



Filing ID #10035568

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Nicole Malliotakis
Status: Congressional Candidate
State/District: NY11

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2020
Filing Date: 05/14/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
B&G Foods Note [CS] DESCRIPTION: 10/10/19 Redemption		\$1,001 - \$15,000	Interest	None	\$1 - \$200
Bank of America Corporation (BAC) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
Caterpillar, Inc. (CAT) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Chase Bank Account [BA]		\$250,001 - \$500,000	Dividends	\$1 - \$200	\$1 - \$200
Columbia Floating Rate RFRCX [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Con Edison Thrift Savings Account ⇒ Vanuard Primcap Fund Admiral [IH]		\$100,001 - \$250,000	None		
Consolidated Edison, Inc. (ED) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Exxon Mobil Corporation (XOM) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Franklin Dynaetch FDYNX [MF]		\$15,001 - \$50,000	None		
Franklin Income FCISX [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
General Electric Company (GE) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Icahn Enterprises Lp Senior Note [CS]		\$1,001 - \$15,000	Dividends, Interest	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: N/A					
Johnson & Johnson (JNJ) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Mainstay Mackay MYHCX [MF]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Mastercard Incorporated (MA) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Oppenheimer Steelpath MLPGX [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$2,501 - \$5,000
Pfizer, Inc. (PFE) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
pitney bowes inc [CS]		\$1,001 - \$15,000	Dividends, Interest	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: N/A					
Procter & Gamble Company (PG) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Roth IRA ⇒ Canopy Growth Corporation (CGC) [ST]		\$1,001 - \$15,000	None		
Roth IRA ⇒ Columbia Large Cap Growth LEGCX [MF]		\$15,001 - \$50,000	None		
Roth IRA ⇒ Cronos Group Inc. - Common Share (CRON) [ST]		\$1 - \$1,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Roth IRA ⇒ ETFMG Alternative Harvest MJ [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Roth IRA ⇒ Franklin Income FCISX [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Roth IRA ⇒ Income Fund of America IFACX [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Roth IRA ⇒ Mainstay Mackay High Yield Corp Bond MYHCX [CS]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
DESCRIPTION: corporate bond					
Roth IRA ⇒ oppenheimer Steelpath MLPGX [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Verizon Communications Inc. (VZ) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Visa Inc. (V) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Westinghouse Air Brake Technologies Corporation (WAB) [ST]		\$1 - \$1,000	Dividends	None	\$1 - \$200

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
State of New York	salary as a Member of the State Assembly	\$38,076.93	\$108,498.37

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Member, Alternate Board Member	World Hellenic Inter-parliamentary Association
New York State Director	National Foundation of Women Legislators
Member	National Hispanic Caucus of State Legislators
Member	Richmond County Republican Committee
Member	New York State Republican Committee

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
2006	Nicole Malliotakis and Consolidated Edison Inc.	Thrift Savings Plan
2002	Nicole Malliotakis and State of New York	Employee Pension Plan

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- o Con Edison Thrift Savings Account
- o Roth IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Nicole Malliotakis , 05/14/2020