



Filing ID #10035721

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** James Harper  
**Status:** Congressional Candidate  
**State/District:** IN01

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2020  
**Filing Date:** 05/14/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset                                            | Owner | Value of Asset      | Income Type(s)              | Income Current Year to Filing | Income Preceding Year |
|--------------------------------------------------|-------|---------------------|-----------------------------|-------------------------------|-----------------------|
| 401K ⇒<br>Fidelity 500 Index Fund [EF]           |       | \$15,001 - \$50,000 | Tax-Deferred                |                               |                       |
| Cash in IRA [IH]                                 |       | \$15,001 - \$50,000 | None                        |                               |                       |
| Cigna Corporation (CI) [ST]                      |       | None                | Capital Gains,<br>Dividends | \$1,001 - \$2,500             | \$1 - \$200           |
| Driehaus Emerging Markets Small Cap (DRESX) [MF] |       | \$1,001 - \$15,000  | Dividends                   | \$1 - \$200                   | \$1 - \$200           |
| Facebook, Inc. - Class A (FB) [ST]               |       | \$1,001 - \$15,000  | None                        |                               |                       |
| Fairholme Fund (FAIRX) [MF]                      |       | \$1,001 - \$15,000  | Dividends                   | None                          | \$1 - \$200           |
| Fidelity Account [BA]                            |       | \$15,001 - \$50,000 | None                        |                               |                       |
| Fidelity IRA ⇒<br>Apple Inc. (AAPL) [ST]         |       | \$15,001 - \$50,000 | Tax-Deferred                |                               |                       |
| Fidelity IRA ⇒                                   |       | \$1,001 - \$15,000  | Tax-Deferred                |                               |                       |

| Asset                                                     | Owner | Value of Asset       | Income Type(s)           | Income Current Year to Filing | Income Preceding Year |
|-----------------------------------------------------------|-------|----------------------|--------------------------|-------------------------------|-----------------------|
| International Business Machines Corporation (IBM) [ST]    |       |                      |                          |                               |                       |
| Fidelity IRA ⇒<br>Vanguard 500 Index Admiral (VFIAX) [EF] |       | \$15,001 - \$50,000  | Tax-Deferred             |                               |                       |
| Fidelity Pacific Basin Fund (FPBFX) [MF]                  |       | \$15,001 - \$50,000  | Capital Gains, Dividends | \$201 - \$1,000               | \$201 - \$1,000       |
| First Source Account [BA]                                 | JT    | \$1,001 - \$15,000   | None                     |                               |                       |
| Merck & Company, Inc. Common Stock (MRK) [ST]             |       | None                 | Capital Gains, Dividends | \$5,001 - \$15,000            | \$201 - \$1,000       |
| Pepsico, Inc. (PEP) [ST]                                  |       | \$15,001 - \$50,000  | Capital Gains, Dividends | \$15,001 - \$50,000           | \$1,001 - \$2,500     |
| Primecap Odyssey Aggressive Growth (POAGX) [MF]           |       | \$15,001 - \$50,000  | Capital Gains, Dividends | \$1,001 - \$2,500             | \$1,001 - \$2,500     |
| Schwab Account [BA]                                       |       | \$50,001 - \$100,000 | None                     |                               |                       |
| Schwab S&P 500 Index Fund (SWPPX) [MF]                    |       | \$15,001 - \$50,000  | Capital Gains, Dividends | \$5,001 - \$15,000            | None                  |
| Vanguard Index FDS Growth (VUG) [EF]                      |       | \$15,001 - \$50,000  | Capital Gains, Dividends | \$201 - \$1,000               | \$1 - \$200           |

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

| Source          | Type   | Amount Current Year to Filing | Amount Preceding Year |
|-----------------|--------|-------------------------------|-----------------------|
| Harper & Harper | Salary | \$99,739.53                   | \$120,775.00          |

### SCHEDULE D: LIABILITIES

None disclosed.

### SCHEDULE E: POSITIONS

| Position           | Name of Organization          |
|--------------------|-------------------------------|
| Director           | Veterans Legal Advocacy Group |
| Director/Secretary | Hilltop House                 |
| Employee           | Harper & Harper LLC           |

## SCHEDULE F: AGREEMENTS

| Date       | Parties To             | Terms of Agreement                                                  |
|------------|------------------------|---------------------------------------------------------------------|
| March 2015 | Barnes & Thornburg LLP | I continue to participate in the 401k plan of this former employer. |

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A ASSET CLASS DETAILS

- 401K
- Fidelity IRA

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** James Harper , 05/14/2020