



# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Christy Smith  
**Status:** Congressional Candidate  
**State/District:** CA25

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2020  
**Filing Date:** 05/15/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
AXA Equitable 401(k) Plan ⇒ AXA Target 2025 Allocation Fund [MF]	SP	\$1 - \$1,000	Earnings, including market value adjustments	None	None
AXA Equitable 401(k) Plan ⇒ AXA Target 2030 Allocation Fund [MF]	SP	\$15,001 - \$50,000	Earnings, including market value adjustments	None	None
AXA Equitable 401(k) Plan ⇒ AXA Target 2055 Allocation Fund [MF]	SP	\$1,001 - \$15,000	Earnings, including market value adjustments	None	None
AXA Equitable 401(k) Plan ⇒ BlackRock Equity Index Fund [MF]	SP	\$50,001 - \$100,000	Earnings, including market value adjustments	None	None
AXA Equitable 401(k) Plan ⇒ BlackRock, Inc. (BLK) [ST]	SP	\$1 - \$1,000	Earnings, including market value adjustments	None	None
AXA Equitable 401(k) Plan ⇒ EQ/GAMCO [MF]	SP	\$1,001 - \$15,000	Earnings, including market value adjustments	None	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
AXA Equitable 401(k) Plan ⇒ Fixed Income Fund [MF]	SP	\$1 - \$1,000	Earnings, including market value adjustments	\$1 - \$200	\$1 - \$200
AXA Equitable 401(k) Plan ⇒ Hood River Small Cap Growth Fund [MF]	SP	\$1,001 - \$15,000	Earnings, including market value adjustments	None	None
AXA Equitable 401(k) Plan ⇒ MFS International Growth Fund [MF]	SP	\$1,001 - \$15,000	Earnings, including market value adjustments	None	None
AXA Equitable 401(k) Plan ⇒ Sprucegrove U.S. International Pooled Fund [MF]	SP	\$1,001 - \$15,000	Earnings, including market value adjustments	None	None
Equitable Holdings, Inc. (EQH) [ST]	SP	\$1,001 - \$15,000	Dividends	None	\$1 - \$200
Equitable Holdings, Inc. (EQH) [ST]	SP	\$15,001 - \$50,000	None		
Milliman Pension [PE]	SP	\$250,001 - \$500,000	Interest	None	\$5,001 - \$15,000
Wells Fargo Accounts [BA]	JT	\$15,001 - \$50,000	Interest	None	\$1 - \$200

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
State of California	Salary	\$32,232.08	\$96,696.24
AXA Advisors	Spouse Salary	N/A	N/A

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
DC	Mohela	June 2017	Student Loans	\$50,001 - \$100,000

## **SCHEDULE E: POSITIONS**

None disclosed.

## **SCHEDULE F: AGREEMENTS**

None disclosed.

## **SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

None disclosed.

## **SCHEDULE A ASSET CLASS DETAILS**

- AXA Equitable 401(k) Plan (Owner: SP)

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

- Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

- Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Christy Smith , 05/15/2020