



Filing ID #10035821

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Mr. Stephen Matson Darden
Status: Congressional Candidate
State/District: TN01

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2020
Filing Date: 05/14/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Celldex Therapeutics, Inc. (CLDX) [ST]	JT	\$1,001 - \$15,000	None		
Darling Ingredients Inc. (DAR) [ST]	JT	\$1,001 - \$15,000	None		
First Horizon Wealth Management [IH]	JT	\$1,001 - \$15,000	None		
Hunter, Smith & Davis 401(k) Plan ⇒ American Funds AMCAP [OT] DESCRIPTION: Tax deferred 401(k) account		\$50,001 - \$100,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ American Funds Euro Pacific Growth [OT] DESCRIPTION: Tax deferred 401(k) account		\$50,001 - \$100,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Doubleline Total Return Bond [OT] DESCRIPTION: Tax deferred 401(k) account		\$15,001 - \$50,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ First Eagle Global [OT] DESCRIPTION: Tax deferred 401(k) account		\$100,001 - \$250,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Hunter, Smith & Davis 401(k) Plan ⇒ Lazard US Equity Concentrated [OT] DESCRIPTION: Tax deferred 401(k) account		\$100,001 - \$250,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Morley Stable Value Fund [OT] DESCRIPTION: Tax deferred 401(k) account		\$100,001 - \$250,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Vanguard Developed Markets Index [OT] DESCRIPTION: Tax deferred 401(k) account		\$15,001 - \$50,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Vanguard High-Yield Corporate [OT] DESCRIPTION: Tax deferred 401(k) account		\$1,001 - \$15,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Vanguard Mid Cap Index [OT] DESCRIPTION: Tax deferred 401(k) account		\$50,001 - \$100,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Vanguard Small Cap Index [OT] DESCRIPTION: Tax deferred 401(k) account		\$50,001 - \$100,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Activision Blizzard [OT] DESCRIPTION: Tax deferred 401(k) account		\$1,001 - \$15,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Adobe [OT] DESCRIPTION: Tax deferred 401(k) account		\$15,001 - \$50,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Alphabet Inc. [OT] DESCRIPTION: Tax deferred 401(k) account		\$15,001 - \$50,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Amazon [OT] DESCRIPTION: Tax deferred 401(k) account		\$50,001 - \$100,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Charles		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Schwab PCRA ⇒ American States Water [OT] DESCRIPTION: Tax deferred 401(k) account					
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Apple [OT] DESCRIPTION: Tax deferred 401(k) account		\$15,001 - \$50,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Aurora Cannabis [OT] DESCRIPTION: No increase		\$1,001 - \$15,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Berkshire Hathaway [OT] DESCRIPTION: Tax deferred 401(k) account		\$50,001 - \$100,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Broadridge Financial [OT] DESCRIPTION: Tax deferred 401(k) account		\$1,001 - \$15,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Calamp Corp. [OT] DESCRIPTION: Tax deferred 401(k) account		\$1,001 - \$15,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Canada National Railroad [OT] DESCRIPTION: Tax deferred 401(k) account		\$1,001 - \$15,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ CME Group [OT] DESCRIPTION: Tax deferred 401(k) account		\$15,001 - \$50,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Constellation Brand [OT] DESCRIPTION: Tax deferred 401(k) account		\$1,001 - \$15,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Darling [OT]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Tax deferred 401(k) account					
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Element Solutions [OT]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Tax deferred 401(k) account					
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Facebook [OT]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Tax deferred 401(k) account					
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ First Solar [OT]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Tax deferred 401(k) account					
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Globus Medical [OT]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Tax deferred 401(k) account					
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Home Depot [OT]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Tax deferred 401(k) account					
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Illumina [OT]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Tax deferred 401(k) account					
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Innovative Industrial Properties [OT]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Tax deferred 401(k) account					
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Invesco S&P Global Water ETF [OT]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Tax deferred 401(k) account					
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ iShares Core Emerging ETF [OT]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Tax deferred 401(k) account					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ MasterCard [OT] DESCRIPTION: Tax deferred 401(k) account		\$15,001 - \$50,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ McCormick [OT] DESCRIPTION: Tax deferred 401(k) account		\$15,001 - \$50,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Nike [OT] DESCRIPTION: Tax deferred 401(k) account		\$1,001 - \$15,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Nvidia [OT] DESCRIPTION: Tax deferred 401(k) account		\$1,001 - \$15,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ PayPal [OT] DESCRIPTION: Tax deferred 401(k) account		\$15,001 - \$50,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Shopify [OT] DESCRIPTION: Tax deferred 401(k) account		\$15,001 - \$50,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Splunk [OT] DESCRIPTION: Tax deferred 401(k) account		\$15,001 - \$50,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Starbucks [OT] DESCRIPTION: Tax deferred 401(k) account		\$1,001 - \$15,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ SunPower [OT] DESCRIPTION: Tax deferred 401(k) account		\$1,001 - \$15,000	Tax-Deferred		
Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Tesla [OT]	DESCRIPTION: Tax deferred 401(k) account				
	Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ The Trade Desk [OT]	\$15,001 - \$50,000	Tax-Deferred		
	DESCRIPTION: Tax deferred 401(k) account				
	Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Twilio [OT]	\$1,001 - \$15,000	Tax-Deferred		
	DESCRIPTION: Tax deferred 401(k) account				
	Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Vanguard Health Care EFT [OT]	\$15,001 - \$50,000	Tax-Deferred		
	DESCRIPTION: Tax deferred 401(k) account				
	Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA ⇒ Walt Disney [OT]	\$15,001 - \$50,000	Tax-Deferred		
	DESCRIPTION: Tax deferred 401(K) account				
	Law Center Associates [RP]	\$50,001 - \$100,000	Capital Gains	None	None
	LOCATION: Kingsport/Sullivan, TN, US				
	Provectus Biopharmaceuticals, Inc. (PVCT) [ST]	\$1,001 - \$15,000	None		
Rx Bio [PS]	JT	\$15,001 - \$50,000	None		
	DESCRIPTION: No increase				
Sierra Wireless, Inc. (SWIR) [ST]	JT	\$1,001 - \$15,000	None		
State of Tennessee 401(k) Plan ⇒ TC Lifecycle 2025 [OT]	SP	\$1,001 - \$15,000	Tax-Deferred		
	DESCRIPTION: Tax deferred 401(k)				
State of Tennessee 401(k) Plan ⇒ Vanguard Institutional Index [OT]	SP	\$15,001 - \$50,000	Tax-Deferred		
	DESCRIPTION: Tax deferred 401(k) account				
State of Tennessee 401(k) Plan ⇒ Vanguard Institutional Target Retire [OT]	SP	\$15,001 - \$50,000	Tax-Deferred		
	DESCRIPTION: Tax deferred 401(k)				

