$1,000	Dividends	None	None
American Airlines Group, Inc. (AAL) [ST]	JT	\$1 - \$1,000	Dividends	None	None
Anheuser-Busch Inbev SA Sponsored ADR (BUD) [ST]	JT	\$1 - \$1,000	Dividends	None	None
AT&T Inc. (T) [ST]	JT	\$1 - \$1,000	Dividends	None	None
Bank of America [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Checking and Savings					
Bloomin' Brands, Inc. (BLMN) [ST]	JT	\$1 - \$1,000	Dividends	None	None
CMC Interactive 401k Plan ⇒ American New World (RNWEX) [BA]		\$1 - \$1,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
CMC Interactive 401k Plan ⇒ Franklin MicroCap Value Adv (FVRMX) [MF]		\$1 - \$1,000	Tax-Deferred		
CMC Interactive 401k Plan ⇒ Hartford MidCap HLS IA (HIMCX) [MF]		\$1 - \$1,000	Tax-Deferred		
CMC Interactive 401k Plan ⇒ Invesco Diversified Dividend (LCEVX) [MF]		\$1 - \$1,000	Tax-Deferred		
CMC Interactive 401k Plan ⇒ Janus Balanced [MF]		\$1 - \$1,000	Tax-Deferred		
CMC Interactive 401k Plan ⇒ Janus Triton (JATTX) [MF]		\$1 - \$1,000	Tax-Deferred		
CMC Interactive 401k Plan ⇒ JP Morgan SmartRetirement 2040 Select (SMTSX) [MF]		\$1 - \$1,000	Tax-Deferred		
CMC Interactive 401k Plan ⇒ JPMorgan SmartRetirement 2050 Select (JTSSX) [MF]		\$1 - \$1,000	Tax-Deferred		
CMC Interactive 401k Plan ⇒ Laudus US Large Cap Growth Fund (LGILX) [MF]		\$1 - \$1,000	Tax-Deferred		
CMC Interactive 401k Plan ⇒ MFS Mid Cap Value R4 (MVCJX) [MF]		\$1 - \$1,000	Tax-Deferred		
CMC Interactive 401k Plan ⇒ Oppenheimer International Diversified Y (OIDYX) [MF]		\$1 - \$1,000	Tax-Deferred		
CMC Interactive 401k Plan ⇒ Parnassus Equity Income (PRBLX) [MF]		\$1 - \$1,000	Tax-Deferred		
CMC Interactive 401k Plan ⇒ Principal MidCap S&P 400 Index (PMFPX) [MF]		\$1 - \$1,000	Tax-Deferred		
CMC Interactive 401k Plan ⇒ Schwab International Index (SWISX) [MF]		\$1 - \$1,000	Tax-Deferred		
CMC Interactive 401k Plan ⇒		\$1 - \$1,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Schwab S&P 500 Select (SWPPX) [MF]					
CMC Interactive 401k Plan ⇒ Scwab Value Adv Money (SWVXX) [MF]		\$1 - \$1,000	Tax-Deferred		
CMC Interactive 401k Plan ⇒ Scwabb Small Cap Index (SWSSX) [MF]		\$1 - \$1,000	Tax-Deferred		
CMC Interactive 401k Plan ⇒ Vanguard Interim Term Bond Index Adm (VBILX) [MF]		\$1 - \$1,000	Tax-Deferred		
Coca-Cola Company (KO) [ST]	JT	\$1 - \$1,000	Dividends	None	None
Darden Restaurants, Inc. (DRI) [ST]	JT	\$1 - \$1,000	Dividends	None	None
Delta Air Lines, Inc. (DAL) [ST]	JT	\$1 - \$1,000	Dividends	None	None
Exxon Mobil Corporation (XOM) [ST]	JT	\$1 - \$1,000	Dividends	None	None
Ford Motor Company (F) [ST]	JT	\$1 - \$1,000	Dividends	None	None
Marriott International - Class A (MAR) [ST]	JT	\$1 - \$1,000	Dividends	None	None
MGM Resorts International (MGM) [ST]	JT	\$1 - \$1,000	Dividends	None	None
Molson Coors Beverage Company Class B (TAP) [ST]	JT	\$1 - \$1,000	Dividends	None	None
New York Saves 529 Plan ⇒ NY Saves DC1 [5P] LOCATION: NY	DC	\$1,001 - \$15,000	Tax-Deferred		
New York Saves 529 Plan ⇒ NY Saves DC2 [5P] LOCATION: NY	DC	\$1,001 - \$15,000	Tax-Deferred		
Norwegian Cruise Line Holdings Ltd. Ordinary Shares (NCLH) [ST]	JT	\$1 - \$1,000	Dividends	None	None
Novartis 401k ⇒ Novartis 2030 Target Retirment Fund [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Novartis 401k ⇒ Novartis 2040 Target Retirement Plan [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		
Royal Caribbean Cruises Ltd. (RCL) [ST]	JT	\$1 - \$1,000	Dividends	None	None
SoFi 50 ETF (SFYF) [ST]	JT	\$1 - \$1,000	Dividends	None	None
SoFi Gig Economy ETF (GIGE) [ST]	JT	\$1 - \$1,000	Dividends	None	None
SoFi Next 500 ETF (SFYX) [ST]	JT	\$1 - \$1,000	Dividends	None	None
SoFi Select 500 ETF (SFY) [ST]	JT	\$1 - \$1,000	Dividends	None	None
Sunoco LP Common Units representing limited partner interests (SUN) [ST]	JT	\$1 - \$1,000	Dividends	None	None
Unilever NV (UN) [ST]	JT	\$1 - \$1,000	Dividends	None	None
United Airlines Holdings, Inc. (UAL) [ST]	JT	\$1 - \$1,000	Dividends	None	None
United Parcel Service, Inc. (UPS) [ST]	JT	\$1 - \$1,000	Dividends	None	None
United States Gasoline Fund LP (UGA) [ST]	JT	\$1 - \$1,000	Dividends	None	None
Walt Disney Company (DIS) [ST]	JT	\$1 - \$1,000	Dividends	None	None
Wells Fargo IRA ⇒ Income Fund Amer Inc Class A AMECX [MF]		\$15,001 - \$50,000	Tax-Deferred		
Wyndham Hotels & Resorts, Inc. (WH) [ST]	JT	\$1 - \$1,000	Dividends	None	None

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Novartis Services Incorporated	Spouse Salary	N/A	N/A
Jet Set Sports	salary	\$27,307.69	\$87,749.04

Source	Type	Amount Current Year to Filing	Amount Preceding Year
New York University	salary	\$5,878.75	\$11,757.50
Farleigh Dickinson University	salary	\$5,000.00	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	FedLoan Servicing	June 2015	Graduate Student Loans	\$100,001 - \$250,000
JT	Home Depot	March 2018	Kitchen Remodel costs 2018	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- ADP 401k - CMC Interactive 401k Plan - New York Saves 529 Plan (Owner: DC) LOCATION: NY DESCRIPTION: Dependent Children 529 plans - Novartis 401k (Owner: SP) - Wells Fargo IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Tom Phillips , 05/15/2020