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
State of Tennessee 401(k) Plan ⇒ Vanguard Institutional Target Retire 2030 [OT] DESCRIPTION: Tax deferred 401(k) account	SP	\$15,001 - \$50,000	Tax-Deferred		
State of Tennessee 401(k) Plan ⇒ Vanguard Small Cap Value Index [OT] DESCRIPTION: Tax deferred 401(k)	SP	\$1,001 - \$15,000	Tax-Deferred		
State Of Tennessee 457 Plan ⇒ Vanguard Institutional Target Retire 2030 [OT] DESCRIPTION: Tax deferred 457 account	SP	\$15,001 - \$50,000	Tax-Deferred		
Walt Disney Company (DIS) [ST]	JT	\$1,001 - \$15,000	None		

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Hunter, Smith & Davis LLP	Partnership distributions	\$273,934.00	N/A
NE State Community College	Spouce Salary	\$47,957.61	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Discover COMMENTS: Currently under \$10,000	December 2019	Credit Card	\$10,000 - \$15,000
JT	Barkley Aviator Mastercard COMMENTS: Currently under \$10,000	December 2019	Credit Card	\$10,000 - \$15,000
JT	American Express COMMENTS: Currently under \$10,000	December 2019	Credit Card	\$10,000 - \$15,000
JT	Chase Master Card COMMENTS: Currently under \$10,000	December 2019	Credit Card	\$10,000 - \$15,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Hunter, Smith & Davis LLP (Kingsport, TN, US)	Legal Services
COMMENTS: From the Tennessee Board of Professional Responsibility: Opinion: Absent client consent the identity of clients are considered confidential under RPC 1.6(a) unless the disclosure is impliedly authorized in order to carry out the representation; or the disclosure is permitted by paragraph (b) or required by paragraph (c) which are exceptions to client confidentiality. None of the exceptions would apply to the facts you have presented.	

SCHEDULE A ASSET CLASS DETAILS

- Hunter, Smith & Davis 401(k) Plan
- Hunter, Smith & Davis 401(k) Plan ⇒ Charles Schwab PCRA
LOCATION: US
- State of Tennessee 401(k) Plan (Owner: SP)
- State Of Tennessee 457 Plan (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

From the Tennessee Board of Professional Responsibility: Absent client consent the identity of clients are considered confidential under RPC 1.6(a) unless the disclosure is impliedly authorized in order to carry out the representation; or the disclosure is permitted by paragraph (b) or required by paragraph (c) which are exceptions to client confidentiality. None of the exceptions would apply to the facts you have presented.

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Stephen Matson Darden , 05/14/2020